

**SUBMITTAL TO THE BOARD OF SUPERVISORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**

203



FROM: Riverside County Auditor-Controller

SUBMITTAL DATE:
July 1, 2016

SUBJECT: Fraud Hotline 24/7! Program Activity Report for the Period of July 1, 2015 through June 30, 2016, [District: All]: [\$0]

RECOMMENDED MOTION: That the Board of Supervisors:

1. Receive and file the Fraud Hotline 24/7! Program activity report for the Period of July 1, 2015 through June 30, 2016.

BACKGROUND:

Summary

On September 1, 2009 (Minute Order 3.19), the Board of Supervisors approved Policy C-35, Standards of Ethical Conduct to address Fraud, Waste and Abuse. Approved along with this policy was the implementation of the county's Fraud, Waste and Abuse Prevention Program.

(Continued on page 2)

Departmental Concurrence

Paul Angulo

Paul Angulo, CPA, MA
Riverside County Auditor-Controller

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:	Ongoing Cost:	POLICY/CONSENT (per Exec. Office)
COST	\$ 0.0	\$ 0.0	\$ 0.0	\$ 0.0	Consent <input checked="" type="checkbox"/> Policy <input type="checkbox"/>
NET COUNTY COST	\$ 0.0	\$ 0.0	\$ 0.0	\$ 0.0	

SOURCE OF FUNDS: N/A	Budget Adjustment: No
	For Fiscal Year: n/a

C.E.O. RECOMMENDATION: APPROVE
BY: *Samuel Wong*

Samuel Wong
County Executive Office Signature

MINUTES OF THE BOARD OF SUPERVISORS

- Positions Added
- Change Order
- A-30
- 4/5 Vote

Prev. Agn. Ref.: | **District: ALL** | **Agenda Number:**

SUBMITTAL TO THE BOARD OF SUPERVISORS, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA
FORM 11: Riverside County Auditor-Controller's Office – Fraud Hotline 24/7! Program Activity Report for the period of July 1, 2015 through June 30, 2016.

DATE: July 1, 2016

PAGE: Page 2 of 2

BACKGROUND:

Summary (continued)

On October 16, 2012 (Minute Order 3.12), Policy C-35 was modified to update the website address and clarify which offenses should be reported to the Fraud, Waste and Abuse Hotline. The reporting of such incidents is confidential and anonymous. Confidentiality and anonymity are enhanced through the use of a third-party vendor (Convercent) that provides 24/7 internet (MySafeWorkplace Anonymous Incident Reporting System) and call center services. Convercent manually enters all calls taken by the call center into the MySafeWorkplace system to establish one centralized system for monitoring fraud incidents falling under the responsibility of the Auditor-Controller's office.

Since Implementation of the Fraud, Waste and Abuse Prevention Program (formerly known as the Speak Out! Program) in September 2009, the Riverside County Auditor-Controller has received 596 incident reports of fraud, waste, abuse, and other types of offenses. Each report is reviewed and distributed to the affected department/agency head and their assigned human resources services manager for investigation and action or to another jurisdiction pursuant to California Government Code Section 53087.6.

The Fraud, Waste and Abuse Prevention Committee reviews and approves reports for closure on a quarterly basis. Riverside County County Counsel, the Director of Riverside County Human Resources, and the Riverside County Auditor-Controller are members of the Fraud Waste and Abuse Prevention Committee, with the Riverside County Auditor-Controller serving as the chair. Reasons for closing reports include referrals to other jurisdictions, assignment to other administrative process due to employee relations allegations, or when investigations have concluded.

Impact on Citizens and Businesses

Provide information on activity of the Fraud Hotline 24/7! Program.

SUPPLEMENTAL:

Additional Fiscal Information

Not applicable

ATTACHMENTS:

Fraud Hotline 24/7! Program Activity Report for the Period of July 1, 2015 through June 30, 2016



Fraud Hotline 24/7!
Report Fraud, Waste & Abuse



Paul Angulo, CPA, MA
 AUDITOR-CONTROLLER

Frankie Ezzat, MPA
 ASSISTANT AUDITOR-CONTROLLER

FRAUD HOTLINE 24/7! PROGRAM ACTIVITY REPORT FOR THE PERIOD OF JULY 1, 2015 THROUGH JUNE 30, 2016

The following tables report key incident statistics from the MySafeWorkplace Anonymous Incident Reporting System:

INCIDENTS REPORTED AND CLOSED

	FY 2014-15	FY 2015-16
Total Reported Incidents	64	58
FWA Committee Closures		
To Other Jurisdictions/Processes	(28)	(27)
By FWA Hotline	(11)	(9)
Active Incidents Fiscal Year End	25	22

REPORT METHOD

	FY 2014-15	FY 2015-16
Internet	42	44
Call Center	21	14
Proxy (1)	1	-
Reported	64	58

ANONYMITY

	FY 2014-15	FY 2015-16
Full Anonymity	50	49
Partial Anonymity (2)	8	4
None	6	5
Total	64	58

Notes:

- (1) Incidents entered into the system by the Auditor-Controller's fraud administrative team.
- (2) Reporter wants to remain anonymous to their department; however, they allow the fraud administrative team and fraud, waste and abuse committee to know their identity.