SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA



FROM: TLMA - Transportation Department

SUBMITTAL DATE:

June 12, 2013

Engineering Services Agreement for Highway Geotechnical and Materials Testing

Services between the County of Riverside (County) and the firm, Kleinfelder West,

Inc.

RECOMMENDED MOTION: That the Board of Supervisors:

- 1. Approve and execute an Engineering Services Agreement between the County of Riverside Transportation Department (Department) and Kleinfelder West, Inc. to provide Highway Materials testing for a three-year period covering from the date of full execution through June 30, 2016 for an annual contract maximum amount not-to-exceed \$360,000 per year; and
- Authorize the Chairman of the Board of Supervisors to execute the same; and
- 3. Authorize the Director of Transportation to approve the extensions, as provided for in the agreement.

Juan C. Perez

Director of Transportation and Land Management

(Continued On Attached Page) \$ 360,000/year In Current Year Budget: **Current F.Y. Total Cost:** Yes **FINANCIAL** \$0 **Budget Adjustment:** No **Current F.Y. Net County Cost:** DATA For Fiscal Year: \$0 2013/14 Annual Net County Cost: SOURCE OF FUNDS: Gas Tax, Measure A, TUMF Fees, Development Positions To Be **Deleted Per A-30** Fees, Permit Fees, and other Transportation funding sources There are no General Funds used in this project. Requires 4/5 Vote

C.E.O. RECOMMENDATION:

APPROV

County Executive Office Signature

Policy Policy \boxtimes

X

Consent

Dep't Recomm. Per Exec. Ofc.

Prev. Agn. Ref.

District: All

Agenda Number:

The Honorable Board of Supervisors

RE: Engineering Services Agreement for Highway Geotechnical and Materials Testing Services between the County of Riverside (County) and the firm, Kleinfelder West, Inc.

June 12, 2013 Page 2 of 2

BACKGROUND: The Department is in need of additional Highway Materials Testing and Geotechnical Services on county roads and structures to help meet the demands of construction activity. Two (2) firms are needed, in addition to the two (2) existing on-call firms, for the Department to fully support construction materials testing and source inspection activities, particularly on state funded projects. A Request for Proposals was advertised in February 2013. Fourteen (14) firms submitted proposals and the top six (6) ranked firms (based on an evaluation of the technical proposals) were invited to interview. A panel of representatives from the Department evaluated the written proposals and conducted the interviews.

Kleinfelder West, Inc. has been selected as one of the top ranked firms to provide the geotechnical and materials testing services with a contract amount not-to-exceed \$360,000 annually. The term is for a three (3)-year period with the option to renew two (2) one (1)-year terms. The added services will enable the Department to provide geotechnical and materials testing more efficiently as well as obtaining specific testing expertise that will supplement the Materials Lab staff resources.

The Department is in need of this additional materials testing and geotechnical services firm due to the increased workload anticipated for the next two (2) years. The increased work is a result of one-time funding obtained from grants and Prop1B state bond funding and increased state inspection requirements.

The terms of the agreement also provide the County with the option to terminate the contract without cause upon thirty (30) days written notice. All associated contract costs would be fully funded by Gas Tax, Measure "A", TUMF Fees, Development Fees and Permit Fees. No net County costs would be incurred as a result of this contract.

ENGINEERING SERVICES AGREEMENT



for

Highway Geotechnical Engineering and Materials Evaluation and Testing Services

between

COUNTY OF RIVERSIDE • TRANSPORTATION DEPARTMENT

and

Kleinfelder West, Inc.

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2	COUNTY OF RIVERSIDE, hereinafter referred to as "COUNTY", and Kleinfelder West INC., hereinafter referred
3	to as "ENGINEER", located at the following addressees:
4	County of Riverside • Transportation Department Kleinfelder West INC.
5	4080 Lemon Street, 8 th Floor 3880 Lemon Street, Third Floor
6	Riverside, CA 92502 Riverside, CA. 92501
7	do hereby agree as follows:
8	ARTICLE I • DESIGNATED CONTACTS
9	Coordination of ENGINEER, and COUNTY activities will be accomplished through an ENGINEERING PROJECT
10	MANAGER, and a COUNTY PROJECT MANAGER.
11	The ENGINEERING PROJECT MANAGER for ENGINEER will be:
12	Eric Noel PE, GE
13	The COUNTY PROJECT MANAGER for COUNTY will be:
14	Gary Morton/ Elmer Datuin, PE
15	ARTICLE II • PROJECT DEFINITION
16	ENGINEER shall furnish all technical and professional services including labor, material, equipment,
17	transportation, supervision, and expertise to fully and adequately perform and complete the covenants set forth in
18	Appendix A, Scope of Services, which is attached hereto and incorporated herein by reference. All services and
19	deliverables associated with the performance and accomplishment of the covenants described in the Scope of
20	Services is hereinafter collectively referred to as the "PROJECT".
21	ARTICLE III • COOPERATIVE AGENCIES
22	A. Lead Agency
23	COUNTY is designated as the lead agency for PROJECT and is working cooperatively with other
24	agencies in the effort to complete PROJECT.
25	B. Cooperative Agencies
26	The cooperating agencies are listed below and will hereinafter be collectively referred to as the "AGENCIES".
27	Caltrans
28	Various Cities throughout Riverside County
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ENGINEERING SERVICES AGREEMENT

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C. COUNTY Standards

All deliverables shall be prepared in accordance with the current COUNTY and AGENCIES practices, regulations, policies, procedures, manuals and standards where applicable. All deliverables are subject to review and approval by COUNTY and AGENCIES.

ARTICLE IV • CONDITIONS

A. Notifications

All notices hereunder and communications regarding interpretation of the terms of this contract and changes thereto shall be effected by the mailing thereof by registered or certified mail, return receipt requested, postage prepaid and addressed to the attention of the ENGINEERING PROJECT MANAGER or the COUNTY PROJECT MANAGER at the respective addresses provided on page one of this contract.

