

**SUBMITTAL TO THE BOARD OF SUPERVISORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**

905



FROM: Community Action Partnership of Riverside County

SUBMITTAL DATE:
May 22, 2012

SUBJECT: Resolution #2012-127 - Community Services Block Grant Target Initiatives and Innovative Projects Grant Application – Veterans Employment Project

RECOMMENDED MOTION: That the Board of Supervisors:

1. Approve Resolution #2012-127 for the Community Services Block Grant Target Initiatives and Innovative Projects Grant Application (Grant Application) for the Veterans Employment Project (VEP).
2. Authorize the Chairman of the Board or designee to sign all subsequent agreements in conformance with the Grant Application and related guidelines between Community Action Partnership of Riverside County (CAP Riverside) and the State of California, Department of Community Services and Development (CSD), not to exceed \$80,000;
3. Approve and direct the Auditor Controller to adjust the budget as identified in the attached Schedule A;
4. Authorize the Purchasing Agent to sign ministerial amendments to the agreement, not to exceed the Board authorized amount;

FISCAL PROCEDURES APPROVED
PAUL ANGULO, CPA, AUDITOR-CONTROLLER
BY Samuel Wong 5/9/12

Maria Y. Juarez
Maria Y. Juarez, Executive Director, CCAP

FINANCIAL DATA	Current F.Y. Total Cost:	\$ 80,000	In Current Year Budget:	No
	Current F.Y. Net County Cost:	\$	Budget Adjustment:	Yes
	Annual Net County Cost:	\$	For Fiscal Year:	12/13

SOURCE OF FUNDS: 100% Federal	Positions To Be Deleted Per A-30	<input type="checkbox"/>
	Requires 4/5 Vote	<input checked="" type="checkbox"/>

C.E.O. RECOMMENDATION:

APPROVE

County Executive Office Signature

BY: Debra Cournoyer
Debra Cournoyer

MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Tavaglione, seconded by Supervisor Benoit and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes: Buster, Tavaglione, Stone, Benoit and Ashley
Nays: None
Absent: None
Date: June 5, 2012
xc: CAP, Purchasing, Auditor, EO

Kecia Harper-Ihem
Clerk of the Board
By: [Signature]
Deputy

Prev. Agn. Ref.: ATTACHMENTS FILED WITH THE CLERK OF THE BOARD District: All Agenda Number:

3.12

FORM APPROVED COUNTY COUNSEL BY: [Signature] DATE: 5/11/12
 PURCHASING: [Signature] DATE: 5/11/12
 DEPARTMENTAL CONCURRENCE: [Signature] DATE: 5/11/12
 MARK SELLER, ASSISTANT DIRECTOR

Dept's Recomm.: Consent Policy
 Per Exec. Ofc.: Consent Policy

FROM: Community Action Partnership
of Riverside County

DATE: May 22, 2012

SUBJECT: Resolution #2012-127 – Community Services
Block Grant Target Initiatives and Innovative
Projects Grant Application

PAGE: 2 of 3

RECOMMENDED MOTION (Continued):

5. Authorize the Purchasing Agent to execute VEP Professional Services Agreements with employer(s) up to an aggregate amount of \$69,550 for the term June 1, 2012 through June 30, 2013.
6. Authorize the Purchasing Agent to sign ministerial amendments to the VEP Professional Services Agreements, not to exceed the Board authorized aggregate amount;
7. Authorize the Executive Director or designee to sign exhibits, assurances and reports made under the agreement; and
8. Authorize the Executive Director or designee to administer the program.

BACKGROUND:

CAP Riverside submitted the attached VEP Grant Application in response to CSD's 2012 CSBG Target Initiatives and Innovative Projects Request for Application 2012-FRA-28. CAP Riverside's VEP is a pilot project to address the high unemployment rate among United States military Gulf War-era II veterans residing in Riverside County. Statistics show that upon discharge from the military, veterans find difficulty in bridging the gap between military and civilian life, hindering their ability to obtain living wage employment and achieve self-sufficiency. CAP Riverside will recruit four (4) VEP participants who will receive classroom training in the areas of life skills, financial literacy, customer service and self-sufficiency resources; in addition to 1,307 hours of on-the-job training with employers in their area of interest. CAP Riverside will partner with other public and private sector organizations to provide VEP participants with work supports such as tools, work clothes, transportation, job-related education, housing, childcare, healthcare services, counseling, and cross-enrollment in asset-building and family self-sufficiency programs. CAP Riverside will work with employers and partners to secure permanent employment for participants prior to the close of the grant.

On April 30, 2012, CSD notified CAP Riverside was awarded \$80,000 for VEP.

FINANCIAL IMPACT: No County General Funds will be required.

CONCUR/EXECUTE: Auditor Controller
Purchasing

MYJ:KS:jb

FROM: Community Action Partnership
of Riverside County

DATE: May 22, 2012

SUBJECT: Budget Adjustment

PAGE: 3 of 3

SCHEDULE A

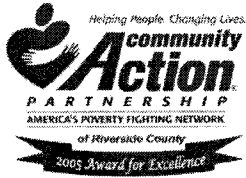
Community Action Partnership of Riverside County
Budget Adjustment
Fiscal Year 2012/2013

INCREASE IN EST. REVENUE:

CAARC-21050-5200300000-781480	Program Revenue	\$80,000
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INCREASE IN APPROPRIATIONS:

CAARC-21050-5200300000-525500	Salary/Benefit Reimbursement	\$80,000
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Riverside County Community Action Commission
(Commission)
of
Community Action Partnership of Riverside County
(CAP Riverside)

**TO APPLY FOR CSBG DISCRETIONARY TARGETED INITIATIVE FUNDING
THROUGH THE CALIFORNIA DEPARTMENT
OF COMMUNITY SERVICES AND DEVELOPMENT**

Upon a motion duly made, seconded and unanimously carried by a quorum of the
Commission of CAP Riverside, be it RESOLVED:

That Maria Y. Juarez, CCAP, the Executive Director of CAP Riverside, is authorized to apply for the
following on behalf of CAP Riverside and its Commission:

Community Services and Development RFA Number: 2012-RFA-28,

In the amount of \$100,000.00, for the targeted initiative:

Veterans Employment Project.

The Commission hereby authorizes the Executive Director to complete and execute any documents
necessary for completion of the application herein described.

