Positions Added

Change Order



FROM: Economic Development Agency/Workforce Development Division

SUBMITTAL DATE: May 12, 2016

SUBJECT: Approval of funding for the Regional Strategic Planning Consulting Firm; Approval of Service Agreement with MaryAnn Pranke Training & Consulting, Inc.; Performance Period July 1, 2016 through March 31, 2017, All Districts, [\$97,000] Workforce Development Fund 100%

**RECOMMENDED MOTION:** That the Board of Supervisors:

- 1. Approve Workforce Innovation and Opportunity Act (WIOA) funding for the Regional Strategic Planning Consulting Firm, MaryAnn Pranke Training & Consulting, Inc. (MPT&C), not to exceed \$97,000; and approve the attached Service Agreement between MPT&C and the County of Riverside (County), for the period of July 1, 2016 through March 31, 2017;
- 2. Authorize the Assistant County Executive Officer/EDA, or designee, to execute the attached Service Agreement; and

(Continued)

Robert Field

Assistant County Executive Officer/EDA

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:		Ongoing Cost:	POLICY/CONSENT (per Exec. Office)	
COST	\$ 97,000	0 \$	\$	97,000	\$	Consent D Policy	
NET COUNTY COST	\$	0 \$ 0	\$	0	\$	Consent Li Policy	
SOURCE OF FUN	DS: Workforce	Development Fun	d 100%		Budget Adjus	tment: No	
					For Fiscal Yea	ar: 2016/17	
C.E.O. RECOMME	NDATION:			4.00	DOI / C		

**County Executive Office Signature** 

MINUTES OF THE BOARD OF SUPERVISORS

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SUBMITTAL TO THE BOARD OF SUPERVISORS, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

Economic Development Agency/Workforce Development Division

**FORM 11:** Approval of funding for the Regional Strategic Planning Consulting Firm; Approval of Service Agreement with MaryAnn Pranke Training & Consulting, Inc.; Performance Period July 1, 2016 through March 31, 2017, All Districts, [\$97,000] Workforce Development Fund 100%

**DATE:** May 12, 2016

**PAGE:** 2 of 3

#### **RECOMMENDED MOTION:** (Continued)

3. Authorize the Assistant County Executive Officer/EDA, or designee, to take all necessary steps to implement the attached Service Agreement, including, but not limited to negotiating and executing subsequent essential and relevant documents and agreements during the term of the Service Agreement, and any subsequent amendments, provided that all documents, agreements and amendments are approved as to form by County Counsel.

#### **BACKGROUND:**

#### Summary

On July 22, 2014, President Obama signed the Workforce Innovation and Opportunity Act (WIOA) into law. On April 30, 2015, in Workforce Services Draft Directive 115, the California Workforce Board and Employment Development Department designated the Riverside County Workforce Development Board, the San Bernardino City Workforce Investment Board, and the San Bernardino County Workforce Development Board as a proposed regional planning unit, the Inland Empire Regional Workforce Area (IERWA). Currently, this is a joint endeavor between Riverside County and San Bernardino County.

WIOA requires each local board to develop and submit four-year local plans to the Governor that support California's Unified Strategic Workforce Development Plan. Regional and local plans, based on the State Plan framework, will be due to the State Workforce Board on March 15, 2017. The State Plan may be accessed online at the following: <a href="http://cwdb.ca.gov/WIOA">http://cwdb.ca.gov/WIOA</a> Unified Strategic Workforce Development%20 Plan.htm. WIOA also supports system-wide collaboration that aligns workforce development activities and resources with larger regional economic development areas and available resources, to provide coordinated and efficient services to job seekers and employers. It therefore requires a four-year regional workforce plan that helps facilitate a comprehensive, strategic, streamlined, and effective workforce development system. In support of WIOA requirements, the Economic Development Agency/Workforce Development Division released a Request for Proposal (RFP) on January 14, 2016 to procure for Regional Strategic Planning Consultant services for the period of April 1, 2016 through March 31, 2017. Plans developed will include community stakeholder and WIOA partner input, consideration of local and regional labor markets, program and related data, and will build upon current Riverside and San Bernardino County efforts with regard to WIOA Youth programs, regional industry sector growth and other initiatives/programs.

MPT&C was approved by the WDB to provide regional strategic planning consultant services. MPT&C is a woman-owned, small business focused on the deployment of the Baldrige Excellence Framework for performance excellence and performance measurement to government, healthcare and non-profit organizations. They have demonstrated the capability of providing excellent regional strategic planning consulting services. MPT&C has more than 20 years' experience in the workforce development field. They have key staff with industry sector experience; and the President, MaryAnn Pranke, specializes in training and consulting in Strategic Planning, Continuous Improvement, and Program Evaluation Systems. MPT&C has provided strategic planning services since 1998. In addition to the strategic planning services conducted for the Youth Centers and their partners located in Riverside, the firm has also conducted strategic planning for the America's Job Centers of California (AJCCs) located throughout the Los Angeles area, including the City of Los Angeles Community Development Department/Workforce Development Division.

Staff recommends approval of funding and approval of the attached Service Agreement.

(Continued)

SUBMITTAL TO THE BOARD OF SUPERVISORS, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

Economic Development Agency/Workforce Development Division

**FORM 11:** Approval of funding for the Regional Strategic Planning Consulting Firm; Approval of Service Agreement with MaryAnn Pranke Training & Consulting, Inc.; Performance Period July 1, 2016 through March 31, 2017, All Districts, [\$97,000] Workforce Development Fund 100%

**DATE:** May 12, 2016

**PAGE:** 3 of 3

#### **Impact on Citizens and Businesses**

WIOA is designed to help job seekers access employment, education and support services to compete in the labor market and to fulfill employers' need for skilled workers. WIOA supersedes the Workforce Investment Act (WIA) of 1998 and took effect on July 1, 2015. The programs under WIA helped job seekers connect to good jobs and acquire the skills and credentials needed to obtain them. WIOA enhances the American Job Center system and ensures it is job-driven, responding to the needs of employers and preparing workers for jobs that are available now and in the future.

