

**SUBMITTAL TO THE BOARD OF SUPERVISORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**

447



SUBMITTAL DATE:
October 24, 2012

FROM: Riverside County Department of Mental Health

SUBJECT: Approval of the Health and Human Services Budget Plan for the implementation of California Assembly Bill (AB) 109 Criminal Justice Realignment funding, and Initiation of Amendment to Ordinance No. 440 pursuant to Resolution 440-8910 submitted herewith.

RECOMMENDED MOTION: Move that the Board of Supervisors:

1. Approve the Health and Human Services Budget Plan presented on the Schedule A, attached;
2. Adopt an order initiating an amendment to Ordinance No. 440 pursuant to Resolution 440- 8910. submitted herewith.
3. Direct Riverside County Department of Mental Health to prepare and process Ordinance No. 440 amendment.
4. Authorize the Riverside County Purchasing Agent to enter into new agreements with Mental Health and Substance Abuse program providers for AB 109 services not to exceed amount of \$100,000 each, while staying within the approved aggregate amount of \$3,000,000, without securing future competitive bids and exempting the Riverside County Purchasing Agent from the sole source requirement in accordance with Ordinance 459.4; and
5. Authorize the Riverside County Purchasing Agent to sign ministerial amendments and annually renew all agreements for AB 109 annually through June 30, 2015.

BACKGROUND: On November 6, 2012, the Riverside County Probation Department will present before the Riverside County Board of Supervisors the local Community Corrections Partnership recommended budget distribution. **(Continued on Page 2)**

JW:JZ

Jerry Wengert

Jerry Wengert, Director
Riverside County Department of Mental Health

FINANCIAL DATA	Current F.Y. Total Cost:	\$ 12,394,051	In Current Year Budget:	YES
	Current F.Y. Net County Cost:	\$ 0	Budget Adjustment:	NO
	Annual Net County Cost:	\$ 0	For Fiscal Year:	2012/2013

SOURCE OF FUNDS: 100% State	Positions To Be Deleted Per A-30	<input type="checkbox"/>
	Requires 4/5 Vote	<input checked="" type="checkbox"/>

C.E.O. RECOMMENDATION: APPROVE

County Executive Office Signature

BY: *Debra Courmoyer*

Debra Courmoyer

FORM APPROVED COUNTY COUNSEL

Policy Policy

Consent Consent

Dept't Recomm.:
Per Exec. Ofc.:

Approved by Barbara A. Oliver,
Asst. County Executive Officer/
Human Resources Director

Purchasing: *Mark Seiler*
for Department: *Mark Seiler*, Assistant Director

DATE: *10-22-12*
BY: *ELENA M. BOEVA*

SUBJECT: Approval of the Health and Human Services Budget Plan for the implementation of California Assembly Bill (AB) 109 Criminal Justice Realignment funding, and Initiation of Amendment to Ordinance No. 440 pursuant to Resolution 440- 8910 submitted herewith.

BACKGROUND (CONTINUED):

This budget includes funding for nine months of FY 12/13, plus prior year rollover funds, to support the continued implementation of AB 109 Criminal Justice Realignment requiring the additional staffing as detailed on the attached Schedule A and included in the Ordinance No. 440 amendment. AB 109 is related to the realignment of certain low level offenders, adult parolees and juvenile offenders from state to local jurisdictions.

The Riverside County Department of Mental Health (RCDMH) received an allocation from AB 109 to treat individuals who have substance abuse and/or mental health problems to support successful integration into the community and to prevent recidivism. Providing the appropriate level of care to this target population of nonviolent, non-serious, and non high risk sex offenders is essential to risk reduction. Services will be provided in specific geographic sites in the County, and a range of services will be available so the appropriate level of intervention will be provided to those individuals with Mental Health and/or Substance Abuse problems. The RCDMH will provide assessment, care coordination, treatment, and housing as needed through the following existing and new programs:

- **Intensive Treatment Teams** – A full service partnership program that provides intensive wellness and recovery based services for AB 109 clients who carry a serious mental health diagnosis in order to help break the cycle of homelessness, psychiatric hospitalization and/or incarceration related to their mental health disorders. This program is projected to serve 60 clients annually.
- **Detention Services** – Provides expanded and timely mental health services to those in jail as that population grows and the demand for more short- and long-term mental health services increases. Services include assessments, crisis intervention, mental health and substance abuse group services and medications for AB 109 individuals in the jails. Annually, an estimated 220 clients will be served and 600 screenings will be completed.
- **Contracted Placement Services** – The RCDMH will continue to provide residential treatment and inpatient services to meet the needs of the most seriously impaired AB 109 consumers by utilizing Riverside County Regional Medical Center and other mental health treatment residential facilities. An estimated 414 mental health clients will be served per year. The budget also includes funding for emergency and transitional housing to meet the needs of the AB 109 general population, which is projected to serve 375 clients annually.
- **Expanded Clinic Services** – Provides medication support, assessment and treatment in three regional AB 109 clinics with an emphasis on best practices including Recovery Management, Wellness and Recovery Action Planning, Co-Occurring Disorders, Groups/Community Education and Century Anger Management. Appropriate mental health and substance abuse services and supports will also be offered to members at the Day Reporting Centers. These services will include best practices of Triple P Parenting and Century Anger Management along with mental health screenings, substance abuse education and referrals. Annually, this program is projected to serve 1,200 clients.

PERFORMANCE PERIOD:

The period of performance is July 1, 2012 through June 30, 2013, however only nine months of funding has been allocated by the State at this time.

FINANCIAL IMPACT:

The RCDMH will receive \$12,394,051 in State funds. All the RCDMH agreements for AB 109 funds will have negotiated rates based on State approved rates. Additionally, each agreement is subject to termination due to unavailability of funding. No County funds are required.

1 RESOLUTION NO. 440-8910

2
3 BE IT RESOLVED by the Board of Supervisors of the County of Riverside, State of California, in
4 regular session assembled on _____, 2012, that pursuant to Section 4(a)(ii) of Ordinance No. 440,
5 the Mental Health Director is authorized to make the following listed change(s), operative on the date of
6 approval, as follows:

7

<u>Job Code</u>	<u>+/-</u>	<u>Department ID</u>	<u>Class Title</u>
15912	+ 1	4100200000	Accounting Assistant II
79742	+ 4	4100200000	Clinical Therapist II
79742	+ 2	4100300000	Clinical Therapist II
57792	+ 3	4100200000	Community Services Assistant
79726	+ 5	4100200000	Mental Health Peer Specialist
13865	+ 1	4100300000	Office Assistant II

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Schedule A

Riverside County Department of Mental Health Health and Human Services FY 12/13 AB109 Budget Plan

	FY 11/12 6 Months	FY 12/13 Full Year	Requested Changes For FY 12/13	Proposed FY 12/13 Budget
Intensive Treatment Teams Costs (ITT)	\$ 541,586	\$ 1,176,802	\$ 323,913	\$ 1,500,715
Less Revenue @ 20%	(100,255)	(216,011)	(52,842)	(268,853)
AB109 ITT Cost	441,331	960,791	271,071	1,231,863
Detention Services				
Screening and Assessments	316,309	690,130	188,762	878,892
Services in RPDC and Banning	431,657	759,725	304,835	1,064,560
Total Detention Services	747,966	1,449,855	493,597	1,943,452
Contracted Placement Services				
Emergency Housing	-	-	300,000	300,000
Transitional Housing	-	-	809,647	809,647
Housing Support (S&B)	-	-	190,353	190,353
Residential Treatment Services	528,416	1,501,740	-	1,501,740
Inpatient Treatment Services	687,500	2,750,000	-	2,750,000
Total Contracted Placement Services	1,215,916	4,251,740	1,300,000	5,551,740
Less Revenue @ 20%	(149,848)	(562,348)	-	(562,348)
AB109 Contracted Placement Cost	1,066,068	3,689,392	1,300,000	4,989,392
Expanded Clinic Services				
Medication Services	755,179	1,447,218	(233,276)	1,213,942
Mental Health Treatment/Assessment	603,782	928,754	885,663	1,814,417
Substance Abuse Treatment Services	714,151	1,660,511	536,021	2,196,532
Total Expanded Clinic Services	2,073,112	4,036,483	1,188,408	5,224,891
Less Revenue @ 20%	(137,036)	(268,444)	149,037	(119,407)
AB109 Expanded Clinic Services Cost	1,936,077	3,768,039	1,337,445	5,105,484
Total AB109 Budget Plan for FY 12/13	4,191,442	9,868,078	3,402,114	13,270,192
FY 11/12 Rollover Funds				2,441,407

**Riverside County Department of Mental Health
Health and Human Services
FY 12/13 AB109 Budget Plan**

1. Intensive Treatment Teams

The Intensive Treatment Teams (ITT) full service partnership program provides intensive wellness and recovery based services for AB 109 clients who carry a serious mental health diagnosis in order to help break the cycle of homelessness, psychiatric hospitalization and/or incarceration related to their mental health disorders.