Dated: April 19, 2012

J. Gene Walker, Commission Chair

Dated: April 19, 2012

Maria Y. Juarez, CCAP, Executive Director

The undersigned, Commission Vice Chair, certifies that he/she is the duly elected Vice Chair of the
Commission, and that the above is a true and correct copy of the resolution that was duly adopted at the
April 19, 2012 meeting of the Riverside County Community Action Commission held in accordance with
state law and the Bylaws of CAP Riverside.

Dated: April 19, 2012

Susan McKee, Commission Vice-Chair

March 28, 2012

Ms. Pamela Harrison, Division Manager
Department of Community Services and Development
P.O. Box 1947
Sacramento, CA 95812-1947

Re: 2012 Community Services Block Grant (CSBG) Targeted Initiatives and Innovative Projects Request for Applications (RFA) [2012-RFA-28]

Dear Ms. Harrison,

Please find enclosed Community Action Partnership of Riverside County's (CAP Riverside) application for the 2012 CSBG Targeted Initiatives and Innovative Projects RFA (2012-RFA-28). We have selected the Direct Client Services Targeted Initiative focusing on employment. The national unemployment rate for veterans who served on active duty in the U.S. Armed Forces at any time since September 2001--a group referred to as Gulf War-era II veterans--was 12.1 percent in 2011 (U.S. Bureau of Labor Statistics 2012); the jobless rate for all veterans was 8.3 percent. Statistics show that this population often exits the service finding it difficult to bridge the gap between military and civilian life, hindering their ability to obtain living wage employment and achieve self-sufficiency. To address these problems, CAP Riverside requests \$100,000 in 2012 CSBG Targeted Initiative Discretionary funds to implement its Veterans Employment Project. Any questions regarding this project can be directed to the project contact: Debra P. Jackson, CCAP at dpjackso@capriverside.org or (951) 955-4900.

Thank you for your consideration. We look forward to your response.

Respectfully,

Maria Y. Juarez, CCAP
Executive Director

Enclosure

MYJ/mh

PROJECT DESCRIPTION: *Need:* During the past year, through the 2011 county-wide community needs assessment results and discussions with partner agencies, Community Action Partnership of Riverside County (CAP Riverside) has become aware of the increasing unemployment rate amongst veterans, especially those returning from the current combat theater in Iraq and Afghanistan. The national unemployment rate for veterans who served on active duty in the U.S. Armed Forces at any time since September 2001--a group referred to as Gulf War-era II veterans--was 12.1 percent in 2011 (U.S. Bureau of Labor Statistics 2012); the jobless rate for all veterans was 8.3 percent. According to the 2010 U.S. Census, 8.6 percent of Riverside County's population aged 16 and over (1,633,942) are service veterans; a percentage that is expected to increase steadily as more troops return home. Statistics show that this population often exits the service finding it difficult to bridge the gap between military and civilian life, hindering their ability to obtain and hold living wage employment and achieve self-sufficiency. Additionally, many returning veterans and their families are often unaware of how to access available resources. CAP Riverside's Veterans Employment Project (VEP) will help veterans regain self-sufficiency by creating full-time, entry-level positions with the goal of permanent job placement. *Population/Target Group: Target Area:* The VEP will target United States military veterans who live within the boundaries of Riverside County. *Population/ Target Group To Be Served:* United States military veterans who meet 100% of the current Federal Poverty Income Guideline are eligible to enroll. Income is documented via income statement in the project application (Note: it is expected that income will increase during the project as an indicator of movement towards self-sufficiency.) Priority will be given to those qualifying veterans referred by the United States Veterans Initiative (U.S. Vets) located at March Air Force Base (MAFB) in Moreno Valley. These veterans live in the transitional housing at MAFB as a strategy to move

out of homelessness. Priority is also given to veterans without degrees from higher education institutions that may not have transferrable job skills upon leaving the military. **Projected Number To Be Served:** The project will enroll four (4) qualifying veterans with a projected two (2) (50%) to graduate. **Services Provided:** VEP is a pilot on-the-job training (OJT) project geared towards creating a job ready workforce of qualifying veterans. VEP graduates can expect to either continue in the field of their employer (sub-contractor) or expand their career opportunities in other areas. OJT opportunities include green technology such as weatherization assessment/ inspections, energy audits, lead abatement awareness, minor home repair, solar or other alternative energy programs, etc. Other OJT opportunities include warehousing, distribution, administrative duties, sales, etc. Veterans will participate for 1,387 hours over an eight (8)-month period. Of these hours, 136 hours are classroom training to include project orientation, life skills, financial literacy, customer service, and self-sufficiency resources. VEP participants receive a stipend for both classroom and OJT. Participants are encouraged to save a portion of their stipends, which is matched dollar-for-dollar up to \$100.00 by both CAP Riverside and their sub-contractor. CAP Riverside partners with other public and private sector organizations to provide VEP participants with work supports such as tools, work clothes, transportation, job-related education, housing, childcare, healthcare services, counseling, and cross-enrollment in asset-building and family self-sufficiency programs. Participants also receive coaching and mentoring in both life and job skills by project staff, sub-contractor, and partners. CAP Riverside works with sub-contractors and partners to secure permanent employment for participants prior to close of contract. CAP Riverside Planning Division staff will implement and supervise the project. A part-time Project Coordinator (1,040 hours), who

will report to the Planning Division Manager, will be hired to implement and supervise the project and participants.

PROJECT OBJECTIVES & OUTCOMES: The project has two (2) primary outcomes that are consistent with the CSBG National Goals, Results Oriented Management and Accountability (ROMA) Goals, and National Performance Indicators (NPIs). Each outcome has three (3) objectives:

Outcome 1: 2 of 4 (50%) participants will demonstrate increased self-sufficiency through permanent full-time employment by June 30, 2013.

National/ROMA Goal 1: Low-income people become more self-sufficient.

NPI 1.1.A.: Employment – Unemployed and obtained a job

Objective 1: 4 of 4 (100%) full-time positions will be created by 8/31/12.

Objective 2: 4 of 4 (100%) positions will be filled by 8/31/12.

Objective 3: 2 of 4 (50%) participants will secure permanent full-time employment by 6/30/13.

Outcome 2: 3 of 4 (75%) participants will demonstrate increased job skills and readiness through completion of on-the-job training by June 30, 13.

National/ROMA Goal 1: Low-income people become more self-sufficient.

NPI 1.2.A.: Employment Support – Obtained skills/competencies required for employment

Objective 1: 3 of 4 (75%) participants will complete an 80-hour project orientation by 8/31/12.