## SUPPLEMENTAL: Additional Fiscal Information

The total contract amount is \$97,000; all costs will be incurred in fiscal year 2016/2017. The total cost of \$97,000, as described below, will be shared between Riverside County and San Bernardino County. Riverside County is responsible for \$75,000, which will cover \$53,000 for their Local Plan and \$22,000 for 50% of the cost of the IERWA Regional Plan. San Bernardino County is responsible for \$22,000, which covers 50% of the cost of the IERWA Regional Plan. (San Bernardino County has opted to contract directly with MPT&C for their Local Plan.)

Reimbursement of San Bernardino's portion of the cost will be handled via Riverside County's submittal of monthly invoices to San Bernardino County for actual expenditures associated with this agreement.

Proposed Consultant / Agency	Scope of Work	Total Cost
MaryAnn Pranke Training & Consulting, Inc. will provide Regional Strategic Planning Services; author and develop Local	<ul> <li>IERWA Regional Plan –</li> <li>Riverside County Portion</li> </ul>	\$22,000
Strategic Workforce Development Plan for Riverside County, and author and develop a	<ul> <li>IERWA Regional Plan –</li> <li>San Bernardino Portion</li> </ul>	\$22,000*
Regional Strategic Plan for IERWA.  Contract Amount	Riverside County Local Plan	\$53,000
Not to Exceed		\$97,000

<sup>\*</sup>San Bernardino County has agreed to reimburse Riverside County 50% of the cost of the IERWA Regional Plan.

#### **Contract History and Price Reasonableness**

There is no established agreement history with this consultant. Price reasonableness was established by competitive procurement and review of current rates for consultants offering similar services. Cost analysis of the proposed Cost Plan demonstrated the reasonableness of the cost of the project elements; that they are allowable, reflect sound business practices, and respond to the RFP requirements.

#### **Attachment**

Service Agreement, between MPT&C and the County of Riverside, for the period of July 1, 2016 through March 31, 2017.

#### **SERVICE AGREEMENT**

for

#### **Regional Strategic Planning Consulting**

# Between COUNTY OF RIVERSIDE

and

MaryAnn Pranke Training & Consulting, Inc.



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This Service Agreement for Strategic Planning Consultant ("Agreement"), made and entered into this 1st day of July 2016, by and between MaryAnn Pranke Training & Consulting, Inc., a private, for-profit corporation (herein referred to as "CONSULTANT") and the COUNTY OF RIVERSIDE, a political subdivision of the State of California, by and through its Economic Development Agency (EDA), Workforce Development Division (WDD), (herein referred to as "COUNTY").

#### RECITALS

WHEREAS, the COUNTY has entered into a grant agreement with the State of California, hereinafter referred to as the "Grantor," pursuant to the Workforce Innovation and Opportunity Act (WIOA); and

WHEREAS, the Riverside County Workforce Development Board ("WDB") provides oversight for the WIOA programs, including, but not limited to meeting State workforce performance goals, while addressing the workforce needs of the local economy; and

WHEREAS, CONSULTANT responded to the Request For Proposal (RFP) released by the COUNTY; and based on CONSULTANT'S prior experience with Strategic Planning, the RFP resulted in COUNTY awarding CONSULTANT with an allocation of WIOA Funds; and

WHEREAS, the COUNTY desires to contract with CONSULTANT based on CONSULTANT's expertise, special skills, knowledge and experience in strategic planning consulting for local areas, including, but not limited to assisting the Inland Empire Regional Workforce Area (IERWA) with accomplishing its goals as more specifically set forth in the Agreement below.

NOW THEREFORE, based upon the foregoing Recitals and for good and valuable consideration, the receipt and sufficiency of which is acknowledged by all Parties, the COUNTY and CONSULTANT hereby agree as follows:

#### 1. <u>Description of Services</u>

- 1.1 The CONSULTANT shall provide strategic planning consulting as outlined and specified in the SCOPE OF SERVICE, attached hereto as Exhibit "A" and incorporated herein by this reference; and in the RFP, at the not to exceed fee stated in Paragraph 3.1. The RFP and CONSULTANT'S response to the RFP submitted on February 14, 2016 are each hereby incorporated herein by this reference.
- 1.2 The CONSULTANT represents that it has the experience, personnel, equipment, and facilities necessary to fully and adequately perform under this Agreement and the COUNTY relies upon this representation. The CONSULTANT shall perform to the satisfaction of the COUNTY and in conformance to and consistent with the highest standards of professionals/community college CONSULTANTs in the same discipline in the State of California.
- 1.3 The CONSULTANT affirms this it is fully apprised of all of the work to be performed under this Agreement; and the CONSULTANT agrees it can properly perform this work at the fee stated in Paragraph 3.1. The CONSULTANT is not to perform services or provide products outside of the Agreement, unless by written request by the COUNTY.
- 1.4 Acceptance by the COUNTY of CONSULTANT'S performance under this Agreement does not operate as a release of the CONSULTANT'S responsibility for full compliance with the terms of this Agreement.

#### 2. <u>Due Date</u>

timely manner and to COUNTY's satisfaction, as more specifically set forth in Exhibit "A" SCOPE OF SERVICE, and in the PAYMENT SCHEDULE, attached hereto as Exhibit "B" and incorporated herein by this reference, as such services are necessary for the provision of regional and local strategic planning consulting. This Agreement shall commence on July 1, 2016 and expire on March 31, 2017 unless terminated earlier.

