SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA



FROM:

FISCAL PROCEDURES APPROVED ROBERTE: BYRD, AUDITOR-CONTROLLER

Community Action Partnership of Riverside County

SUBMITTAL DATE: January 21, 2010

SUBJECT: Agreement #10F-4034 with Department of Community Services and Development for the 2010 Community Services Block Grant

RECOMMENDED MOTION: That the Board of Supervisors approve and:

- 1) Authorize the Chairman of the Board to sign the attached Agreement #10F-4034 between the Department of Community Services and Development (CSD) and the Community Action Partnership (CAP Riverside) for \$170,637 to fund the 2010 Community Services Block Grant (CSBG) covering the term January 1, 2010 through December 31, 2010;
- 2) Instruct the Auditor Controller to adjust the budget as identified in the attached Schedule A; and
- 3) Authorize the Executive Director of CAP Riverside to sign assurances, exhibits, and reports made under the Agreement.

		Jois J. Carson	CCAP, Executive	Director	
(CONTINUED 3	Pages)	2010 0. Odr3011,	DOOM, EXCOUNTE	J. 100101	
FINANCIAL	Current F.Y. Total Cost:	\$ 170,637	In Current Year Bu	idget: N	No.
·-	Current F.Y. Net County Cost:	: \$ 0	Budget Adjustme	nt: Ye	es
DATA	Annual Net County Cost:	\$ 0	For Fiscal Year:	FY (09/10
SOURCE OF FU	JNDS: 100% Federal			Positions To Be Deleted Per A-30	1 1 1
·				Requires 4/5 Vote	
C.E.O. RECOM	MENDATION:	APPROVE			
	(BY: 10 Debra Cour	Olymayer		
County Execut	ive Office Signature	manus manus manus sugare. Open sagar open s			

Prev. Agn. Ref.: 6/9/09 (#9.7)

District: All

WITH THE CLERK OF THE BOARD

Agenda Number:

X

X

Consent

Per Exec. Ofc.

ATTACHMENTS FILED

FROM:

Community Action Partnership

Of Riverside County

SUBJECT: Agreement #10F-4034 with Department

of Community Services and Development for the 2010 Community Services Block Grant

DATE: January 21, 2010

PAGE: 2 of 3

BACKGROUND:

The CSBG Local Initiative Program provides the core funding of CAP Riverside Programs. Revenue derived from the Agreement supports the majority of the operations of CAP Riverside.

With the foundation provided by the Local Initiative Grant, CAP Riverside is able to attract other resources into the county and focus them on the needs of the County's poor. Serving as the work plan for the Agreement, the 2010 Local Plan, approved by the Board on June 9, 2009 (Agenda #9.7), includes the following goals:

- Provide services, support and opportunities that offset barriers to employment for low-income participants;
- Provide low-income households access to credit/loans for homeownership, small business, education/vocational pursuits;
- Provide assistance to community-based organizations to enhance volunteer participation, training, and support to facilitate community involvement;
- Provide support and assistance to community-based organizations to help create a healthy, safe, violence-free, and economically sound community environment;
- Assist in the support and coordination of utility bill and weatherization assistance and homeless services support;
- Support community partnerships via collaborations; and
- Provide capacity building activities to promote effective low-income service distribution.

Congress has not yet decided the final 2010 CSBG allocation, therefore, this Agreement supplies the initial allocation which is based on approximately 8.5% of the 2010 allocation. This Agreement will be amended once the Congressional appropriation is approved and the State is advised of its final grant award.

The Executive Director of CAP Riverside requests that the Board approve Agreement #10F-4034 covering the term January 1, 2010 through December 31, 2010.

FINANCIAL IMPACT: No County General Funds will be required.

CONCUR/EXECUTE:

LJC:MYJ:KA:jb

FROM:

Community Action Partnership

of Riverside County

DATE: January 21, 2010

SUBJECT:

Agreement #10F-4034 with the

PAGE: 3 of 3

Department of Community Services and Development

for the 2010 Community Services Block Grant

SCHEDULE A

Community Action Partnership of Riverside County
Budget Adjustment
Fiscal Year 2009/2010

INCREASE IN APPROPRIATIONS:

CAARC-21050-5200100000-527780

Special Program Expense

\$170,637

INCREASE IN EST. REVENUE:

CAARC-21050-5200100000-767200

Federal Block Grants

\$170,637

STATE OF CALIFORNIA

STANDARD AGREEMENT

STD. 213 (Rev. 6/03)

AGREEMENT NUMBER

10F-4034

REGISTRATION NUMBER

AMENDMENT NUMBER

0

1.	This Agreement is enter	ed into between the State Agency and the	Contractor named below
_	STATE AGENCY'S NAME		
	Department of Commi	inity Services and Development	
_	CONTRACTOR'S NAME		
	Community Action Pa	rtnership of Riverside County	
2.	The term of this	1 2010 1 1 7 1 21 201	
	Agreement is:	anuary 1, 2010 through December 31, 201	0
3.	The maximum amount	150 (05.00	
	of this Agreement is:	\$ 170,637.00	

The parties agree to comply with the terms and conditions of the following exhibits that are by this reference made a part of the Agreement:

Exhibit A - Scope of Work

Exhibit B - Budget Detail and Payment Provisions

Attachment I, CSBG Fiscal Data

Attachment II, CSBG/National Performance Indicators (NPI) Program Data

Exhibit C - General Terms and Conditions

Exhibit D - Special Terms and Conditions

Attachment I, CSD Supplemental Aduit Guide

Exhibit E - Additional Provisions

Exhibit F - Definitions

Exhibit G - Certification Regarding Lobbying, Disclosure of Lobbying Activities

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR		CALIFORNIA Department of General Services Use Only
CONTRACTOR'S NAME (If other than an individual, state whether a corpor	ration, partnership, etc.)	
Community Action Partnership of Riverside County		
BY (Authorized Signature)	DATE SIGNED (Do not type)	
F		30 31 4 5 4 5
PRINTED NAME AND TITLE OF PERSON SIGNING		i nereby certify that all conditions for exemption have
		pean complied with, and this
ADDRESS		I summent is exempt from Mg
2038 Iowa Ave, Suite B-102, Riverside, CA 92507		Department of General Services
STATE OF CALIFORNIA		approval.
AGENCY NAME		
Department of Community Services and Development		
BY (Authorized Signature)	DATE SIGNED (Do not type)	
		i i
PRINTED NAME AND TITLE OF PERSON SIGNING		
Leisa Maestretti, Chief Financial Officer		
ADDRESS		
2389 Gateway Oaks Drive, Suite 100, Sacramento, Californi	ia 95833ORM APPROVED COLL	Exempt per
	BY MILL E	Mel 2/17/10
	O WOOA R-MCKENN	A DATE

SCOPE OF WORK:

1. <u>COMPLIANCE</u>

All services and activities are to be provided in accordance with all applicable federal and state laws and regulations and as those laws and regulations may be amended from time to time, including but not limited to, pursuant to the following:

- a. The Community Services Block Grant Act, 42 U.S.C. §§ 9901 et seq., and 45 Code of Federal Regulation (CFR) Part 96;
- b. The California Community Services Block Grant Program, Government Code §§ 12725 et seq., and Title 22, California Code of Regulations (CCR), §§ 100601 et seq.; and
- c. The Single Audit Act, 31 U.S.C. §§ 7301 et seq., and Office of Management and Budget (OMB)

2. REQUIREMENTS, STANDARDS AND GUIDELINES

Even though the federal Community Services Block Grant Act exempts Contractor and its subcontractors from many federal administrative requirements and standards to promote State and local efficiency, the federal government directs the State to establish fiscal control and fund accounting procedures regarding CSBG funds. Federal law also directs the State to ensure that the cost and accounting standards of the Office of Management Budget (OMB) apply to recipients of CSBG funds. Therefore, Contractor agrees to apply all of the requirements, standards and guidelines contained in the following authorities, as they may be amended from time to time, to all of the procurement, administrative and other costs claimed under this Agreement, including those costs under subcontracts to this Agreement, notwithstanding any language contained in the following authorities that might otherwise exempt Contractor from their applicability. To the extent that the requirements, standards or guidelines directly conflict with any State law or regulation at Government Code §§ 12725 et seq. or 22 CCR §§ 100601 et seq., or any specific provision of this Agreement, then that law or regulation or provision shall apply instead:

- A. OMB Circular A-102 (Common Rule for State and Local Governments), as codified by the Department of Health and Human Services (HHS) at 45 CFR Part 92;
- B. OMB Circular A-110 (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and other Non-Profit Organizations), as codified by HHS at 45 CFR Part 74;
- C. OMB Circular A-87 (Cost Principles for State, Local and Indian Tribal Governments);
- D. OMB Circular A-122 (Cost Principles for Non-Profit Organizations)

3. FEDERAL CATALOG DOMESTIC ASSISTANCE NUMBER

The Community Services Block Grant, Catalog of Federal Domestic Assistance number is 93.569. The award is made available through the United States Department of Health and Human Services.

4. <u>SERVICE AREA</u>

The services shall be performed in the following service area:

Riverside County

5. <u>ADDRESSES</u>

Send all correspondence to:

State Agency:

Department of Community Services and Development

Section/Unit:

Field Operations Services

Mailing Address:

Post Office Box 1947 Sacramento, CA 95812-1947

Address:

2389 Gateway Oaks Drive, Suite 100

Sacramento, CA 95833

Phone:

(916) 576-7109

Fax:

(916) 263-1406

\Cobra\Shared\Contracts\Community Services Block Grant\2010 Community Services Block Grant\2010 CSBG Reg Contract\2010 CSBG Service Area\4034 Riverside.doc

BUDGET DETAIL AND PAYMENT PROVISIONS

1. TERM AND AMOUNT OF AGREEMENT

As specified on the face sheet of this Agreement (Std. 213) the term of this Agreement is for one year and covers the period January 1, 2010 through December 31, 2010.

The maximum amount of this Agreement (Maximum Amount) specified on the initial face sheet of this Agreement is based on a partial allocation of the federal Community Services Block Grant for Federal Fiscal Year (FFY) 2010 awarded to the State pursuant to one or more continuing resolutions passed by Congress prior to the execution of this Agreement. Upon the issuance of each subsequent federal allocation, including the full annual allocations to the State for FFY 2010, CSD shall issue an amendment to this Agreement to increase the Maximum Amount by the amount to be distributed to Contractor as calculated pursuant to Government Code § 12759. At no time during the term of this Agreement shall Contractor expend more than the Maximum Amount, as that amount may be amended from time to time.

2. BUDGET

- A. Concurrent with the execution of this Agreement, Contractor shall complete the CSBG Fiscal Data forms (CSD 425 S, 425 1.1 & 425 1.2) attached to this Exhibit B. Contractor pursuant to the instructions for CSD 425 S must include an itemized list identifying all other funding sources and amounts that make up the total annual operating budget of the community action program(s). Any internal annual budget displaying the funding sources and their anticipated revenues will be accepted.
- B. Contractor shall provide CSD with a justification for all projected expenditures in the budget, including a detailed budget narrative justifying expenditures in connection with budget support personnel and related non-personnel costs. The justification must be appended to the Budget Summary (CSD 425 S).

3. ADVANCE PAYMENTS

- A. Upon written request by the Contractor on their agency letterhead, CSD shall issue one working capital advance to the Contractor not to exceed 100% of the Maximum Amount of this Agreement as set forth on the Std. 213, Standard Agreement, item 3. The advance request shall only be submitted once and apply to any subsequent advance payments as described in B. below.
- B. In the event the Maximum Amount of this Agreement is increased through subsequent amendments based on a notice of grant award for FFY 2010, a subsequent advance payment of the advance amount plus any previous advances

already allowed shall not exceed 25% of the total as set forth on the Std. 213 Standard Agreement, item 3.

- C. CSD will initiate and pro-rate the repayment process of advanced funds beginning with the seventh monthly (or fourth bimonthly) reporting period of the contract term and ending with the twelfth month of the contract term.
- D. CSD will initiate repayment of advance payments outstanding whenever 75% of the contract allocation has been expended. As applicable to the funding contract term, CSD shall begin applying approved expenditures to the outstanding advance balance thereby offsetting any subsequent reimbursements. CSD shall determine amounts to be offset by applying the balance of the advance equally into the remaining expenditure reporting periods. An exception may occur if the expenditure reports submitted are less than the applied settlement formula (as described immediately above). In that case, CSD shall apply the entire reimbursement amounts against the outstanding advance balance.
- E. If it has been determined that the Contractor will not fully expend the full allocation on or before December 31, 2010, the Contractor must complete a 425b 45 days prior to the end of the contract term requesting a contract term extension.

4. <u>BUDGET CONTINGENCIES</u>

A. State Budget Contingency

- It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.
- 2) If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an agreement amendment to Contractor to reflect the reduced amount.

B. Federal Budget Contingency

It is mutually understood between the parties that this Agreement may have been written for the mutual benefit of both parties before ascertaining the availability of congressional appropriation of funds, to avoid program and fiscal delays that would occur if this Agreement were executed after that determination was made.

- This Agreement is valid and enforceable only if sufficient funds are made available to the state by the United States Government for the fiscal year 2010 for the purpose of this program. In addition, this Agreement is subject to any additional restrictions, limitations, or conditions enacted by the Congress or to any statute enacted by the Congress that may affect the provisions, terms, or funding of this Agreement in any manner.
- The parties mutually agree that if the Congress does not appropriate sufficient funds for the program, this Agreement shall be amended to reflect any reduction in funds.
- 4) The Department has the option to invalidate the Agreement under the 30-day cancellation clause or to amend the Agreement to reflect any reduction in funds.

5. PAYMENT AND REPORTING REQUIREMENTS

A. Monthly/Bimonthly Fiscal Reports

- 1) Before the execution of this contract, Contractor shall opt to report and be reimbursed on either a monthly or bimonthly basis. Contractor shall select the appropriate box on the CSD 425 S indicating the choice of monthly or bimonthly reimbursements. Contractor will be required to stay on the chosen reimbursement cycle throughout the term of this Agreement.
- Contractor shall complete and submit to the State a monthly or bimonthly Fiscal Expenditure Report/CSBG CAA Expenditure/Activity Report.

 Contractor shall ensure that the reports are submitted to CSD by entry onto the web-based, Expenditure Activity Reporting System (EARS) and received by CSD on or before the twentieth (20th) calendar day following the report period, irrespective of the level of activity or amount of expenditure in the preceding period. For specific due dates refer to CSD web site at www.csd.ca.gov.

B. Payments

CSD shall issue bimonthly/monthly payments to Contractor upon receipt and approval of a certified expenditure activity report. The certified expenditure activity report shall indicate the actual expenditures being billed to CSD for reimbursement for the specific report interval.

Subsequent payments to Contractor shall be contingent upon receipt by CSD of the monthly/bimonthly reimbursement and activity reports. If Contractor owes CSD any outstanding balances for overpayments of any contract, current or

previous, the balance will be offset, based on arrangements made with the Contractor.

- C. Mid-Year Programmatic Report
 - 1) The Mid-Year report covers the programmatic activities from January 1, June 30, 2010. Contractor shall complete and submit to CSD the CSBG/NPI Programs Report, CSD 801 NPI no later than July 20, 2010.
 - 2) The NPI Programs Report shall be submitted via e-mail to <u>CSBGReports@csd.ca.gov</u>.
- D. Annual Programmatic Reports
 - 1) The annual report includes the following documents:
 - a. Client Characteristic Report, CSD 295-CCR
 - b. CSBG/NPI Programs Report, CSD 801 NPI
 - 2) The Annual Report covers the programmatic activities from January 1, December 31, 2010. Contractor shall complete and submit to CSD the client characteristics and NPI programs reports no later than January 20th following the programmatic activity period.
 - The annual programmatic reports shall be submitted via e-mail to CSBGReports@csd.ca.gov.
- E. Community Services Block Grant Information System (CSBG/IS Annual Survey)
 - 1) The CSBG/IS Survey shall include the following documents:
 - a. CSBG Fiscal Data—Other Funds (CSD 425.OF)
 - b. CSBG Fiscal Data—Other Resources (CSD 425.OR)
 - c. CSBG Program and Management Accomplishments (CSD 090)
 - 2) The CSBG I/S Survey is submitted annually covering the period January 1, 2010 December 31, 2010. Contractor shall complete and submit the CSBG I/S no later than March 1, 2011.
 - 3) The report shall be submitted via email to <u>CSBGIS@csd.ca.gov</u>.

F. Community Action Plan

Contractor shall submit to CSD a community action plan meeting the requirements of Government Code § 12747 no later than June 30th of every other year, pursuant to further guidance by CSD.

G. Close-Out Report

Contractors shall submit all the appropriate CSD close-out forms within ninety (90) calendar days after the expiration date or when all funds have been fully expended under this Agreement. Final reimbursement to Contractor, if owed, shall be contingent upon receipt of the close-out packet by CSD and subject to final review by CSD's Audit Services Unit.

- 1) The close-out packet shall include the forms below and may be amended during the term of this agreement.
 - a. CSD 715 Close out checklist with authorized signature
 - b. CSD 715C Program Income/Interest Earned
 - c. CSD 715D Equipment Inventory Schedule
- 2) Final expenditures must be submitted by entry onto the web-based Expenditure Activity Reporting System (EARS).
- All adjustments, if any, must be submitted by entry onto the web-based Expenditure Activity Reporting System (EARS). Each adjustment must reflect the actual expenditure period when the adjustment occurred.
- 4) Subsequent payments for CSBG and the issuance of other CSD contracts shall be contingent upon timely receipt of the close-out packet.