B. Assignment

Without written consent of COUNTY, this agreement is not assignable by ENGINEER either in whole or in part.

C. Subcontracts

- 1. ENGINEER shall perform the services contemplated with resources available within its own organization. No portion of the services pertinent to this contract shall be subcontracted without written authorization by the COUNTY PROJECT MANAGER, except that which is expressly identified in this contract.
- 2. In the event ENGINEER subcontracts any portion of ENGINEER'S duties under this agreement, ENGINEER shall require its subcontractors to comply with the terms of this contract in the same manner as required of ENGINEER including, but not limited to; indemnification of the COUNTY, requiring the same insurance of Subcontractors as required of ENGINEER, and having Subcontractor's insurance name the COUNTY as Additional Insured for each type of insurance where this Agreement requires ENGINEER'S insurance to name COUNTY as Additional Insured.

D. Modifications

1. This contract may be amended or modified only by mutual written agreement of the parties. No alteration or variation of the terms of this contract will be valid unless made in writing and signed by the parties hereto and no oral understanding or agreement not incorporated herein, will be binding on any of the parties hereto.

2. There shall be no change in the ENGINEERING PROJECT MANAGER or key members of the PROJECT team without prior written approval by the COUNTY PROJECT MANAGER.

E. COUNTY Directives

ENGINEER shall receive contract directions and interpretations from the COUNTY PROJECT MANAGER.

F. Liability

- 1. ENGINEER has total responsibility for the accuracy and completeness of all testing data, materials inspections, documentation, calculations, maps and reports prepared for this PROJECT and shall check all such material accordingly. The accuracy of test data will be limited to published limitations and acceptable ranges of accuracy and precision of the field and laboratory test methods. Due to the nature of this testing, variations in test results are likely to occur. As a result, the accuracy of specific field and laboratory tests is dependent on the precision of the published test methods. The testing data, materials inspections, and reports will be reviewed by the COUNTY. The responsibility for accuracy and completeness of such items remains solely that of ENGINEER. Services provided by ENGINEER under this Agreement will be performed in a manner consistent with the degree of care and skill ordinarily exercised by members of the same profession currently practicing under similar circumstances.
- 2. The accuracy of the testing data, materials inspections, calculations, maps, reports and other documents furnished in accordance with the Scope of Services shall meet the criteria for acceptance and be a product of neat appearance, well organized, technically and grammatically correct, checked and having the preparer and checker identified. The minimum standard of appearance, organization and contents shall be of similar types produced by COUNTY.
- 3. The page identifying preparers of engineering reports, the title sheet for specifications and each sheet of plans, shall bear the professional seal, certificate number, registration classification, expiration date of the certificate, and signature of the professional engineer(s) responsible for their preparation.
- COUNTY agrees that reports, maps, drawings or other work products are for the exclusive use of COUNTY and may be used by COUNTY for the PROJECT.
- 5. ENGINEER, and the agents and employees of ENGINEER, in the performance of this agreement, shall act in an independent capacity and not as officers, employees or agents of COUNTY.

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G. Indemnification and Defense

- 1. The ENGINEER agrees to and shall indemnify and hold harmless the County of Riverside, its Agencies, Districts, Departments and Special Districts, their respective directors, officers, Board of Supervisors, elected and appointed officials, employees, agents and representatives (hereinafter individually and collectively referred to as "Indemnitees") from all liability, including, but not limited to loss, suits, claims, demands, actions, or proceedings caused by any alleged or actual negligence, recklessness, willful misconduct, errors or omissions of ENGINEER, its directors, officers, partners, employees, agents or representatives or any person or organization for whom ENGINEER is responsible, arising out of or from the performance of services under this Agreement. To the extent a loss, suit, claim, demand, action, or proceeding is based on actual or alleged acts or omissions of ENGINEER which are not design professional services, ENGINEER shall indemnify Indemnitees whether or not ENGINEER is negligent.
- 2. The duty to indemnify includes loss, suits, claims, demands, actions, or proceedings caused by actual or alleged passive negligence of Indemnitees. The duty to indemnify does not include loss, suits, claims, demands, actions, or proceedings caused by actual active negligence of Indemnitees; however, any actual active negligence of Indemnitees will only affect the duty to indemnify for the specific act found to be active negligence, and will not preclude a duty to indemnify for any other act or omission of Indemnitees.
- 3. ENGINEER shall defend and pay, at its sole expense, all costs and fees, including but not limited to attorney fees, cost of investigation, and defense, in any loss, suits, claims, demands, actions, or proceedings based or alleged to be based on any act or omission of ENGINEER arising out of or from the performance of services under this Agreement. The duty to defend applies to any alleged or actual negligence, recklessness, willful misconduct, error or omission of ENGINEER. The duty to defend shall apply whether or not ENGINEER is a party to the lawsuit, and shall apply whether or not ENGINEER is directly liable to the plaintiffs in the lawsuit. The duty to defend applies even if Indemnitees are alleged or found to be actively negligent, unless the act or omission at issue was caused by the sole active negligence of Indemnitees.
- 4. The specified insurance provisions and limits required in this Agreement shall in no way limit or circumscribe ENGINEER'S obligations to indemnify and hold harmless Indemnitees from third party claims.

 In the event there is conflict between the indemnity and defense provisions and California Civil Code Sections 2782 and 2782.8, the indemnity and defense provisions shall be interpreted to comply with Civil Code sections 2782 and 2782.8.

H. Quality Control

ENGINEER shall implement and maintain the following quality control procedures during the preparation of testing in the field and laboratory, materials inspections, reports, and other documents relating to PROJECT. ENGINEER shall have a quality control plan in effect during the entire time services are being performed under the contract. The plan shall establish a process whereby calculations are independently checked, test methods checked, corrected and back-checked, and all job related correspondence and memoranda routed and received by affected persons and then bound in appropriate job files. Evidence that the quality control plan is functional may be requested by the COUNTY PROJECT MANAGER. All test methods, calculations documents, reports and other items submitted to the COUNTY PROJECT MANAGER for review shall be marked clearly as being fully checked and that the preparation of the material followed the quality control plan established for the work.