Objective 2: 3 of 4 (75%) participants will complete seven (7) monthly meetings by 6/30/13.

Objective 3: 3 of 4 (75%) participants will complete 1,307 on-the-job training hours by 6/30/13.

Verification of Outcomes: CAP Riverside Planning staff and the Project Coordinator will verify and maintain documentation of all outcomes, objectives, and activities using, but not limited to, the following tools: certifications; attendance logs; training logs; referral emails requesting

services from partners; in-kind reports for services provided by partners; monthly program progress reports (PPRs) submitted by sub-contractors; mid-term and project-end performance/skills assessment reviews administered by the Project Coordinator; and baseline, mid-term and project end self-assessment surveys. Successful graduation from the project includes: 1) completion of 80-hour orientation; 2) attendance at seven (7) monthly VEP meetings; 3) completion of 1,307 hours of on-the-job training; and 4) receive a satisfactory project-end performance review. ***Documentation of Outcomes:*** Hard copies of documentation are maintained in the project file and participant files. Participation is tracked by the Planning Division using a database and spreadsheet. Hours are tracked by both the Fiscal Division and Planning Division using a database and spreadsheet. ***How data is collected and recorded:*** Data are collected by CAP Riverside Planning staff and the Project Coordinator on a monthly basis via email, fax, mail, phone, or face-to-face meetings. Staff aggregates, analyzes, and reports on a monthly basis the actual results compared to project outcomes and objectives. A spreadsheet that tracks outcomes and objectives is maintained for real-time access and reference. CAP Riverside management team (Executive Director, Planning Division Manager, and Fiscal Officer) review results with the Project Coordinator to make any necessary recommendations for project enhancement or improvement. Successes and areas for improvement are reported in the quarterly CSD 626 CSBG Discretionary Progress Report and at the monthly Riverside County Community Action Commission meeting.

PROJECT DELIVERY STRATEGIES: ***Assessment and screening process of potential clients:*** Each prospective participant will submit a project application and be pre-screened by the United States Veterans Initiative for: interest; income eligibility; veteran status, Riverside County residency; evidence of a high school diploma or GED; drug testing; and proof of a valid

driver's license and insurance (note: lack of a driver's license or insurance will not eliminate applicant from consideration.) Prospective participants are referred to CAP Riverside for an interview. The selection panel consists of CAP Riverside Planning staff. Interview results are scored based on eagerness to participate in the project, previous related work experience and knowledge, commitment and ability to complete the project, and professionalism. Qualifying applicants with the highest scores are invited to participate. **Sub-Contracted Direct Services:**

CAP Riverside partners with sub-contractors to provide 1,307 hours of on-the-job training. Sub-contractors are reimbursed for 100% of the stipend paid to participants, as well as a small contribution to mandatory Worker's Compensation. Reimbursement is made via a CAP Riverside sub-contractor agreement that meets CSBG sub-contract requirements. **Agency**

Provided Direct Services: Direct services provided by CAP Riverside includes, but is not limited to: overall project implementation, supervision, evaluation, monitoring, and reporting; participation in recruitment, screening, interviewing and selection; matching veterans to sub-contractors; conducting and scheduling orientation, classroom training, and on-the-job training; securing regular project publicity; generating community services referrals; and assisting veterans secure permanent employment. CAP Riverside will provide or facilitate the following training:

- Project Orientation – 80 hours (these hours are separate from on-the-job training hours)
- Life skills, financial literacy, customer service, and self-sufficiency resources (7 monthly 8-hour VEP meetings = 56 hours; hours are included in the on-the-job training hours)
- Additional Job Training: as determined by participant, sub-contractor, and CAP Riverside
- On-the-Job Training with sub-contractors – 1,307 hours

Participants will engage in leadership development opportunities which include: speaking at local conferences; creating their own one-page success story; being guest speakers for events; providing personal testimony before elected officials; and identifying their own leadership opportunities. CAP Riverside will help, with the assistance of United States Veterans Initiative, coordinate mentoring, coaching, and work supports for participants. **Outreach:** The VEP is a pilot project with a limited number of participants and targeted priorities. Since the target population is the veteran communities, the primary outreach will be through the project partner United States Veterans Initiative and other veteran affairs agencies. The secondary outreach will be through CAP Riverside's existing family self-sufficiency and asset/wealth building programs. Outreach is made via face-to-face recruitment, distribution of fliers and posting on the CAP Riverside website. **Follow-Up/Monitoring:** The CAP Riverside Planning Division and Fiscal Division will monitor and evaluate the project's effectiveness and contract compliance. Monitoring and evaluation include: review of monthly Program Progress Report (PPR) from sub-contractors and the Project Coordinator; review of expenditure requests and billings from sub-contractors; site monitoring visits by the Project Coordinator; and documentation of outcomes and objectives achievement. Attendance logs and Certificates of Completion track attendance. Participants scheduled self-assessment on skills, interests, and work support needs, which are monitored by the Project Coordinator to facilitate participant success. Participants develop personal short-term and long-term goals, which are tracked by the Project Coordinator. Participants receive a face-to-face mid-term and project-end evaluation by the Project Coordinator. Sub-contractors submit a monthly Participant Progress Report (PPR) that tracks skill-building progress, customer/co-worker relationships, work ethics (attendance, following instructions, communications, etc.), and special training needs. Training and OTJ hours are

tracked by sub-contractors timesheets. The Riverside County Community Action Commission receives monthly written reports on the status of the project. CAP Riverside maintains an on-going working relationship with its sub-contractors and project partners. Through this network, follow-up of participants can be maintained for up to one (1) year. CAP Riverside Planning staff will contact graduates by the best means possible on a quarterly basis to determine the status of their employment and personal goal achievement. *Follow-Up/Monitoring Frequency:*

<u>Baseline, Mid-Term, and Final</u>	<u>Monthly</u>
<ul style="list-style-type: none"> • Participant Self-Evaluation 	<ul style="list-style-type: none"> • Sub-contractor’s Participant Progress Report (PPR)
<ul style="list-style-type: none"> • Long/Short-Term Goals Identification 	<ul style="list-style-type: none"> • Expenditure Reimbursement Requests
<ul style="list-style-type: none"> • Sub-contractor’s Participant Skills Evaluation 	<ul style="list-style-type: none"> • Participants’ Timesheets and Training Sign-In Logs
<u>By Workshop or Event</u>	<u>As Needed</u>
Pre/Post Tests; Training Certificates; Workshop Evaluations	Referral Request; Sub-Contractor Site Monitoring Visit