6. Attachments to Contract

A. Attachment I

Prior to execution of this Agreement by the State, Contractor shall complete and submit to CSD a description of projected spending for the term of this Agreement on the following forms known as the CSBG Fiscal Data Series, which shall be attached to this Exhibit B as Attachment I:

CSBG Contract Budget (Summary)	CSD 425.S	(Rev. 12/09)
Budget Support (Personnel)	CSD 425.1.1	(Rev. 12/09)
Budget Support (Non Personnel)	CSD 425.1.2	(Rev. 12/09)

B. Attachment II

Contractor shall also complete and submit to CSD the CSBG/NPI 801 Program Report on form CSD 801 (5/09), which shall reflect a description of outcome goals for each Program Year of this Agreement, and which shall be attached to this Exhibit B as Attachment II. This information will be used to monitor the success of the identified National Performance Indicators relevant to Contractor's programs, activities, and available resources for the delivery of services. Modifications to projected outcomes will not be allowed without CSD approval.

7. Forms

The latest version of all forms identified in and/or required by this Agreement are available on the Contractor's Portal on the CSD website at www.csd.ca.gov.

\\Cobra\Shared\Contracts\Community Services Block Grant\2010 Community Services Block Grant\2010 CSBG Reg Contract\CSBG Exhibit B - Budget Detail and Payment - 12 17 09 Final.doc

ATTACHMENT I

CSBG FISCAL DATA

CSBG Contract Budget (Summary)	CSD 425 S	(Rev.12/09)
Budget Support CSD 425 1.1	CSD 425 1.1	(Rev.12/09)
Budget Support CSD 425 1.2	CSD 425 1.2	(Rev.12/09)

Bimonthly

ATTACHMENT I CSBG CONTRACT BUDGET SUMMARY

Contra	ctor Name: Community Action Partnership of Riverside County	Contract Number	#10F4034	Contract Amount: \$2,011,228
Prepare	ed By: Kathryn J. Armstrong, Fiscal Officer	Contract Term:	01/01/10 - 12/31/10	Amendment #:
Teleph	one #: (951) 955-6461	Fax Number:	(951) 955-1399	
Date:	1/29/2010	E-mail Address:	kjarmstrong@caprivers	de.org
SECTIO	ON 10: ADMINISTRATIVE COSTS			
Line Item	Description			CSBG Fund (rounded to the nearest dollar)
1	Salaries and Wages			\$339,311
2	Fringe Benefits			\$145,799
3	Operating Expenses			\$30,757
4	Equipment			
5	Out-of-State Travel			\$4,000
6	Subcontractor Services			
7	Other Costs:			\$270,745
Subt	otal Section 10: Administrative Costs (cannot exceed 12% of the	agency Total Ope	rating Budget in Section 8	li i
	DN 20: PROGRAM COSTS			
Line Item	Description			CSBG Funds
1	Salaries and Wages			(rounded to the nearest dollar) \$297,210
2	Fringe Benefits	***	**************************************	\$129,979
3	Operating Expenses			1.20,0.0
4	Equipment	:		
5	Out-of-State Travel			\$6,000
6	Subcontractor Services			\$421,551
7	Other Costs:			\$365,876
		Subtotal S	Section 20: Program Cost	
SECTIC	ON 40: Total CSBG Budget Amount (Sum of Subtotal Section	ons 10 and 20)		\$2,011,228
SECTIO	ON 70: Enter Other Agency Operating Funds Used to Suppo	ort CSBG		\$19,268,670
SECTIO	ON 80: Agency Total Operating Budget (Sum of Sections 40	and 70)		\$21,279,898
SECTIO	N 90: CSBG Funds Administrative Percent (Section 10 div	ided by Section 8	30)	4%
				

ATTACHMENT I CSBG – CONTRACT BUDGET SUMMARY CSD 425.S (Rev. 12/1/2009)

Enter the identifying information requested at the top of the report form: contractor's name, contract number, contract amount, contract term, and amendment number (*if applicable*). Enter the preparer's name, telephone number, fax number, date, and e-mail address.

SECTION 10: ADMINISTRATIVE COSTS:

Any Costs directly related to the administration of the CSBG contract.

Lines 1 through 7: Enter the total CSBG amount budgeted for each line item.

1. <u>Salaries</u> & Wages

Provide the total dollar amount of salaries and wages dedicated to staff performing administrative duties. Includes all payments made to administrative staff, permanent or temporary, as well as all regular and overtime pay, as approved by the contract authority.

2. Fringe Benefits

Provide the total dollar amount of fringe benefits for staff performing administrative duties. Include all payments made in accordance with approved payroll benefit programs. This includes retirement/pension plans and various other forms of insurances related to employee compensation such as disability, life, health and unemployment. Additionally, payroll taxes, workers' compensation, disability insurance, sick leave and accrued vacation should be included.

3. Operating Expenses

Provide the total dollar amount for all administrative operating expenses related to CSBG programs. All items must be listed on the CSD 425 1.2 budget support-non personnel cost form. Examples of administrative operating expenses include:

- In-state travel costs
- > Building costs (such as rental & lease fees)
- Consumable supply costs
- Utility costs
- > Administrative operating costs (such as telephones, building alarms, maintenance, etc)
- Supply costs (such as printing, duplication, postage, etc)
- Insurance costs <u>not</u> related to personnel insurance costs
- Additional fees related to the administration of the CSBG ARRA Program (such as staff trainings, membership dues, costs incurred due to Board meetings, subscriptions, etc)
- > Funds spent on contractor/consultant services to meet administrative needs in this area

4. Equipment

Provide the total dollar amount for all administrative equipment expenses related to CSBG ARRA program. Examples of administrative equipment expenses include:

> All equipment/lease purchases dedicated to administrative needs

ATTACHMENT I CSBG – CONTRACT BUDGET SUMMARY CSD 425.S (Rev. 12/1/2009)

List all Equipment/Lease costs on the CSBG Budget Support – Non Personnel Cost CSD 425.1.2 with the detailed information.

5. Out-of-State Travel

Provide the total dollar amount of travel costs, excluding personnel costs related to administrative tasks incurred during travel outside of the State of California. Complete CSBG Budget Support – Non Personnel Cost CSD 425 1.2 with the name of the conference, location, and cost per trip.

6. <u>Subcontractor Services</u>

Provide the total dollar amount administered to any subcontracting agencies that provide administrative services.

List all subcontractor costs on the CSBG Budget Support – Non Personnel Cost CSD 425 1.2 with the detailed information. Include the subcontractor name and total amount of contract.

7. Other Costs

Provide a list of all other administrative costs that do not fit in the above categories, including but not limited to any funds directed towards:

- > IT Development. IT Development includes only projects in the development phases. Costs of IT projects in use should be included in Operating Expenses & Equipment above.
- > Audit, Legal and Lobbying Costs: As defined by the Cost Principles in OMB Circular A-122
- Indirect Costs. The indirect cost rate is defined as the dollar value of the approved federal rate and the entire amount can be claimed as long as it is not reimbursed by another funding source. Please note that if indirect costs are reported the approved Indirect Cost Rate Plan must be submitted.

SUBTOTAL SECTION 10 (Administrative Costs): Enter the sum of line items 1 through 7 for CSBG funds. (Cannot exceed 12% of the agency total operating budget in Section 80)

SECTION 20: PROGRAM COSTS:

Those costs incurred that are not related to the administrative costs reported above, but are directly related to the operation of the program.

Lines 1 through 7: CSBG FUNDS: Enter the total amount budgeted for each of the line items.

1. Salaries and Wages

Provide the total dollar amount of salaries and wages dedicated to staff performing programmatic support activities. Include all payments made to programmatic staff, permanent or temporary, as well as all regular and overtime pay, as approved by the contract authority.

ATTACHMENT I CSBG – CONTRACT BUDGET SUMMARY CSD 425.S (Rev. 12/1/2009)

2. Fringe Benefits

Provide the total dollar amount of fringe benefits dedicated to staff performing programmatic support duties. Include all payments made in accordance with approved payroll benefit programs. This includes retirement/pension plans and various other forms of insurances related to employee compensation such as disability, life, health and unemployment. Additionally, payroll taxes, workers' compensation, disability insurance, sick leave and accrued vacation should be included.

3. Operating Expenses

Provide the total dollar amount for all programmatic operating expenses linked with CSBG programs. Include:

- > In-state travel costs related to programmatic costs
- > Building costs related to programmatic operation (such as rental & lease fees)
- Consumable supply costs
- Programmatic operating costs (such as telephones, building alarms, maintenance, etc)
- > Programmatic supply costs (such as printing, duplication, postage, etc)
- > Additional fees related to the programmatic operation of the CSBG Program
- > Funds spent on contractor/consultant services to meet programmatic needs in this area

4. Equipment

Provide the total dollar amount for all programmatic equipment expenses linked with CSBG programs. Include, equipment/lease purchases dedicated to programmatic needs.

List all Equipment/Lease costs on the CSBG Budget Support – Non Personnel Cost CSD 425 1.2 with the detailed information

5. <u>Out-of-State Travel</u>

Provide the total dollar amount of travel costs related to programmatic tasks incurred during travel outside of the State of California. Complete CSBG Budget Support – Non Personnel Cost CSD 425 1.2 with the name of the conference, location, and cost per trip.

6. <u>Subcontractor Services</u>

Provide the total dollar amount paid to any subcontracting agencies that provide programmatic services. List all subcontractors services on the CSBG Budget Support – Non Personnel Cost CSD 425 1.2 with the detailed information. Include the subcontractor name and total amount of contract.

7. Other Costs

Provide a list of all other programmatic costs that do not fit in the categories above, including but not limited to funds directed towards:

Direct Client Purchases. Include all direct purchases made with CSBG dollars for items designated specifically for client use.

ATTACHMENT I CSBG – CONTRACT BUDGET SUMMARY CSD 425.S (Rev. 12/1/2009)

SUBTOTAL SECTION 20 (Program Costs):

Enter the sum of line items 1 through 7 for CSBG funds.

SECTION 40: Total CSBG Budget Amount:

Enter the sum of Subtotal Sections 10 and 20. The amount shall not exceed the total CSBG allocated amount (Refer to Allocation spreadsheet)

SECTION 70: Other Agency Operating Funds Used to Support CSBG:

Provide the total operating funds used to support the CSBG program administered by the tripartite board. For public community action agencies, all funds under the administration of the advisory or administrative tripartite board should be considered as community action program operating funds. Prepare and attach a detailed list identifying all other funding sources and amounts that make up the total annual operating budget of the community action program(s).

SECTION 80: Agency Total CSBG Operating Budget:

The sum of Section 40 (Total CSBG Budget Amount) and Section 80 (Other Agency Operating Funds Used to Support CSBG)

SECTION 90: CSBG Funds Administrative Percent:

Divide Section 10: Administrative Costs by Section 80: Agency Total Operating Budget. This percentage cannot exceed 12% of the community action program's total operating budget.

ATTACHMENT I

	lame: Community Action Partnership of	Contract Number:	#10F4034	STS Contrac	t Amount: \$2,011,2	20
Proposed Dy	Riverside County		#10F4034	 		28
Prepared By	Kathryn J. Armstrong, Fiscal Officer	Contract Term:	01/01/10 - 12/31/10	Amendr	ment #:	
relephone #	(951) 955-6461	Fax Number:	(951) 955-1399			
Date:	1/29/2010	E-mail Address:	kjarmstrong@capi	riverside.	org	
	Section 10 ADMINI	STRATIVE COSTS	SALARIES A	ND WA	AGES	
<u>A</u>	<u>B</u>	C	<u>D</u>		Ē	E
No. of Positions	Position Title	Total Salary for each position	Percent (%) of CS allocated for each		Number of CSBG months allocated for each position	Total CSBG Fund budgeted for each position
1	Executive Director	\$109,052	75%	104001.00	9	\$61,342
1	Deputy Director	\$92,060	50%		9	\$34,522
1	Executuive Assistant I	\$55,095	100%		9	\$41,321
1	Supervising Accountant	\$71,257	50%		9	\$26,721
1	Community Program Specialist I	\$53,383	50%		9	\$20,019
1	Office Assistant III	\$29,903	100%		9	\$22,427
1	Community Action Division Supervisor	\$70,738	75%		9 .	\$39,790
1	Office Assistant III	\$29,881	100%		9	\$22,410
1	Senior Public Information Specialist	\$69,007	89%		9	\$46,062
1	Office Assistant III	\$32,927	100%		9	\$24,696
	SECTION 20 PR	OGRAM COSTS	SALARIES ANI) WAG	ES	
1 1 1 1 1 1	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856	100% 100% 100% 100% 100% 100% 100%	D WAG	9 9 9 9 9 9	\$29,884 \$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142
1 1 1 1 1 1	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant Community Services Assistant	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856 \$33,974	100% 100% 100% 100% 100% 100% 100%	DWAG	9 9 9 9 9 9	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142 \$25,481
1 1 1 1 1	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856	100% 100% 100% 100% 100% 100% 100%	D WAG	9 9 9 9 9 9	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142
1 1 1 1 1 1	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant Community Services Assistant Community Services Assistant	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856 \$33,974 \$29,183	100% 100% 100% 100% 100% 100% 100% 100%		9 9 9 9 9 9 9	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142 \$25,481 \$21,887
1 1 1 1 1 1	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant Community Services Assistant Community Services Assistant	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856 \$33,974 \$29,183	100% 100% 100% 100% 100% 100% 100% 100%		9 9 9 9 9 9 9 9 9	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142 \$25,481 \$21,887
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant Community Services Assistant Community Services Assistant	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856 \$33,974 \$29,183 etion 20: Program Cos	100% 100% 100% 100% 100% 100% 100% 100%	.S Budg	9 9 9 9 9 9 9 9 9 9 Section 10 Administrative Costs	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142 \$25,481 \$21,887 \$297,210 Section 20 Program Cost
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant Community Services Assistant Community Services Assistant Total (must match Secution of Fringe Benefits). Please include the pe	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856 \$33,974 \$29,183 etion 20: Program Cos	100% 100% 100% 100% 100% 100% 100% 100%	.S Budge	9 9 9 9 9 9 9 9 9 9 Section 10 Administrative Costs List CSBG funds	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142 \$25,481 \$21,887 \$297,210 Section 20 Program Cost
1 1 1 1 1 1 1 1 1 1 1 Enter descrip	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant Community Services Assistant Community Services Assistant Total (must match Secution of Fringe Benefits. Please include the perfical program of Fical (must match Secution of Fringe Benefits.)	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856 \$33,974 \$29,183 ction 20: Program Cos FRINGE BENEF	100% 100% 100% 100% 100% 100% 100% 100%	o.S Budgenefits.	9 9 9 9 9 9 9 9 9 9 Section 10 Administrative Costs	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142 \$25,481 \$21,887 \$297,210 Section 20 Program Cost
1 1 1 1 1 1 1 1 1 1 1 etirement, F	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant Community Services Assistant Community Services Assistant Total (must match Secution of Fringe Benefits). Please include the pe	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856 \$33,974 \$29,183 etion 20: Program Cos FRINGE BENEF rcentage of Salaries and	100% 100% 100% 100% 100% 100% 100% 100%	o.S Budgenefits.	9 9 9 9 9 9 9 9 9 9 9 Section 10 Administrative Costs List CSBG funds Budgeted Line 2	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142 \$25,481 \$21,887 \$297,210 Section 20 Program Cost
1 1 1 1 1 1 1 1 1 1 1 Enter descrip	Senior Accounting Assistant Community Program Specialist I Administrative Services Analyst II Stock Clerk Administrative Assistant Senior Program Specialist Community Services Assistant Community Services Assistant Community Services Assistant Total (must match Security Services) Program Specialist Community Services Assistant Community Services Assistant Community Services Assistant Total (must match Security Services) Program Specialist Community Services Assistant Community Services Assistant Total (must match Security Secu	\$39,845 \$48,122 \$63,873 \$36,313 \$48,650 \$61,463 \$34,856 \$33,974 \$29,183 etion 20: Program Cos FRINGE BENEF rcentage of Salaries and	100% 100% 100% 100% 100% 100% 100% 100%	o.S Budgenefits.	9 9 9 9 9 9 9 9 9 9 9 Section 10 Administrative Costs List CSBG funds Budgeted Line 2	\$36,092 \$47,905 \$27,235 \$36,488 \$46,097 \$26,142 \$25,481 \$21,887 \$297,210 Section 20 Program Cost

ATTACHMENT I CSBG BUDGET SUPPORT—PERSONNEL COSTS CSD 425 1.1 (Rev. 12/1/2009)

Enter the identifying information requested at the top of the report form: contractor's name, contract number, Contract amount, contract term, and amendment number (*if applicable*). Enter the preparer's name, telephone number, fax number, date, and email address.

ADMINISTRATIVE and PROGRAM COSTS - SALARIES AND WAGES:

Complete Section 10: Administrative Costs and Section 20 Program Costs for those costs which are directly related to CSBG contract. Provide the specific positions for the salaries and wages (Budget Summary 902 S) and Fringe Benefits (Budget Summary 902 S).

Column A: Number of Positions

Specify the number of positions for each Position Title in Column B that are directly related to the administrative (Section 10) and/or program (Section 20) costs of the CSBG contract.

Column B Position Title

Specify the position title. Do not abbreviate.

Column C: Annual Salary for each position

Specify the total dollar amount of salaries and wages for staff performing CSBG administrative and/or program activities. Include all payments made to administrative/program staff, permanent or temporary, as well as all regular and overtime pay, as approved by the contract authority.

Column D: Percent (%) of CSBG Time allocated for each position

Specify the amount of time (in percent) for the position dedicated to the CSBG administrative and/or program activities.

Column E: Number of CSBG months allocated for Each Position

Specify the number of months allocated for each position listed in Column A.

Column F: Total CSBG funds budgeted for each position

Calculate the totals (See page 2).