I. Extra Work

- ENGINEER shall not perform Extra Work until receiving written authorization from the COUNTY PROJECT MANAGER.
- In the event that COUNTY directs ENGINEER to provide services constituting Extra Work, COUNTY shall
 provide extra compensation to the ENGINEER. Allowable compensation for approved extra work will be
 mutually agreed upon.
- A Supplemental Agreement providing for such compensation for Extra Work shall be issued by COUNTY
 to ENGINEER. Such Supplemental Agreement shall be executed by ENGINEER and be approved by
 COUNTY.

J. Disputes

1. In the event ENGINEER considers any work demanded of him to be outside the requirements of the contract, or if he considers any order, instruction, or decision of COUNTY to be unfair, he shall promptly upon receipt of such order, instruction or decision, ask for a written confirmation of the same whereupon he shall proceed without delay to perform the work or to conform to the order, instruction, or decision; but unless ENGINEER finds such order, instruction, or decision satisfactory, he shall within 20 days after

receipt of same, file a written protest with COUNTY stating clearly and in detail his objections and reasons therefore. Except for such protests or objections as are made of record in the manner specified and within the time stated herein, and except for such instances where the basis of a protest could not reasonably have been foreseen by ENGINEER within the time limit specified for protest, ENGINEER hereby waives all grounds for protests or objections to the orders, instruction, or decisions of COUNTY and hereby agrees that, as to all matters not included in such protests, the orders, instructions and decisions of COUNTY will be limited to matters properly falling within COUNTY's authority.

- 2. Any controversy or claim arising out of or relating to this contract which cannot be resolved by mutual agreement may be settled by arbitration in accordance with the rules of the American Arbitration Association, provided that the parties mutually agree to submit to arbitration.
- 3. Neither the pendency of a dispute nor its consideration by arbitration will excuse ENGINEER from full and timely performance in accordance with the terms of the contract.

K. Termination Without Cause

- 1. COUNTY reserves the right to terminate this contract at COUNTY's discretion and without cause, upon thirty (30) calendar days written notice to ENGINEER.
- In the event of termination of the Agreement, upon demand, ENGINEER shall deliver to COUNTY all
 testing data, reports, and all other materials and documents prepared or provided to ENGINEER in the
 performance of this Agreement. All such documents and materials shall be property of COUNTY.
- 3. In the event that the contract is terminated, ENGINEER is entitled to full payment for all services performed up to the time written notice of contract cancellation is received by ENGINEER. Payment shall be made for services performed to date based upon the percentage ratio that the basic services performed bear to the services contracted for, less payments made to date; plus any amount for authorized, but unpaid, extra work performed and costs incurred.

L. Termination for Lack of Performance

COUNTY may terminate this agreement and be relieved of the payment of any consideration to ENGINEER should ENGINEER fail to perform the covenants herein contained at the time and in the manner herein provided. In the event of such termination, COUNTY may proceed with the work in any manner deemed proper by COUNTY. In such event, ENGINEER shall be paid only for work completed and delivered to COUNTY in a timely and successful manner.

M. Insurance

Without limiting or diminishing the ENGINEER'S obligation to indemnify or hold the COUNTY harmless, ENGINEER shall procure and maintain or cause to be maintained, at its sole cost and expense, the following insurance coverages during the term of this Agreement, or for a term otherwise specified herein.

1. Workers' Compensation:

Workers' Compensation Insurance (Coverage A) as prescribed by the laws of the State of California. Policy shall include Employers' Liability (Coverage B) including Occupational Disease with limits not less than \$1,000,000 per person per accident. Policy shall be endorsed to waive subrogation in favor of the County of Riverside; and to provide a Borrowed Servant/Alternate Employer Endorsement.

2. Commercial General Liability:

Commercial General Liability insurance coverage, including but not limited to, premises liability, contractual liability, completed operations, personal and advertising injury covering claims which may arise from or out of ENGINEER's actual or alleged acts or omissions during any work under this Agreement.

The policy shall name, by endorsement, the County of Riverside and all Agencies, Special Districts and Departments of the County of Riverside, their respective Directors, Officers, Board of Supervisors, employees, agents, elected and appointed officials ("County insureds") as Additional Insureds. The policy shall provide first party insurance coverage for the County insureds for any loss, suits, claims, demands, actions, or proceedings caused by any alleged or actual negligence, recklessness, willful misconduct, error or omission of ENGINEER, its directors, officers, partners, employees, agents or representatives or any person or organization for whom ENGINEER is responsible, arising out of or from the performance of services under this Agreement. Indemnity coverage under the policy does not include loss, suits, claims, demands, actions, or proceedings caused by actual active negligence of County insureds; however, any actual negligence of County insureds will only affect the duty to indemnify for the specific act found to be negligence, and will not preclude a duty to indemnify for any act or omission of ENGINEER.

The policy's limit of liability shall not be less than \$1,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this agreement or be no less than two (2) times the occurrence limit.

The Policy shall provide a defense to the County insureds for any loss, suits, claims, demands, actions, or

proceedings caused by an actual or alleged act or omission or ENGINEER, its directors, officers, partners, employees, agents or representatives or any person or organization for whom ENGINEER is responsible, arising out of or from the performance of services under this Agreement. A defense is required if the loss, suits, claims, demands, actions, or proceedings are based on any negligence of the County insureds unless the negligence of the County insureds is the sole cause of the loss, suits, claims, demands, actions, or proceedings.