PARTNERSHIPS: CAP Riverside enjoys long-term public and private sector partnerships county-wide that share a common vision of addressing poverty in Riverside County. This network of partners is instrumental in assisting CAP Riverside deliver critical services and high-impact programs, projects, and services. Partners for the VEP include: **United States Veterans Initiative (U.S. Vets)** - - a non-profit community-based organization that provide supportive services to military veterans county-wide. U.S. Vets also operates a transitional housing program for homeless veterans and their families. *Project Roles/Responsibilities* - - provide

recruitment and referrals, pre-screening and assessment, case management and monitoring, assistance obtaining work supports (housing allowances, childcare, transportation, healthcare, etc.), project outreach, and participation in graduation event. **Sub-Contractors** - - on-the-job training sub-contractors will vary. CAP Riverside seeks sub-contractors in green technology (weatherization, energy efficiency, alternative energy, etc.), administration, warehousing, distribution, etc. ***Project Roles/Responsibilities*** - - provide on-the-job training, participant mentoring and coaching, monthly participant performance reviews and skills assessments, and participation in graduation event. **Referrals:** It is CAP Riverside's experience that marginalized, low-income participants in on-the-job training programs require more personal help and classroom training to retain their jobs. ***Types of Services*** - - training materials, housing allowances, childcare, transportation assistance, food; healthcare, job-related training, etc. CAP Riverside provides referrals to internal programs such as: Individual Development Account (IDA) - - a matched savings incentive for homeownership; higher education, or small business ownership or expansion; Earned Income Tax Credit (EITC) - - year-round free tax preparation and credits; Energy Services for assistance with weatherization (installation of various energy conservation measures to make homes more energy efficient and safe) or paying utility bills; and family self-sufficiency through Project B.L.I.S.S. (Building Links Impacts Self-sufficiency) - - a program where volunteers work with a family in poverty to create life changes that lead to self-sufficiency. ***How Referrals Are Made*** - - A standardized referral form is emailed to community partners and CAP Riverside program managers, as needed, to make service referrals. The referral identifies the participant, their contact information, their need(s), and a preferred response deadline. The form has the sender's contact information and a place for the referral organization to note action taken. The referral organization emails the completed referral form

back to CAP Riverside. **Referral Documentation and Follow-Up** -- Referral follow-up is monitored by the Project Coordinator. Follow-up is made within thirty (30) days of the original referral date. Follow-up is documented by the return of the completed Referral Request Form from the referral agency advising status of the referral and service. All referral documentation is maintained in individual participant files. Referrals are tracked via the CAP Riverside Planning Division In-Kind Database for the value of community support of the project. **Leveraging** -- Resource leveraging through partners is coordinated and maintained by the CAP Riverside Planning Division. Services provided by partners will be documented by a CAP Riverside In-Kind Report Form completed by the partner that verifies services, date(s) provided, and value of services. In-Kind Reports are tracked by spreadsheet for leveraging value. CAP Riverside will continue to leverage support services from partner after the close of the contract for twelve (12) months as follow-up services. Management of this follow-up will be maintained by traditional CSBG funding or on a volunteer basis by a community partner.

PROJECT TIMELINE: This project covers June 15, 2012 through June 30, 2013.

First Quarter (6/15/12 to 9/15/12)	Second Quarter (9/16/12 to 12/15/12) Third Quarter (12/16/12 to 3/15/13)
<ul style="list-style-type: none"> • Project Ramp-Up • Hire Project Coordinator • Secure Sub-Contractors • Recruit and select participants • Hold 80-hour participant orientation • Conduct participant baseline self-evaluations and assessments 	<ul style="list-style-type: none"> • Conduct monthly VEP meetings • Participants continue on-the-job training • Provide on-going case management and referrals • Conduct participant mid-term self-evaluations and assessments • Secure resources to sustain project post

<ul style="list-style-type: none"> • Place participants for on-the-job training • Provide on-going case management and referrals • Submit and collect scheduled reports and project documentation 	<p style="text-align: right;">6/30/13</p> <ul style="list-style-type: none"> • Submit and collect scheduled reports and project documentation
<p>Fourth Quarter (3/16/13 to 6/30/13)</p> <ul style="list-style-type: none"> • Conduct monthly VEP meetings • Participants continue on-the-job training • Conduct participant final-term self-evaluations and assessments • Provide on-going case management and referrals; develop follow-up plan • Submit and collect scheduled reports and project documentation • Project close-out 	

SUSTAINABILITY: CAP Riverside has a history of facilitating on-the-job training programs that are highly successful and replicable. As the community gains awareness for the needs of veterans and the project, funding opportunities and partnerships increase. CAP Riverside continues to seek other grantees as well as private sector partners. Increased cost sharing with sub-contractors helps to reduce costs and sustain the project. CAP Riverside has developed a resource development timeline to take advantage of emerging opportunities and to ensure future funding is secured prior to the end of this contract.

**ATTACHMENT I
 CSBG DISCRETIONARY (Disc.) CONTRACT BUDGET SUMMARY**

Contractor Name: Community Action Partnership of Riverside County	Contract Number: 2012-RFA-28	Contract Amount: \$100,000
Prepared By: Kathryn J. Snyder, Fiscal Officer	Contract Term: 06/15/12 to 06/30/13	Amendment #:
Telephone #: (951) 955-6461	Fax Number: (951) 955-1399	
Date: 3/27/2012	E-mail Address: ksnyder@capriverside.org	

SECTION 10: ADMINISTRATIVE COSTS

Line Item	Description	CSBG Disc. Funds (rounded to the nearest dollar)
1	Salaries and Wages	
2	Fringe Benefits	
3	Operating Expenses	\$4,913
4	Equipment	
5	Out-of-State Travel	
6	Subcontractor Services	
7	Other Costs:	
Subtotal Section 10: Administrative Costs (cannot exceed 12% of the total CSBG Disc. allocation in Section 40)		\$4,913

SECTION 20: PROGRAM COSTS

Line Item	Description	CSBG Disc. Funds (rounded to the nearest dollar)
1	Salaries and Wages	\$17,526
2	Fringe Benefits	
3	Operating Expenses	\$8,011
4	Equipment	
5	Out-of-State Travel	
6	Subcontractor Services	\$69,550
7	Other Costs:	
Subtotal Section 20: Program Costs		\$95,087