Fringe Benefits

Specify the total dollar amount of fringe benefits for staff performing administrative and/or program duties. Include all payments made in accordance with approved payroll benefit programs. This includes retirement/pension plans and various other forms of insurances related to employee compensation such as disability, life, health and unemployment. Additionally, workers' compensation, disability insurance, sick leave and accrued vacation should be included.

ATTACHMENT I CSBG BUDGET SUPPORT—PERSONNEL COSTS CSD 425 1.1 (Rev. 12/1/2009)

Listed below are the formulas to calculate Annualized Salary, Percentage of CSBG Time, Number of CSBG Months, and CSBG Funds:

Annualized Salary: CSBG Funds multiplied by 12 months divided by number of months divided by Percentage (%) of time.

<u>Percentage of CSBG Time</u>: CSBG Funds times 12 months divided by the number of Months divided by annualized salary.

<u>Number of Months:</u> CSBG Funds times 12 months divided by percentage of time divided by annualized salary.

<u>CSBG Funds</u>: Annualized Salary divided by 12 months multiplied by the number of months times percentage (%) of time.

State of California
DEPARTMENT OF COMMUNITY SERVICES AND DEVELOPMENT
CSBG Budget Support -- Non Personnel Costs
CSD 425.1.2 (Rev. 12/30/09)

ATTACHMENT I CSBG BUDGET SUPPORT -- NON PERSONNEL COSTS

Contractor Name:	Community Action Partnership of Riverside County	Contract Number	#10F4034	Contract Amount:	\$2,011,228
Prepared By:	Kathryn J. Armstrong, Fiscal Officer	Contract Term:	01/01/10 - 12/31/10	Amendment #:	
Telephone #:	(951) 955-6461	Fax Number:	(951) 955-1399		
Date:	1/29/2010	E-mail Address:	kjarmstrong@capriver	side.org	

Hit Alt & Enter at the same time to begin a new line or paragraph within the cell. **CSBG EXPLAIN AND JUSTIFY EACH LINE ITEM** Totals must match CSD 425.S Budget Summary form Section 10 Section 20 Attach additional sheet(s) if necessary Missing descriptions shall result in delay of the contract execution. **Administrative Costs Program Costs** List all Operating Expenses sum should equal total on line item 3 of sum should equal total on line item 3 of 3 CSD 425.S Budget Summary form CSD 425.S Budget Summary form County Delivery Services \$500, Telephone Services \$28,464 Alarm \$30,757 -0-System \$300, Storage Rent/Lease \$1,493 List all Equipment Purchases sum should equal total on line item 4 of sum should equal total on line item 4 of CSD 425.S Budget Summary form CSD 425.S Budget Summary form N/A -0--0-List all Out-of-State Travel: Name of conference; Specify location; Cost per sum should equal total on line item 5 of sum should equal total on line item 5 of CSD 425.S Budget Summary form CSD 425.S Budget Summary form Attachment A \$4,000 \$6,000 List all Subcontractor Services sum should equal total on line item 6 of sum should equal total on line item 6 of CSD 425.S Budget Summary form CSD 425.S Budget Summary form Attachment B -0-\$421,551 Other Costs - Explain & Justify each line item (i - iv): Any additional **Section 10 Administrative Costs** Section 20 Program Cost Other Costs (attach additional sheet if necessary): Attachment B \$270,745 \$365,876 iii liν sum should equal total on line item 7 of sum should equal total on line item 7 of Total Other Costs (Sum of i, ii, iii, iv): CSD 425.S Budget Summary form CSD 425.S Budget Summary form \$270,745 \$365,876

CSBG Contract # 10F- 4034 - 2010 Travel Budget Narrative

Travel - In-State

Airfare/Lodging	Various staff, Commissioners, partners	!	\$7,000
Conference Registration	Various staff, Commissioners, partners		\$7,700
Misc. – public transportation, meals, etc.	Various staff, Commissioners, partners		\$2,220

In State travel activities (locations to be determined) include, but are not limited to the following:

- NCAF Annual Conference TBD
- National Community Action Partnership meetings/trainings
- CSD meetings/training (Sacramento)
- CAL/NEVA meetings Sacramento / San Francisco
- Various trainings/meetings/conferences

Participants include, but are not limited to the following:

- Director
- Deputy Director
- Commissioners
- Various staff
- Various Community Partners

Travel - Out of State

Airfare/Lodging	Various staff and Commissioners	\$7,000
Conference Registration	Various staff and Commissioners	\$1,500
Misc. – public transportation, meals, etc.	Various staff – conferences/meetings	\$1,500

Out of State travel activities (locations to be determined) include, but are not limited to the following:

- National Community Action Partnership Conferences
- Move the Mountain conferences/meetings TBD
- CAPLAW conferences/trainings Washington, DC
- CCAP Washington, DC
- Notary Service training/conference -TBD
- CAL-NEVA conferences/meetings TBD

Participants include, but are not limited to the following:

- Executive Director
- Deputy Director
- Commissioners
- Various staff

CSBG Contract #10F- 4034 - 2010 Budget Attachment B - Budget Support - Non-personnel Costs

Section A - Administrative Costs - Other Costs	
Audit	\$ 3,200
County Counsel	\$ 5,500
HRMS Services	\$ 3,200 \$ 5,500 \$ 5,300 \$ 6,400 \$ 25,650 \$ 20,000 \$ 7,200 \$ 106,490 \$ 10,439 \$ 2,129 \$ 2,000 \$ 6,000 \$ 18,493 \$ 3,100 \$ 5,390 \$ 1,500 \$ 1,500 \$ 20,124 \$ 9,393 \$ 500
Oasis Financials	\$ 6,400
CowCap	\$ 25,650
Misc. Personnel	\$ 20,000
Utilities	\$ 7,200
Rent/Lease Bldgs	\$ 106,490
Communications	\$ 10,439
Liability Insurance	\$ 2,129
Property Insurance	\$ 2,129
Postage	\$ 2,000
Memberships	\$ 6,000
Printing	\$ 18,493
Food/ Refreshments (Mtgs.)	\$ 3,100
Office Equipment Agreements	\$ 5,390
	\$ 2,137
Office Equipment	\$ 9,800
Mail (Interfund)	\$ 1,500
Computer Lines	\$ 20,124
Maint - Software	\$ 9,393
Trash	
Total:	\$ 270,745
Section B - Program Costs	
Subcontractor Services in excess of \$5,000	
5 - Pre-Apprenticeship @ \$4,160 ea	\$ 20,800
1 - YMCA - Project Lead	\$ 150,751
1 - Habitat For Humanity	\$ 50,000
2 - Delegate Agencies -Health @ \$100,000 ea.	\$ 200,000
Total:	\$ 421,551
Other Costs:	
Client Education Services	\$ 6,000
Special Projects (Symposium, Community Mtgs., etc.)	\$ 6,000 \$ 25,000
Education/ Training - staff	\$ 5,000
Office Supplies	\$ 29,086
Awards Recognition	\$ 9,251
Professional Services	\$ 2,000
Salary and Benefit Reimbursement	\$ 57,375
Legal Notices	\$ 300
Printed Forms	\$ 31,500
A -1	
Advertisement	\$ 12,900
BookPublications/Subscriptions	\$ 12,900 \$ 2.673
	\$ 12,900 \$ 2,673 \$ 16.920
BookPublications/Subscriptions	\$ 12,900 \$ 2,673 \$ 16,920 \$ 5,000
BookPublications/Subscriptions Travel Computer Supplies	\$ 12,900 \$ 2,673 \$ 16,920 \$ 5,000 \$ 60,745
BookPublications/Subscriptions Travel Computer Supplies Data Processing Services	\$ 12,900 \$ 2,673 \$ 16,920 \$ 5,000 \$ 60,745 \$ 44,400
BookPublications/Subscriptions Travel Computer Supplies Data Processing Services Commission Expense	\$ 12,900 \$ 2,673 \$ 16,920 \$ 5,000 \$ 60,745 \$ 44,400 \$ 32,226
BookPublications/Subscriptions Travel Computer Supplies Data Processing Services Commission Expense Misc. Expenses	\$ 12,900 \$ 2,673 \$ 16,920 \$ 5,000 \$ 60,745 \$ 44,400 \$ 32,226 \$ 20,500
BookPublications/Subscriptions Travel Computer Supplies Data Processing Services Commission Expense Misc. Expenses Carpool Expense	\$ 12,900 \$ 2,673 \$ 16,920 \$ 5,000 \$ 60,745 \$ 44,400 \$ 32,226 \$ 20,500
BookPublications/Subscriptions Travel Computer Supplies Data Processing Services Commission Expense Misc. Expenses	\$ 12,900 \$ 2,673 \$ 16,920 \$ 5,000 \$ 60,745 \$ 44,400 \$ 32,226

ATTACHMENT I – CSBG BUDGET SUPPORT – NON PERSONNEL COSTS CSD 425 1.2 (Rev. 12/1/09)

Enter the identifying information requested at the top of the report form: contractor's name, contract number, Contract amount, contract term, and amendment number (*if applicable*). Enter the preparer's name, telephone number, fax number, date, and e-mail address.

List those costs which are directly related to the **Administrative** (Column A) and/or **Program** (Column B) of the CSBG contract. All totals must equal the budget summary CSD 425.S.

List all Equipment Purchases Services:

Provide a detailed list of all equipment purchases; include type of equipment and the amount (e.g. copy machine, \$6,500).

List all Contract & Consultant Services:

Provide a detailed list of the contract and consultant services. Include: the name and amount of the each contract (e.g., strategic planning consultant, \$8,500)

List all Out-of-State Travel Only:

Provide detailed information for each out of state travel trip; include location, purpose of each trip, and related costs per trip (e.g., Chicago, IL, CAP Law Conference, \$1500).

List all Subcontractor Services:

List the subcontractor name and total dollar amount administered to any subcontracting agencies that provide services (e.g., Youth Employment Training Agency, \$20,000).

Other Costs

Please provide a list of all other administrative (Section 10) and program (Section 20) costs that do not fit in the above categories. Attach additional sheets if necessary.

- i. Any additional Other Costs: List the additional other costs that do not fit in any other category.
- ii. Direct Client Purchases: List all direct client purchases, include the item name, the number purchased, and the cost (e.g. thermal blankets, qty. 3000, cost \$12,000).
- iii. Indirect Costs: The indirect cost rate is defined as the dollar value of the approved federal rate, and the entire amount can be claimed as long as it is not reimbursed by another funding source. Please note that if indirect costs are reported, the approved Indirect Cost Rate Plan must be accompany budget forms.
- iv. Information Technology (IT) Development: IT Development includes only projects in the development phases. Costs of IT projects in progress should be included in Operating Expenses above.

Total Other Costs (sum of i, ii, iii, iv)

ATTACHMENT II

CSBG/NPI PROGRAM DATA

CSBG/NPI Program Report

CSD 801 (Rev. 5/09)

^{\\}Cobra\Shared\Contracts\Community Services Block Grant\2010 Community Services Block Grant\2010 CSBG Reg Contract\CSBG Exhibit B - Budget Detail and Payment - 12 17 09 Final.doc

Department of Community Services and De CSBG/NPI Programs Report CSD 801 (Rev.5/09)	evelopment					A Contrac	ar Report (Jan-June) Report (Jan-Dec)
Contractor Name:	Community Action Par	tnership of River	side County (C	AP Riverside)			
Contact Person and Title:	Maria Y. Juarez, Depu	ty Director					
Phone Number:	951-955-4900			Ext. Numb	er:		
E-mail Address:	mjuarez@capriverside.	org		Fax Numb	er: 951-955	-6494	
	Goal 1:	Low-income peo	ple become mo	re self-sufficient.			
Problem Statement: (If add Low-income residents lack job of lack of employment and job men Location: Riverside County	pportunities to increase their	olease attach a ser experience and inc	ome. Evidenced	By: low-income res	sidents' inability oster youth, adu	to secure employ lts, employers, an	rment Causes: d communities
Program Activities and De Program Activities: CAP Rivers opportunities, leveraging partner meetings, community events, fly of less than 10 employees. Delir L.E.A.D. (Linking Education, A maintain a svings account for sa activities	ide supports and promotes jo ships, technical assistance, a ers, brochures and mailings. very Strategies: 1.1.A. – prov dvocacy and Development) p	b placement and en nd program monito CAP Riverside col- ride job placement a participants; and pro-	aployment program ring. Multi-lingu- laborates with sch and workplace me ovide referrals to o	ms for low-income al outreach includes nool districts and the naturing opportunities other community re	residents. Supp s publicity, come e employment in es for high school sources; 1.1.B.	munity presentation adustry, especially of youth, foster your require participar	ons, community y small businesses buth, and Project ats to open and
National Per Indicate Employment The number and percentage participants in Community A initiatives who get a job or be measured by one or more of	of low-income ction employment ecome self-employed, as	Number of Participants Projected to be Served for Contract Period (#)	Reporting Period	Number of Participants Enrolled in Program(s) in Reporting Period (#)	3 Number of Participants Expected to Achieve Outcome in Reporting Period (#)	A Number of Participants Achieving Outcome in Reporting Period (#)	5 Percentage Achieving Outcome in Reporting Period (%)
A. Unemployed and obta	ined a job	71	Mid-Year				
B. Employed and maintai days	ned a job for a least 90	71	Annual Mid-Year Annual				
C. Employed and obtaine employment income a	nd/or benefits		Mid-Year Annual				Kata Tangan (1992)
D. Achieved "living wage benefits	e" employment and/or		Mid-Year Annual	K Tay			
In the rows below, please inc	lude any additional indic	ators for NPI 1.1	that were not c	aptured above.			

Mid-Year Annual

State of California
Department of Community Services and Development
CSBG/NPI Programs Report
CSD 801 (Rev 5/09)

_	Community Action Plan					
λ	Contract No.	10F-4034	_			
	Mid-Year Report (Jan-June)					
	Annual Report (Jan-Dec)					

Goal 1: Low-income people become more self-sufficient.

NPI 1.2: Employment Supports

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: Low-income individuals and families, high school youth and emancipated foster youth lack adequate job skills and training opportunities to secure employment or job advancement. They also lack resources to address financial household emergencies that threaten their focus and attendance at work Evidenced By: low-income residents' inability to secure employment or promotions, inability to afford job training; and loss of employment due to absenteeism and personal distractions Causes: limited job opportunities, limited low-cost or free job skills training programs, limited resources to assist with household emergencies Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants), low-income high school youth, emancipated foster youth, employers, and communities Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside supports and promotes job skills development programs. Support includes networking opportunities, leveraging partnerships, technical assistance, and program monitoring. Multi-lingual outreach includes publicity, community presentations, community meetings, community events, posters, brochures and mailings. CAP Riverside collaborates with school districts and the employment and job training industries. Delivery Strategies: 1.2.A. – provide job skills training and workplace training/mentoring opportunities for low-income residents; 1.2.K-1.2.L. - provide referrals to community resources; provide and facilitate energy assistance and home weatherization services

National Performance	1		2	3
Employment Supports	Number of Participants		Number of Participants	Number of Participants
The number of low-income participants for whom barriers to initial or continuous	Projected to	Reporting	Enrolled in	Achieving
employment are reduced or eliminated through assistance from community action, as	be Served for		Program(s)	Outcome in
measured by one or more of the following:	Contract	100	in Reporting	Reporting
	Period	100	Period	Period
	(#)		(#)	(#)
A. Obtained skills/competencies required for employment	42	- Mid-Year	7 B 6 B, 8	
		Annual		
B. Completed ABE/GED and received certificate or diploma		Mid-Year	1.04	
		Annual	:	
C. Completed post-secondary education program and obtained certificate or diploma		Mid-Year		
•		Annual		
D. Enrolled children in "before" or "after" school programs		Mid-Year	The second	######################################
		Annual		
E. Obtained care for child or other dependant		Mid-Year	And Configuration	
	<u> </u>	Annual		
F. Obtained access to reliable transportation and/or driver's license		Mid-Year	90.5	
	•	Annual		
G. Obtained health care services for themselves or a family member		Mid-Year.		
		Annual	author west and deline and the state of the	No. of Section 1992 Inc. or and Section 1992
H. Obtained safe and affordable housing in support of employment stability		Mid-Year	Article Constitution	The Property of the Property o
		Annual		Street County County County
Obtained food assistance in support of employment stability		Mid-Year		
		Annual		
J. Obtained non-emergency LIHEAP energy assistance	7,369	Mid-Year		
		Annual		
K. Obtained non-emergency WX energy assistance	589	Mid-Year	and the second	
		Annual		
L. Obtained other non-emergency energy assistance (State/local/private energy programs. Do Not Include LIHEAP or WX)	7,187	Mid-Year	44.	
	<u></u>	Annual		
In the rows below, please include any additional indicators for NPI 1.2 that were not c	aptured above.	a a fallen in Deserting a will a group grown a status.	la singular artikura armanina (staro) in on t	FACILITATION CONTRACTOR OF THE TOTAL CONTRACTOR OF THE
		Mid-Year		309 S.
		Annual		

State of California
Department of Community Services and Development
CSBG/NPI Programs Report
CSD 801 (Rev.5/09)

	Community Action Plan						
Х	Contract No. 10F-4034						
Mid-Year Report (Jan-June)							
Annual Report (Jan-Dec)							

Goal 1: Low-income people become more self-sufficient.