	FY 12/13 (Full Year)		Requests/Changes		FY 12/13 Proposed Budget	
	FTE	Amount	FTE	Amount	FTE	Amount
Salary and Benefits (S&B)	8	\$ 664,324	3	\$ 198,488	11	\$ 862,812
Operating Costs		336,929		75,425		412,354
Medical/Dental Supplies		25,550		50,000		75,550
Contractors		150,000		-		150,000
Less Revenue @20%		(216,011)		(52,842)		(268,853)
Total Intensive Treatment Teams		\$ 960,792		\$ 271,071		\$ 1,231,863

Staffing in the ITT consists of the following:

- 2 FTE Clinical Therapists providing clinical assessment and crisis intervention
- 2 FTE Behavioral Health Specialist II coordinating personal services, support and education for clients
- 1 FTE Behavioral Health Specialist III providing co-occurring (substance abuse and mental health) disorder intervention and education
- 3 FTE Mental Health Peer Specialists providing a direct connection with clients to assist them in negotiating systems and barriers that may inhibit adherence to the Care Plan, assisting in transportation and some case management, and conducting Recovery Management and Wellness Recovery groups
- 5 FTE Staff Psychiatrist providing medication support, assessment consultation and education
- 5 FTE Licensed Vocational Nurse providing case management, medication support and education
- 1 FTE Mental Health Services Supervisor providing administrative oversight to the program
- 1 FTE Office Assistant providing administrative support to the program

The ITT is designed to serve 60 clients annually, with an average length of treatment of eighteen months based on the time limit for AB 109 supervision. This program requires a higher staff to client ratio in order to most effectively serve this challenging population. Additional funding is required to cover the increasing cost of medications. There were 11 clients served in FY 11/12.

**Riverside County Department of Mental Health
Health and Human Services
FY 12/13 AB109 Budget Plan**

2. Detention Screening/Assessment and Services

The Detention Screening/Assessment and Services proposed budget provides expanded and timely mental health services to those in jail as that population grows and the demand for more short- and long-term mental health services increases. Services include assessments, crisis intervention, mental health and substance abuse group services and medications for AB 109 individuals in the jails.

	FY 12/13 (Full Year)		Requests/Changes		FY 12/13 Proposed Budget	
	FTE	Amount	FTE	Amount	FTE	Amount
A. Screening/Assessment (S&B)	7	\$ 690,130	2	\$ 188,762	9	\$ 878,892
B. Services in RPDC & Banning (S&B)	9	545,184	1	15,288	10	560,472
Operating Costs		146,541		39,547		186,088
Medical/Dental Supplies		68,000		250,000		318,000
Total Detention Screening/Assessment Services	16	\$ 1,449,855	3	\$ 493,597	19	\$ 1,943,452

Staffing in the jails consists of the following:

- 15 FTE Clinical Therapists whose primary function is to evaluate AB 109 consumers for mental health and substance abuse needs in the jail system, make mental health treatment recommendations, and collaborate with Sheriff Correctional staff and Mental Health Outpatient Stakeholders to meet the individual needs of the consumer
- 4 FTE Office Assistants who provide administrative support to the program

This staffing will support the plans underway to increase mental health coverage at Smith Correctional Facility to 24 hours, 7 days a week. Additionally, funding is required for staff to manage the increased referrals to Mental Health Court, Veterans Court and Misdemeanor Alternative Sentencing Program (MAP), and to cover the increasing cost of medications. There were 160 clients served in FY 11/12 and 220 clients are projected to be served in FY 12/13. It is estimated that 600 screenings will be completed annually.

**Riverside County Department of Mental Health
Health and Human Services
FY 12/13 AB109 Budget Plan**

3. Contracted Placement Services

The Department of Mental Health will continue to provide residential treatment and inpatient services to meet the needs of the most seriously impaired AB109 consumers by utilizing Riverside County Regional Medical Center (RCRMC) and other mental health treatment residential facilities.

Additionally, in response to the recognized housing needs of the general population AB 109 consumer, the proposed budget includes funding for emergency and transitional housing. Emergency housing will provide up to 30 days of immediate housing for AB109 clients who have no identified residence and have just been released from prison or jail and are "re-entering" the community. Transitional housing will provide supportive housing up to 6 months for AB 109 clients without alternative housing, including assisting in the transition back to community living, developing skills and accessing resources needed for self sufficiency.