3. Vehicle Liability:

ENGINEER shall maintain Liability Insurance for all owned, non-owned or hired vehicles in an amount not less than \$1,000,000 per occurrence combined single limit. If ENGINEER'S vehicles or mobile equipment are not to be used in the performance of the obligations under this Agreement, ENGINEER shall maintain coverage for non-owned or hired vehicles in an amount not less than \$1,000,000 per occurrence combined single limit. Such non-owned or hired vehicle coverage may be included as a part of the Commercial General Liability policy. If such insurance contains a general aggregate limit, it shall apply separately to this agreement or be no less than two (2) times the occurrence limit. Policy shall name by endorsement, all Agencies, Special Districts and Departments of the County of Riverside, their respective Directors, Officers, Board of Supervisors, employees, agents, elected or appointed officials and subcontractors as Additional Insureds.

4. Professional Liability:

ENGINEER shall maintain Professional Liability Insurance providing coverage for performance of work included within this Agreement, with a limit of liability of not less than \$1,000,000 per occurrence and \$2,000,000 annual aggregate. If ENGINEER'S Professional Liability Insurance is written on a claims-made basis rather than an occurrence basis, such insurance shall continue through the term of this Agreement. Upon termination of this Agreement or the expiration or cancellation of the claims made insurance policy ENGINEER shall purchase at his sole expense either 1) an Extended Reporting Endorsement (also known as Tail Coverage); or, 2) Prior Dates Coverage from a new insurer with a retroactive date back to the date of, or prior to, the inception of this Agreement; or, 3) demonstrate through Certificates of Insurance that ENGINEER has maintained continuous coverage with the same or original insurer. Coverage provided under items; 1), 2) or 3) will continue for as long as allowed by law.

5. General Insurance Provisions - All lines:

- a. Any insurance carrier providing insurance coverage hereunder shall be admitted to the State of California and have an A.M. BEST rating of not less than an A: VIII (A: 8) unless such requirements are waived, in writing, by the County Risk Manager. If the County's Risk Manager waives a requirement for a particular insurer such waiver is only valid for that specific insurer and only for one policy term.
- b. The ENGINEER'S insurance carrier(s) must declare its insurance deductibles or self-insured retentions. If such deductibles or self-insured retentions exceed \$500,000 per occurrence such deductibles and/or retentions shall have the prior written consent of the County Risk Manager before the commencement of operations under this Agreement. Upon notification of deductibles or self-insured retentions which are deemed unacceptable to the COUNTY, at the election of the County's Risk Manager, ENGINEER'S carriers shall either; 1) reduce or eliminate such deductibles or self-insured retentions as respects this Agreement with the COUNTY, or 2) procure a bond which guarantees payment of losses and related investigations, claims administration, defense costs and expenses.
 - executed original Certificate(s) of Insurance and certified original copies of Endorsements effecting coverage as required herein; or, 2) if requested to do so orally or in writing by the County Risk Manager, provide original Certified copies of policies including all Endorsements and all attachments thereto, showing such insurance is in full force and effect. Further, said Certificate(s) and policies of insurance shall contain the covenant of the insurance carrier(s) shall provide no less than thirty (30) days written notice or ten (10) days in the event of cancellation for nonpayment of premium be given to the COUNTY prior to any cancellation of such insurance. In the event of a material modification or cancellation of coverage, this Agreement shall terminate forthwith, unless the COUNTY receives, prior to such effective date, another properly executed original Certificate of Insurance and original copies of endorsements or certified original policies, including all endorsements and attachments thereto evidencing coverages and the insurance required herein is in full force and effect. Individual(s) authorized by the insurance carrier to do so on its behalf shall sign the original endorsements for each policy and the Certificate of Insurance. ENGINEER shall not commence operations until the COUNTY has been furnished original Certificate(s) of Insurance and certified

original copies of endorsements or policies of insurance including all endorsements and any and all other attachments as required in this Section. Submittal of certificates to County and review or approval of certificates by County does not relieve ENGINEER of its duties to provide insurance which fully complies with the terms stated above.

- d. It is understood and agreed by the parties hereto and the ENGINEER'S insurance company(s), that the Certificate(s) of Insurance and policies shall so covenant and shall be construed as primary insurance, and the COUNTY'S insurance and/or deductibles and/or self-insured retentions or selfinsured programs shall not be construed as excess.
- e. If, during the term of this Agreement or any extension thereof, there is a material change in the scope of services or performance of work the Risk Manager of the County of Riverside reserves the right to adjust the types of insurance required under this Agreement and the monetary limits of liability for the insurance coverages required herein, if; in the County Risk Manager's reasonable judgment, the amount or type of insurance carried by the ENGINEER has become inadequate.
- f. ENGINEER shall pass down the insurance obligations contained herein to all tiers of subcontractors working under this Agreement

N. Conflict of Interest

ENGINEER warrants, by execution of this contract, that no person or selling agency has been employed or retained to solicit or secure this contract upon an agreement or understanding for a commission, percentage, brokerage or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by ENGINEER for the purpose of securing business. For breach or violation of this warranty, COUNTY has the right to annul this contract without liability, pay only for the value of the work actually performed, or in its discretion to deduct from the contract price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingent fee. ENGINEER may be requested to complete a Conflict of Interest Statement prior to, during, or after execution of this contract. ENGINEER understands that as a condition of this contract ENGINEER agrees to complete the Conflict of Interest Statement when requested to do so by COUNTY.

O. Legal Compliance

ENGINEER shall comply with all Federal, State and local laws, statutes, ordinances, rules and regulations, and the orders and decrees of any courts or administrative bodies or tribunals currently in

effect and in any manner affecting the performance of this Agreement, including, without limitation, workers' compensation laws and licensing and regulations.