NPI 1.3: Economic Asset Enhancement and Utilization

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: Low-income people lack wealth-building / asset-development skills and opportunities. Evidenced By: low-income people do not budget, use mainstream financial institutions, save money, or acquire assets Causes: lack of personal finance skills; proliferation of predatory lenders and tax-preparers; and lack of information about and access to wealth / asset building opportunities such as home ownership, business ownership, post-secondary education, and healthy financial lifestyles Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants), and communities Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside supports and promotes financial literacy programs and wealth building / asset development programs. Support includes networking opportunities, leveraging partnerships, technical assistance, and program monitoring. Multi-lingual outreach includes publicity, community presentations, community meetings, community events, flyers, brochures and mailings. CAP Riverside collaborates with statewide and regional Earned Income Tax Credit (EITC) networks, public and private sector social services organizations, homeownership programs and the financial, business, and post-secondary education communities. Delivery Strategies: 1.3.A.1 - provide free tax preparation and promotion of tax credits through EITC and Volunteer Tax Preparation Assistance (VITA) Sites; 1.3.A.3 facilitate enrollment in energy discount programs; 1.3.B.1-1.3.B.3 - provide matched savings incentive programs and financial literacy training through Individual Development Accounts (IDA), Pre-Apprenticeship Programs, and Foster Youth Initiative Programs; 1.3.B.3.a - 1.3.B.3.c - provide matched savings incentive programs through IDA

National Performance Indicator 1.3 Economic Asset Enhancement and Utilization The number and percentage of low- income households that achieve an increase in financial assets and/or financial skills as a result of community action assistance, and the aggregated amount of those assets and resources for all participants achieving the outcome, as measured by one or more of the following:	Number of Participants Projected to be Served for Contract Period (#)	Reporting Period	Number of Participants Enrolled in Program(s) in Reporting Period (#)	Number of Participants Expected to Achieve Outcome in Reporting Period (#)	Number of Participants Achieving Outcome in Reporting Period (#)	5 Percentage Achieving Outcome in Reporting Period (%)	Aggregated Dollar Amounts (Payments, Credits or Savings) (\$)
	<u></u>	A. ENH	ANCEMENT		<u></u>	ł	
1. Number and percent of participants in tax preparation programs who qualified for any type of Federal or State tax credit and the expected aggregated dollar amount of credits.	1,500	Mid-Year Annual					er E. G.
2. Number and percentage obtained court-ordered child support payments and the expected annual aggregated dollar amount of payments.		Mid-Year Annual					
3. Number and percentage enrolled in telephone lifeline and/or energy discounts with the assistance of the agency and the expected aggregated dollar amount of savings.	197	Mid-Year Annual				provide a salida e	
In the rows below, please include any o	additional indica	ators for NPI 1.3 Mid-Year Annual	that were not co	aptured above.	7 (A)		

State of California
Department of Community Services and Development
CSBG/NPi Programs Report
CSD 801 (Rev.5/09)

	Community Action Plan					
X	Contract No. 10F-4034					
	Mid-Year Report (Jan-June)					
	Annual Report (Jan-Dec)					

Goal 1: Low-income people become more self-sufficient. NPI 1.3: Economic Asset Enhancement and Utilization

	NPI 1.3:	Economic Asse	<u>t Enhancement</u>	t and Utilization	<u>1</u>		
National Performance Indicator 1.3 (Continued) Economic Asset Enhancement and Utilization The number and percentage of low- income households that achieve an increase in financial assets and/or financial skills as a result of community action assistance, and the aggregated amount of those assets and resources for all participants achieving the outcome, as measured by one or more of the following:		Reporting Period	Number of Participants Enrolled in Program(s) in Reporting Period (#)	Number of Participants Expected to Achieve Outcome in Reporting Period (#)	A Number of Participants Achieving Outcome in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (%)	6 Aggregated Dollar Amounts (Payments, Credits or Savings) (\$)
		B. UT	LIZATION				
1. Number and percent demonstrating ability to complete and maintain a budget for over 90 days	48	Mid-Year Annual					·N/A
2. Number and percent opening an Individual Development Account (IDA) or other savings account and increased savings, and the aggregated amount of savings	87	Mid-Year Annual					
3. Number and percent of participants who increased their savings through IDA or other savings accounts and the aggregated amount of savings	86	Mid-Year Annual				Programme State of the State of	
3. Of part	icipants in a co	ommunity action	asset developn	nent program (IDA and other	rs):	L
Number and percent capitalizing a small business due to accumulated savings	13	Mid-Year Annual					Eggs.
b. Number and percent pursuing post- secondary education due to savings	24	Mid-Year Annual	Bear Section 1990	e de la companya de l		8 % (8 kg) 1	
c. Number and percent purchasing a home due to accumulated savings	8	Mid-Year Annual					
d. Number and percent of participants purchasing other assets with accumulated savings		Mid-Year Annual			Para Para Para Para Para Para Para Para	Propose To the No. 2 cons	
In the rows below, please include any o	additional indic	ators for NPI 1.3	that were not co	aptured above.	· · · · · · · · · · · · · · · · · · ·		
		Mid-Year Annual		and Park of San	and the same of the same		

State of California			Community Action Plan
Department of Community Services and De	evelopment		X Contract No. 10F-4034
CSBG/NPI Programs Report			Mid-Year Report (Jan-June)
CSD 801 (Rev.5/09)			Annual Report (Jan-Dec)
Contractor Name:	Community Action Partnership of Riverside	County (CAP Riversid	e)
Contact Person and Title:	Maria Y. Juarez, Deputy Director		
Phone Number:	951-955-4900	Ext. Number:	
E-mail Address:	mjuarez@capriverside.org	Fax Number:	951-955-6494

Agency Notes and Clarifications on Goal 1:

Agency's Narratives Goal 1: Low-income people become more self-sufficient.

This space is to record any significant narrative information for national goal 1, as requested by the *Guide*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for <u>not meeting</u> or <u>exceeding</u> your projections.

State of California			Community Action Plan
Department of Community Services and Dev		X Contract No. 10F-4034	
CSBG/NPI Programs Report			Mid-Year Report (Jan-June)
CSD 801 (Rev. 5/09)			Annual Report (Jan-Dec)
Contractor Name:	Community Action Partnership of Riverside County (CAP Riverside)		<u> </u>
Contact Person and Title:	Maria Y. Juarez, Deputy Director		
Phone Number:	951-955-4900	Ext. Number:	
E-mail Address:	mjuarez@capriverside.org	Fax Number:	951-955-6494
	Goal 2: The conditions in which low-income people live are	improved.	, , , , , , , , , , , , , , , , , , , ,
	NPI 2.1: Community Improvement and Revitalization	ion	
Problem Statement: (If add	itional space is needed, please attach a separate sheet.)		
	ounty lacks opportunities and resources that enable low-income people to incr	ease their self-suff	Sciency Evidenced By:
	- instruction opportunities and resources that chapte low-monte people to mer	cuse men sen-sun	neighby. Evidenced by,

Problem Statement: Riverside County lacks opportunities and resources that enable low-income people to increase their self-sufficiency. Evidenced By: decrease in safe affordable housing and unmet demands for after school programs and educational/training opportunities Causes: limited resources to cover home repair costs; limited access to affordable after school programs and educational/training programs; and inadequate information sharing Who is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants), youth, schools and communities Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside promotes, supports and develops community resources. Multi-lingual outreach includes publicity, community presentations, energy clinics, community meetings, community events, flyers, brochures and mailings. CAP Riverside collaborates with private sector social services organizations, school districts, and post-secondary education institutions. Delivery Strategies: 2.1.C – provide home weatherization and referrals to home rehabilitation programs and lead abatement programs, 2.1.F – create, monitor and sustain after school programs at local middle schools through Project L.E.A.D. (Linking Education, Advocacy and Development); and 2.1.H – provide access and/or referrals to educational and training programs such as: small business development; youth and adult financial literacy and asset acquisition; tax credit and tax preparation; healthy life-skills; energy conservation; vocational training; community and school-based mediation; disaster preparedness; agency capacity building training and technical assistance through the Community Action Academy; and food security; and provide referrals to other community resources

	National Performance	1		2	3
Community Improvement and Revitalization		Number of		Number of	Number of
resou	ase in, or safeguarding of threatened opportunities and community rees or services for low-income people in the community as a result of nunity action projects/initiatives or advocacy with other public and te agencies, as measured by one or more of the following:	Projects Projected for Contract Period (#)	Reporting Period	Projects or Initiatives (#)	Opportunities and/or Community Resources Preserved or Increased
					(#)
A.	Jobs created, or saved, from reduction or elimination in the community.		•Mid-Year Annual		
B.	Accessible "living wage" jobs created, or saved, from reduction or		- Mid-Year		1
<u></u>	elimination in the community.	,	Annual		
C.	Safe and affordable housing units created in the community	949	Mid-Year Annual	1000	
D.	Safe and affordable housing units in the community preserved or improved through construction, weatherization or rehabilitation achieved by community action activity or advocacy		Mid-Year		Entropy of Control of
	action of advocacy		Annual		,1
E.	Accessible and affordable health care services/facilities for low-income people created or saved from reduction or elimination.		Mid-Year Annual		Tripe
F.	Accessible safe and affordable childcare or child development placement opportunities for low-income families created or saved from reduction or elimination.	6	Mid-Year		
G.	Accessible "before school" and "after school" program placement opportunities for low-income families created or saved from reduction or elimination.		Mid-Year Annual		1
H.	Accessible new, or expanded transportation resources or those that are saved from reduction or elimination, that are available to low-income people, including public or private transportation.	15	Mid-Year Annual		
I.	Accessible or increased educational and training placement opportunities or those that are saved from reduction or elimination, that are available for low-income people in the community, including		Mid-Year		
	vocational, literacy and life skill training, ABE/GED, and post- secondary education.		Annual		

State of California		Community Action Plan
Department of Community Services and Development		X Contract No. 10F-4034
CSBG/NPI Programs Report		Mid-Year Report (Jan-June)
CSD 801 (Rev. 5/09)		Annual Report (Jan-Dec)
In the rows below, please include any additional indicators for NPI 2.1 that were not captured a	ibove.	
	Mid-Year	100
	Annual	

State of California
Department of Community Services and Development
CSBG/NPI Programs Report
CSD 801 (Rev. 5/09)

		Community Action Plan			
	X	Contract No.	10F-4034		
	Mid-Year Report (Jan-June)				
Annual Report (Jan-Dec)					

Goal 2: The conditions in which low-income people live are improved. NPI 2.2: Community Quality of Life and Assets

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: Communities lack resources to increase the quality of life for its low-income residents, especially vulnerable populations. Evidenced By: limited community resources and increased demand for services Causes: lack of service providers, resources and community / customer advocates Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants), and communities Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside promotes and supports quality of life community resources and programs. Multi-lingual outreach includes publicity, community presentations, energy clinics, community meetings, community events, flyers, brochures and mailings. CAP Riverside collaborates with statewide and regional networks, advocacy and public policy networks, public and private sector social services organizations, financial and post-secondary education institutions, and academic communities. Delivery Strategies: 2.2.A. – provide advocacy opportunities through the Energy Task Force, Transportation Task Force, Community Declarations and Energy Symposiums; 2.2.E. – provide increased resources through: local collaboratives and partnerships; tax credit and tax preparation programs; asset / wealth building and financial literacy programs; family self-sufficiency programs; community, law enforcement, and school-based mediation training and services; arbitration services; disaster preparedness programs; food security programs; vocational training programs; and agency capacity building training and technical assistance through the Community Action Academy; and provide referrals to other community resources

Com	National Performance <u>Indicator 2.2</u> nunity Quality of Life and Assets	1 Number of		2 Number of	3 Number of
The quality of life and assets in low-income neighborhoods are improved by community action initiative or advocacy, as measured by one or more of the following:		Programs Projected for Contract Period (#)	Reporting Period	Program Initiatives or Advocacy Efforts (#)	Community Assets, Services or Facilities Preserved or Increased (#)
Α.	Increases in community assets as a result of a change in law, regulation or policy, which results in improvements in quality of life and assets	3	Mid-Year Annual		
В.	Increase in the availability or preservation of community facilities		Mid-Year Annual		
C.	Increase in the availability or preservation of community services to improve public health and safety		Mid-Year Annual		
D.	Increase in the availability or preservation of commercial services within low-income neighborhoods		Mid-Year Annual		
E.	Increase or preservation of neighborhood quality-of-life resources	213	Mid-Year Annual		
In the	rows below, please include any additional indicators for NPI 2.2 that we	re not captured	above.	Advanced to the second second	
		•	Mid-Year Annual		

State of California
Department of Community Services and Development
CSBG/NPI Programs Report
CSD 801 (Rev. 5/09)

Community Action Plan				
X	Contract No.	10F-4034		
Mid-Year Report (Jan-June)				
Annual Report (Jan-Dec)				

Goal 2: The conditions in which low-income people live are improved.

NPI 2.3: Community Engagement

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: Many community members desire to participate in community revitalization and anti-poverty initiatives, but lack opportunities or information regarding opportunities through which to volunteer. Evidenced By: lack of participation in

community activities and low community volunteerism. Causes: lack of information and awareness and limited outreach about volunteer opportunities. Who Is Affected: residents, volunteers, and communities. Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside promotes and supports community volunteerism and provides opportunities for community engagement through each of its programs. Multi-lingual outreach includes publicity, community presentations, community meetings, community events, flyers, brochures and mailings. CAP Riverside collaborates with public and private sector social services organizations to create opportunities to engage community members in anti-poverty initiatives. Delivery Strategies: 2.3.A. - provide outreach regarding community volunteerism opportunities; and provide training and technical assistance on recruiting, training, managing and recognizing volunteers through the Community Action Academy; 2.3.B. - provide volunteer recognition through the national President's Volunteer Service Award for number of volunteer hours served

National Performance Community Engagement The number of community members working with Community Action to improve conditions in the community.	Number of Programs Projected for Contract Period (#)	Reporting Period	Total contribution by Community (#)
A. Number of community members mobilized by Community Action that participate in community revitalization and anti-poverty initiatives	5562	Mid-Year Annual	
B. Number of volunteer hours donated to the agency (This will be All volunteer hours)	57000	Mid-Year Annual	

In the rows below, please include any additional indicators for NPI 2.3 that were not captured above.

| Mid-Year, | Annual |

State of California			Community Action Plan
Department of Community Services and I	Development		X Contract No. 10F-4034
CSBG/NPI Programs Report			Mid-Year Report (Jan-June)
CSD 801 (Rev.5/09)	Annual Report (Jan-Dec)		
			j J
Contractor Name:	Community Action Partnership of Riverside	County (CAP Riversid	e)
Contact Person and Title:	Maria Y. Juarez, Deputy Director		
Phone Number:	951-955-4900	Ext. Number:	
E-mail Address:	mjuarez@capriverside.org	Fax Number:	951-955-6494

Agency Notes and Clarifications on Goal 2:

The Conditions in which low-income people live are improved.

This space is to record any significant narrative information for national goal 2, as requested by the *Guide*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for <u>not meeting</u> or <u>exceeding</u> your projections.

State of California		. [Community Actio	
Department of Community Services and Develor CSBG/NPI Programs Report	opment	[X Contract No. Mid-Year Report	10F-4034
CSD 801 (Rev. 5/09)			Annual Report (Ja	,
		_		
Contractor Name:	Community Action Partnership of Riverside County (CAF	P Riverside)		
Contact Person and Title:	Maria Y. Juarez, Deputy Director			
Phone Number:	951-955-4900	Ext. Numbe	er:	
E-mail Address:	mjuarez@capriverside.org	Fax Numbe	er: 951-955-64	94
	Goal 3: Low-income people own a stake in their		_	
	3.1: Community Enhancement Through Maximum	n Feasible Partici	oation	
·	ional space is needed, please attach a separate sheet.)	. 4 D 1. d6	i 	
	come people are not active community stakeholders. Evidence ses: apathy and disinterest; lack of information; language at			
	ow-income residents, volunteers, youth and communities Loc			, and finited
	very Strategies: (If additional space is needed, please			
	e promotes and supports community volunteerism. Support i			
	g opportunities. Multi-lingual outreach includes publicity, cores and mailings. Delivery Strategies: 3.1 coordinate and p			
community events, posters, brochu	res and mannings. Derivery Strategies: 5.1 coordinate and p	promote community	volunteer opportt	inities
			:	
	National Performance			
	Indicator 3.1	1	er e	2
Community Enhancement Ti	hrough Maximum Feasible Participation	Total Number	Reporting	Total Number
		of Volunteer	Period	of Volunteer
The number of volunteer hours	donated to Community Action	Hours		Hours #
		Projected #		#
The total number of volunteer l	hours donated by low-income individuals to		Mid-Year	
	NLY the number of volunteer hours from individuals	13504	AND COMPANY OF THE PARTY OF THE PARTY.	
who are low-income.)			Annual	
In the rows below, please inclu	ide any additional indicators for NPI 3.1 that were not	t captured above.		
			Mid-Year	
		ĺ	Annual	

State of California
Department of Community Services and Development
CSBG/NPI Programs Report
CSD 801 (Rev. 5/09)

community resources

	Community Act	tion Plan	
Х	Contract No.	10F-4034	
	Mid-Year Repo	rt (Jan-June)	
	Annual Report	(Jan-Dec)	

Goal 3: Low-income people own a stake in their community.

