

**SUBMITTAL TO THE BOARD OF DIRECTORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**



FROM: Regional Park and Open-Space District

SUBMITTAL DATE:
April 29, 2014

SUBJECT: Adoption of Resolution No. 2014-4 for Application of the County's General Records Retention Schedule to the Regional Park and Open-Space District and Approval of Departmental Records Retention Schedule for the Regional Park and Open-Space District – District ALL [0]

RECOMMENDED MOTION: That the Board of Directors:

1. Adopt Resolution No. 2014-4 for Application of the County of Riverside (County) General Records Retention Schedule to the Regional Park and Open-Space District (District), pursuant to Public Resources Code Section 5557.2 and require the District to comply with County Board of Supervisors Policy A-43 ("County Records Management and Archives Policy"), including future revisions, to the extent the terms apply to the District;
2. Approve the District's Departmental Records Retention Schedule (attached) and Direct the Clerk of the Board to amend the list of Board-approved records retention schedules contained in Section D.7 of Board Policy A-43 to include this schedule.

BACKGROUND:

Summary

(Continued on page 2)



Scott Bangle
General Director

2014-017D EC

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:	Ongoing Cost:	POLICY/CONSENT (per Exec. Office)
COST	\$ 0	\$ 0	\$ 0	\$ 0	Consent <input type="checkbox"/> Policy <input checked="" type="checkbox"/>
NET COUNTY COST	\$ 0	\$ 0	\$ 0	\$ 0	

SOURCE OF FUNDS:	Budget Adjustment: No
	For Fiscal Year: 2013-2014

C.E.O. RECOMMENDATION:

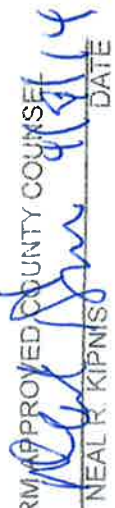
APPROVE

BY: 

Alex Gann

County Executive Office Signature

MINUTES OF THE BOARD OF SUPERVISORS

FORM APPROVED COUNTY COUNSEL
BY: 
NEAL R. KIPNIS
DATE: 5/1/14

Departmental Concurrence

- A-30
- 4/5 Vote
- Positions Added
- Change Order

Prev. Agn. Ref.: _____ **District:** ALL **Agenda Number:** _____

13 - 1 D

SUBMITTAL TO THE BOARD OF DIRECTORS, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

FORM 11: Adoption of Resolution No. 2014-4 for Application of the County's General Records Retention Schedule to the Regional Park and Open-Space District and Approval of Departmental Records Retention Schedule for the Regional Park and Open-Space District – District ALL [0]

DATE: April 29, 2014

PAGE: Page 2 of 2

BACKGROUND:

Summary (continued)

Although it is a separate entity from the County, with potentially different legal guidelines, the District seeks to be in full compliance with applicable law and provisions of County Board of Supervisors Policy A-43, the critical County Records Management and Archives Policy. Part of Policy A-43 compliance includes the development, adoption and implementation of a Departmental Records Retention Schedule (DRRS). The DRRS developed by the District, in cooperation of the County's Records Management Department, is attached for approval and inclusion into Section D.7 of Board Policy A-43.

Resolution No. 2014-4 formalizes the District's adoption of the County's General Records Retention Schedule, the District's DRRS, and its compliance with Policy A-43. These steps are needed because of the District separate legal status.

County Counsel has reviewed and approved the Resolution as to legal form.

2
3
4 RESOLUTION NO. 2014-4

5 A RESOLUTION OF THE RIVERSIDE COUNTY REGIONAL PARK AND
6 OPEN-SPACE DISTRICT RELATING TO APPLICATION OF RECORDS
7 RETENTION REQUIREMENTS PURSUANT TO PUBLIC RESOURCES
8 CODE SECTION 5557.2 AND GOVERNMENT CODE SECTION 60201.

9 WHEREAS, it is determined the Riverside County Regional Park and Open-Space District
10 (District) shall adopt records retention requirements pursuant to Public Resources Code Section 5557.2
11 and Government Code Section 60201(b)(2); and

12 WHEREAS, it is determined the District should follow the same requirements as applicable to the
13 County of Riverside (County), to the extent applicable to the District.

