

**SUBMITTAL TO THE BOARD OF SUPERVISORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**

840A



FROM: Don Kent, Treasurer/Tax Collector

SUBMITTAL DATE:
June 5, 2013

SUBJECT: Treasurer's Purchase Detail Report


RECOMMENDED MOTION: That the Board of Supervisors receives and files the attached Treasurer's "Purchase Detail Report" for May 2013 in accordance with Government Code Section 53607.

BACKGROUND: The Treasurer's "Purchase Detail Report" for May 2013 is being submitted to the Board of Supervisors pursuant to the requirements of Section 53607 of the Government Code. Included in the report are investments which occurred as authorized by Section 53601 of the Government Code which were made collectively from "idle pooled funds" in the County Treasury not immediately required to meet daily expenditures. Also reported are specific investments made by the County Treasurer on behalf of various funds in the Treasury for which interest earnings accrue directly to the specific fund.


Don Kent, Treasurer-Tax Collector

FINANCIAL DATA	Current F.Y. Total Cost:	\$ 0	In Current Year Budget:	0
	Current F.Y. Net County Cost:	\$ 0	Budget Adjustment:	No
	Annual Net County Cost:	\$ 0	For Fiscal Year:	2012/2013

SOURCE OF FUNDS:	Positions To Be Deleted Per A-30	<input type="checkbox"/>
	Requires 4/5 Vote	<input type="checkbox"/>

C.E.O. RECOMMENDATION: APPROVE
BY: 
Karen L. Johnson
County Executive Office Signature

- Consent
- Policy
- Consent
- Policy

Dept's Recomm.:
Per Exec. Ofc.:

2013 JUN 11 PM 3:08
OFFICE OF THE COUNTY CLERK
COUNTY OF RIVERSIDE, CALIFORNIA

Prev. Agn. Ref.: | **District:** ALL | **Agenda Number:**

2-18



County of Riverside

INTERNAL AUDIT REPORT 2013-103

Treasurer Tax-Collector

Verification of
Statement of Assets Held By the County Treasury
As of March 31, 2013

June 4, 2013

Office of
Paul Angulo, CPA, MA
County Auditor-Controller

4080 Lemon Street
P.O. Box 1326
Riverside, CA 92502-1326



COUNTY OF RIVERSIDE
OFFICE OF THE
AUDITOR-CONTROLLER

County Administrative Center
4080 Lemon Street, 11th Floor
P.O. Box 1326
Riverside, CA 92502-1326
(951) 955-3800
Fax (951) 955-3802

ACO | **AUDITOR**
CONTROLLER
COUNTY OF RIVERSIDE

Paul Angulo, CPA, MA
AUDITOR-CONTROLLER

June 4, 2013

Mr. Don Kent
Treasurer-Tax Collector
4080 Lemon Street, 4th Floor
P.O. Box 12005
Riverside, CA 92502-3660

Subject: Internal Audit Report 2013-103: Verification of Statement of Assets Held by the County Treasury as of March 31, 2013

Dear Don Kent:

Pursuant to Government Codes 26920(a) and 26922, the Auditor-Controller has completed the required verification of the accompanying "Statement of Assets Held by the County Treasury as of March 31, 2013," prepared by the Treasurer-Tax Collector. The purpose of the verification was to determine whether cash and investments as shown on the "Statement of Assets Held by the County Treasury as of March 31, 2013" were reasonably stated.

Our review included the following procedures:

- 1) Counting cash in the County Treasury and confirming cash and investments held on behalf of the Treasurer; and
- 2) Verifying that the records of the County Treasury and Auditor-Controller are reconciled for cash and investment accounts.

Based on our review, the amounts shown on the "Statement of Assets Held by the County Treasury as of March 31, 2013" are reasonably stated.