NPI 3.2: Community Empowerment Through Maximum Feasible Participation

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: Many low-income people are not self-empowering or active community stakeholders. Evidenced By: lack of participation in community events; limited participation on policy making boards and committees; low community volunteerism; limited economic development Causes: apathy and disinterest; lack of information; lack of transportation; language and cultural barriers; limited education; and limited access to resources Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants) and communities Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside promotes and supports advocacy, self-empowerment, and community capacity building. Support includes networking opportunities and training and technical assistance. Multi-lingual outreach includes publicity, community presentations, community meetings, community events, posters, brochures and mailings. Delivery Strategies: 3.2.A. – provide opportunities to serve on policy-making boards and committees through the Community Action Commission, Project B.L.I.S.S. (Building Links to Impact Self-Sufficiency) and the Energy Task Force; 3.2.B. – provide small business development mentoring, training, technical assistance, matched savings opportunities, and acquisition; 3.2.D. – provide volunteer and advocacy opportunities through: Individual Development Account (IDA) peer mentoring, testimonials and workshop presentations; family self-sufficiency

programs; HeadStart Policy Council; after school mentoring and tutoring; community discussions on poverty-related issues, and referrals to other

National Performance 1 2 **Indicator 3.2** Number of Number of Community Empowerment Through Maximum Feasible Participation Low-Income **Participants** Reporting People Projected for Period : The number of low-income people mobilized as a direct result of community action Achieved in Contract initiative to engage in activities that support and promote their own well-being and Reporting Period that of their community, as measured by one or more of the following: Period (#) (#) A. Number of low-income people participating in formal community Mid-Year 31 organizations, government, boards or councils provide input to decision-Annual making and policy setting through community action efforts B. Number of low-income people acquiring businesses in their community as a Mid-Year 13 result of community action assistance Annual C. Number of low-income people purchasing their own homes in their community Mid-Year 8 as a result of community action assistance Annual D. Number of low-income people engaged in non-governance community Mid-Year 189 activities or groups created or supported by community action Annual In the rows below, please include any additional indicators for NPI 3.2 that were not captured above.

n the rows below, please include any additional indicators for NPI 3.2 that were not captured above.

Mid-Year

Annual

			Opinionally 11000	
Department of Community Services and	Development		X Contract No.	10F-4034
CSBG/NPI Programs Report			Mid-Year Report	(Jan-June)
CSD 801 (Rev.5/09)			Annual Report (Jz	n-Dec)
Contractor Name:	Community Action Partnership of R	iverside County (CAP Riversid	e)	
Contact Person and Title:	Maria Y. Juar			
Phone Number:	951-955-4900	Ext. Number:		
E-mail Address:	miuarez@capriverside.org	Fax Number	951-955-6494	

Agency Notes and Clarifications on Goal 3:

Low-income people own a stake in their community.

This space is to record any significant narrative information for national goal 3, as requested by the *Guide*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for <u>not meeting</u> or <u>exceeding</u> your projections.

Department of Community Services and De	evelopment			X Contract No. 10F-4034	
CSBG/NPI Programs Report				Mid-Year Report (Jan-June)	-
CSD 801 (Rev. 5/09)				Annual Report (Jan-Dec)	
Contractor Name:	Community Action Partnership of Rivers	ide County (CAP Riversi	de)		
Contact Person and Title:	Maria Y. Juarez, Deputy Director	-			
Phone Number:	951-955-4900	Ext. Number:			
E-mail Address:	mjuarez@capriverside.org	Fax Number: 9	51-955-6494		

Goal 4: Partnerships among supporters and providers of services to low-income people are achieved.

NPI 4.1: Expanding Opportunities through Community-Wide Partnerships

Problem Statement: (If additional space is needed, please attach a separate sheet.)

State of California

Problem Statement: Disjointed community collaborations create service barriers. Evidenced By: fragmented services; outdated and/or inaccurate referral information; and service provider "turf" boundaries Causes: inadequate networking opportunities; minimal consumer education / outreach efforts; limited funding; competitiveness and little to no communication amongst service providers; and lack of a shared vision Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants), community and faith-based organizations, service providers, communities, Community Action Commissioners, and CAP Riverside staff Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside provides training, technical assistance, information sharing, networking opportunities, community organizing and advocacy to partners. Multi-lingual outreach includes publicity, community presentations, community meetings, community events, posters, brochures and mailings. Delivery Strategies: 4.1.A. - 4.1.M. - recruit diverse partners for all programs and services; provide capacity building training and technical assistance through the Community Action Academy

	National Performance <u>Indicator 4.1</u>	1		2
Expa	anding Opportunities Through Community-Wide Partnerships	Number of Partnerships Projected for	Reporting Period	Number of Organizational Partnerships
with	number of organizations, both public and private, community action actively works to expand resources and opportunities in order to achieve family and community omes.	Contract Period (#)		Achieved in Reporting Period (#)
A.	Non-Profit	155	Mid-Year Annual	
В.	Faith Based	75	Mid-Year Annual	
C.	Local Government	85	Mid-Year Annual	
D.	State Government	7	Mid-Year	
E.	Federal Government	6	#Mid-Year⊪ Annual	
F.	For-Profit Business or Corporation	32	- Mid-Year Annual	
G.	Consortiums/Collaboration	5	Mid-Year Annual	
H.	Housing Consortiums/Collaboration	. 14	Mid-Year Annual	
I.	School Districts	14	* Mid-Year Annual	
J.	Institutions of post secondary education/training	16	Mid-Year Annual	
K.	Financial/Banking Institutions	9	Mid-Year Annual	
L.	Health Service Institutions	6	Mid-Year	I Programme Company
M.	State wide associations or collaborations	4	Mid-Year	
1	total number of organizations CAAs work with to promote family and nunity outcomes	428	Mid-Year Annual	

In the rows below, please add other types of partners with which your CAA has formed relationships that were not captured above. Please describe these partnerships in Goal 4 Notes.

	The second secon
	CACA Vace Land State Sta
	IVIIU- I CAI
· · · · · · · · · · · · · · · · · · ·	I I Annual I I
	7 miles

State of California				Community Action	i Plan
Department of Community Services and I	Development		X	Contract No.	10F-4034
CSBG/NPI Programs Report				Mid-Year Report	Jan-June)
CSD 801 (Rev.5/09)				Annual Report (Ja	n-Dec)
Contractor Name:	Community Action Partnership of Riversid	e County (CAP Riversid	e)		
Contact Person and Title:	Maria Y. Juarez, Deputy Director				
Phone Number:	951-955-4900	Ext. Number:			
E-mail Address:	mjuarez@capriverside.org	Fax Number:	951-9	955-6494	

Agency Notes and Clarifications on Goal 4:

Partnerships among supporters and providers of services to low-income people are achieved.

This space is to record any significant narrative information for national goal 4, as requested by the *Guide*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for <u>not meeting</u> or <u>exceeding</u> your projections.

Department of Community Services and Dev	relopment		X Contract No. 10F-4034
CSBG/NPI Programs Report			Mid-Year Report (Jan-June)
CSD 801 (Rev. 5/09)			Annual Report (Jan-Dec)
Contractor Name:	Community Action Partnership of Rivers	ide County (CAP River	side)
Contact Person and Title:	Maria Y. Juarez, Deputy Director	· · · · · · · · · · · · · · · · · · ·	
Phone Number:	951-955-4900	Ext. Number:	
E-mail Address:	mjuarez@capriverside.org	Fax Number:	951-955-6494

Goal 5: Agencies increase their capacity to achieve results.

NPI 5.1: Agency Development

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: Staff and volunteers' skills used to advance services are limited. Evidenced By: outdated skills and service methodologies Causes: limited access to structured training that builds agency and individual capacity; and limited funding for staff development. Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants), community and faith-based organizations, service providers, communities, Community Action Commissioners, and CAP Riverside staff. Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.

Program Activities: CAP Riverside provides training, technical assistance, information sharing, networking opportunities, community organizing and advocacy to community partners. Multi-lingual outreach includes publicity, community presentations, community meetings, community events, posters, brochures and mailings. Delivery Strategies: 5.1.A. - 5.1.C - recruit and train staff as CCAPs, ROMA and Family Development trainers 5.1.E. - 5.1.H. - increase external staff development opportunities through networks, partnerships, and State and National CAP activities; expand CAP Academy curriculum to include staff development workshops; and involve CAP Staff and Commissioners to demonstrate their skills by leading workshops at CAP-related conferences

The Com	National Performance Indicator 5.1 ncy Development number of human capital resources available to munity Action that increase agency capacity to achieve ly and community outcomes, as measured by one or more e following	1 Number of Partnerships Projected for Contract Period (#)	Reporting Period	2 Resources in Agency (#)
A.	Number of C-CAPs	5	Mid-Year Annual	A STATE OF THE STA
В.	Number of ROMA Trainers	1	Mid-Year ··· Annual	
C.	Number of Family Development Trainers	1	'Mid-Year Annual	
D.	Number of Child Development Trainers		Mid-Year Annual	
E.	Number of staff attending trainings	34	Mid-Year Annual	Paper Street Control of the Control
F.	Number of board members attending trainings	25	Mid-Year Annual	
G.	Hours of Staff in trainings	272	Mid-Year Annual	24 15 2 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3
H.	Hours of board members in trainings	400	Mid-Year Annual	

In the rows below, please include any additional indicators that were not captured above. Please describe these measures in Goal 5 Notes.

	Mid-Year	+ +
	Annual	

State of California			Community Action	Plan
Department of Community Services and I	Development		X Contract No.	10F-4034
CSBG/NPI Programs Report			Mid-Year Report (Ja	in-Dec)
CSD 801 (Rev. 5/09)			Annual Report (Jan-	Dec)
Contractor Name:	Community Action Partnership of Riversid	e County (CAP Riversid	le)	
Contact Person and Title:	Maria Y. Juarez, Deputy Director			
Phone Number:	951-955-4900	Ext. Number:		
E-mail Address:	mjuarez@capriverside.org	Fax Number:	951-955-6494	

Agency Notes and Clarifications on Goal 5:

Agencies increase their capacity to achieve results.

This space is to record any significant narrative information for national goal 5, as requested by the *Guide*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is availabl

CSBG/NPI Programs Report CSD 801 (Rev. 5/09)				Mid-Year Report (Jan-June) Annual Report (Jan-Dec)
Contractor Name:	Community Action Partnership of Riversid	e County (CAP Riversid	e)	
Contact Person and Title:	Maria Y. Juarez, Deputy Director			
Phone Number:	951-955-4900		Ext. Number:	!
E-mail Address:	mjuarez@capriverside.org		Fax Number:	951-955-6494
Goal 6: Low-income pe	ople, especially vulnerable populations, achi	ieve their potential by str	engthening fa	mily and other supportive
	environm			
Duahlan Statement (If a	NPI 6.1: Indepe			
Problem Statement: The elderly to no or low cost services; inab	dditional space is needed, please attach a sepa y and disabled are at-risk of losing their independer oility to pay high utility bills Causes: unrepaired hor and disabled populations Location: Riverside Count	nt living situation. Evidence mes; lack of free or low-cost		
Program Activities: CAP River community presentations, energand private sector social service and home weatherization service	elivery Strategies: (If additional space is ne- rside promotes and supports quality of life commun- gy clinics, community meetings, community events es organizations. Delivery Strategies: 6.1.A. and 6. ces; provide access to Cool Centers during hot weat to other community programs	ity resources and programs. , flyers, brochures and maili 1.B. – provide and facilitate	Multi-lingual or ings. CAP River public and priva	side collaborates with public ate utility bill payment assistance
Na	tional Performance Indicator 6.1	1		2
Independent Living	indicator 0.1			
independent Living		Number of Individuals Projected	Reporting	Number of Vulnerable
The number of vulnerable i	ndividuals receiving services from	to be Served for	Period	Individuals Living
	stain an independent living situation as a	Contract Period		Independently
result of those services:	· · ·	(#)		(#)
A. Senior Citizens (seni	ors can be reported twice, once under		Mid-Year	
	again if they are disabled under Individuals	2,491	Annual	
with Disabilities, age				
B. Individuals with Disa	abilities	1,132	Mid-Year	
			Annual	
Ages:			Mid-Year	
a. 0-17	en e		Annual	
1. 10.54			Mid-Year	
b. 18-54			Annual	
			2000	
c. 55-over		1	Wiid-Year	
			Mid-Year Annual	
to the same halow of the	alala and district the second state of the second		Annual	
n the rows below, please in	nclude any additional indicators for NPI 6.1 t	hat were not captured ab	Annual	
n the rows below, please in	nclude any additional indicators for NPI 6.1 t	hat were not captured ab	Annual	

State of California

Department of Community Services and Development

Community Action Plan
X Contract No. 10F-

10F-4034

State of California

Department of Community Services and Development

CSBG/NPI Programs Report

CSD 801 (Rev. 5/09)

	Community Action Plan						
X	Contract No.	10F-4034					
	Mid-Year Repor	rt (Jan-June)					
	Annual Report (Jan-Dec)					

Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.

NPI 6.2: Emergency Assistance

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants) are unable to meet basic and essential needs. Evidenced By: unexpected financial emergencies; increase in demand for assistance; and increase in utility services shut-off notices Causes: underemployment and unemployment; fixed incomes; language barriers; lack of affordable safe housing; limited transportation; and high energy costs Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly,working poor families with young children, homeless, disabled, and immigrants) and communities Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside promotes safety-net services and provides community referrals, technical assistance, and program monitoring. Multi-lingual outreach and referrals are made through community mailings, countywide energy clinics and partnerships with service providers and community and faith-based organizations. Delivery Strategies: 6.2.A. – provide food vouchers during major disasters; 6.2.B. – provide and facilitate public and private utility bill payment assistance; 6.2.F. – provide court referred and community mediation services, and arbitration services; and 6.2.H. – provide access to Cool Centers during hot weather months (facilities where people can go from June to October to escape the heat); and provide referrals to other community resources

	National Performance Indicator 6.2 Emergency Assistance e number of low-income individuals served by community action who ht emergency assistance and thenumber of those individuals for whom assistance was provided.	Number of Households Projected to be Served for Contract Period (#)		Number of Household Seeking Assistance in Reporting Period (#)	Number of Households Receiving Assistance in Reporting Period (#)
A.	Food - Indicate <u>your state's</u> unit of measurement, such as bags, packages, cartons, families, individuals, etc.	15	Mid-Year Annual		
В.	Emergency fuel or utility payments funded by LIHEAP or other public and private funding sources	9,485	Mid-Year Annual		
C.	Emergency Rent or Mortgage Assistance		Mid-Year Annual	State of the state	Mary Control
D.	Emergency Car or Home Repair (i.e. structural appliance, heating systems, etc.)		Mid-Year Annual	a poste de la	
E.	Emergency Temporary Shelter		-Mid-Year Annual		
F.	Emergency Medical Care		Mid-Year Annual		
G.	Emergency Protection from Violence		Mid-Year Annual		4
H.	Emergency Legal Assistance	1,987	Mid-Year Annual	dist.	
I.	Emergency Transportation		Mid-Year Annual		
J	Emergency Disaster Relief	15,000	Mid-Year Annual	our interest	
K	Emergency Clothing		Mid-Year		20017 518), 42 47 51 24 51 52 51

In the rows below, please include any additional indicators for NPI 6.2 that were not captured above.

Mid-Year

State of California	Community Action Plan
Department of Community Services and Development	X Contract No. 10F-4034
CSBG/NPI Programs Report	Mid-Year Report (Jan-June)
CSD 801 (Rev. 5/09)	Annual Report (Jan-Dec)
,	
]	Annual

State of California
Department of Community Services and Development
CSBG/NPI Programs Report
CSD 801 (Rev. 5/09)

Community Action Plan						
X Contract No.	10F-4034					
Mid-Year Report (Jan-June)						
Annual Report (Jan-Dec)						

Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.

NPI 6.3: Child and Family Development

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

National Performance Indicator 6.3 Child and Family Development The number and percentage of all infants, children, youth, parents, and other adults participating in developmental or enrichment programs that achieve program goals, as measured by one or more of the following:	Number of Participants Projected to be served for Contract Period (#)	Reporting Period	Number of participants Enrolled in Program(s) in Reporting Period (#)	Number of Participants Expected to Achieve Outcome in Reporting Period (#)	A Number of Participants Achieving Outcome in Reporting Period (#)	Percentage of Participants Achieving Outcome in Reporting Period (%)
	A. INF.	ANTS & CH	ILDREN			
Infants and children obtain age appropriate immunizations, medical and dental care		Mid-Year Annual				
Infant and child health and physical development are improved as a result of adequate nutrition		Mid-Year Annual				
3. Children participate in pre-school activities to develop school readiness skills		Mid-Year Annual				
Children who participate in pre-school activities are developmentally ready to enter Kindergarten or 1st Grade		Mid-Year Annual			1999 A 1884-18	

State of California
Department of Community Services and Developmen
CSBG/NPI Programs Report
CSD 801 (Rev. 5/09)

Community Act	ion Plan	
X Contract No.	10F-4034	
Mid-Year Repo	rt (Jan-June)	
Annual Report (Jan-Dec)	

Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.