P. Nondiscrimination

- 1. During the performance of this agreement, ENGINEER and its Subcontractors shall not unlawfully discriminate against any employee or applicant for employment because of race, religion, color, national origin, ancestry, physical handicap, medical condition, marital status, age or sex. ENGINEER and Subcontractor shall comply with the provisions of the Fair Employment and Housing Act (Government Code, Section 12900 et seq.) and applicable regulations promulgated thereunder (California Administrative Code, Title 2, Section 7285.0 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code, Section 12900, set forth in Chapter 5 of Division 4 of Title 2 of the California Administrative Code are incorporated into this contract by reference and made a part hereof as if set forth in full. ENGINEER and its Subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement.
- 2. ENGINEER will provide all information and reports required by the Regulations, or orders and instructions issued pursuant thereto, and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by COUNTY or AGENCIES to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of ENGINEER is in the exclusive possession of another who fails or refuses to furnish this information, ENGINEER shall so certify to COUNTY, or the Federal Highway Administration as appropriate and shall set forth what efforts he has made to obtain the information.
- 3. In the event of ENGINEER's noncompliance with the nondiscrimination provisions of this contract, COUNTY shall impose such contract sanctions as it determines to be appropriate, including, but not limited to:
 - Withholding of payments to ENGINEER under the contract until ENGINEER complies;
 - Cancellation, termination, or suspension of the contract in whole or in part.
- 4. ENGINEER shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under this contract.
- 5. ENGINEER shall comply with Title VI of the Civil Rights Act of 1964, as amended. Accordingly, 49 CFR

 21 through Appendix H and 23 CFR 710.405(b) are applicable to this contract by reference.

Q. Labor Code and Prevailing Wages

- 1. Certain Classifications of Labor under this contract may be subject to prevailing wage requirements.
- 2. Reference is made to Chapter 1, Part 7, Division 2 of the California Labor Code (commencing with Section 1720). By this reference said Chapter 1 is incorporated herein with like effect as if it were here set forth in full. The parties recognize that said Chapter 1 deals, among other things with discrimination, penalties and forfeitures, their disposition and enforcement, wages, working hours, and securing worker's compensation insurance and directly effect the method of prosecution of the work by ENGINEER and subject it under certain conditions to penalties and forfeitures. Execution of the Agreement by the parties constitutes their agreement to abide by said Chapter 1, their stipulation as to all matters which they are required to stipulate as to by the provisions of said Chapter 1, constitutes ENGINEER's certification that he is aware of the provisions of said Chapter 1 and will comply with them and further constitutes ENGINEER's certification as follows: "I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for worker's compensation or to undertake self-insurance in accordance with the provisions of that Code, and I will comply with such provisions before commencing the performance of the work of this contract."
- 3. Pursuant to Section 1773 of the Labor Code, the general prevailing wage rates, including the per diem wages applicable to the work, and for holiday and overtime work, including employer payments for health and welfare, pension, vacation, and similar purposes, in the county in which the work is to be done have been determined by the Director of the California Department of Industrial Relations. These wages are available from the California Department of Industrial Relations' Internet website at http://www.dir.ca.gov.
- 4. Should a portion of the project contain Federal funding, Federal minimum wages shall be used. The Federal minimum wage rates for this project as determined by the United States Secretary of Labor are available from the U.S Department of Labor, Employment Standards Administration, Wage and Hour Division's Internet website at http://www.access.gpo.gov/davisbacon. If there is a difference between the minimum wage rates determined by the Secretary of Labor and the general prevailing wage rates determined by the Director of the California Department of Industrial Relations for similar classifications of labor, the ENGINEER and subcontractors shall pay not less than the higher wage rate. The Department will not accept lower State wage rates determinations. This includes "helper" (or other classifications

R. Review and Inspection

employees in question.

ENGINEER and any Subcontractors shall permit COUNTY and/or AGENCIES to review and inspect PROJECT activities including review and inspection on a daily basis.

based on hours of experience) or any other classification not appearing in the Federal wage

determinations. Where Federal wage determinations do not contain the State wage rate determination

otherwise available for use by the ENGINEER and subcontractors, the ENGINEER and subcontractors

shall pay not less than the Federal minimum wage rate which most closely approximates the duties of the

S. Record Retention / Audits

- 1. ENGINEER, Subcontractors, and COUNTY shall maintain all books, documents, papers, accounting records, and other evidence pertaining to the performance of the contract, but not limited to, the costs of administering the contract. All parties shall make such materials available at their respective offices at all reasonable times during the contract period and for five years from the date of final payment under the contract or five years form project closeout, whichever is later.
- COUNTY, Caltrans, the State Auditor General, FHWA or any duly authorized representative of the
 Federal Government shall have access to any books, records, and documents of ENGINEER that are
 pertinent to the contract for audits, examinations, excerpts, and transactions, and copies thereof shall be
 furnished if requested.

T. Ownership of Data

Ownership and title to all reports, documents and test results produced as part of this contract will automatically be vested in COUNTY and no further agreement will be necessary to transfer ownership to COUNTY.

U. Confidentiality of Data

- All financial, statistical, personal, technical or other data and information which is designated confidential
 by COUNTY or AGENCIES, and made available to ENGINEER in order to carry out this contract, shall be
 protected by ENGINEER from unauthorized use and disclosure.
- Permission to disclose information on one occasion for a public hearing held by COUNTY or AGENCIES
 relating to the contract shall not authorize ENGINEER to further disclose such information or disseminate
 the same on any other occasion.

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- 3. ENGINEER shall not comment publicly to the press or any other media regarding this contract, including COUNTY or AGENCIES actions regarding this contract. Communication shall be limited to COUNTY, AGENCIES, or ENGINEER's staff that are involved with the project, unless ENGINEER shall be requested by COUNTY to attend a public hearing or respond to questions from a Legislative committee.
- 4. Each subcontract shall contain provisions similar to the foregoing related to the confidentiality of data and nondisclosure of the same.
- 5. ENGINEER shall not issue any news release or public relations item of any nature whatsoever regarding work performed or to be performed under this contract without prior review of the contents thereof by COUNTY and receipt of COUNTY's written permission.