14 NOW, THEREFORE, BE IT RESOLVED, ORDERED AND DETERMINED by the Board of
15 Directors of the Riverside County Regional Park and Open-Space District assembled in regular session
16 on _____, 2014 as follows:

17 1. The County Board of Supervisors Policy No. A-43 (County Records Management and
18 Archives Policy), as may hereafter be amended from time to time, shall be applicable to the District.
19 Although the District is a separate entity from the County, the District shall comply with the terms of
20 Policy No. A-43 as if it were a "County Department."

21 2. The County's General Records Retention Schedule (GRRS), as may hereafter be
22 amended from time to time, shall be applicable to the District unless the District takes action not to apply
23 a specific amendment. A copy of the current version of the GRRS is attached hereto as Exhibit A. In the
24 event of a material change in the GRRS that is applicable to the District, the District will return to the
25 Board of Directors for approval, as may be needed.

26 ///
27 ///
28 ///

FORM APPROVED COUNTY COUNSEL
BY: NEAL R. KUPNIS
DATE: 4/1/14

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

3. The District's Departmental Records Retention Schedule (DRRS) is attached as Exhibit B. The District's DRRS, as may hereafter be amended from time to time, shall be applicable to the District and included as part of Section D.7 of Policy No. A-43. Any future amendments to the DRRS shall be made and processed in compliance with the terms of Policy No. 43.

4. This resolution shall be effective immediately upon its approval and adoption.



Regional Park and Open-Space District Departmental Records Retention Schedule (DRRS_PARK_2014_Rev01)

Inquiries or comments regarding this schedule should be directed to:

Park Headquarters
4600 Crestmore Road
Jurupa Valley, CA 92509
951-955-4310

Introduction

This Departmental Records Retention Schedule (DRRS) for the Regional Park and Open-Space District (Parks) is adopted pursuant to the Board of directors, Riverside County Regional Park and Open-Space District, Resolution No. 2014-4 and County of Riverside, Board of Supervisors Policy A-43 ("Board Policy A-43").

This schedule is written with general titles and descriptions rather than identifying specific individual documents or forms. A record with content and function that is substantially the same as an item described in this schedule should be considered covered by that series. This retention schedule indicates the length of time that listed records, regardless of media or format, must be retained by Parks before disposition may be implemented. These retention requirements are recommended in order to reduce the costs for the storage and maintenance of records while ensuring that administrative, fiscal, legal and other recordkeeping responsibilities are met.

Records, including copies held for convenience or reference, must be disposed of as directed herein at the close of the official retention period. A full justification for any request to extend the retention period for a particular group of records must be submitted in writing to the Records Management and Archives Program (RMAP) as stated by Board Policy A-43, Section D.5.

If a federal or state statute or regulation specifies a longer retention period for any records series received, created, or maintained by the department, the statute or regulation override this schedule and the department must amend its records retention schedule as soon as practicable. In addition, a record may not be destroyed if notice of litigation, audit, open records request, etc. is received prior to the expiration of the retention period. For records held for audit purposes, the Auditor Controller's Office, or appropriate auditing authority, will notify the department once the audit is complete. In the event a lawful claim or a lawsuit is made against Parks, Parks will suspend destruction of the subject records until all issues of the matter are resolved. Further, if Parks is notified by County Counsel or Human Resources to put documents on hold due to a claim or other legal proceeding, Parks will suspend any records destruction of the identified documents. (Board Policy A-43 § D.10) Parks may not destroy or dispose of any record that has not fulfilled the administrative, fiscal, or legal purpose for which it was created or received. (Government Code § 60201(d)(10))

Parks will maintain requested records until the close of litigation or proceedings plus an additional ten (10) years. Parks is responsible for ascertaining the correct date of closure in order to establish this ten (10) year retention period. Furthermore, Parks is responsible for establishing appropriate procedures to ensure that records are retained for the period of time mandated and that the records remain accessible as required.

Explanation of Fields

Record Series Codes: The Record Series Code is assigned by RMAP. The code is alphanumeric and uniquely identifies the Record Series Title regardless of the schedule it occupies enabling the Record Series to be tracked within the master index system.

Record Series Title: The Record Series Title identifies a group of similar records generally produced or utilized for similar business needs allowing them to be evaluated as a group for retention scheduling purposes.

Record Series Description: A description of the Record Series Title that includes examples, not an exhaustive list, of the record types found within the group.

Official Record Retention: The length of time that the Copy of Record must be kept based upon the legal minimum requirement as well as any operational or business need. All other copies must be disposed of at the end of the retention period. For example, departmental copies held for convenience or reference must be destroyed at the close of the retention period or when no longer needed to support normal business operations, whichever is earlier.