SECTION 40: Total CSBG Disc. Budget Amount (Sum of Subtotal Sections 10 and 20)	\$100,000
SECTION 70: CSBG Disc. Funds Administrative Percent (Section 10 divided by Section 40)	4.9%
SECTION 80: Enter "Other Agency Operating Funds Used to Support CSBG Disc." (INFORMATION ONLY)	\$15,241,963
SECTION 90: Agency Total CSBG Disc. Operating Budget (Sum of Sections 40 and 80) (INFORMATION ONLY)	\$15,341,963

**ATTACHMENT I
CSBG DISCRETIONARY (Disc.) BUDGET SUPPORT -- NON PERSONNEL COSTS**

Contractor Name: Community Action Partnership of Riverside County	Contract Number: 2012-RFA-28	Contract Amount: \$100,000
Prepared By: Kathryn J. Snyder, Fiscal Officer	Contract Term: 06/15/12 to 06/30/13	Amendment #:
Telephone #: (951) 955-6461	Fax Number: (951) 955-1399	
Date: 3/27/2012	E-mail Address: ksnyder@capriverside.org	

Hit Alt & Enter at the same time to begin a new line or paragraph within the cell.

EXPLAIN AND JUSTIFY EACH LINE ITEM Totals must match CSD 627 Budget Summary form Attach additional sheet(s) if necessary Missing descriptions shall result in delay of the contract execution.	CSBG DISCRETIONARY	
	Section 10 Administrative Costs	Section 20 Program Costs
List all Operating Expenses See Attachment "A"	3 sum should equal total on line item 3 of CSD 627 Budget Summary form \$4,913	3 sum should equal total on line item 3 of CSD 627 Budget Summary form \$8,011
List all Equipment Purchases N/A	4 sum should equal total on line item 4 of CSD 627 Budget Summary form -0-	4 sum should equal total on line item 4 of CSD 627 Budget Summary form -0-
List all Out-of-State Travel: Name of conference; Specify location; Cost per trip N/A	5 sum should equal total on line item 5 of CSD 627 Budget Summary form -0-	5 sum should equal total on line item 5 of CSD 627 Budget Summary form -0-
List all Subcontractor Services See Attachment "A"	6 sum should equal total on line item 6 of CSD 627 Budget Summary form -0-	6 sum should equal total on line item 6 of CSD 627 Budget Summary form \$68,550
Other Costs - Explain & Justify each line item (i - iv): Any additional Other Costs (attach additional sheet if necessary):	Section 10 Administrative Costs	Section 20 Program Cost
i	-0-	-0-
ii		
iii		
iv		
Total Other Costs (Sum of i, ii, iii, iv):	7 sum should equal total on line item 7 of CSD 627 Budget Summary form	7 sum should equal total on line item 7 of CSD 627 Budget Summary form

ATTACHMENT I

CSBG Budget Support -- Other Agency Operating Funds

Contractor Name: Community Action Partnership of Riverside County	Contract Number: 2012-RFA-28	Contract Amount: \$100,000
Prepared By: Kathryn J. Snyder, Fiscal Officer	Contract Term: 06/15/12 to 06/30/13	Amendment #:
Telephone #: (951) 955-6461	Fax Number: 951-955-1399	
Date: 3/27/2012	E-mail Address: ksnyder@capriverside.org	
Funding Source		Funding Amount
CSBG Contract #12-F-4432		\$1,955,521
CSBG Discretionary Contract #11F-4309		\$70,000
2012 LIHEAP Contract #12B-5830		\$3,990,489
2011 LIHEAP #11B-5731		\$4,753,882
2009 DOE-ARRA Contract #09C-1830 (Remaining Award Amount)		\$529,185
2011 DOE Contract #11C-1827		\$173,135
2009 DOE Contract #09C-1778 (Remaining Award Amount)		\$76,957
2012 Southern California Gas Company		\$13,353
Southern California Edison CARE Program		\$10,000
2011 California Lifeline Telephone Service Agreement		\$26,250
2011/2012 City of Riverside SHARE Program - Admin		\$267,391
2011/2012 City of Riverside SHARE Program - Utility Assistance		\$1,632,609
County of Riverside Dispute Resolution Program Act Funding Agreement		\$625,489
DPSS - Child Care Alternative Dispute Payment Program		\$10,000
DPSS - Cal/Works Gain Hearing Officer		\$40,000
2011/2012 IRS VITA Agreement		\$65,000
Department of Health and Human Services Grant #90EI0414/01 (IDA)		\$352,000
Department of Health and Human Services Grant #90EI0584/01 (IDA)		\$352,000
Citi Foundation Grant - Step Up Program		\$20,000
United Way EITC Agreement		\$10,000
County General Funds - Leas		\$72,718
DPSS Program Support		\$75,737
Cal/Neva Cash Campaign		\$500
Total Other Agency Operating Funds to Support CSBG (Total should match total on CSD 425 S form, Section 70)		\$15,122,216

COMMUNITY ACTION PARTNERSHIP OF RIVERSIDE COUNTY

VETERAN EMPLOYMENT PROJECT

ATTACHMENT "A"

CSBG Disc – 2012-RFA-28

Budget Narrative – CSD 627.B

SECTION 10: ADMINISTRATIVE COSTS

OPERATING EXPENSES - \$ 4,913

Administrative Operating Expenses include, but are not limited to, telephone, utilities, lease, and accounting processing fees

SECTION 20: PROGRAM COSTS

SALARIES - \$ 17,526

The Administrative Services Assistant position allocated to program costs, implements, oversees, and supports the Veteran Employment Project through mentoring, employment training, and leadership development.

OPERATING EXPENSES - \$ 8,011

Program Operating Expenses include, but are not limited to, direct costs associated with education/training, office supplies, books and publications, and mileage reimbursement.

SUBCONTRACTOR SERVICES - \$ 69,550

Subcontractor services in excess of \$ 5,000;

CAP-Riverside will partner with businesses to provide on-the-job training for Veterans. Subcontractors will be reimbursed 100% of the stipend paid to the participants.