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3. <u>Compensation</u>

- 3.1 The COUNTY shall pay CONSULTANT for services performed, products provided and expenses incurred, pursuant to Exhibit "A" SCOPE OF SERVICE and set forth in Exhibit "B" PAYMENT SCHEDULE. Maximum payment by COUNTY to CONSULTANT shall not exceed Ninety-Seven-Thousand Dollars (\$97,000), including all expenses. The COUNTY is NOT RESPONSIBLE for any fees or costs incurred above or beyond the contracted amount and shall have no obligation to purchase any specified amount of services or products, unless agreed to by COUNTY in writing.
- 3.2 The CONSULTANT shall be paid only in accordance with an invoice submitted to the COUNTY by CONSULTANT conforming to INVOICE FORM, attached hereto as Exhibit "C" and incorporated herein by this reference, and COUNTY shall pay the invoice within thirty (45) working days from the date of receipt of the invoice. Payment shall be made to CONSULTANT only after services have been rendered or delivery of materials or products, and acceptance has been made by COUNTY.
- a) Each invoice shall contain a minimum of the following information: invoice number and date, remittance address, itemization of the description of the work, and invoice total; and shall conform to the Exhibit "C" INVOICE FORM.
- b) In accordance with California Government CONSULTANT Section 926.10, COUNTY is not allowed to pay excess interest and late charges.
- 3.3 The COUNTY obligation for payment of this Agreement beyond the current fiscal year end is contingent upon and limited by the availability of the COUNTY funding from which payment can be made. No legal liability on the part of the COUNTY shall arise for payment beyond June 30 of each calendar year unless funds are made available for such payment. In the event that such funds are not forthcoming for any reason, the COUNTY shall immediately notify the CONSULTANT in writing; and this Agreement shall be deemed terminated and have no further force and effect.

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#### Alteration or Changes to the Agreement

4.1 The Board of Supervisors and the Assistant County Executive Officer/Economic Development Agency and/or Workforce Development Division designee are the only authorized COUNTY representatives who may at any time, by written order, make alterations to this Agreement.

#### **Termination** 5.

- The COUNTY may terminate this Agreement without cause upon 30 days 5.1 written notice served upon the CONSULTANT stating the extent and effective date of termination.
- The COUNTY may, upon five (5) days written notice, terminate this 5.2 Agreement for the CONSULTANT's default, if the CONSULTANT refuses or fails to comply with the terms of this Agreement or fails to make progress so as to endanger performance and does not immediately cure such failure. In the event of such termination, the COUNTY may proceed with the work in any manner deemed proper by the COUNTY.
  - 5.3 After receipt of the notice of termination, the CONSULTANT shall:
- Stop all work under this Agreement on the date specified in the (a) notice of termination; and
- Transfer to the COUNTY and deliver in the manner as directed by (b) the COUNTY any materials, reports or other products which, if the Agreement had been completed or continued, would have been required to be furnished to the COUNTY.
- After termination, the COUNTY shall make payment only for the 5.4 CONSULTANT'S performance up to the date of termination in accordance with this Agreement.
- The CONSULTANT's rights under this Agreement shall terminate (except 5.5 for fees accrued prior to the date of termination) upon dishonesty or a willful or material

CONSULTANT's unwillingness or inability for any reason whatsoever to perform the terms of this Agreement. In such event, the CONSULTANT shall not be entitled to any further compensation under this Agreement.

5.6 The rights and remedies of the COUNTY provided in this section shall not

breach of this Agreement by the CONSULTANT; or in the event of the

5.6 The rights and remedies of the COUNTY provided in this section shall not be exclusive and are in addition to any other rights and remedies provided by law or this Agreement.

#### 6. Ownership/Use of Contract Materials and Products

The CONSULTANT agrees that all materials, reports or products in any form, including electronic, created by the CONSULTANT for which the CONSULTANT has been compensated by the COUNTY pursuant to this Agreement shall be the sole property of the COUNTY; and may be used by the COUNTY for any purpose the COUNTY deems to be appropriate, including, but not limited to, duplication and/or distribution within the COUNTY or to third parties. The CONSULTANT agrees not to release or circulate in whole or part such materials, reports or products without prior written authorization of the COUNTY.

#### 7. Conduct of the CONSULTANT

7.1 The CONSULTANT covenants that it presently has no interest, including, but not limited to, other projects or contracts, and shall not acquire any such interest, direct or indirect, which would conflict in any manner or degree with the CONSULTANT's performance under this Agreement. The CONSULTANT further covenants that no person or subcontractor having any such interest shall be employed or retained by CONSULTANT under this Agreement. The CONSULTANT agrees to inform the COUNTY of all CONSULTANT's interests, if any, which are or may be perceived as incompatible with the COUNTY's interests.

- 7.2 The CONSULTANT shall not, under circumstances which could be interpreted as an attempt to influence the recipient in the conduct of his/her duties, accept any gratuity or special favor from individuals or firms with whom the CONSULTANT is doing business or proposing to do business, in accomplishing the work under this Agreement.
- **7.3** The CONSULTANT or its employees shall not offer gifts, gratuity, favors, and entertainment directly or indirectly to COUNTY employees.

#### 8. Inspection of Services

- 8.1 All performance shall be subject to inspection by the COUNTY. The CONSULTANT shall provide adequate cooperation to the COUNTY representative to permit him/her to determine the CONSULTANT's conformity with the terms of this Agreement. If any services performed or products provided by the CONSULTANT are not in conformance with the terms of this Agreement, the COUNTY shall have the right to require the CONSULTANT to perform the services or provide the products in conformance with the terms of the Agreement at no additional cost to the COUNTY. When the services to be performed or the products to be provided are of such nature that the difference cannot be corrected, the COUNTY shall have the right to: (1) require the CONSULTANT immediately to take all necessary steps to ensure future performance in conformity with the terms of the Agreement; and/or (2) reduce the Agreement price to reflect the reduced value of the services performed or products provided. The COUNTY may also terminate this Agreement for default and charge to the CONSULTANT any costs incurred by the COUNTY because of the CONSULTANT's failure to perform.
- **8.2** The CONSULTANT shall establish adequate procedures for self-monitoring to ensure proper performance under this Agreement; and shall permit a COUNTY representative to monitor, assess or evaluate the CONSULTANT's performance under this Agreement at any time upon reasonable notice.