NPI 6.3: Child and Family Development

NPI 6.3: <u>Chi</u>	id and Famil	<u>y Developmen</u>	<u>t</u>		
1		2	3	4	5
Number of Participants Projected to be served for Contract Period (#)	Reporting Period	Number of participants Enrolled in Program(s) in Reporting Period (#)	Number of Participants Expected to Achieve Outcome in Reporting Period (#)	Number of Participants Achieving Outcome in Reporting Period (#)	Percentage of Participants Achieving Outcome in Reporting Period (%)
	B. YOUTH				
	Mid-Year				
	Mid-Year	7			
	Mid-Year Annual	· · · · · · · · · · · · · · · · · · ·			
	Mid-Year Annual				
	Mid-Year				
C. PARENT	'S AND OTH				
	Mid-Year Annual				
	Mid-Year Annual				
ıl indicators for	NPI 6.3 that	were not captur	ed ahove		
	Mid-Year Annual				
	Mid-Year Annual		18-18-18-18-18-18-18-18-18-18-18-18-18-1		
	Number of Participants Projected to be served for Contract Period (#) C. PARENT	Number of Participants Projected to be served for Contract Period (#) B. YOUTH Mid-Year Annual Mid-Year Annual	Number of Participants Projected to be served for Contract Period (#) B. YOUTH Mid-Year Annual Mid-Year	Number of Participants Projected to be served for Contract Period (#) B. YOUTH Mid-Year Annual Mid-Year	Number of Participants Projected to be served for Contract Period (#) B. YOUTH Mid-Year Annual Mid-Year Annual Mid-Year Annual C. PARENTS AND OTHER ADULTS Mid-Year Annual Mid-Year

State of California
Department of Community Services and Development
CSBG/NPI Programs Report
CSD 801 (Rev. 5/09)

	Community Action Plan						
X	Contract No.	10F-4034					
Mid-Year Report (Jan-June)							
Annual Report (Jan-Dec)							

Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive NPI 6.4: Family Supports

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants) are unable to meet basic and essential needs. Evidenced By: unexpected financial emergencies; increase in demand for assistance; and increase in utility services shut-off notices Causes: underemployment and unemployment; fixed incomes; language barriers; lack of affordable safe housing; limited transportation; and high energy costs Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants) and communities Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside promotes safety-net services and provides community referrals, technical assistance, and program monitoring. Multi-lingual outreach and referrals are made through community mailings, countywide energy clinics and partnerships with service providers and community and faith-based organizations. Delivery Strategies: 6.2.G. – provide and facilitate LiHEAP utility bill payment assistance; 6.2.H. – provide and facilitate weatherization services; 6.2.I. – provide access to Cool Centers during hot weather months (facilities where people can go from June to October to escape the heat); provide and facilitate energy assistance through private and public energy programs, including Imperial Irrigation Department (IID) and City of Riverside SHARE program; and provide referrals to other community resources

Low- disab	National Performance Indicator 6.4 Ily Supports (Seniors, Disabled and Caregivers) income people who are unable to work, especially seniors, adults with ilities, and caregivers, for whom barriers to family stability are reduced minated, as measured by one or more of the following:	Number of participants Projected to be Served for Contract Period (#)	Reporting Period Mid-Year	Number of participants Enrolled in Program(s) #	Number of participants Achieving Outcome in Reporting Period (#)
В.	Enrolled children in before or after school programs		Annual Mid-Year		
C.	Obtained care for child or other dependent		Annual Mid-Year		
D.	Obtained access to reliable transportation and/or driver's license		Annual Mid-Year	and stole that men	
E.	Obtained health care services for themselves or family member		Annual Mid-Year		10.24
F.	Obtained safe and affordable housing Obtained food assistance		Annual * Mid-Year		
G.		2 272	Annual Mid-Year	Market progress	
Н.	Obtained non-emergency LIHEAP energy assistance	2,273	Annual Mid-Year		
I.	Obtained non-emergency WX energy assistance Obtained other non-emergency energy assistance. (State/local/private	186	Annual Mid-Year		1
	energy programs. Do Not Include LIHEAP or WX In the rows below, please include any additional indicato	1,022 rs for NPI 6.4	Annual that were not co	aptured above.	
			Mid-Year Annual	Frank States	

State of California
Department of Community Services and Development
CSBG/NPI Programs Report
CSD 801 (Rev. 5/09)

	Community Act	ion Plan		
X	Contract No.	10F-4034		
	Mid-Year Report (Jan-June)			
	Annual Report (Jan-Dec)		

Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive NPI 6.5: Service Counts

Problem Statement: (If additional space is needed, please attach a separate sheet.)

Problem Statement: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants) are unable to meet basic and essential needs. Evidenced By: increased calls from individuals seeking referrals to social services not directly administered by CAP Riverside Causes: unexpected financial emergencies, unemployment, underemployment, language barriers, limited transportation, etc. Who Is Affected: low-income individuals and families, especially vulnerable populations (e.g., elderly, working poor families with young children, homeless, disabled, and immigrants), communities, and social services providers Location: Riverside County

Program Activities and Delivery Strategies: (If additional space is needed, please attach a separate sheet.)

Program Activities: CAP Riverside promotes safety-net services and provides community referrals, technical assistance, and program monitoring. Multi-lingual outreach and referrals are made through community mailings, countywide energy clinics and partnerships with service providers and community and faith-based organizations. Delivery Strategies: 6.5.E. - cross-train clerical staff to answer eligibility questions for all programs; increase level of partnership with the local 2-1-1- information hotline; increase outreach efforts to promote CAP Riverside as a referral resource; conduct time study to help identify call support needs

National Performance Indicator 6.5 Service Counts The number of services provided to low-income individuals and/or families, as measured by one or more of the following:	1 Number of services Projected to be Served for Contract Period	Reporting Period	2 Number of Services (#)
A. Food Boxes		Mid-Year Annual	7.
B. Pounds of Food		Mid-Year Annual	
C. Units of Clothing		Mid-Year Annual	P P P
D. Rides Provided		Mid-Year ::: Annual	
E. Information and Referral Calls	25,000	Mid-Year Annual	
In the rows below, please include any additional indicators for NP	I 6.5 that were	not captured at	ove.
		Mid-Year :	

Department of Community Services and I	X Contract No. 10F-4034		
CSBG/NPI Programs Report	Mid-Year Report (Jan-Dec)		
CSD 801 (Rev. 5/09)			Annual Report (Jan-Dec)
Contractor Name:	Community Action Partnership of Riversid	e County (CAP Riversid	le)
Contact Person and Title:	Maria Y. Juarez, Deputy Director		
Phone Number:	951-955-4900	Ext. Number:	
E-mail Address:	mjuarez@capriverside.org	Fax Number:	951-955-6494

Community Action Plan

Agency Notes and Clarifications on Goal 6:

State of California

Low-income people, especcially vulnerable populations, achieve their potential by strengthening family and other supportive envionments.

This space is to record any significant narrative information for national goal 6, as requested by the *Guide*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for <u>not meeting</u> or <u>exceeding</u> your projections.

GENERAL TERMS AND CONDITIONS GTC - 307

- 1. <u>APPROVAL</u>: This Agreement is of no force or effect until signed by both parties.
- 2. <u>AMENDMENT</u>: No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.
- 3. <u>ASSIGNMENT</u>: This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.
- 4. AUDIT: Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).
- 5. <u>INDEMNIFICATION</u>: Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.
- 6. <u>DISPUTES</u>: Contractor shall continue with the responsibilities under this Agreement during any dispute.
- 7. <u>TERMINATION FOR CAUSE</u>: The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.
- 8. <u>INDEPENDENT CONTRACTOR</u>: Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. NON-DISCRIMINATION CLAUSE: During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race. color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (cancer), age (over 40), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

- 10. <u>CERTIFICATION CLAUSES</u>: The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.
- 11. <u>TIMELINESS</u>: Time is of the essence in this Agreement.
- 12. <u>COMPENSATION</u>: The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.
- 13. <u>GOVERNING LAW</u>: This contract is governed by and shall be interpreted in accordance with the laws of the State of California.
- 14. <u>CHILD SUPPORT COMPLIANCE ACT</u>: "For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:
 - a) The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

- b) The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department."
- 15. <u>UNENFORCEABLE PROVISION</u>: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.
- 16. <u>PRIORITY HIRING CONSIDERATIONS</u>: If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

\\Cobra\Shared\Contracts\Community Services Block Grant\2010 Community Services Block Grant\2010 CSBG Reg Contract\CSBG Exhibit C-GTC-307 Subvention Agreements 12 17 09.doc

SPECIAL TERMS AND CONDITIONS

1. TRAVEL/PER DIEM

- A. Contractor's total travel for in-state and/or out-of-state and per diem costs shall be included in the contract Budget(s). Out-of-state travel costs that exceed the budgeted amount shall not be reimbursed without prior written authorization from CSD.
- B. Contractor's employee travel costs and per diem reimbursement rates shall be reimbursed as allowed pursuant to OMB Circular A-87 Section 43 or OMB Circular A-122 section 51 as applicable, and based on the Contractor's acceptable, written travel policy, or, in the absence of such policy, not to exceed Federal per diem requirements.
- C. In absence of a travel policy, Contractor shall defer to the rules and regulations established in the California Code of Regulations Section 599.615 through 599.638 and be reimbursed in accordance with the definitions, terms and provisions contained therein.

2. <u>CERTIFICATIONS</u>

- A. Contractor's signature affixed hereon shall constitute a certification that to the best of its ability and knowledge it will, unless exempted, comply with the provisions set forth in the following:
 - 1) Drug-Free Workplace Requirements Contract Certification Clause (CCC-307)
 - 2) National Labor Relations Board Certification (CCC-307)
 - 3) Expatriate Corporations (CCC-307)
 - 4) Domestic Partners (CCC-307)
 - 5) Amendment for Change of Agency Name (CCC-307)
 - 6) Resolution (CCC-307)
 - 7) Air and Water Pollution Violation (CCC-307)
 - 8) Information Integrity and Security (Department of Finance, Budget Letter 04-35)

- 9) Safeguarding Against and Responding to a Breach of Security Involving Personal Information (Department of General Services, Management Memo 08-11)
- B. The above documents are hereby incorporated by reference into this Agreement. To access these documents, please visit www.csd.ca.gov

3. <u>INTERNAL CONTROL CERTIFICATION</u>

Contractor shall ensure the establishment and maintenance of a system of internal accounting and administrative control. This responsibility includes documenting the system, communicating system requirements to employees, and assuring that the system is functioning as prescribed and is modified, as appropriate, for changes in conditions. The system of internal accounting and administrative control shall be attested to within the Contractor's independent audit conducted pursuant to this Agreement, and include:

- A. Segregation of duties appropriate to safeguard state assets.
- B. Limited access to agency assets to authorized personnel who require these assets in the performance of their assigned duties.
- C. Authorization and recordkeeping procedures adequate to provide effective accounting controls over assets, liabilities, revenues, and expenditures.
- D. Practices to be followed in performance of duties and functions.
- E. Personnel of a quality commensurate with their responsibilities.
- F. Effective internal review.

4. <u>CONFLICT OF INTEREST</u>

- A. Contractor certifies that its employees and the officers of its governing body shall avoid any actual or potential conflicts of interest and that no officer or employee who exercises any functions or responsibilities in connection with this Agreement shall have any personal financial interest or benefit that either directly or indirectly arises from this Agreement.
- B. Contractor shall establish safeguards to prohibit its employees or its officers from using their positions for a purpose that could result in private gain or that gives the appearance of being motivated for private gain for themselves or others, particularly those with whom they have family, business, or other ties.

5. CODES OF CONDUCT

- A. Contractor shall maintain written standards of conduct governing the performance of its employees engaged in the award and administration of contracts or subcontracts. No employee, officer, or agent of the Contractor shall participate in the selection, award, or administration of a subcontract supported by Federal funds if a real or apparent conflict of interest would be involved. Such a conflict would arise when the employee, officer, or agent, any member of his or her immediate family, his or her partner, or an organization that employs or is about to employ any of the parties indicated herein, has a financial or other interest in the firm selected for an award. The officers, employees, and agents of the Contractor shall neither solicit nor accept gratuities, favors, or anything of monetary value from subcontractors or parties to subagreements. The standards of conduct shall provide for disciplinary actions to be applied for violations of such standards by officers, employees, or agents of the recipients.
- B. Contractor shall not pay Federal funds received from CSD to any entity in which it (or one of its employees, officers, or agent, any member of his or her immediate family, his or her partner, or an organization that employs or is about to employ any of the parties indicated herein) has an interest. As ownership constitutes a financial interest, Contractor shall not subcontract with a subsidiary. Similarly, Contractor shall not subcontract with an entity that employs or is about to employ any person described in 45 CFR Part 92 (for states and local governments) and 45 CFR Part 74 (for nonprofit organizations) (Office of Management and Budget Circular A-110, section 42).

6. <u>COMPLIANCE MONITORING</u>

- A. As the recipient of federal CSBG block grant funds under this Agreement, Contractor is responsible for substantiating that all costs claimed under this Agreement are allowable and allocable under all applicable federal and state laws, and for tracing all costs to the level of expenditure.
- B. As the administrator for the CSBG block grant for the State, CSD is required to ensure the funds allocated to Contractor are expended for the purposes identified in federal and state CSBG law, and for allowable and allocable costs under the applicable rules of the Office of Management and Budget.
- C. CSD is required to conduct onsite and follow-up monitoring of Contractor to ensure that Contractor meets the performance goals, administrative standards, financial management requirements, and other requirements of the federal and State CSBG program.

D. CSD shall provide Contractor reasonable advance notice in writing of on-site monitoring reviews of Contractor's program or fiscal performance.

Contractor shall cooperate with CSD program and audit staff and other representatives, and provide access to all programs, records, documents, resources, personnel, inventory and other things reasonably related to the administration and implementation of the services and activities funded directly or indirectly by this Agreement.

E. In the event, CSD determines that Contractor is in non-compliance of material or other legal requirements of this Agreement, CSD shall provide the observations, recommendations or findings in writing, along with a specific action plan for correcting the non-compliance.

7. <u>SPECIAL CONDITIONS FOR ENTITIES NOT MEETING TERMS OF THE AGREEMENT</u>

- A. In addition to the State's authority to terminate or suspend funding or deny refunding under federal and State law and regulation, the State has authority to set fiscal control and fund accounting procedures to fulfill the State's oversight responsibilities and ensure that CSBG funds are appropriately expended. Thus, notwithstanding the express exception in 45 CFR Part 92 as applied to the CSBG Program, the State hereby incorporates by reference 45 CFR Part 92.12 and may impose special conditions according to that section on Contractor for unsatisfactory performance of the requirements, standards, and guidelines of this Agreement.
- B. Contractor shall ensure that requirements set forth in this Agreement are met, that all required documentation is submitted in a timely manner, and that any corrective action plans are fulfilled. In the event that prescribed timelines are not met or corrective action is not taken, it shall be deemed a material breach of this Agreement, and CSD shall take appropriate action, including but not limited to withholding of advance payments and initiation of the suspension and termination procedures provided by State and federal CSBG law.

8. <u>BOARD ROSTER, BYLAWS, RESOLUTION AND MINUTES</u>

A. Upon execution of this Agreement, Contractors shall submit to CSD a current roster of the Executive Committee of the tripartite board, including contact information for each Committee member at a location other than the office of the eligible entity, and the most recent version of the organizational bylaws. Contractor is responsible to notify CSD of any changes to the Executive Committee within thirty (30) days of such occurrence.

- B. Contractor shall submit to CSD a current tripartite board roster, including the name and sector (i.e., low-income, public, private) of each board member.
- C. Contractor's current governing board must authorize the execution of this Agreement. Contractor has the option of demonstrating such authority by direct signature by a board member, or by any lawful delegation of such authority that is consistent with Contractor's bylaws.
- D. Where Contractor elects to delegate the signing authority to the chief executive officer, CSD will accept either a resolution specific to this Agreement or a resolution approved by the current governing board that is more generally applicable to any CSD program contract or amendment. Where Contractor provides a general resolution, Contractor shall maintain documentation that the chief executive officer provided timely and effective communication of the execution and terms of this Agreement to the board. Either a specific or current general resolution must be on file with CSD prior to CSD's finally executing this Agreement.
- E. Contractors shall submit to CSD the tripartite board minutes from regularly scheduled meetings no later than 30 days after the minutes are approved.

 Regularly scheduled meetings shall be in accordance with the tripartite board's bylaws.
- F. For those Contractors whose tripartite board is advisory to the elected members governing a local government, the Contractor shall submit to CSD the minutes from any meeting of the elected officials where matters relating to this Agreement are heard, including but not limited to discussions about or decisions affecting the community action program. Such minutes shall be submitted to CSD no later than 30 days after the minutes are approved.

9. <u>AUDITING STANDARDS AND REPORTS</u>

A. Auditing Standards

Contractor must follow all audit requirements as set forth in OMB Circular A-133 and the 2009 CSD Supplemental Audit Guide. The 2009 Supplemental Audit Guide is attached herein as Exhibit D Attachment I.

B. Audit Reports

1) Funds provided under this Agreement shall be included in an audit conducted in accordance with the provisions of OMB Circular A-133 for nonprofit and public agencies, standards promulgated by the American

Institute of Certified Public Accountants (AICPA), and those standards included in the Government Auditing Standards, as amended.

- The financial and compliance audit report shall contain the following supplementary financial information: a combined statement of revenue and expenditures for each contract that presents, by budget line item, revenue and expenditures for the audit period and a description of the methodology used to allocate and claim indirect costs and any administrative cost pools.
- The audit report must specifically mention that a review for compliance with OMB Circulars A-87 and A-122 was conducted.
- Contractors shall submit to CSD one printed copy and one electronic copy of the required audit report(s) and any management letter if issued by the accountant, within nine months of the end of the Contractor's fiscal year, accompanied by a copy of the signed, final engagement letter between Contractor and the independent auditor. Upon written request by the Contractor's independent auditor, which includes an explanation of why the audit cannot be submitted within nine months of the end of the Contractor's fiscal year, an extension may be granted by CSD Audit Services Unit for submittal of the audit report not to exceed an additional 30 calendar days from the original due date. The audit reports are to be submitted to the following addresses:

Printed copy:

Department of Community Services and Development Attention: Audit Services Unit P.O. Box 1947 Sacramento, CA 95812-1947

Electronic copy: audits@csd.ca.gov

Local governmental agencies also shall submit the required number of copies of the audit report in accordance with the guidelines set by the Division of Audits of the State Controller's Office. Said reports are to be submitted to the following address:

State Controller's Office Division of Audits 300 Capitol Mall, Fifth Floor Sacramento, CA 95814

Where services or funds under this Agreement are provided to, for, or by a wholly owned, or wholly controlled subsidiary of Contractor, Contractor hereby provides assurance that an audit shall be performed of this subsidiary organization in accordance with this Section. Said required audit report shall be made available to the State upon request.

10. SUBCONTRACTS

Contractor may enter into subcontract(s) to perform applicable provisions of this Agreement. The Contractor is responsible for ensuring that all activities delegated to subcontractors are in support of this Agreement. As defined in federal CSBG law, subcontractors are not considered eligible entities and thus not subject to tripartite board or other specific requirements unique to eligible entities.