<input checked="" type="checkbox"/> Work plan
<input type="checkbox"/> Progress Report

Agency Name: Community Action Partnership of Riverside County	Agency/Project Representative: Maria Y. Juarez, CCAP Executive Director
Contract Number:	Contract Term: July 1, 2012 – June 30, 2013
Email: mjuarez@capriverside.org	Telephone Number: (951) 955-4900
Date: March 28, 2012	Signature:

Work Plan

Make copies for each outcome/goal

Problem/Need to be addressed:

The national unemployment rate for veterans who served on active duty in the U.S. Armed Forces at any time since September 2001--a group referred to as Gulf War-era II veterans--was 12.1 percent in 2011 (U.S. Bureau of Labor Statistics 2012); the jobless rate for all veterans was 8.3 percent. Statistics show that this population often exits the service finding it difficult to bridge the gap between military and civilian life, hindering their ability to obtain living wage employment and achieve self-sufficiency.

Projected Activities/Services to be performed:

1. 4 full-time positions will be created by 8/31//2012.
2. 4 positions will be filled by 8/31/2012.
3. 2 participants will secure permanent full-time employment by 6/30/2013.

Expected Outcome/Goal (Number 1 of 2):

2 of 4 (50%) participants will demonstrate increased self-sufficiency through permanent full-time employment by June 30, 2013.

National Performance Indicator(s):

Goal 1: Low-income people become more self-sufficient.
NPI 1.1.A.: Employment – Unemployed and obtained a job.

Activities/Services:

Status Toward Achieving Indicated Outcome/Goal:

Actual Results:

Progress Report (Indicate the Report Period _____)

<input checked="" type="checkbox"/> Work plan
<input type="checkbox"/> Progress Report

Agency Name: Community Action Partnership of Riverside County	Agency/Project Representative: Maria Y. Juarez, CCAP Executive Director
Contract Number:	Contract Term: July 1, 2012 – June 30, 2013
Email: mjuarez@capriverside.org	Telephone Number: (951) 955-4900
Date: March 28, 2012	Signature:

Work Plan

Make copies for each outcome/goal

Problem/Need to be addressed:
The national unemployment rate for veterans who served on active duty in the U.S. Armed Forces at any time since September 2001--a group referred to as Gulf War-era II veterans--was 12.1 percent in 2011 (U.S. Bureau of Labor Statistics 2012); the jobless rate for all veterans was 8.3 percent. Statistics show that this population often exits the service finding it difficult to bridge the gap between military and civilian life, hindering their ability to obtain living wage employment and achieve self-sufficiency.
Projected Activities/Services to be performed:
1. 3 participants will complete an 80-hour project orientation by 8/31/2012. 2. 3 participants will complete seven (7) monthly VEP meetings by 6/30/2013. 3. 3 participants will complete 1,307 on-the-job training hours by 6/30/2013.
Expected Outcome/Goal (Number 2 of 2):
3 of 4 (75%) participants will demonstrate increased job skills and readiness through completion of on-the-job training by June 30, 2013.
National Performance Indicator(s):
Goal 1: Low-income people become more self-sufficient. NPI 1.2.A.: Employment Support – Obtained skills/competencies required for employment
Activities/Services:
Status Toward Achieving Indicated Outcome/Goal:
Actual Results:

Progress Report (Indicate the Report Period _____)

RESOLUTION

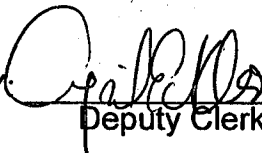
BE IT RESOLVED by the Board of Supervisors of the County of Riverside, State of California, in regular session assembled on June 5, 2012, that John Tavaglione, the Chairman of this Board is authorized and directed to execute on behalf of said County the State of California Standard Agreement No. 12F-4507 between the Riverside County and Department of Community Services and Development providing for: Veterans Employment Project.

ROLL CALL:

Ayes: Buster, Tavaglione, Stone, Benoit and Ashley
Nays: None
Absent: None
Abstain: None

The foregoing is certified to be a true copy of a resolution duly adopted by said Board of Supervisors on the date therein set forth.

KECIA HARPER-IHEM,
Clerk of the Board

BY: 
Deputy Clerk

WHEN DOCUMENT IS FULLY EXECUTED RETURN

CLERK'S COPY

STATE OF CALIFORNIA
STANDARD AGREEMENT
STD. 213 (Rev. 6/03)

to Riverside County Clerk of the Board, Stop 1010
P.O. Office Box 1147, Riverside, Ca 92502-1147
Thank you.

AGREEMENT NUMBER 12F-4507	AMENDMENT NUMBER 0
REGISTRATION NUMBER	

- This Agreement is entered into between the State Agency and the Contractor named below
STATE AGENCY'S NAME
Department of Community Services and Development
CONTRACTOR'S NAME
Community Action Partnership of Riverside County
- The term of this Agreement is: **June 15, 2012 through June 30, 2013**
- The maximum amount of this Agreement is: **\$ 80,000.00**
- The parties agree to comply with the terms and conditions of the following exhibits that are by this reference made a part of the Agreement:

- Exhibit A - Scope of Work
- Exhibit B - Budget Detail and Payment Provisions
Attachment I, CSBG Discretionary Fiscal Data
Attachment II, CSBG Discretionary Work Plan/Progress Report
- Exhibit C - General Terms and Conditions
- Exhibit D - Special Terms and Conditions
Attachment I, CSD Supplemental Audit Guide
- Exhibit E - Additional Provisions
- Exhibit F - Definitions
- Exhibit G - Certification Regarding Lobbying, Disclosure of Lobbying Activities

ATTEST:
KECIA HARPER-IHEM, Clerk
By *[Signature]*
DEPUTY

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR		CALIFORNIA Department of General Services Use Only
CONTRACTOR'S NAME (If other than an individual, state whether a corporation, partnership, etc.) Community Action Partnership of Riverside County		"I hereby certify that all conditions for exemption have been complied with, and this document is exempt from the Department of General Services approval." <input type="checkbox"/> Exempt per _____
BY (Authorized Signature) <i>[Signature]</i>	DATE SIGNED (Do not type) 6-5-12	
PRINTED NAME AND TITLE OF PERSON SIGNING JOHN TAVAGLIONE CHAIRMAN, BOARD OF SUPERVISORS		
ADDRESS 2038 Iowa Ave, Suite B-102, Riverside, CA 92507		
STATE OF CALIFORNIA		
AGENCY NAME Department of Community Services and Development		
BY (Authorized Signature) <i>[Signature]</i>	DATE SIGNED (Do not type)	
PRINTED NAME AND TITLE OF PERSON SIGNING Jean Johnson, Deputy Director, Administrative Services		
ADDRESS 2389 Gateway Oaks Drive, Suite 100, Sacramento, California 95833		

FORM APPROVED COUNTY COUNSEL
 BY NEAL R. KIPNIS
 DATE 6/11/12

312 JUN - 5 2012

EXHIBIT A
(Standard Agreement)

SCOPE OF WORK

1. PURPOSE

The purpose of this contract and the use of funds will support the services and activities that address the needs identified in the 2012 CSBG Discretionary Targeted Initiatives and Innovative Projects application in the areas identified below. The Work Plan/Progress Report (CSD 626) details the specific services and/or activities, tasks, and expected outcomes for this contract agreement.