#### 9. <u>Independent Contractor</u>

The CONSULTANT is, for purposes relating to this Agreement, an independent contractor and shall not be deemed an employee of the COUNTY. It is expressly understood and agreed that the CONSULTANT (including its employees, agents and subcontractor's) shall in no event be entitled to any benefits to which the COUNTY employees are entitled, including but not limited to overtime, any retirement benefits, worker's compensation benefits, and injury leave or other leave benefits. There shall be no employer-employee relationship between the parties; and the CONSULTANT shall hold the COUNTY harmless from any and all claims that may be made against the COUNTY based upon any contention by a third party that an employer-employee relationship exists by reason of this Agreement. It is further understood and agreed by the parties that the CONSULTANT in the performance of this Agreement is subject to the control or direction of the COUNTY merely as to the results to be accomplished and not as to the means and methods for accomplishing the results.

#### 10. Subcontract for Work or Services

No contract shall be made by the CONSULTANT with any other party for furnishing any of the work or services under this Agreement without the prior written approval of the COUNTY; but this provision shall not require the approval of contracts of employment between the CONSULTANT and personnel assigned under this Agreement, or for parties named in the proposal and agreed to under this Agreement.

#### 11. <u>Disputes</u>

11.1 The parties shall attempt to resolve any disputes amicably at the working level. If that is not successful, the dispute shall be referred to the senior management of the parties. Any dispute relating to this Agreement which is not resolved by the parties shall be decided by the COUNTY's Compliance Contract Officer who shall furnish the decision in writing. The decision of the COUNTY's Compliance Contract

Officer shall be final and conclusive unless determined by a court of competent jurisdiction to have been fraudulent, capricious, arbitrary, or so grossly erroneous as necessarily to imply bad faith. The CONSULTANT shall proceed diligently with the performance of this Agreement pending the resolution of a dispute.

11.2 Prior to the filing of any legal action related to this Agreement, the parties shall be obligated to attend a mediation session in Riverside County before a neutral third party mediator. A second mediation session shall be required if the first session is not successful. The parties shall share the cost of the mediations. The parties shall jointly select a mediator acceptable to the CONSULTANT and COUNTY. The mediation shall take place in Riverside County. Each party shall be responsible for its own legal fees and other expenses incident to the preparation for mediation. If the dispute cannot be resolved by mediation, neither COUNTY nor CONSULTANT waives their rights to bring the appropriate legal action in a court of competent jurisdiction within the County of Riverside.

#### 12. Licensing and Permits

The CONSULTANT shall comply with all State or other licensing requirements, including but not limited to the provisions of Chapter 9 of Division 3 of the Business and Professions CONSULTANT. All licensing requirements shall be met at the time proposals are submitted to the COUNTY. The CONSULTANT warrants that it has all necessary permits, approvals, certificates, waivers and exemptions necessary for performance of this Agreement as required by the laws and regulations of the United States, the State of California, the County of Riverside and all other governmental agencies with jurisdiction, and shall maintain these throughout the term of this Agreement relative to all services provided, to be performed under Exhibit "A" SCOPE OF SERVICE, and that service(s) will be performed by properly trained and licensed staff.

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#### Non-Discrimination and Equal Opportunity 13.

The CONSULTANT assures that it will comply fully with the nondiscrimination and equal opportunity provisions of WIOA.

The CONSULTANT assures that it has a nondiscrimination and equal opportunity policy that complies with the non-discrimination and equal opportunity provisions of WIOA.

The CONSULTANT assures that its nondiscrimination and equal opportunity policy covers participants, employees and program beneficiaries served under this Agreement and that it does not discriminate, on the basis of race, color, religion, national origin, age, physical/mental disability, political affiliations or beliefs, and sex including discrimination based on gender identity, gender expression, and sexual orientation, in the selection of participants and employees. The policy shall cover, but not be limited to, the following: employment, promotion, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation and selection for training.

The CONSULTANT will take action to ensure that participants, employees, and program beneficiaries are treated during training/employment without regard to their race, color, religion, national origin, age, physical/mental disability, political affiliation or beliefs, and sex including gender identity, gender expression, and sexual orientation. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation and selection for training, including apprenticeship.

The CONSULTANT agrees to comply with the notice and communication requirements of the nondiscrimination and equal opportunity provisions of WIOA and the right of a participant, employee or program beneficiary to file a complaint.

In the event of the CONSUTLANT'S non-compliance with the nondiscrimination and equal opportunity clauses of this Agreement or with any such rules, regulations, or orders, this Agreement may be canceled, terminated or suspended in whole or in part,

and the CONSULTANT may be declared ineligible for further government contracts in

accordance with policies authorized under WIOA section 188 and implementing

regulations, Title IV of the Civil Rights of 1964, Americans with Disabilities Act of 1990,

Title II, Subpart A, Age Discrimination Act of 1975, as amended, Section 504 of the

Rehabilitation Act of 1973, Title IX of the Education Amendments of 1972, Fair

Employment and Housing Act (Government Code, Title 2, Division 3, Part 2.8),

Chapters 1-6, Dymally-Alatorre Bilingual Services Act, Government Code Section

7290-7299.8, Executive Order 13166, Improving Access to Services for Persons with

#### 14. Record Retention and Documents

Limited English Proficiency.

The CONSULTANT agrees to retain all records pertaining to this Agreement under Workforce Innovation and Opportunity Act (WIOA) programs for a period of seven (7) years after termination of this Agreement. If, at the end of seven (7) years, there is an ongoing litigation or an audit involving those records, the CONSULTANT shall retain the records until the resolution of such litigation or audit is completed. The Department of Labor, the Grantee, and the COUNTY reserve the right to monitor and visit, announced or unannounced, the CONSULTANT's facilities at any time during normal business hours. The monitoring shall be conducted in accordance with the COUNTY WIOA Monitoring Guide and WIOA State Directives.