V. Funding Requirements

- 1. All obligations of COUNTY are subject to appropriation of resources by various Federal, State and local agencies.
- 2. This agreement is valid and enforceable only if sufficient funds are made available to COUNTY for the purpose of this PROJECT. In addition, this agreement is subjected to any additional restrictions, limitations, conditions or any statute enacted by Congress, State Legislature or COUNTY that may affect the provisions, terms or funding of this contract in any manner.
- 3. It is mutually agreed that if sufficient funds for the program are not appropriated, this contract will be amended to reflect any reduction in funds.

ARTICLE V • PERFORMANCE

A. Performance Period

- This Contract shall be effective August 21, 2013 through July 31, 2016, and ENGINEER shall begin service upon notification to proceed by the COUNTY PROJECT MANAGER.
- 2. ENGINEER is advised that any recommendation for contract award is not binding on COUNTY until the proposed contract is fully executed and approved by COUNTY.
- 3. ENGINEER shall perform PROJECT services in accordance with the provisions set forth in Appendix A, Scope of Services, which is attached hereto and incorporated herein by reference. All Covenants set forth in this agreement shall be completed by July 31, 2016 unless extended by supplemental agreement.
- 4. The COUNTY PROJECT MANAGER shall provide written notification at the beginning of any request for PROJECT services. The COUNTY PROJECT MANAGER shall also provide written notification at the

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termination of any PROJECT services.

- 5. Where ENGINEER is required to prepare and submit studies, reports, plans, etc., to COUNTY, these shall be submitted in draft as scheduled, and the opportunity provided for COUNTY to offer comments, prior to final submission.
- 6. When COUNTY determines that ENGINEER has satisfactorily completed the PROJECT services, COUNTY shall give ENGINEER a written Notice of Final Acceptance. ENGINEER shall not incur any further costs hereunder unless so specified in the Notice of Final Acceptance. ENGINEER may request a Notice of Final Acceptance determination when, in its opinion, it has satisfactorily completed all covenants as stipulated in this Contract.
- 7. Time is of the essence in this agreement.

B. Time Extensions

 This contract may be extended in one year increments for a total not to exceed two years from the original expiration date of the contract. Terms and considerations may be renegotiated in a supplemental agreement.

C. Reporting Progress

- 1. As part of the monthly invoice ENGINEER shall submit a progress report in accordance with COUNTY Engineering Services Progress Reporting Guidelines. Progress Reports shall indicate the progress achieved during the previous month in relation to the Schedule of Services, Appendix B, which is attached hereto and incorporated herein by reference. Submission of such progress report by ENGINEER shall be a condition precedent to receipt of payment from COUNTY for each monthly invoice submitted.
- 2. To ensure understanding and performance of the contract objectives, meetings between COUNTY, AGENCIES, and ENGINEER shall be held as often as deemed necessary. All work objectives, ENGINEER's work schedule, the terms of the contract and any other related issues will be discussed and/or resolved. ENGINEER shall keep minutes of meetings and distribute copies of minutes as appropriate.

D. Evaluation of ENGINEER

ENGINEER's performance will be evaluated by COUNTY for future reference.

ARTICLE VI • COMPENSATION

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A. Work Authorization

ENGINEER shall not commence performance of any work or PROJECT services until so directed by the County Project Manager. No payment will be made for any work performed prior to approval of this contract.

B. Basis of Compensation

- PROJECT services as provided under this agreement as described in the Scope of Services, shall be compensated for as defined in Appendix A, B and Appendix C, which is attached hereto and incorporated herein by reference and in accordance with COUNTY Engineering Invoicing Procedures.
- 2. Budget is attached hereto and incorporated herein by reference. The total amount of the Contract is not to exceed \$360,000.00.
- No additional compensation for Extra Work will be paid except upon the issuance of an Extra Work Order by COUNTY.
- 4. ENGINEER shall submit itemized costs in a spreadsheet on a monthly basis and shall include daily costs for each individual project assigned. The following information shall be included on the spreadsheet: project work order number, IP number, employee name, date worked, hours worked, mileage driven, rate of services, total monthly project costs and a year to date total cost amount. Itemized invoices for other PROJECT services provided shall be submitted in an acceptable format or as directed by the COUNTY PROJECT MANAGER.
- 5. Payments will be based on PROJECT Services provided and actual costs incurred.
- 6. The COUNTY PROJECT MANAGER will make payments as promptly as fiscal procedures permit upon receipt of itemized invoices.
- 7. The consideration to be paid ENGINEER, as provided herein, shall be in compensation for all of ENGINEER's expenses incurred in the performance hereof, including travel and per diem, unless otherwise expressly so provided.
- ENGINEER agrees that the Contract Cost Principles and Procedures, CFR 48, Federal Acquisition Regulations Systems, Chapter 1, Part 31, shall be used to determine the allow ability of individual items of cost.
- 9. ENGINEER also agrees to comply with Federal procedures in accordance with Office of Management and Budget Circular A-102, Uniform Administrative Requirements for Grants-in-Aid to State and Local

Governments.

10. In the event of errors or omissions in test results, materials inspections, reports, and other documentation for PROJECT, ENGINEER shall perform the necessary Highway Materials Testing engineering services required to correct such errors and omissions without additional charge to COUNTY.

C. Progress Payments

- ENGINEER shall submit monthly invoices for PROJECT Services in accordance with Appendix B,
 Schedule of Services, and in accordance with COUNTY Engineering Services Invoicing Procedures.
- 2. ENGINEER shall submit an invoice each month for PROJECT services performed during the preceding month. Invoices shall be submitted to the COUNTY PROJECT MANAGER and shall be included with a Progress Report covering the same period as the submitted invoice. Invoices shall show separate line item totals for each work order or extra work task.
- 3. Progress payments will be based on PROJECT services provided and actual costs incurred. Payments made prior to the completion of each phase will not exceed the amount allowed in ENGINEER's cost proposal for the completion of that phase and prior phases, unless approved in writing by the COUNTY PROJECT MANAGER.
- 4. Progress payments will be made as promptly as fiscal procedures will permit upon receipt by the COUNTY PROJECT MANAGER of itemized invoices.
- 5. Payment of the post construction Services will be withheld until all documentation is submitted in an acceptable format and COUNTY issues a Notice of Final Acceptance for PROJECT. The total invoiced amount will be paid to ENGINEER after COUNTY has approved ENGINEER's final documents and estimate for PROJECT.