Citation / Rationale: The legal citation or operational/business reason for retaining the Copy of Record for the period specified.

Final Disposition: The recommended final status or arrangement for the Copy of Record, usually disposed of by recycling, confidential shredding or transfer to the County of Riverside Archives as determined through best practice.

Explanation of Codes

AU = After audit is settled

CY = Calendar year end

Best Practice = Best Practice determined through business and government agency benchmarks.

GC = California Government Code

CCP = California Code of Civil Procedure

P = Permanent

CL = Closed, which will also mean after final resolution (as in an inquiry or litigation) after expiration (as in a contract) after final payment, upon completion of participation in a program, etc. A record is considered "closed" when no further action is pending or required.

S = Superseded



REGIONAL PARK AND OPEN-SPACE DISTRICT RECORDS RETENTION SCHEDULE

Department / Agency: Regional Park and Open-Space District
 Schedule Type: Departmental Records Retention Schedule

Division: All

Section: All

Schedule #:

DRRS_PARK_2014_Rev01

With full consideration given to the preservation of the historic records of the District, the undersigned hereby certifies that this retention schedule conforms to the legal and regulatory requirements and best business practices known at this time.

Department Head:

Date: 4-9-14

[Signature]
 Scott Bangle, Parks Director and General Manager

Code		Title	Record Series	Description	Copy of Record	Official Record Retention	Citation / Rationale	Final Disposition
PARK025	Adopt-A-Trail Applications		Applications and associated work plans submitted by adoptees of trails.		Parks and Open-Space District	CL + 2	GC 60201(b)(2); Best Practice	Shred / Delete
PARK050	Boat Inspection		Signed agreement with boat owner that requires them to perform a final inspection of their boat in order to ensure that wildlife is not removed from or transmitted to other locations.		Parks and Open-Space District	CL + 4	CCP 337; GC 60201(b)(2); Best Practice	Shred / Delete
PARK075	Citations / Warnings		Written notice to a member of the public citing violation(s) of a County ordinance or Park rule.		Parks and Open-Space District	CY + 2	GC 60201(b)(2); Best Practice	Shred / Delete
PARK080	Construction Projects		Records related to construction projects undertaken by the District. Records series may include correspondence, contracts, plans/drawings, etc.		Parks and Open-Space District	P	GC 60201(d)(6)	Dept.
PARK100	County Landmarks Program		Survey records created under the Program indicating street address of potential historic landmarks.		Parks and Open-Space District	P	Best Practice	Dept.
PARK125	County Landmarks Program - Administration		Administrative records documenting how the County Landmarks Program was initiated, organized and implemented.		Parks and Open-Space District	P	Best Practice	Dept.

FORM APPROVED COUNTY COUNSEL
 BY: *[Signature]* DATE: 4/1/14
 TIFFANY N. NORTH

Code		Record Series		Official Record Retention	Citation / Rationale	Final Disposition
Title	Description	Copy of Record	Official Record Retention	Citation / Rationale	Final Disposition	
PARK150	Court worker Roster	Roster of workers assigned through the courts to volunteer. Report is received from Sheriff and includes booking number and name.	CY + 2	GC 60201(b)(2); Best Practice	Shred / Delete	
PARK175	Customer Satisfaction Surveys	Surveys completed by members of the public.	CY + 2	GC 60201(b)(2); Best Practice	Shred / Delete	
PARK180	Debt of district	Records related to any financial obligation of the district.	Discharge of debt + 10	GC 60201(d)(7)	Shred / Delete	
PARK200	Department Meetings	Records related to the proceedings of departmental staff meetings. Records series may include agenda, minutes, sign-in sheets.	CY + 2	GC 60201(b)(2); Best Practice	Shred / Delete	
PARK225	Environmental Compliance Records	Records related to efforts to preserve endangered species and their habitats. Records series may include CEQA and NEPA documents and/or reports, Habitat Conservation Plans and permits, Multiple Special Habitat Conservation Plan compliance documentation, etc.	P	Best Practice	Dept.	
PARK250	Events - Vendor Packet	Information received from a vendor that validates credentials including a copy of business license, proof of insurance, etc.	Close of Event + 3	GC 911.2; GC 945.6; GC 60201(b)(2); Best Practice	Shred / Delete	
PARK260	Formation, change of organization, or reorganization of district	Records related to the formation of and any subsequent change to the formation and/or organization of the Parks district.	P	GC 60201(d)(1); Best Practice	Dept.	
PARK275	Historic Landmark Nomination Packet - Successful	Records related to the nomination of a structure for historic landmark status. Records series includes nomination letter, application, photographs, notes, etc.	P	Best Practice	Dept.	
PARK300	Historic Landmark Nomination Packet - Unsuccessful	Records related to the nomination of a structure for historic landmark status. Records series includes nomination letter, application, photographs, notes, etc.	CY + 2	GC 60201(b)(2); Best Practice	Shred / Delete	