	FY 12/13 (Full Year)		Requests/Changes		FY 12/13 Proposed Budget	
	FTE	Amount	FTE	Amount	FTE	Amount
Emergency Housing		\$ -		\$ 300,000		\$ 300,000
Transitional Housing		-		809,647		809,647
Housing Support (S&B)		-	4	190,353	4	190,353
Residential Treatment Services		1,501,740		-		1,501,740
RCRMC Inpatient		2,750,000		-		2,750,000
Less Revenue @20%		(562,348)		-		(562,348)
Total Contract Placement Services		\$ 3,689,392		\$ 1,300,000		\$ 4,989,392

Housing Support staffing consists of the following:

- 3 FTE Community Services Assistant located in each regional AB 109 clinic assisting AB 109 consumers in arranging housing and access to food and basic living supplies
- 1 FTE Accounting Assistant whose primary function is to receive and process invoices and prepare reports of housing utilization and cost

The FY 12/13 budget request for the general AB 109 population includes emergency housing for 175 clients and transitional housing for 200 clients. The FY 12/13 budget request for AB 109 mental health clients is estimated to annually provide residential treatment to 230 clients, which includes 58 residential treatment and 172 emergency treatment and housing services, and Inpatient services to 184 clients. In FY 11/12, 51 clients were placed in residential treatment services and 18 clients in inpatient treatment.

Riverside County Department of Mental Health
 Health and Human Services
 FY 12/13 AB109 Budget Plan

4. Expanded Clinic Services

A. Medication Services

Medication and medication support is currently offered in all outpatient mental health clinics. The proposed budget provides funding for the implementation of this service to the three regional AB 109 clinics as well.

	FY 12/13 (Full Year)		Requests/Changes		FY 12/13	
	FTE	Amount	FTE	Amount	FTE	Proposed Budget Amount
Salary and Benefits	5	\$ 972,622	-3	\$ (277,736)	2	\$ 694,886
Operating Costs		361,121		(105,540)		255,581
Medical/Dental Supplies		113,475		150,000		263,475
Less Revenue @20%		(268,444)		149,037		(119,407)
Total Medication Services		\$ 1,178,774		\$ (84,239)		\$ 1,094,535

Staffing for medication services consists of 2 FTE Staff Psychiatrist whose primary function is to evaluate and prescribe psychotropic medications for clients.

Upon implementation, it was determined that the nursing support was not necessary for the administration of medication in the three regional AB 109 clinics. Funding was increased in FY 12/13 to cover the rising cost of medications. There were 53 clients served in FY 11/12 and 534 clients are estimated to be served annually.

**Riverside County Department of Mental Health
Health and Human Services
FY 12/13 AB109 Budget Plan**

4. Expanded Clinic Services

B. Mental Health Treatment/Assessment

Three regional AB 109 clinics are housed within the Riverside County Substance Abuse Program clinics located in Riverside, Hemet and Cathedral City. A Day Reporting Center (DRC) is opening in Riverside soon which will provide screenings and referrals, anger management groups and parenting training, and provide appropriate on-site mental health and substance abuse education and literature to consumers and family members.

	FY 12/13 (Full Year)		Requests/Changes		FY 12/13	
	FTE	Amount	FTE	Amount	FTE	Proposed Budget Amount
Salary and Benefits	10	\$ 735,969	9	\$ 641,785	19	\$ 1,377,754
Operating Costs		192,785		243,878		436,663
Total Mental Health Treatment/Assessment		\$ 928,754		\$ 885,663		\$ 1,814,417

Staffing for Mental Health treatment consists of the following:

- 10 FTE Clinical Therapists providing screening, assessment and group services to inmates being released from State prisons and the County Jail system and referring clients to appropriate services as needed
- 7 FTE Mental Health Peer Specialists providing a direct connection with clients to assist them in negotiating systems and barriers that may inhibit adherence to the Care Plan, assisting in transportation and some case management, and conducting Recovery Management and Wellness Recovery groups
- 1 FTE Behavioral Health Specialist II providing case management services to clients placed in residential treatment
- 1 FTE Mental Health Services Supervisor providing administrative oversight to the program

There have been 230 client referrals for mental health services in FY 11/12, and it is anticipated that these funds will serve approximately 550 clients annually.

**Riverside County Department of Mental Health
Health and Human Services
FY 12/13 AB109 Budget Plan**

4. Expanded Clinic Services

C. Substance Abuse Treatment Services

Three regional AB 109 clinics are located within the substance abuse programs located in Riverside, Hemet and Cathedral City. Additionally, AB 109 substance abuse services are also currently available in all substance abuse outpatient clinics throughout the county.