1	ARTICLE VIII • APPROVALS	ENGINEER Approvais
2	COUNTY Approvals	ENGINEER:
3	RECOMMENDED FOR APPROVAL:	Kleinfelder West, Inc
4 5	tatuia Romo Dated: 7-11-13	Kleinfelder West, Inc
6	Juan C. Perez	
7	Director of Transportation Patricia Romo Assistant Director of Transportation	Houman Makarechi PRINTED NAME Senior Vice President TITLE
9	APPROVED AS TO FORM:	ENGINEER:
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12	48 Victo7 Dated: 7/26/13	Dated:
13	Marsha L. Victor	Table 1 Annual Control of the Contro
14	County Counsel	PRINTED NAME
15		TITLE
16	APPROVAL BY THE BOARD OF SUPERVISORS	
17		
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19	Dated:	
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21	Chairman, Riverside County Board of Supervisors	
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23	ATTEST:	
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25	Dated:	
26	Clerk of the Board	
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VI. APPENDIX A • SCOPE OF SERVICES

1. Project Description:

The **PROJECT** shall consist of providing the following materials testing and engineering services: (1) conducting laboratory analysis tests of untreated bases and basement soils; (2) conducting relative compaction tests of treated and untreated bases and basement soils by using nuclear gauge; (3) performing geological studies and geotechnical engineering including cut slope mapping, seismic refraction survey, CIDH, pile driving, soil and groundwater conditions, rock rippability, slope stability for cuts and fills, foundation investigation, corrosion testing, retaining walls, pavement design, and geotechnical and foundation design reports; (4) conducting asphalt testing for asphalt concrete, asphalt cement, and liquid asphalt; and (5) source inspection for materials to be used for County projects implemented on the State Highway System.

2. Location:

The **PROJECT** services shall be provided at various locations within Riverside County. The **ENGINEER** shall report to the **COUNTY PROJECT MANAGER** and/or the **COUNTY MATERIALS ENGINEER**:

Gary Morton, Technical Engineering Unit Supervisor Elmer Datuin, Materials Engineer Engineering Building-Materials Laboratory 2950 Washington Street, Riverside, CA 92504

3. <u>Coordination:</u>

The **ENGINEER** shall coordinate with the **COUNTY PROJECT MANAGER** and other County personnel as required. Testing technicians shall report to the **COUNTY PROJECT MANAGER** at the beginning and end of a ten (10) hour shift. If the **COUNTY'S** shift schedule should change during the time of the approved contract, the **ENGINEERS'S** testing technicians will also change to accommodate the new schedule. The shift is currently as follows:

6:30 a.m. to 4:30 p.m., Monday thru Thursday

4. **ENGINEER Duties:**

A. Conducting Soil Analysis Tests:

Samples of soil materials will be picked up by the testing technicians at the materials laboratory and taken to the **ENGINEER'S** laboratory for sieve analysis, sand equivalent, and resistance" value testing on each sample using Caltrans standard test methods 202, 217, and 301 respectively. The results of the tests will be reported to the **COUNTY PROJECT MANAGER** on approved written format within ten (10) working days from the date that the samples were picked up.

B. Conducting Relative Compaction Tests:

The in-place density testing of aggregate bases and basement soils will be performed by the testing technicians on subdivision tracts and roadway projects within the County of Riverside. The ENGINEER shall provide a technician upon an advanced 36 hours notice from the COUNTY PROJECT MANAGER. The technician shall have a minimum of six (6) months of experience in soils testing and certified in the use of a nuclear gauge. The gauge shall have a current calibration certification and leak test from an approved issuing authority. The ENGINEER shall also provide a vehicle and other miscellaneous tools needed for compaction testing. The testing technician shall be assigned five (5) project testing assignments daily, commencing at 7:00 am and concluding at no later than 3:30pm. assignments may or may not be the same projects as the previous day. The tests shall be performed using Caltrans standard test method 231, County The maximum density and moisture content of the soil and modified. aggregate base material will be the responsibility of the County unless the COUNTY PROJECT MANAGER directs the ENGINEER to perform these tests in accordance with Caltrans Test Method 216. The relative compaction results of tests taken shall be submitted to the County at the end of each working day on County forms.

C. Performing Geological Studies and Providing Geotechnical Engineering:

The ENGINEER will perform investigation and conduct exploration on assigned project(s). A written request will be sent to the ENGINEER along with a copy of the plans and specification of the project. This request will detail the scope of work and all requirements including right of entry and other permits required to perform the work/investigation for the project. investigations shall include, at a minimum, (1) site reconnaissance and mapping for stability of existing slope, soil survey mapping, topography and drainage patterns, and geologic and seismic settings; (2) drilling and sampling for shallow and deep foundations, retaining walls, soil and water corrosivity, and other related structure foundation and subsurface exploration; (3) seismic refraction survey for rock rippability analysis and correlation to exploratory boring data; (4) laboratory testing to include direct shear, consolidation, corrositivity, resistance "R" value, etc.; (5) structural pavement design based on Caltrans procedures; (6) analyses and evaluations for site seismicity, corrosion issues, slope stability, settlement, liquefaction, rock rippability, culvert foundation, etc.; (7) Life Cycle Cost Analysis; (8) CIDH investigation and/or pile driving related to bridge structure design and construction; and (9) Geotechnical Design and/or Materials Report to include all geologic and geotechnical testing, analysis, and design, prepared in accordance with Caltrans guidelines. Because of the varying size and degree of complexity of the project, the requested services will be site specific and the reporting and final documentation for the work will be specified in the original written request. All reports will be sent to the COUNTY MATERIALS ENGINEER at the time specified.