Code		Record Series		Final Disposition	
Title	Description	Copy of Record	Official Record Retention	Citation / Rationale	
PARK325	Incident Reports	Reports documenting personal injury and/or property damage	CL + 3	GC 911.2; GC 945.6; GC 60201(b)(2); Best Practice	Shred / Delete
PARK350	Interpretive Programs	Records documenting the research, development and display of various interpretive exhibits.	P	Best Practice	Dept.
PARK375	Land Use Records	Records granting land use to outside entities. Records series may include easement records, encroachment permits, acquisition records, lease records, right of entry or right of way documents, mitigation bank commitments, trail development, and resource/vegetation management plans.	P	Best Practice	Dept.
PARK400	Logs	Dated lists documenting day-to-day activities such as water chemical treatments, issuance of passes, daily cleaning of facilities, etc.	Last entry + 2	GC 60201(b)(2); Best Practice	Shred / Delete
PARK425	Maintenance Records - Grounds	Records of maintenance work performed on Park lands.	CY + 2	GC 60201(b)(2); Best Practice	Shred / Delete
PARK450	Maintenance Records - Structures and Equipment	Records of maintenance work performed on Park buildings, structures, equipment and vehicles. Records series may include playground inspections, splash pad inspections, etc.	End of lease or ownership + 7	GC 60201(b)(2); Best Practice	Shred / Delete
PARK475	Maps	Maps showing distribution of parcels and other property boundaries.	P	Best Practice	Dept.
PARK500	Park Dedications	Records documenting the opening and dedication of facilities and lands.	P	Best Practice	Dept.
PARK520	Photographs	Photographic representation of Parks lands or structures maintained for purposes other than historical	S + 2	Secretary of State	Shred / Delete
PARK525	Project Review	Records generated in the course of reviewing County projects. Records series may include environmental compliance reports, scope of work, RFP's, specs, as-built and Notice of Completion.	CL + 10	CCP 337; GC 60201(b)(2); Best Practice	Shred / Delete

Record Series		Code	Title	Description	Copy of Record	Official Record Retention	Citation / Rationale	Final Disposition
PARK550	Project Review - Parks		Records generated in the course of reviewing Park initiated projects. Records series may include environmental compliance reports, scope of work, RFP's, specs, as-builts and Notice of Completion.	Parks and Open-Space District	P		Best Practice	Dept.
PARK560	Purchasing Records		Records created to document the purchases and payments for supplies, equipment and services. May include official copy of purchase order, purchase requisitions (requests), purchasing contracts, payment authorizations, receipts/packing slips.	Parks and Open-Space District	CL + 7 or AU + 2, whichever is later	GC 26907 See also GC 25501.5; Audit Support		Shred / Delete
PARK565	Real Property - title, ownership		Records supporting the ownership of real property by Parks whether in full or partial.	Parks and Open-Space District	P	GC 60201(d)(8); Best Practice		Dept.
PARK575	Reference Library		Records of research conducted on behalf of Parks including findings and final reports.	Parks and Open-Space District	P	Best Practice		Dept.
PARK600	Reservations		Records completed to document various contact information for school groups, private parties, camper activities, etc. Records series may include registration documents, T-cards, etc.	Parks and Open-Space District	CY + 2	GC 60201(b)(2); Best Practice		Shred / Delete
PARK625	Staff Reports		Reports memorializing the key elements of ranger daily reports. Weekly reports are submitted to management for review and further action as necessary.	Parks and Open-Space District	CL + 2	GC 60201(b)(2); Best Practice		Shred / Delete
PARK650	Water Quality		Water Quality records documenting compliance with legal and policy requirements. Records series may include training records, inspection/testing records, management plan, audit reports, lab testing methodology and results, etc.	Parks and Open-Space District	P	Best Practice		Dept.