- A. Contractor shall submit notification to the State within 60 calendar days of execution of each subcontractor agreement with the name of the subcontractor entity, its address, telephone number, contact person, contract amount, and program/administrative description of each subcontractor activity to be performed under this Agreement.
- B. Contractor remains responsible to substantiate the allowable and allocable use of all funds under this Agreement. Contractor shall ensure that any subcontracts under this Agreement contain all provisions necessary to ensure adequate substantiation and controls of the expenditure of such funds. Contractor shall be responsible for conducting periodic monitoring of subcontractor's program activities and fiscal accountability. Contractor shall also ensure that any subcontracts under this Agreement contain the right of reasonable access to the subcontractors' books and records by CSD staff and representatives and by Contractor's independent auditors for purposes of ensuring the allowability of expenditures of the funds under this Agreement.
- C. Contractor shall immediately notify all of its subcontractor(s) in writing within five days of such action in the event the State suspends, terminates, and/or makes changes to the services to be performed under this Agreement.
- D. Contractor is the responsible party and shall remain liable for the performance of the terms, conditions, assurances, and certifications of this Agreement, without recourse to the State, regarding the settlement and satisfaction of all contractual and administrative issues arising out of subcontract agreement(s) entered into in support of this Agreement, including disputes, claims, or other matters of a contractual nature as well as civil liability arising out of negligence or intentional misconduct of the subcontractor(s).

E. Nothing contained in this Agreement shall create any contractual relation between the State and any subcontractors, and no subcontract shall relieve the Contractor of its responsibilities and obligations hereunder. Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.

11. <u>INSURANCE</u> AND FIDELITY BOND

A. General Requirements

- 1) By execution of this Agreement, Contractor agrees that the below-required insurance policies and bond shall be in effect at all times during the term of this Agreement.
- 2) Contractor shall provide the State with written notice at least 30 calendar days prior to cancellation or reduction of insurance coverage to an amount less than that required in this Agreement.
- In the event said insurance coverage expires at any time or times during the term of this Agreement, Contractor agrees to provide within 30 calendar days prior to said expiration date a new Certificate of Insurance (ACORD 25) evidencing insurance coverage as provided for herein for not less than the remainder of the term of this Agreement. The Certificate shall identify and name the State as the Certificate Holder.
- 4) New Certificates of Insurance will be reviewed for content and form by CSD.
- In the event Contractor fails to keep in effect at all times the specified insurance and bond coverage as herein provided, the State may, in addition to any other remedies it may have, suspend this Agreement.
- With the exception of workers' compensation and fidelity bond, the State shall be named as additional insured on all Certificates of Insurance required under this Agreement.
- 7) The issuance of other CSD contracts, to include any cash advances, and reimbursement payments, to the Contractor shall be contingent upon

required current insurance coverage being on file at CSD for this Agreement.

B. Self-Insurance

- When Contractor is a self-insured governmental entity, the State, upon satisfactory proof, may waive the appropriate insurance requirements. To qualify for a waiver, an appropriate county or city risk manager shall sign a certification that shall contain assurance of the adequacy of the governmental entity's ability to cover any potential losses under this Agreement.
- 2) Contractor shall specify in writing a list of which coverage(s) will be selfinsured under this Agreement and shall list all applicable policy numbers, expiration dates, and coverage amount.
- In the case that the Contractor's self-insurance coverage does not contain any changes from the prior year, CSD will accept a certified letter signed by authorized personnel stating that no changes have occurred from last year. This letter is due at the time of contract execution or within 30 days of expiration of insurance.
- 4) Should Contractor utilize a subcontractor(s) to provide services under this Agreement, Contractor shall indemnify and hold the State harmless against any liability incurred by that subcontractor(s).

C. Workers' Compensation Insurance

- 1) Contractor shall have and maintain for the term of this Agreement workers' compensation insurance issued by an insurance carrier licensed to underwrite workers' compensation insurance in the State of California.
- 2) Contractor shall submit either an applicable Certificate of Insurance or a Certificate of Consent to Self-Insure issued by the Director of the Department of Industrial Relations to the State as evidence of compliance with the workers' compensation insurance requirement prior to issuance of an initial cash advance.

D. Fidelity Bond

1) Contractor shall maintain a fidelity bond in the minimum amount of four percent of the total amount of consideration set forth under this Agreement.

2) Contractor shall submit an applicable Certificate of Insurance to the State as evidence of compliance with the fidelity bond requirement prior to issuance of an initial cash advance.

E. General Liability Insurance

- 1) Contractor shall have and maintain for the term of this Agreement general liability and property damage insurance for a combined single limit of not less than \$500,000 per occurrence.
- 2) Contractor shall submit an applicable Certificate of Insurance naming CSD as an additional insured, to the State as evidence of compliance with general liability insurance requirements prior to issuance of an initial cash advance.

F. Vehicle Insurance

- 1) Contractor shall have and maintain for the term of this Agreement vehicle insurance in the amount of \$500,000 for each person and each accident for bodily injury and in the amount of \$500,000 for each person and each accident for property damage.
- When employees use their own vehicles to perform duties within the scope of their employment, Contractor shall have and maintain for the term of this Agreement nonowned and hired-auto liability insurance in the amount of \$500,000 for each person and each accident for bodily injury and \$500,000 for each person and each accident for property damage. (Driving to and from work is not within the scope of employment.)
- 3) Contractor shall submit an applicable Certificate of Insurance, designating CSD as an additional insured, to the State as evidence of compliance with said vehicle insurance requirements prior to issuance of an initial cash advance.

12. <u>AGREEMENT CHANGES</u>

A. Amendment

Formal Amendments are required for changes to the term, total cost or Maximum Amount of this Agreement, scope of work, and formal name changes. No amendment to this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties. CSD 425b,

Justification for Contract Amendment/ Modification, must be completed by the Contractor requesting changes to make changes to Attachment I.

If Contractor intends to request a formal amendment to this agreement, request must be submitted on CSD 425b, Justification for Contract Amendment/Modification prior to the expiration of the contract term.

B. Modification

- 1) Changes involving alterations such as changes to program activities and/or delivery strategies will be considered modifications. A CSD 425b,

 Justification for Contract Amendment/Modification, must be completed by Contractor's request to make changes to Attachment I or Attachment II.
- 2) Please note that modifications to projected outcomes on the CSD 801 forms will not be allowed. In the event that projections will not be met, please provide a description of the circumstances. For further instruction please contact your Field Services Representative.
- 3) A modification to the projected budget is not required as long as the maximum amount payable does not change. Any increase to out-of-state travel costs or changes to major equipment purchases will require a modification.
- 4) If a Contractor intends to request a contract modification to modify Attachment I or Attachment II, justification shall be submitted on CSD 425b, Justification for Contract Amendment/Modification, no later than 15 calendar days prior to the expiration date of this Agreement.

13. <u>SYSTEM SECURITY REQUIREMENTS</u>

Contractor shall, in cooperation with CSD, institute measures, procedures, and protocols designed to ensure the security of data and to protect information in accordance with California State Administrative Manual (SAM) Section 5310, Item 4, and such other State and Federal laws and regulations as may apply. The parties hereto agree to the following requirements, obligations, and standards:

A. General Information/Data Description

The interconnection between CSD and Contractor is a two-way data exchange. The purpose of the data exchange or direct input is to deliver application records for payment processing or contract activity reimbursement.

B. Services Offered

Data exchange between CSD and Contractor shall be handled through two methods: 1) a Contractor user must authenticate to upload data files in a secure socket layer connection; or 2) a secure user interface that is only available to Contractor users with a unique software authentication to see the login window and also secure tunnel between CSD and the Contract user.

C. Data Sensitivity

- The sensitivity of data exchanged between CSD and Contractor may vary from sensitive to personal or confidential because of personal data such as social security numbers to private data, e.g., family income level, family member name, etc. No personal financial information, i.e., credit card, bank account numbers, shall be stored or exchanged in the data exchange sessions.
- 2) Appropriate levels of confidentiality for the data shall be based on established data classification (see SAM Section 5320.5).

D. Information Exchange Security

- The security of the information being passed on this primary two-way connection shall be protected through the use of encryption software. The connections at each end shall be secured plus the physical location the application systems shall be within a controlled access facilities. Individual users may not have access to the data except through their systems security software that is logged in detail or controlled. All access will be controlled by authentication methods to validate the approved users.
- 2) Standards for secure transmission may be accomplished through such means as certificates, secure socket layer, etc., and storage of the data with encryption, if applicable.
- 3) Both CSD and Contractor shall maintain security patches and anti-virus software updates.

E. Trusted Behavior Expectations

CSD's application system and users shall protect Contractor's application system/data, and the Contractor's application system and users shall protect CSD's application system/data, in accordance with the Privacy Act and Trade

Secrets Act (18 U.S. Code 1905) and the Unauthorized Access Act (18 U.S. Code 2701 and 2710).

F. Formal Security Guidelines

CSD's Computer Security Policy and Contractor's policy and procedures for internal controls shall conform to the standards and obligations for the protection of data established herein and shall ensure their implementation.

G. Incident Reporting

Any party discovering a security incident shall report it in accordance with its incident reporting procedures. Contractor shall within 24 hours of discovery report to CSD any security incident contemplated herein. Policy governing the reporting of Security Incidents is detailed in section D 2 – L of the SAM Management Memorandum entitled, "Safeguarding Against and Responding to a Breach of Security Involving Personal Information."

H. Audit Trail Responsibilities

Both parties are responsible for auditing application processes and user activities involving the interconnection. Activities that will be recorded include event type, date and time of event, user identification, workstation identification, success or failure of access attempts, and security actions taken by system administrators.

I. Data Sharing Responsibilities

All primary and delegated secondary organization that share, exchange, or use personal, sensitive, or confidential data shall adhere to all CSD's policies and SAM guidelines. If data sharing is accomplished via interconnectivity of an application system, then data sharing must be certified to be secure by both parties.

14. SCHEDULE OF ATTACHMENT

The following attachment to this exhibit is hereby attached and incorporated by this reference:

ATTACHMENT I 2009 SUPPLEMENTAL AUDIT GUIDE

\Cobra\Shared\Contracts\Community Services Block Grant\2010 Community Services Block Grant\2010 CSBG Reg Contract\CSBG Exhibit D - 12 17 09.doc

ATTACHMENT I

2009 CSD SUPPLEMENTAL AUDIT GUIDE

DEPARTMENT OF COMMUNITY SERVICES AND DEVELOPMENT

P.O. Box 1947 Sacramento, CA 95812-1947 (916) 341-4200 (916) 341-4203 (FAX) (916) 327-6318 (TDD)



To:

All Community Service Block Grant, Low-Income Home Energy

Assistance Program, Department of Energy, and Other Program

Contractors

From:

CSD Audit Services Unit

Date:

June 1, 2009

SUPPLEMENTAL AUDIT GUIDE

Introduction

The purpose of this 2009 Supplemental Audit Guide is to provide further instructions for the independent auditor and/or CPA firms that perform audits of agencies that contract with the California Department of Community Services and Development (CSD) to deliver programs. As specified in each program contract, all independent auditors and CPA firms must follow this Supplemental Audit Guide if the Contractor being audited is funded totally or in part by CSD contracts. This guide is not intended to be an auditing procedures manual but rather to further instruct the independent auditor and CPA firm in testing certain costs identified by CSD as needing more detailed disclosure.

The primary focus of this guide is auditing and reporting on specific items of costs funded by CSD contracts. The procedures outlined in this guide either clarify and complement or, exceed the requirements of Office of Management and Budget (OMB) Circular A-133.

Auditor's Judgment

Auditors performing the work according to this Supplemental Audit Guide must continue to exercise professional judgment. The auditor shall follow the procedures included in this audit guide unless, in the exercise of his or her professional judgment, the auditor determines that other procedures are more appropriate in particular circumstances. The auditor, however, must justify in writing any change from the audit procedures suggested by this Supplemental Audit Guide. The audit report must contain assurances that a review for compliance with OMB Circulars A-87 and A-122 was conducted.

Selected Items of Cost

Inventory System (All Contracts)

1. The independent auditor or CPA firm must gather evidence to validate the inventory listed as an asset on the balance sheet.

- 2. The closeout report on CSD contracts requires an inventory listing on all items purchased with CSD contract funds.
- 3. Inventories listed on the balance sheet and on the CSD closeout reports must be verified that they physically exist, are owned (not leased), and are in operable condition.
- 4. Inventory listings must be accurately compiled in the inventory accounts. Inventories are to be properly stated at cost (except when the market rate is lower).

Subcontracts (All Contracts)

- 1. Subcontracts must be arms-length agreements and free of actual or apparent conflicts of interest. Validate and report to CSD. CSD-funded agencies should be aware that contracting with wholly owned subsidiaries might not be considered arms-length agreements. This is especially true where both boards have similar members.
- 2. Contractors are required to substantiate that all costs expended under subcontracts are allowable and allocable to the particular program pursuant to the same standards as the costs expended directly by the Contractor under the specific CSD contract. Document the Contractor's system of ensuring this level of accountability, and report to CSD.

Weatherization Crew Hours (LIHEAP and DOE Contracts)

Document the methodology the Contractor uses to capture the actual hours each weatherization worker spends on each house, specific work performed and address. If this data is maintained in an automated system, obtain and review system documentation.

- 1. Verify that the monthly report summaries used to report weatherization crew hours provide accurate information by selecting and testing a representative sample.
- 2. Trace the monthly closeout report totals for weatherization labor hours to the Contractor's monthly report summaries and reconcile this to the supporting source documents.

Prohibition on Lobbying

The independent auditor shall verify that no CSD contract funds were used to influence or attempt to influence an officer or employee of a state or federal government agency, or a member of Congress or the State Legislature, in connection with the awarding of

any contract, grant, loan, or cooperative agreement.

System of Internal Control

Audits must include an examination of the systems of internal control. Internal control systems must be established to ensure compliance with laws and regulations affecting the expenditure of State and/or Federal funds, financial transactions and accounts, and the agency's process for submission of Contractor billings submitted to CSD for the performance of the contract.

The Contractor's accounting system must provide for accumulating and recording of expenditures by cost category (budget line items) shown in the approved budget. The independent auditor or CPA firm must give an opinion on the internal controls of the Contractor being reviewed.

Administrative Cost Cap

CSD contracts have an administrative cost cap. Administrative costs charged to each CSD contract must not exceed this cost. In addition, other Federal funds must not be used to exceed the total administrative cost cap charged to the CSD contract, unless specifically allowed by Federal statute.

Use of Indirect Cost Rates or Other Indirect Cost Methodology

- 1. A Federally Approved Indirect Cost Allocation Rate may be used for selected items of costs up to the maximum allowed by the CSD contract's administrative cost rate. Costs claimed for a specific line item in the budget cannot be reported as direct costs and also as indirect costs.
- 2. Validate the indirect cost rate or methodology and the application of the rate used by the Contractor.
- 3. Ensure compliance with OMB Circulars A-87 and A-122.

Basis for Allocation of Costs

- The independent auditor or CPA firm must identify the Contractor's basis for allocating costs to CSD contracts. Costs charged to CSD contracts must be allocable, allowable, and based on actual expenses incurred by the Contractor for the CSD contract. Costs charged to the CSD contract must also have an approved contract budget line item.
- 2. Ensure Compliance with OMB Circulars A-87 and A-122.

Going Concern and Subsequent Events

The independent auditor or CPA firm must provide a "positive assurance" statement that any (significant) subsequent events, related directly or indirectly, that occurred after the final closeout report and single agency-wide audit are submitted to CSD do not materially affect the closeout report, as submitted by the Contractor. Additionally, the independent auditor or CPA firm must provide "positive assurance" whether or not the Contractor will continue as a going concern. Some examples are litigation settlement, bankruptcy, mergers, large loans, cash flow problems, etc.

Representation Letter

A Representation Letter between the independent auditor or CPA firm and the Contractor must be forwarded to CSD. The Representation Letter must be signed by the Contractor's controller (or equivalent) and either the Chair of the Audit Committee if it exists or the Executive Director.

Engagement Letter

In the event a Contractor is more than one month late in submitting the required independent audit report, the Contractor shall submit one copy of the finalized, signed Engagement letter between the Contractor and the Contractor's independent auditor or CPA firm.

Supplemental Statements

Beginning with the 1994 program year, CSD contract provisions have required the financial and compliance audit to include supplemental statements. These supplemental statements must be included as part of the package submitted to CSD with the single agency-wide audit for each fiscal year. CSD uses the above information to reconcile the audited costs to the costs reported by the Contractor.

The supplemental statements should be based on the budget line items contained in the contract. The supplemental statement must include the contract budget line items, expenditures for each budget line item by fiscal year, total audited costs and total reported expenses by budget line item. Please refer to Attachment Nos. 1 and 2 for examples of the format to use for the required supplemental statements.

Auditing Standards and Reports

The financial and compliance audit report shall contain the following supplemental financial information: a combined statement of revenue and expenditures for each contract that presents, by budget line item, revenue and expenditures for the audit period and a description of the methodology used to allocate and claim indirect costs and any administrative cost pools.

Testing of Transactions

A sufficient number of items should be selected for review that represent all material costs categories. The audit should determine whether:

- a. Contractor's internal control over the contract is effective and working as intended;
- b. Reported program expenditures are allowable and allocable;
- c. Reported expenditures conform to funding or program limitations or exclusions;
- d. Reported expenditures are not charged to, or reimbursed by, other programs or funding sources;
- e. Transactions are properly approved, reported, and supported by source documents;
- f. Reported expenditures were incurred within the appropriate contract term; and
- g. Contractor complied with applicable laws, regulations, and contract requirements.

Identify American Recovery and Reinvestment Act of 2009 (ARRA) Funds

Contractors covered under the Single Audit Act and OMB circular A-133 must specifically identify ARRA funds on the SEFA by CFDA number, contract number, and by attaching the prefix "ARRA-"to the Federal program name. This information may be used by CSD to monitor the Contractor's expenditures of ARRA funds. In addition, the Contractor should maintain documentation to identify sub-award and project funded through the ARRA.