#### 15. Confidentiality

15.1 The CONSULTANT shall not use for personal gain or make other improper use of privileged or confidential information which is acquired in connection with this Agreement. The term "privileged or confidential information" includes but is not limited to: unpublished or sensitive technological or scientific information; medical, personnel, or security records; anticipated material requirements or pricing/purchasing

actions; the COUNTY information or data which is not subject to public disclosure; COUNTY operational procedures; and knowledge of selection of contractors, subcontractors or suppliers in advance of official announcement.

and other identifying information concerning persons receiving services pursuant to this Agreement, except for general statistical information not identifying any person. The CONSULTANT shall not use such information for any purpose other than carrying out the CONSULTANT's obligations under this Agreement. The CONSULTANT shall promptly transmit to the COUNTY all third party requests for disclosure of such information. The CONSULTANT shall not disclose, except as otherwise specifically permitted by this Agreement or authorized in advance in writing by the COUNTY, any such information to anyone other than the COUNTY. For purposes of this paragraph, identify shall include, but not be limited to, name, identifying number, symbol, or other identifying particular assigned to the individual, such as finger or voice print or a photograph.

#### 16. Administration/Contract Liaison

The Assistant County Executive Officer/Economic Development Agency and/or Workforce Development Division designee shall administer this Agreement, as well as any/all future modifications necessary to this Agreement on behalf of the COUNTY.

#### 17. Force Majeure

If either party is unable to comply with any provision of this Agreement due to causes beyond its reasonable control, and which could not have been reasonably anticipated, such as acts of God, acts of war, civil disorders, or other similar acts, such

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#### **EDD Reporting Requirements** 18.

In order to comply with child support enforcement requirements of the State of California, the COUNTY may be required to submit a Report of Independent CONSULTANT(s) form DE 542 to the Employment Development Department ("EDD"). The CONSULTANT agrees to furnish the required data and certifications to the COUNTY within 10 days of notification of award of Agreement when required by the This data will be transmitted to governmental agencies charged with the establishment and enforcement of child support orders. Failure of the CONSULTANT to timely submit the data and/or certificates required may result in the contract being awarded to another CONSULTANT. In the event a contract has been issued, failure of the CONSULTANT to comply with all federal and state reporting requirements for child support enforcement or to comply with all lawfully served Wage and Earnings Assignments Orders and Notice of Assignment shall constitute a material breach of If the CONSULTANT has any questions concerning this reporting Agreement. requirement, please call (916) 657-0529. The CONSULTANT should also contact the local Employment Tax Customer Service Office listed in the telephone directory in the State Government section under "Employment Development Department" or access their Internet site at www.edd.ca.gov.

#### **Hold Harmless/Indemnification** 19.

The CONSULTANT shall indemnify and hold harmless the County of Riverside, its Agencies, Districts, Special Districts and Departments, The WDB their respective directors, officers, Board of Supervisors, elected and appointed officials, employees, agents and representatives (individually and collectively hereinafter referred to as Indemnitees) from any liability whatsoever, based or asserted upon any

services of CONSULTANT, its officers, employees, subcontractors, agents or representatives arising out of or in any way relating to this Agreement, including but not limited to property damage, bodily injury, or death or any other element of any kind or nature whatsoever arising from the performance of CONSULTANT, its officers, employees, subcontractors, agents or representatives Indemnitors from this Agreement. CONSULTANT shall defend, at its sole expense, all costs and fees including, but not limited, to attorney fees, cost of investigation, defense and settlements or awards, the Indemnitees in any claim or action based upon such alleged acts or omissions.

With respect to any action or claim subject to indemnification herein by CONSULTANT, CONSULTANT shall, at their sole cost, have the right to use counsel of their own choice and shall have the right to adjust, settle, or compromise any such action or claim without the prior consent of COUNTY; provided, however, that any such adjustment, settlement or compromise in no manner whatsoever limits or circumscribes CONSULTANT'S indemnification to Indemnitees as set forth herein.

CONSULTANT'S obligation hereunder shall be satisfied when CONSULTANT has provided to COUNTY the appropriate form of dismissal relieving COUNTY from any liability for the action or claim involved.

The specified insurance limits required in this Agreement shall in no way limit or circumscribe CONSULTANT'S obligations to indemnify and hold harmless the Indemnitees herein from third party claims.

In the event there is conflict between this clause and California Civil Code Section 2782, this clause shall be interpreted to comply with Civil Code 2782. Such interpretation shall not relieve the CONSULTANT from indemnifying the Indemnitees to

the fullest extent allowed by law.

19.2 In the event there is conflict between this clause and California Civil CONSULTANT Section 2782, this clause shall be interpreted to comply with Civil CONSULTANT 2782. Such interpretation shall not relieve the CONSULTANT from indemnifying the COUNTY to the fullest extent allowed by law.

#### 20. Insurance

Without limiting or diminishing the CONSULTANT's obligation to indemnify or hold the COUNTY harmless, the CONSULTANT shall procure and maintain or cause to be maintained, at its sole cost and expense, the following insurance coverages during the term of this Agreement:

#### 20.1 Workers' Compensation

If the CONSULTANT has employees as defined by the State of California, the CONSULTANT shall maintain statutory Workers' Compensation Insurance (Coverage A) as prescribed by the laws of the State of California. The Policy shall include Employers' Liability (Coverage B) including Occupational Disease with limits not less than \$1,000,000 per person per accident. The policy shall be endorsed to waive subrogation in favor of the County of Riverside, and, if applicable, to provide a Borrowed Servant/Alternate Employer Endorsement.