Capacity Building:

- * Agency Sustainability Planning
- * Strategic Planning
- * Succession Planning
- * Fiscal Management
- * Cost Allocation Plan and Implementation

or

Direct Client Services:

- * Employment
- * Education
- * Youth Services
- * Senior Programs

2. COMPLIANCE

Contractor agrees to provide services and activities identified above in Section 1, and further specified in the CSD Work Plan/Progress Report (CSD 626) attached to Exhibit B of this Agreement, and pursuant to the federal Community Services Block Grant (CSBG) Program, in the service area described in Exhibit A, Section 5. All services are to be provided in accordance with all applicable federal and state law and regulation and as those laws and regulations may be amended from time to time, including but not limited to, pursuant to the following:

- A. The Community Services Block Grant Act, 42 U.S.C. §§ 9901 et seq., and 45 Code of Federal Regulation (CFR) Part 96;
- B. The California Community Services Block Grant Program, Government Code §§ 12725 et seq., and Title 22, California Code of Regulations (CCR), §§ 100601 et seq.; and

EXHIBIT A
(Standard Agreement)

- C. The Single Audit Act, 31 U.S.C. §§ 7301 et seq., and Office of Management and Budget (OMB)

3. REQUIREMENTS, STANDARDS AND GUIDELINES

Even though the federal Community Services Block Grant Act exempts Contractor and its subcontractors from many federal administrative requirements and standards to promote State and local efficiency, the federal government directs the State to establish fiscal control and fund accounting procedures regarding CSBG funds.

Federal law also directs the State to ensure that the cost and accounting standards of the Office of Management Budget (OMB) apply to recipients of CSBG funds. Therefore, Contractor agrees to apply all of the requirements, standards and guidelines contained in the following authorities, as they may be amended from time to time, to all of the procurement, administrative and other costs claimed under this Agreement, including those costs under subcontracts to this Agreement, notwithstanding any language contained in the following authorities that might otherwise exempt Contractor from their applicability. To the extent that the requirements, standards or guidelines directly conflict with any State law or regulation at Government Code §§ 12725 et seq. or 22 CCR §§ 100601 et seq., or any specific provision of this Agreement, then that law or regulation or provision shall apply instead:

- A. OMB Circular A-102 (Common Rule for State and Local Governments), as codified by the Department of Health and Human Services (HHS) at 45 CFR Part 92;
- B. OMB Circular A-110 (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and other Non-Profit Organizations), as codified by HHS at 45 CFR Part 74;
- C. OMB Circular A-87 (Cost Principles for State, Local and Indian Tribal Governments);
- D. OMB Circular A-122 (Cost Principles for Non-Profit Organizations)

4. FEDERAL CATALOG DOMESTIC ASSISTANCE NUMBER

The Community Services Block Grant Act, Catalog of Federal Domestic Assistance number is 93.569. The award is made available through the United States Department of Health and Human Services.

EXHIBIT A
(Standard Agreement)

5. SERVICE AREA

The services shall be performed in the following service areas:

Riverside County

6. ADDRESS FOR THE DEPARTMENT

Send all correspondence to:

Department of Community Services and Development
Attention: Field Operations Representative (to vary by agency)
P.O. Box 1947
Sacramento, California 95812-1947

Hand Delivery: 2389 Gateway Oaks Dr.
Sacramento, CA 95833

EXHIBIT B
(Standard Agreement)

BUDGET DETAIL AND PAYMENT PROVISIONS

1. PAYMENT

Contractor may request in writing one (1) working capital advance in an amount equal to twenty-five percent (25%) of the amount of the total consideration as described in Std. 213, item 3. of this Agreement. Subsequent bimonthly payments shall be made based on actual expenditure reports being submitted timely as indicated in Exhibit B., Section 5. Reporting Requirements of this Agreement.

2. ADVANCE PAYMENTS

A. If Contractor owes CSD any outstanding balances for overpayments of any contract, current or previous, the balance may be offset, based on arrangements made with the Contractor.

B. The State will initiate repayment process of advance funds beginning with the last two reporting periods of the contract term or whenever 75% of the total amount of this Agreement has been expended. The State shall begin applying approved expenditures to the outstanding advance balance, thereby offsetting any subsequent reimbursements. The State shall determine amounts to be offset by applying the balance of the advance equally into the remaining expenditure reporting periods. An exception may occur if the expenditure reports submitted are less than the applied settlement formula (as described in this section). In that case, the State shall apply the entire reimbursement amounts against the outstanding advance balance.

3. BUDGET CONTINGENCY CLAUSE

A. State Budget Contingency

(1) It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.

(2) If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an agreement amendment to Contractor to reflect the reduced amount.

EXHIBIT B
(Standard Agreement)

4. FEDERAL BUDGET CONTINGENCY

- A. It is mutually understood that this Agreement may have been written before ascertaining the availability of Congressional appropriation of funds, for the mutual benefit of both Parties, in order to avoid program and fiscal delays that would occur if this Agreement were executed after that determination was made.
- B. This Agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the purposes of this Program. In addition, this Agreement is subject to any additional restrictions, limitations, or conditions enacted by the Congress or any statute enacted by the Congress that may affect the provisions, terms, or funding of this Agreement in any manner.
- C. It is mutually agreed that if the Congress does not appropriate sufficient funds for this Program, this Agreement shall be amended to reflect any such reduction in funds.
- D. The State has the option to invalidate this Agreement under the 30-day cancellation clause or to amend this Agreement to reflect any such reduction in funds.

5. REPORTING REQUIREMENTS

A. Bimonthly Fiscal Reporting

Contractor shall complete and submit to the State a bimonthly Fiscal Expenditure Report/CSBG CAA Expenditure/Activity Report. Contractor shall ensure that the reports are submitted to CSD by entry onto the web-based, Expenditure Activity Reporting System (EARS) and received by CSD on or before the twentieth (20th) calendar day of each bimonthly report period, irrespective of the level of activity or amount of expenditure in the preceding period.