D. Conducting Asphalt Testing:

Samples of the asphalt concrete material will be picked up by the testing technicians at the materials laboratory and will be taken to the **ENGINEER'S** laboratory, or if approved by the **COUNTY PROJECT MANAGER**, the **ENGINEER'S** technician may be required to core the asphalt material after placement of the asphalt paving for verification of the asphalt mix used at the project. Laboratory testing on bituminous materials shall include, but not limited to: Caltrans test method 304, "Preparation of Bituminous Materials";

Page: 3

Caltrans test method 308, Specific Gravity of Compressed AC mixtures"; Caltrans test method 366, "Stabilometer Value"; Caltrans test method 367, "Optimum Bitumen Content"; Caltrans test method 382, "Asphalt Content-Ignition Oven"; Chemical testing of treated and untreated soils and aggregate bases, ASTM test method D 2170, "Kinematic Viscosity", ASTM test method D2171, "Absolute Viscosity"; ASTM D5, "Penetration"; ASTM test method D6934, "Residue by Evaporation"; ASTM test method D2042, "Solubility"; ASTM D6933, "Sieve Test"; and ASTM test method D3910, "Wet Track Abrasion Test (WTAT)". Field sampling of aggregate slurry may be required by the COUNTY for fabricating WTAT specimens. The results of the tests will be reported to the COUNTY PROJECT MANAGER on approved written format within ten (10) working days from the date that the samples were picked up.

E. Source Inspection:

As directed by the COUNTY, the ENGINEER shall perform source inspection services on projects within the State Highway System in accordance with the current Caltrans Construction Manual, the Manual of Test (3 volumes), Caltrans Standard Specification and Standard Plans, Caltrans Policy Directives (CPDs), Construction Manual Supplement for Local Agency Resident Engineers, Local Agency Structure Representative Guidelines, and the County's Quality Assurance Program. The ENGINEER shall prepare a project specific Source Inspection Quality Management Plan (SIQMP) in compliance with the Federal Highway Administration (FHWA) - Title 23, CPDs, and other California DOT manuals and standards including SIQMP outline available at Caltrans Office of Structural Materials (OSM) website at: http://www.dot.ca.gov/hq/esc/Translab/OSM/agencyresources.htm

F. Safety:

The **ENGINEER** shall comply with OSHA regulations regarding safety equipment and procedures, and safety instructions issued by the State. **ENGINEER** shall provide hard hats and orange safety vests for all **ENGINEER** personnel, which will be worn at all times while on the job site.

G. Daily report requirements:

The testing technician performing compaction tests shall receive a project file for his/her assignments. The testing results shall be recorded on a form supplied by the County and all pertinent data requested on the form shall be reported as directed by the COUNTY PROJECT MANAGER and placed in the file. Information data requested on the form includes: Tract number or project number, date, time arrived, time departed, type of testing, County Inspector and other comments. At the end of shift, the file and duplicate copies of the form, and copies of time and mileage for that day are given to the COUNTY PROJECT MANAGER. A copy of sample compaction form is attached. The reporting of test results for asphalt testing and the geological/geotechnical studies will be based on the scope of services that is agreed upon unless otherwise specified herein with.

H. Invoicing and Payment:

The **ENGINEER** shall submit invoices to the **COUNTY PROJECT MANAGER** in accordance with time and mileage per tract or project number. A spread sheet showing task codes, Tract number's, I.P numbers, project numbers, cost per unit and actual hours and mileage shall summarizes the invoices. Attached is a sample of spreadsheet. For geotechnical related work, the invoice shall show a breakdown of the work completed listing the man-hours used for engineers, geologists, and clerical staff. For source inspection services, the invoice shall show a breakdown of the work completed listing the man-hours used for engineers, certified inspectors, clerical staff, and other expenses including airfare, lodging, rental car, and other inspection related costs. The total cost for geotechnical and source inspection services will be based on project specific scope of services provided by the COUNTY to the ENGINEER.



ENGINEERING and HIGHWAY MATERIALS and ENGINEERING SERVICES

COST PROPOSAL FOR "ENGINEERING SERVICES AND HIGHWAY TESTING AND ENGINEERING"
FOR THE COLINTY OF RIVERSIDE TRANSPORTATION DEPARTMENT"

FOR THE	COUN	T OF RIV	ERSIDE TI	RANSF	THE COUNTY OF RIVERSIDE TRANSPORTATION DEPARTMENT	I DEP	ARTMEN)		
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ENGINEERING and HIGHWAY MATERIALS and ENGINEERING SERVICES

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Project Professional / Structural Materials Rep.	G	140.40	es.	140.40	s	144.61	s s	144.61	69	148.95	43	148.95
Staff Professional	64	124.80	↔	124.80	69	128.54	es.	128.54	ss.	132.40	s	132.40
Supervisory Technician	\$	130.00	G	130.00	69	133.90	s	133.90	69	137.92	နှ	137.92
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Draftsperson	\$	78.00	69	78.00	69	80.34	ss.	80.34	S	82.75	s	82.75
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* Changes to "Hours Worked" and "Hours Billed" will be implemented following notification of changes to the county's current shift sched	be imp	lementec	i follo	wing notif	ication	n of change	ss to ti	ne count	/s current	shift sche	ļ	
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Notes: Per diem will be charged at a rate of \$140 per day per person (with an annual 3% escalation) for site in excess of 100 mi. from nearest Kleinfelder Office

Subcontractor, outside services and other direct project charges will include a 10% markup

Costs for services and testing not included in this fee schedule will be submitted at the time the task order cost estimate is prepared

April 8, 2013 Revised May 13, 2013



ENGINEERING and HIGHWAY MATERIALS and ENGINEERING SERVICES

	ADE	ADDITIONAL LABORATORY TESTING RATES	IAB	ORATORY	TES	TING RAT	ES						
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