#### 20.2 Commercial General Liability

Commercial General Liability insurance coverage, including but not limited to, premises liability, contractual liability, products and completed operations liability, personal and advertising injury covering claims which may arise from or out of the CONSULTANT's performance of its obligations hereunder. The Policy shall name all Agencies, CONSULTANTs, Special CONSULTANTs, and Departments of the COUNTY of Riverside, their respective directors, officers, Board of Supervisors, employees, elected or appointed officials, agents or representatives as Additional Insureds. The Policy's limit of liability shall not be less than \$1,000,000 per occurrence

combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this agreement or be no less than two (2) times the occurrence limit.

#### 20.3 Vehicle Liability

If the CONSULTANT's vehicles or mobile equipment are used in the performance of the obligations under this Agreement, then the CONSULTANT shall maintain liability insurance for all owned, non-owned or hired vehicles so used in an amount not less than \$1,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this agreement or be no less than two (2) times the occurrence limit. Policy shall name all Agencies, CONSULTANTs, Special CONSULTANTs, and Departments of the COUNTY of Riverside, their respective directors, officers, Board of Supervisors, employees, elected or appointed officials, agents or representatives as Additional Insureds.

#### 20.4 Professional Liability Insurance

The CONSULTANT shall maintain Professional Liability Insurance providing coverage for the CONSULTANT'S performance of work included within this Agreement, with a limit of liability of not less than \$1,000,000 per occurrence and \$2,000,000 annual aggregate. If the CONSULTANT's Professional Liability Insurance is written on a claims made basis rather than an occurrence basis, such insurance shall continue through the term of this Agreement and the CONSULTANT shall purchase at his sole expense either 1) an Extended Reporting Endorsement (also known as Tail Coverage); or 2) Prior Dates Coverage from new insurer with a retroactive date back to the date of, or prior to, the inception of this Agreement; or 3) demonstrate through Certificates of Insurance that the CONSULTANT has Maintained continuous coverage with the same or original insurer. Coverage provided under items; 1), 2) or 3) will continue for a period of five (5) years beyond the termination of this Agreement.

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#### 20.5 General Insurance Provisions - All lines

- a) Any insurance carrier providing insurance coverage hereunder shall be admitted to the State of California and have an A M BEST rating of not less than A: VIII (A:8) unless such requirements are waived, in writing, by the COUNTY Risk Manager. If the COUNTY's Risk Manager waives a requirement for a particular insurer such waiver is only valid for that specific insurer and only for one policy term.
- b) The CONSULTANT's insurance carrier(s) must declare its insurance deductibles or self-insured retentions. If such deductibles or self-insured retentions exceed \$500,000 per occurrence such deductibles and/or retentions shall have the prior written consent of the COUNTY Risk Manager before the commencement of operations under this Agreement. Upon notification of deductibles or self-insured retention's unacceptable to the COUNTY, and at the election of the Country's Risk Manager, the CONSULTANT'S carriers shall either; 1) reduce or eliminate such deductibles or self-insured retention's as respects this Agreement with the COUNTY, or 2) procure a bond which guarantees payment of losses and related investigations, claims administration, and defense costs and expenses.
- c) The CONSULTANT shall cause the CONSULTANT'S insurance carrier(s) to furnish the County of Riverside with either 1) a properly executed original Certificate(s) of Insurance and certified original copies of Endorsements effecting coverage as required herein, or 2) if requested to do so orally or in writing by the COUNTY Risk Manager, provide original Certified copies of policies including all Endorsements and all attachments thereto, showing such insurance is in full force and effect. Further, said Certificate(s) and policies of insurance shall contain the covenant of the insurance carrier(s) that thirty (30) days written notice shall be given to the County of Riverside prior to any material modification, cancellation, expiration or reduction in coverage of such insurance. In the event of a material modification, cancellation, expiration, or reduction in coverage, this Agreement shall terminate forthwith, unless the County of Riverside receives, prior to such effective date, another

properly executed original Certificate of Insurance and original copies of endorsements or certified original policies, including all endorsements and attachments thereto evidencing coverage's set forth herein and the insurance required herein is in full force and effect. The CONSULTANT shall not commence operations until the COUNTY has been furnished original Certificate (s) of Insurance and certified original copies of endorsements or policies of insurance including all endorsements and any and all other attachments as required in this Section. An individual authorized by the insurance carrier to do so on its behalf shall sign the original endorsements for each policy and the Certificate of Insurance.

- d) It is understood and agreed to by the parties hereto and the insurance company(s), that the Certificate(s) of Insurance and policies shall so covenant and shall be construed as primary insurance, and the COUNTY'S insurance and/or deductibles and/or self-insured retention's or self-insured programs shall not be construed as contributory.
- e) The COUNTY'S Reserved Rights--Insurance. If, during the term of this Agreement or any extension thereof, there is a material change in the SCOPE OF SERVICE; or, there is a material change in the equipment to be used in the performance of the scope of work (such as the use of aircraft or watercraft) the COUNTY reserves the right to adjust the types of insurance required under this Agreement and the monetary limits of liability for the insurance coverage's currently required herein, if; in the COUNTY Risk Manager's reasonable judgment, the amount or type of insurance carried by CONSULTANT has become inadequate.
- The CONSULTANT shall pass down the insurance obligations contained herein to all tiers of subcontractors working under this Agreement.
- g) The insurance requirements contained in this Agreement may be met with a program(s) of self-insurance acceptable to the COUNTY.