ADDITIONAL PROVISIONS:

1. <u>FEDERAL CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND RELATED MATTERS</u>

Contractor hereby certifies to the best of its knowledge that it or any of its officers:

- 1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.
- 2) Have not within a three-year period preceding this Agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
- Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph 2 of this certification.
- 4) Have not within a three-year period preceding this Agreement had one or more public (federal, state, or local) transactions terminated for cause or default.
- If any of the above conditions are true for the Contractor or any of its officers, Contractor shall describe such condition and include it as an attachment to this Exhibit E. Based on the description, CSD in its discretion may decline to execute this Agreement, or set further conditions of this Agreement. In the event any of the above conditions are true and not disclosed by Contractor, it shall be deemed a material breach of this Agreement, and CSD may terminate this Agreement for cause immediately pursuant to the termination provisions of State and federal law governing the CSBG program.

2. PROCUREMENT

A. Contract Administration

1) Contractors shall administer this Agreement in accordance with all federal and state rules and regulations and Recovery Act provisions governing CSBG pertaining to procurement, including Office of Management and Budget (OMB) Circulars and amendments thereto, consistent with the general OMB compliance requirement in Exhibit A to this Agreement.

Contractors shall establish, maintain, and follow written procurement procedures consistent with the procurement standards in OMB Circulars A-102 and A-110 and all additional provisions in this Agreement, including but not limited to a code of conduct for the award and administration of contracts and a procedure that provides, to the maximum extent practical, open and free competition.

- Contractor shall not permit any organizational conflicts of interest or noncompetitive practices that may restrict or eliminate competition or otherwise restrain trade. In order to ensure objective subcontractor performance and eliminate unfair competitive advantage, individuals, or firms that develop or draft specifications, requirements, statements of work, invitations for bids, and/or requests for proposals shall be excluded from competing for such procurements. Contractor shall award any subcontract to the bidder or offeror whose bid or offer is responsive to the solicitation and is most advantageous to Contractor when considering price, quality, and other factors. Contractor's solicitations shall clearly set forth all requirements that the bidder or offeror shall fulfill in order for the bid or offer to be evaluated by the recipient.
- Contractor assures that all supplies, materials, equipment, or services purchased or leased with funds provided by this Agreement shall be used solely for the activities allowed under this Agreement, unless a fair market value for such use is charged to the benefiting program and credited to this Agreement.
- In addition to adhering to all OMB requirements and the Contractor's established procedures for all procurement transactions of any amount, for each purchase, lease, or subcontract for any articles, supplies, equipment, or services obtained from vendors or subcontractors where the per-unit cost or total value of contract exceeds \$5,000, three competitive quotations shall be obtained or adequate justification documented and maintained as to the absence of bidding. In cases of a bona fide emergency where awarding a subcontract is necessary for the immediate preservation of public health, welfare, or safety, documentation of the emergency will be sufficient in lieu of the three-bid process.
- 5) To ensure that significant procurement transactions are conducted in an open and freely competitive manner, Contractor shall comply with the following requirement:
 - a. Contractor shall prepare and submit a Request for Purchase/Lease Pre-Approval (CSD 558) to CSD at least fifteen (15) calendar days

prior to executing the subcontract for each of the following procurement transactions:

- i. Any articles, supplies, equipment or services having a perunit cost in excess of \$5,000; or
- ii. Any articles, supplies or equipment where the total contract amount exceeds \$100,000.
- Noncompliance with any of the provisions in this Section 2. shall result in a disallowance of the costs of the procurement transaction.
- 7) Contractor assures that it shall exercise due care in the use, maintenance, protection, and preservation of state-owned property in Contractor's possession or any other property or equipment procured by Contractor with State funds. Such care shall include, but is not limited to, the following:
 - a. Maintaining insurance coverage against loss or damage to such property or equipment.
 - b. Ensuring that the legal ownership of any motor vehicle or trailer is in the name of the Contractor.

B. Limitation on Use of Funds

Contractor shall assure that funds received under this Agreement shall not be used for the purchase or improvement of land or for the purchase, construction, or permanent improvement of any building or other facility other than low-income weatherization or energy-related home repairs.

3. <u>AFFIRMATIVE ACTION COMPLIANCE</u>

- A. Each Contractor or subcontractor with 50 or more employees and an agreement of \$50,000 or more shall be required to develop a written Affirmative Action Compliance Program.
- B. The written program shall follow the guidelines set forth in Title 41 CFR Section 60-1.40, Sections 60-2.10 through 60-2.32, Sections 60-250.1 through 60-250.33, and Sections 60-741.4 through 60-741.32.
- C. Each Contractor or subcontractor with less than 50 employees shall comply with Section 202 of Part II of Executive Order 11246, as amended by Executive

Order 11375. Contractor shall ensure that subcontractors falling within the scope of this provision shall comply in full with the requirements thereof.

4. <u>NONDISCRIMINATION COMPLIANCE</u>

- A. Contractor's signature affixed hereon shall constitute a certification that to the best of its ability and knowledge will, unless exempted, comply with the nondiscrimination program requirements set forth in this section.
- B. Contractor hereby certifies compliance with the following:
 - 1) Federal Executive Order 11246, as amended by Executive Order 11375, relating to equal employment opportunity.
 - 2) Title VI and Title VII of the Civil Rights Act of 1964, as amended.
 - 3) Rehabilitation Act of 1973, as amended.
 - 4) Vietnam Era Veterans Readjustment Assistance Act of 1972, as amended.
 - 5) Title 41, Code of Federal Regulations (CFR), Chapter 60, Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor, as amended.
 - 6) Public Law 101-336, Americans with Disabilities Act of 1990, as amended.

5. SPECIFIC ASSURANCES

A. Pro-Children Act of 1994

- 1) This Agreement incorporates by reference all provisions set forth in Public Law 103-227, Part C Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act).
- 2) Contractor further agrees that the above language will be included in any subcontracts that contain provisions for children's services and that all subcontractors shall certify compliance accordingly. For detailed explanation, see www.csd.ca.gov.
- This Agreement incorporates by reference all provisions set forth in the Child Support Services and Referrals (Section 678 (b) 1998 CSBG Reauthorization Act)." For detailed explanation, see www.csd.ca.gov.

B. American-Made Equipment/Products

Contractor shall assure, pursuant to Public Law 103-333, Section 507, to the extent practicable, that all equipment and products purchased with funds made available under this Agreement shall be American made.

C. Federal and State Occupational Safety and Health Statutes

Contractor assures that it shall be in compliance with the provisions as set forth in Federal and State Occupational Safety and Health Statutes; the California Safe Drinking Water and Toxic Enforcement Act of 1986; Universal Waste Rule (Hazardous Waste Management System: Modification of the Hazardous Waste Recycling Regulatory Program); Final Rule; and Workers' Compensation laws.

D. Political Activities

- 1) Contractor shall refrain from all political activities if such activities involve the use of any funds that are the subject of this Agreement.
- 2) Contractor is prohibited from any activity that is designed to provide voters or prospective voters with transportation to the polls or to provide similar assistance in connection with an election if such activities involve the use of any funds that are subject to this Agreement.

E. Lobbying Activities

- 1) Contractor shall refrain from all lobbying activities if such activities involve the use of any funds that are the subject of this Agreement or any other fund, programs, projects, or activities that flow from this Agreement.
- If Contractor engages in lobbying activities, Contractor shall complete, sign and date the CERTIFICATION REGARDING LOBBYING/DISCLOSURE OF LOBBYING ACTIVITIES, EXHIBIT G, as required by the U.S. Department of Health and Human Services under 45 CFR Part 93.

6. RIGHT TO MONITOR, AUDIT, AND INVESTIGATE

A. Any duly authorized representative of the federal or state government, which includes but is not limited to the State Auditor, CSD Staff, and any entity selected by CSD to perform inspections, shall have the right to monitor and audit Contractor and all subcontractors providing services under this Agreement through on-site inspections, audits, and other applicable means the State determines necessary.

- B. Contractor shall make available all reasonable information necessary to substantiate that expenditures under this agreement are allowable and allocable, including, but not limited to books, documents, papers, and records. Contractor shall agree to make such information available to the federal government, the state, or any of their duly authorized representatives including representatives of the entity selected by CSD to perform inspections, for examination, copying, or mechanical reproduction, on or off the premises of the appropriate entity upon a reasonable request.
- C. Any duly authorized representative of the federal or state government shall have the right to undertake investigations in accordance with Public Law 97-35, as amended.
- D. All agreements entered into by Contractor with audit firms for purposes of conducting independent audits under this Agreement shall contain a clause permitting any duly authorized representative of the federal or state government access to the working papers of said audit firm(s).

7. RECORD-KEEPING

- A. All records maintained by Contractor shall meet the OMB requirements contained in the following Circulars: A-102, Subpart C, ("Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments") or A-110, Subpart C, Nonprofit Organizations, whichever is applicable.
- B. Contractor shall maintain all records pertaining to this Agreement for a minimum period of three years after submission of the final report. However, Contractor shall maintain all such records until resolution of all audit and monitoring findings are completed.
- C. Contractor assures that employee and applicant records shall be maintained in a confidential manner to assure compliance with the Information Practices Act of 1977, as amended, and the Federal Privacy Act of 1974, as amended.

8. Administrative Hearing for Denial of Client Benefits by Contractor

- A. Contractor has read and agrees to strictly comply with Title 22 of the California Code of Regulations, Section 100751, as amended, which sets forth elements to be included in client benefit denial appeal procedures and shall advise individuals who have been denied assistance of their twenty (20) day right to appeal to the State for an administrative hearing pursuant to 42 USC 8624(b)(13), as amended.
- B. Within five (5) working days of receipt of an appeal from a client, CSD's Fair Hearings Officer shall schedule an administrative hearing to be conducted no later than thirty (30) calendar days from the receipt of the request.
- C. The client may withdraw request for appeal for administrative hearing at any time during the appeal process by rendering written or oral notice to the State. Where oral notice is given, such notice shall be confirmed in writing by the Parties.

9. <u>CSBG TERMS, CONDITIONS AND PROVISIONS FISCAL YEAR 2010</u>

A. Program Standards

The provisions of Public Law 105-285, Title II – Community Services Block Grant Program, Subtitle B – Community Services Block Grant Program of the Community Services Block Grant Act, the provision of the current approved Community Services Block Grant State plan, including all approved amendments or revision.

- B. Administrative Requirements in accordance with Title 45 of the Code of Federal Regulations (CFR).
 - 45 CFR Part 16 Procedures of the Departmental Grant Appeals Board;
 - 45 CFR Part 30 Claims Collection;
 - 45 CFR Part 76 Debarment and Suspension from Eligibility for Financial Assistance (Nonprocurement);
 - 45 CFR Part 80 Nondiscrimination Under Programs Receiving Federal Assistance through the Department of Health and Human Services, Effectuation of Title VI of the Civil Rights Act of 1964;
 - 45 CFR Part 81 Practice and Procedure for Hearings Under Part 80 of this Title;
 - 45 CFR Part 84 Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance;
 - 45 CFR Part 86 Nondiscrimination on the Basis of Sex in Education Programs and Activities Receiving or Benefiting from Federal Financial Assistance;
 - 45 CFR Part 87 Equal Treatment for Faith-Based Organizations;
 - 45 CFR Part 91 Nondiscrimination on the Basis of Age in HHS Programs or

Activities Receiving Federal Financial Assistance;

45 CFR Part 93 – New Restrictions on Lobbying;

45 CFR Part 96 – Block Grants:

45 CFR Part 97 – Consolidation of Grants to the Insular Areas:

45 CFR Part 100 – Intergovernmental Review of Department of Health and Human Services Programs and Activities

The above documents are hereby incorporated by reference into this Agreement. to access these documents, please visit www.csd.ca.gov

C. In accordance with Public Law 103-333, the "Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 1995," the following provisions are applicable to this grant award:

Section 507: "Purchase of American-Made Equipment and Products - It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made."

Section 508: "When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all States receiving Federal funds, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources."

- D. In accordance with Part C of Public Law 103-227, the "PRO-KIDS Act of 1994," smoking may not be permitted in any portion of any indoor facility owned or regularly used for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs wither directly or through State or local governments. Federal programs include grants, cooperative agreements, loans and loan guarantees, and contracts. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions or facilities and used for inpatient drug and alcohol treatment.
- E. Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations and the Single Audit Act of 1984, as amended.

\\Cobra\Shared\Contracts\Community Services Block Grant\2010 Community Services Block Grant\2010 CSBG Reg Contract\CSBG Exhibit E - Additional Provisions New 12 17 09 doc

DEFINITIONS

All terms used in this Agreement shall be those as defined in applicable federal and state law (see 42 U.S.C. § 9902 and Govt. Code § 12730) and regulation (see 45 C.F.R. Part 96 and 22 C.C.R. § 100601), or as more specifically defined as:

Agreement:

The complete contents of this contract entered into by and between

the CSD and Contractor, including all rights, duties, and

obligations whether expressed or implied required toward the legal performance of the terms hereof, and including all documents

expressly incorporated by reference.

Amendment:

A formal change to the Agreement of a material nature including but not limited to the term, scope of work, or name change of one

of the Parties, or a change of the maximum amount of this

Agreement.

Authorized Agent:

The duly authorized representative of the Board of Directors of Contractor, and the duly elected or appointed, qualified, and acting officer of the State. In the case of Contractor, the State shall be in receipt of a board resolution affirming the agent's representative capacity to bind Contractor to the terms of this Agreement.

Board of Directors:

For the purposes of a private nonprofit Community Action Agency, Board of Directors refers to the tripartite board as mandated by 42 U.S.C. § 9910 and Government Code § 12751. For the purposes of a publicly governed Community Action Agency, Board of Directors refers to the tripartite advisory/ administering board that is mandated by 42 U.S.C. § 9910 and California Government Code § 12752.1 and established by the

political subdivision or local government.

Community Action Agency: A public, or private nonprofit, agency that fulfills all requirements

of Government Code § 12750.

Contractor:

The entity (partnership, corporation, association, agency, or

individual) designated on the face sheet (STD 213) of this

Agreement.

CSD:

The State of California Department of Community Services and

Development.

Final Allocation:

The actual amount of funds available to Contractor under this

Agreement, as calculated pursuant to Government Code § 12759

after CSD receives the notice of grant award for the full allocation based on the appropriation by Congress for the related federal fiscal year, and as publicly announced by CSD's Director or designee, subsequent to the execution of this Agreement.

Maximum Amount:

The dollar amount reflected on line 3 of the face sheet (STD 213)

of this Agreement.

Modification:

An immaterial change to this Agreement that does not require an

Amendment.

Parties:

CSD on behalf of the State of California, and the Contractor.

Program:

The Community Services Block Grant (CSBG) Program,

42 USC §§ 9901 et seq., as amended.

State:

The State of California Department of Community Services and

Development.

Subcontractor:

An entity (partnership, tribe, corporation, association, agency, or individual) that enters into a separate contract or agreement with Contractor to fulfill direct program or administrative tasks in

support of this Agreement.

Subcontract:

A separate contract or agreement entered into by and between Contractor and Subcontractor to fulfill direct program or

administrative tasks in support of this Agreement.

\Cobra\Shared\Contracts\Community Services Block Grant\2010 Community Services Block Grant\2010 CSBG Reg Contract\CSBG Exhibit F - Definitions 12 17 09.doc

DISCLOSURE OF LOBBYING ACTIVITIES CONTINUATION SHEET

Approved by OMB 0348-0046

			•
Reporting Entity:		Page	of
		877	
		e Venezione	
	÷		
	•		

INSTRUCTION FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- Identity the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information
 previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report
 by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, state and ZIP Code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in Item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
- (b) Enter the full name of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
- 12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
- 13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
- 14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
- 15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
- 16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budgets. Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

EXHIBIT G



CERTIFICATION REGARDING LOBBYING

DEPARTMENT OF HEALTH AND HUMAN SERVICES FAMILY SUPPORT ADMINISTRATION

PROGRAM:

Community Services Block Grant

PERIOD:

January 1, 2010 through December 31, 2010

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award document for subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Executive Director	Lois J. Cerron
Title	Signature
Community Action Partnership of Riverside County	2-2-10
Agency/Organization	Date

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete the form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure.)

1. Type of Federal Action: a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance 2. Status of Federal Action: a. bid/offer/app b. initial award c. post-award	a. initial filing		
4. Name and Address of Reporting Entity: Prime Subawardee Tier, if known:	If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:		
Congressional District, if known: 6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, <i>If applicable:</i>		
8. Federal Action Number, <i>if known:</i>	9. Award Amount, <i>if known:</i>		
10. a. Name address of Lobbying Entity (if individual, last name, first, name, MI):	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
(attach Continuation Sheet(s) SF-LLL-A, if necessary) 11. Amount of Payment (check all that apply): 13. Type of Payment (check all that apply):			
\$ actual planned 12. Form of Payment (check all that apply): a. cash b. in-kind; specify: nature value	13. Type of Payment (check all that apply): a. retainer b. one-time fee c. commission d. contingent fee e. deferred f. other; specify:		
14. Brief Description of Services Performed or to be Performed and Date(s) of Services, including officer(s), employee(s), or Member(s), contacted, for Payment indicated in Item 11: (attach Continuation Sheet(s) SF-LLL-A, if necessary)			
15. Continuation Sheet(s) SF-LLL-A attached: Yes No			
16. Information requested through this form is authorized by Title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1353. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty for not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: J. Carson Print Name: Lois J. Carson Title: Executive Director Telephone No.: 951-955-4900 Date 2-3-10		
Federal Use Only:	Authorized for Local Reproductions Standard Form – LLL		