	FY 12/13 (Full Year)		Requests/Changes		FY 12/13	
	FTE	Amount	FTE	Amount	FTE	Proposed Budget Amount
Salary and Benefits	13	\$ 921,590	6	\$ 352,189	19	\$ 1,273,779
Operating Costs		322,557		133,832		456,389
Contracted Residential Services		416,364		50,000		466,364
Total Substance Abuse Treatment Services		\$ 1,660,511		\$ 536,021		\$ 2,196,532

Staffing for Substance Abuse Treatment consists of the following:

- 13 FTE Behavioral Health Specialist III serving as the certified Substance Abuse Counselors in the AB 109 clinics, assessing consumers for substance abuse dependency, conducting outpatient substance abuse groups and providing individual counseling
- 1 FTE Mental Health Peer Specialist providing a direct connection with clients to assist them in negotiating systems and barriers that may inhibit adherence to the Care Plan, assisting in transportation and some case management, and conducting Recovery Management and Wellness Recovery groups
- 1 FTE Senior Mental Health Peer Specialist providing supervision of the Peer Support Specialists as well as oversight to maintain fidelity to the Wellness Recovery Model
- 3 FTE Office Assistants providing administrative support to the program
- 1 FTE Mental Health Services Supervisor providing administrative oversight to the program

There have been 374 client referrals for substance abuse services in FY 11/12, and it is anticipated that these funds will serve approximately 650 clients annually.

Total Expanded Clinic Services	\$	3,768,039	\$	1,337,445	\$	5,105,484
Total AB109 Budget Request FY 12/13	\$	9,868,078	\$	3,402,114	\$	13,270,192

**Riverside County Department of Mental Health
Health and Human Services
FY 12/13 AB109 Budget Plan
Position Detail**

Position	FY 11/12	As of 6/30/12		FY 12/13	Requested Changes	Proposed
	6 Month Budgeted FTE	Filled	Vacant	Full Year Budget FTE		FY 12/13 Budget FTE
Intensive Treatment Teams Costs (ITT)						
Office Assistant	1.00	1.00	-	1.00	-	1.00
Mental Health Peer Specialist	2.00	-	2.00	2.00	1.00	3.00
Behavioral Health Specialist II	2.00	1.00	1.00	2.00	-	2.00
Clinical Therapist II	1.00	1.00	-	1.00	1.00	2.00
Behavioral Health Specialist III	-	-	-	-	1.00	1.00
Staff Psychiatrist	0.50	-	0.50	0.50	-	0.50
Licensed Vocational Nurse	0.50	-	0.50	0.50	-	0.50
Mental Health Services Supervisor	1.00	1.00	-	1.00	-	1.00
Total Intensive Treatment Teams Costs (ITT)	8.00	4.00	4.00	8.00	3.00	11.00
Detention Services						
Screening and Assessments						
Clinical Therapist II	7.00	2.00	5.00	7.00	2.00	9.00
Services in RPDC and Banning						
Office Assistant	2.00	1.00	1.00	2.00	2.00	4.00
Clinical Therapist II	7.00	1.00	6.00	7.00	(1.00)	6.00
Total Detention Services	16.00	4.00	12.00	16.00	3.00	19.00
Contracted Placement Services						
Housing Support						
Accounting Assistant II	-	-	-	-	1.00	1.00
Community Services Assistant	-	-	-	-	3.00	3.00
Total contracted Placement Services	-	-	-	-	4.00	4.00
Expanded Clinic Services						
Medication Services						
Staff Psychiatrist	2.00	0.25	1.75	2.00	-	2.00
Registered Nurse	2.00	-	2.00	2.00	(2.00)	-
Licensed Vocational Nurse	1.00	-	1.00	1.00	(1.00)	-
Mental Health Treatment/Assessment						
Mental Health Peer Specialist	3.00	-	3.00	3.00	4.00	7.00
Clinical Therapist II	6.00	5.00	1.00	6.00	4.00	10.00
Mental Health Services Supervisor	-	-	-	-	1.00	1.00
Behavioral Health Specialist II	1.00	1.00	-	1.00	-	1.00
Substance Abuse Treatment Services						
Office Assistant	2.00	2.00	-	2.00	1.00	3.00
Behavioral Health Specialist III	10.00	3.00	7.00	10.00	3.00	13.00
Mental Health Peer Specialist	-	-	-	-	1.00	1.00
Sr. Mental Health Peer Specialist	-	-	-	-	1.00	1.00
Mental Health Services Supervisor	1.00	1.00	-	1.00	-	1.00
Total Expanded Clinic Services	28.00	12.25	15.75	28.00	12.00	40.00
Total AB109 Positions (FTEs)	52.00	20.25	31.75	52.00	22.00	74.00