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21. <u>General</u>

- 21.1 The CONSULTANT shall not delegate or assign any interest in this Agreement, whether by operation of law or otherwise, without the prior written consent of COUNTY. Any assignment or purported assignment of this Agreement by CONSULTANT without the prior written consent of COUNTY will be deemed void and of no force or effect.
- 21.2 Any waiver by the COUNTY of any breach of any one or more of the terms of this Agreement shall not be construed to be a waiver of any subsequent or other breach of the same or of any other term of this Agreement. Failure on the part of the COUNTY to require exact, full and complete compliance with any terms of this Agreement shall not be construed as in any manner changing the terms or preventing the COUNTY from enforcement of the terms of this Agreement.
- 21.3 In the event the CONSULTANT receives payment under this Agreement which is later disallowed by the COUNTY for nonconformance with the terms of the Agreement, the CONSULTANT shall promptly refund the disallowed amount to the COUNTY on request; or at its option the COUNTY may offset the amount disallowed from any payment due to the CONSULTANT.
- **21.4** The CONSULTANT shall not provide partial delivery or shipment of services or products unless specifically stated in the Agreement.
- 21.5 The CONSULTANT shall comply with all applicable Federal, State and local laws and regulations. The CONSULTANT will comply with all applicable COUNTY policies and procedures. In the event that there is a conflict between the various laws or regulations that may apply, the CONSULTANT shall comply with the more restrictive law or regulation.
- **21.6** The CONSULTANT shall comply with all requirements of the Occupational Safety and Health Administration (OSHA) standards and CONSULTANT as set forth by the U.S. Department of Labor and the State of California (Cal/OSHA).

- 21.7 This Agreement shall be governed by the laws of the State of California. Any legal action related to the performance or interpretation of this Agreement shall be filed only in the Superior Court of the State of California located in Riverside, California, and the parties waive any provision of law providing for a change of venue to another location. In the event any provision in this Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remaining provisions will nevertheless continue in full force without being impaired or invalidated in any way.
- 21.8 This Agreement, including any attachments or exhibits, constitutes the entire Agreement of the parties with respect to its subject matter and supersedes all prior and contemporaneous representations, proposals, discussions and communications, whether oral or in writing. This Agreement may be changed or modified only by a written amendment signed by authorized representatives of both parties. No oral understanding or agreement not incorporated herein shall be binding on any of the parties hereto.
- 21.9 If any project produces patentable items, patent rights, processes or inventions in the course of work under a Department of Labor (DOL) grant or agreement, the CONSULTANT shall report the fact promptly and fully to the COUNTY. The COUNTY shall report the fact to the Grant Officer at DOL. Unless there is a prior agreement between the COUNTY and the DOL or its representative on these matters, DOL shall determine whether to seek protection on the invention or discovery. DOL or its representative shall determine how the rights in the invention or discovery, including rights under any patent issued thereon, will be allocated and administered in order to protect the public interest consistent with the following Patent Policy found at 29 CFR 95.36 and 29 CFR 97.34.
- 21.10 Unless otherwise provided in terms of the Grant or the Agreement, when copyrighted material is developed in the course of or under this Agreement, the author and the COUNTY which developed the work are free to copyright material or to permit others to do so. The COUNTY and the Workforce Development Board shall have a

royalty-free, non-exclusive and irrevocable license to produce, publish, and use and to authorize other to use all copyrighted material.

- **21.11** All original reports, preliminary findings, or data assembled or compiled by CONSULTANT under this Agreement become the property of the COUNTY. The COUNTY reserves the right to authorize others to use or reproduce such materials. Therefore, such materials may not be circulated in whole or in part, nor released to the public, without the direct authorization of the COUNTY.
- 21.12 Any waiver by COUNTY of any breach of any one or more of the terms of this Agreement shall not be construed to be a waiver of any subsequent or other breach of the same or of any other term thereof. Failure on the part of the COUNTY to require exact, full and complete compliance with any terms of this Agreement shall not be construed as in any manner changing the terms hereof or stopping COUNTY from enforcement hereof.
- 21.13 Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, Section 85.510 (Lower Tier). The CONSULTANT certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. Where the CONSULTANT is unable to certify to any of the statements in this certification, CONSULTANT shall attach an explanation to this Agreement.
- 21.14 The CONSULTANT shall assure that funds provided by this Agreement must be used exclusively for activities that are authorized under WIOA. Co-mingling and/or diverting of funds to support the activities of other programs are not authorized. Documentation supporting expenditures will be kept on file at the CONSULTANT's office and made available at all times for audit and monitoring purposes for a period of no less than seven (7) years after the County makes final payment and all pending matters are closed

21.15 The CONSULTANT will comply with controls, recordkeeping and accounting procedure requirements of WIOA, federal and state regulations and directives to ensure the proper accounting for funds paid under this Agreement. At such times and in such form, the COUNTY may require statements, records, reports, data and information pertaining to this Agreement be maintained on file for purpose of an audit or examination. Retention of all records for seven (7) years after the County makes final payment and all other pending matters are closed, is required.

21.16 The CONSULTANT shall establish and implement appropriate internal management procedures to prevent fraud, abuse and criminal activity. Further, the CONSULTANT shall establish a reporting process to ensure that the COUNTY is notified immediately of any allegation of WIOA-related fraud, abuse or criminal activity or any suspected or proven fraud, abuse or criminal acts committed by staff or participants. If the allegation is of any emergency and/or fiscal nature, it shall be reported to the COUNTY's Administration Unit at (951) 955-3100, and immediately thereafter, a written report shall be submitted. Proof of such report will be maintained in the CONSULTANT's file.

- **21.17** Should the CONSULTANT fail to perform the services as outlined in Exhibit "A" SCOPE OF SERVICE, the COUNTY and the CONSULTANT may meet and discuss alternate compensation arrangements.
- **21.18** CONSULTANT represents and warrants that Consultant is registered to do business in the State of California with the California Secretary of State.
- 21.19 All correspondence and notices required or contemplated by this Agreement shall be delivered to the respective parties at the addresses set forth below and are deemed submitted one (1) day after their deposit in the United States Mail, postage prepaid.