Paul Angulo, CPA, MA-Mgmt
County Auditor-Controller

By: Rachelle Román, CRMA, MPA
Chief Internal Auditor

cc: Sue Bauer, Senior Chief Deputy Treasurer-Tax Collector

JON CHRISTENSEN
ASSISTANT TREASURER-TAX COLLECTOR

SUE BAUER
SR. CHIEF DEPUTY TREASURER-TAX COLLECTOR

DEBBIE BASHE
INFORMATION TECHNOLOGY OFFICER II

GIOVANE PIZANO
INVESTMENT MANAGER



DON KENT
TREASURER

GARY COTTERILL
CHIEF DEPUTY TREASURER-TAX COLLECTOR

MATT JENNINGS
CHIEF DEPUTY TREASURER-TAX COLLECTOR

MELISSA JOHNSON
CHIEF DEPUTY TREASURER-TAX COLLECTOR

ADRIANNA GOMEZ
ADMINISTRATIVE SERVICES MANAGER I

**STATEMENT OF ASSETS HELD BY THE COUNTY TREASURY
AS OF MARCH 31, 2013**

	County Pool	Total
CASH		
Cash on Hand	27,762.00	27,762.00
Cash Items To/From Bank	2,229,146.76	2,229,146.76
Receivables	514.94	514.94
Demand Accounts	189,871,200.00	189,871,200.00
Imprest Cash	1,518,203.33	1,518,203.33
Total Cash	193,646,827.03	193,646,827.03
 INVESTMENTS, stated at cost		
Securities	5,125,734,196.18	5,125,734,196.18
Total Investments	5,125,734,196.18	5,125,734,196.18
 Total Assets	5,319,381,023.21	5,319,381,023.21

Grace Presto
Deputy Treasurer-Tax Collector
04/04/2013

RIVERSIDE COUNTY TREASURER-TAX COLLECTOR

4080 LEMON STREET, 4TH FLOOR ★ P.O. BOX 12005 ★ RIVERSIDE, CALIFORNIA 92502
WWW.RIVERSIDETAXINFO.COM ★ (951) 955-2900 ★ (977) 748-2899 ★ FAX (951) 955-2923



DETAIL TRANSACTION REPORT - FIXED INCOME

From Date: 5/1/2013 To Date: 5/31/2013

Date Basis: Settlement

Run: 06/05/2013 01:10:58 PM
Reporting Currency: Local

County of Riverside

Inv. No.	Description Memo	Pool#	CUSIP Fund	Cash Maturity	Entered User ID	Traded Settled	TXN Type	Coupon Yield	Par Value Original Face	Book Value Prem / (Disc)	(Interest) Amort / (Accret)	(Gain) / Loss Settlement	
Set Date: 5/1/2013													
26458	WELLS FARGO TRI PAR		REPO	1 05/08/2013	05/01/2013 ilicea	05/01/2013	PURC	.110	100,000,000.00	100,000,000.00	0.00	0.00	
PURC						05/01/2013		.110	100,000,000.00	100,000,000.00	0.00	-100,000,000.00	
Inv Type: 1000 REPOS-A/360													
26287	CALTRUST HERITAGE		WFJXX	1 06/01/2013	05/01/2013 ilicea	05/01/2013	PURC	.115	65,000,000.00	65,000,000.00	0.00	0.00	
PURC						05/01/2013		.115	65,000,000.00	65,000,000.00	0.00	-100,000,000.00	
Inv Type: 1060 MMKT ACCTS-A/366													
26453	WAL-MART STORES INC		93114EUW5	1 07/30/2013	04/25/2013 ilicea	04/25/2013	PURC	.100	50,000,000.00	49,987,500.00	0.00	0.00	
PURC						05/01/2013		.100	50,000,000.00	-12,500.00	0.00	-49,987,500.00	
Inv Type: 3020 COMMERCIAL PAPER													
										Subtotal	214,987,500.00	0.00	-214,987,500.00
Set Date: 5/2/2013													
26462	WELLS FARGO TRI PAR		REPO	1 05/09/2013	05/02/2013 ilicea	05/02/2013	PURC	.110	100,000,000.00	100,000,000.00	0.00	0.00	
PURC						05/02/2013		.110	100,000,000.00	100,000,000.00	0.00	-100,000,000.00	
Inv Type: 1000 REPOS-A/360													
26288	FIDELITY PRIME		FIPXX		05/02/2013	05/02/2013	PURC	.090	45,000,000.00	45,000,000.00	0.00	0.00	