County of Riverside, EDA/WDD 1325 Spruce Street, Suite 110 Riverside, CA 92507

Attention: Heidi Marshall, Director of Workforce Development

#### Agreement Number: 7/1/16 to 3/31/17 - Regional Strategic Planning Consulting

MaryAnn Pranke Training & Consulting, Inc. 6410 Monero Drive Rancho Palos Verdes, CA 90275 Attention: MaryAnn Pranke, President

#### **Signatures**

IN WITNESS WHEREOF, the Parties hereto have caused their duly authorized representatives to execute this Agreement as of the dates set forth below.

#### COUNTY OF RIVERSIDE, a political subdivision of the State of California, by and through its Economic Development Agency/Workforce Development Division

#### **CONSULTANT:**

MaryAnn Pranke Training & Consulting, Inc., a private, for-profit corporation

By:	By:	
Heidi Marshall	MaryAnn Pranke	
Director of Workforce Development	President	
Dated:	Dated:	

Shillet

APPROVED AS TO FORM:

Gregory P. Priamos

**Neal Kipnis** 

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**Deputy County Counsel** 

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#### **EXHIBIT A** SCOPE OF SERVICE

- During the term of this Service Agreement for Regional Strategic Planning Consulting entered into between CONSULTANT and COUNTY, CONSULTANT will provide regional and local strategic workforce development planning services to the IERWA as referenced in this professional services agreement. CONSULTANT will be responsible for researching, reviewing, recommending, developing, authoring, energizing, and updating strategic workforce development plans for IERWA and for the COUNTY WDB. The expectation is that each strategic workforce development plan developed by CONSULTANT will align to California's Strategic Workforce Development Plan and address new legislation from the Workforce Innovation and Opportunity Act. CONSULTANT must ensure that Regional Plan and Local Plan are on target with meeting state workforce performance goals, while addressing the workforce needs of each local economy. Plans must include community stakeholder and WIOA partner input. consideration of local and regional labor markets, program and related data, and must build upon current Riverside County and San Bernardino County efforts with regard to WIOA youth programs, regional industry sector growth, and other initiatives/programs.
- В. CONSULTANT shall, at a local and regional level, research economic and labor data; facilitate Stakeholder focus groups; and facilitate one-day retreats, with IERWA and with the COUNTY WDB. CONSULTANT is expected to conduct operator staff interviews; review services and customer flow; review partner MOUs; and visit centers and satellites. CONSULTANT will, among other services, use aforementioned retreats to conduct Environmental Scans and SWOT Analysis and to develop strategic vision and goals.
- CONSULTANT is expected to conduct a literary review of each agency's C. historical data, understand the approved demand driven industries, and examine and assist IERWA in development of renewed objectives and priorities for the local area. CONSULTANT is expected to assist the IERWA with accomplishing its goals as more specifically set forth in (i) COUNTY'S Request for Proposal (RFP), Regional Strategic Planning Consultant/Firm, Performance Period April 1. 2016 - March 31, 2017, and (ii) CONSULTANT'S response to said RFP, submitted to COUNTY on February 14, 2016.

## EXHIBIT B PAYMENT SCHEDULE

Riverside County Local Plan

ΪŢ.	Task/Activity	Deliverable(s)	Fixed Rate	Completion Date
1)	Research for Local Economic and Labor Data	PowerPoint Charts of Local Data	\$3,000	July 2016
2)	½ Day Focus Groups for Stakeholder Input: Business	Aggregated Results Report	\$2,250	July 2016
3)	½ Day Focus Groups for Stakeholder Input: Youth Service Providers	Aggregated Results Report	\$2,250	Aug 2016
4)	½ Day Focus Groups for Stakeholder Input: AJCC Partners	Aggregated Results Report	\$2,250	Aug 2016
5)	½ Day Focus Groups for Stakeholder Input: Customers	Aggregated Results Report	\$2,250	Sept 2016
6)	1 Day Interviews of AJCC Operator Staff to Review Services and Customer Flow; Review MOUs for Partner Services; Visit Centers/Satellites	Results Report	\$3,500	Sept 2016
7)	1 Day WDB Retreat to Conduct Environmental Scan & SWOT Analysis	Results Report	\$2,500	Sept 2016
8)	1 Day WDB Retreat to Develop Strategic Vision and Goals	Results Report	\$2,500	Oct 2016
9)	Complete Local Plan Document	Local Plan	\$30,000	Nov 2016
10)	Follow-up Report	Report	\$2,500	March 2017

Total Cost

\$53,000

IERWA Regional Plan

	Task/Activity	Deliverable(s)	Fixed Rate	Completion Date
1)	Research for Regional Economic and Labor Data	PowerPoint Charts of Regional Data	\$3,000	July 2016
2)	1 Day WDB Retreat to Conduct Regional Environmental Scan & SWOT Analysis	Results Report	\$2,500	Aug 2016
3)	Day WDB Retreat to Develop Regional     Strategic Vision and Goals	Results Report	\$2,500	Sept 2016
4)	1 Day Retreat with WDB, AJCC Staff, and Management to Plan Regional Coordinated Service Plan	Results Report	\$3,500	Oct 2016
5)	Complete Regional Plan Document	Regional Plan	\$30,000	Nov 2016
6)	Follow-up Report	Report	\$2,500	March 2017
		Total Coat	¢44 000	

Total Cost

\$44,000

Total Overall Cost: \$97,000

## EXHIBIT C INVOICE FORM

CONSUL	TANT Name: MaryAnn Pranke Training & Consulting	ng, Inc.
Mailing/R	emittance Address: 6410 Monero Drive Rancho Palos	Verdes, CA 90275
Invoice N	umber: XXXXXX	
	Payment Request for Services Rendered	
Date	Deliverable	Cost
14 TO 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	[Items listed here MUST correspond to Task/Activity and Deliverable(s) sections of PAYMENT SCHEDULE.]	[Amount MUST correspond to Fixe Rate section of PAYMENT SCHEDULE.]
	Total for this Invoice:	\$