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Inv. No.	Description Memo	Pool#	CUSIP Fund	Cash Maturity	Entered User ID	Traded Settled	TXN Type	Coupon Yield	Par Value Original Face	Book Value Prem / (Disc)	(Interest) Amort / (Accret)	(Gain) / Loss Settlement
PURC			1	06/17/2013	ilicea	05/14/2013		.070	100,000,000.00	-6,611.11	0.00	-99,993,388.89
								.070	100,000,000.00	99,993,388.89	0.00	0.00
								.070	0.00	-6,611.11	0.00	-99,993,388.89
								.070	100,000,000.00	99,993,388.89	0.00	0.00
								.070	0.00	-6,611.11	0.00	-99,993,388.89
								.076	250,000,000.00	249,993,388.89	0.00	0.00
								.076	0.00	-6,611.11	0.00	-249,993,388.89

Inv Type: 3020 COMMERCIAL PAPER

Subtotal

Set Date: 5/15/2013

26433	FNMA 3.5YrNclYrB		3135GOWS8	11/15/2016	ilicea	04/17/2013	PURC	.600	10,000,000.00	10,000,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-10,000,000.00
26437	FNMA 3.5YrNclYrB		3135GOWS8	11/15/2016	ilicea	04/18/2013	PURC	.600	10,000,000.00	10,000,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-10,000,000.00
26445	FNMA 3.5YrNclYrE		3135GOWS8	11/15/2016	ilicea	04/23/2013	PURC	.600	5,000,000.00	5,000,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-5,000,000.00
26446	FNMA 3.5YrNclYrE		3135GOWS8	11/15/2016	ilicea	04/23/2013	PURC	.600	5,000,000.00	5,000,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-5,000,000.00
26447	FNMA 3.5YrNclYrE		3135GOWS8	11/15/2016	ilicea	04/23/2013	PURC	.600	5,000,000.00	5,000,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-5,000,000.00
26448	FNMA 3.5YrNclYrE		3135GOWS8	11/15/2016	ilicea	04/23/2013	PURC	.600	10,000,000.00	10,000,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-10,000,000.00
26449	FNMA 3.5YrNclYrE		3135GOWS8	11/15/2016	ilicea	04/23/2013	PURC	.600	5,000,000.00	5,000,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-5,000,000.00
26461	FNMA 3.5YrNclYrB		3135GOWS8	11/15/2016	ilicea	05/02/2013	PURC	.600	3,635,000.00	3,635,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-3,635,000.00
26464	FNMA 3.5YrNclYrB		3135GOWS8	11/15/2016	ilicea	05/06/2013	PURC	.600	10,000,000.00	9,998,500.00	0.00	0.00
			1			05/15/2013		.604	0.00	-1,500.00	0.00	-9,998,500.00
26469	FNMA 3.5YrNclYrB		3135GOWS8	11/15/2016	ilicea	05/07/2013	PURC	.600	10,000,000.00	10,000,000.00	0.00	0.00
			1			05/15/2013		.600	0.00	0.00	0.00	-10,000,000.00
								.600	73,635,000.00	73,633,500.00	0.00	0.00
								.601	0.00	-1,500.00	0.00	-73,633,500.00
								.600	73,635,000.00	73,633,500.00	0.00	0.00
								.601	0.00	-1,500.00	0.00	-73,633,500.00
								.600	73,635,000.00	73,633,500.00	0.00	0.00
								.601	0.00	-1,500.00	0.00	-73,633,500.00

Inv Type: 1525 FNMA-Fxd-S 30/360

Subtotal



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From Date: 5/1/2013 To Date: 5/31/2013

Date Basis: Settlement

Run: 06/05/2013 01:10:58 PM

Reporting Currency: Local

County of Riverside

SUMMARY

Assets	Yield	Par Value	Original Face	Book Value	Prem / (Disc)	Amort / (Accret)	(Interest)	(Gain) / Loss	Settlement
PURC	.145	1,859,455,000.00	0.00	1,859,397,660.33	-57,339.67	0.00	2,530.55	0.00	-1,859,400,190.88
Totals :	.145	1,859,455,000.00	0.00	1,859,397,660.33	-57,339.67	0.00	2,530.55	0.00	-1,859,400,190.88