<u>Fiscal Report Period</u>	<u>Report Due Dates</u>
June 15 – July 2012	August 20, 2012
August – September 2012	October 20, 2012
October – November 2012	December 20, 2012
December 2012– January 2013	February 20, 2013
February – March 2013	April 20, 2013
April – May 2013	June 20, 2013
June 1-30, 2013	July 20, 2013

EXHIBIT B
(Standard Agreement)

B. Quarterly Programmatic Reporting

Contractor shall complete and submit to CSD quarterly programmatic reports using the CSD Work Plan/Progress Report (CSD 626). Contractor shall ensure that the reports are submitted on or before the twentieth (20th) calendar day following the report period, irrespective of the level of program activities in the preceding period.

<u>Program Report Period</u>	<u>Report Due Dates</u>
June 15 – August 2012	September 20, 2012
September – November 2012	December 20, 2012
December 2012 – February 2013	March 20, 2013
March – May 2013	June 20, 2013
June 1-30, 2013	July 20, 2013

C. Close Out/Work Plan/Progress Report (CSD 626)

Within thirty (30) calendar days after the expiration of the contract or when all funds have been fully expended under this agreement, Contractor shall submit final programmatic report, using CSD Form 626 Discretionary Work Plan/Progress report. The final report shall include the final outcomes outlined under the Scope of Work and detailed in the Work Plan.

6. ATTACHMENTS TO CONTRACT

A. Attachment I

Prior to execution of this Agreement by the State, Contractor shall submit to the State Attachment I, CSBG Fiscal Data Series, which shall reflect a description of projected spending for the term of this Agreement on the following forms, known as the CSBG Fiscal Data Series:

Contract Budget Summary CSD 627
Budget Support Personnel CSD 627A
Budget Support Non Personnel CSD 627B

B. Attachment II

Contractor shall also submit to the State Attachment II, CSD Work Plan/Progress Report, which shall reflect a description of outcome/goals for the discretionary project. This information will be used to monitor the success of the identified outcome/ goal relevant to its programs, activities, and available resources for the delivery of services. Prior to execution of this Agreement by the State, Contractor is responsible for submitting the applicable Work Plan component of this attachment.

CBG Discretionary Work Plan/Progress Report CSD 626

EXHIBIT B
(Standard Agreement)

7. SCHEDULE OF ATTACHMENTS

The following attachments to this exhibit are hereby attached and incorporated by this reference:

ATTACHMENT I CSBG Fiscal Data (CSD 627, 627A, 627B)

ATTACHMENT II CSBG Discretionary Work Plan/Progress Report (CSD 626)

EXHIBIT B
(Standard Agreement)

ATTACHMENT I

CSBG FISCAL DATA

Contract Budget Summary
Budget Support Personnel
Budget Support Non Personnel

CSD 627
CSD 627A
CSD 627B

INSTRUCTIONS

ATTACHMENT I CSBG Discretionary (Disc.) CONTRACT BUDGET SUMMARY CSD 627

Enter the identifying information requested at the top of the report form: contractor's name, contract number, contract amount, contract term, and amendment number (*if applicable*). Enter the preparer's name, telephone number, fax number, date, and e-mail address.

SECTION 10: ADMINISTRATIVE COSTS:

Any Costs directly related to the administration of the CSBG Disc. contract.

Lines 1 through 7: Enter the total CSBG Disc. amount budgeted for each line item.

1. Salaries & Wages
Provide the total dollar amount of salaries and wages dedicated to staff performing administrative duties. Includes all payments made to administrative staff, permanent or temporary, as well as all regular and overtime pay, as approved by the contract authority.
2. Fringe Benefits
Provide the total dollar amount of fringe benefits for staff performing administrative duties. Include all payments made in accordance with approved payroll benefit programs. This includes retirement/pension plans and various other forms of insurances related to employee compensation such as disability, life, health and unemployment. Additionally, payroll taxes, workers' compensation, disability insurance, sick leave and accrued vacation should be included.
3. Operating Expenses
Provide the total dollar amount for all administrative operating expenses related to CSBG Disc. program. All items must be listed on the CSD 627B budget support-non personnel cost form. Examples of administrative operating expenses include:
 - In-state travel costs
 - Building costs (such as rental & lease fees)
 - Consumable supply costs
 - Utility costs
 - Administrative operating costs (such as telephones, building alarms, maintenance, etc)
 - Supply costs (such as printing, duplication, postage, etc)
 - Insurance costs not related to personnel insurance costs
 - Additional fees related to the administration of the CSBG Disc. Program (such as staff trainings, membership dues, costs incurred due to Board meetings, subscriptions, etc)
 - Funds spent on contractor/consultant services to meet administrative needs in this area
4. Equipment
Provide the total dollar amount for all administrative equipment expenses related to CSBG Disc. program. Examples of administrative equipment expenses include:
 - All equipment/lease purchases dedicated to administrative needs

INSTRUCTIONS

ATTACHMENT I CSBG Discretionary (Disc.) CONTRACT BUDGET SUMMARY CSD 627

List all Equipment/Lease costs on the CSBG Discretionary Budget Support – Non Personnel Cost CSD 627B with the detailed information.

5. Out-of-State Travel

Provide the total dollar amount of travel costs, excluding personnel costs related to administrative tasks incurred during travel outside of the State of California. Complete CSBG Discretionary Budget Support – Non Personnel Cost CSD 627B with the name of the conference, location, and cost per trip.

6. Subcontractor Services

Provide the total dollar amount administered to any subcontracting agencies that provide administrative services. In addition, include any subcontractor administrative cost.

List all subcontractor costs on the CSBG Discretionary Budget Support – Non Personnel Cost CSD 627B with the detailed information. Include the subcontractor name and total amount of contract amount and/or subcontractor administrative cost.

7. Other Costs

Provide a list of all other administrative costs that do not fit in the above categories, including but not limited to any funds directed towards:

- IT Development..IT Development includes only projects in the development phases. Costs of IT projects in use should be included in Operating Expenses & Equipment above.
- Audit, Legal and Lobbying Costs: As defined by the Cost Principles in OMB Circular A-122
- Indirect Costs. The indirect cost rate is defined as the dollar value of the approved federal rate and the entire amount can be claimed as long as it is not reimbursed by another funding source. Please note that if indirect costs are reported the approved Indirect Cost Rate Plan must be submitted.

SUBTOTAL SECTION 10 (Administrative Costs): Enter the sum of line items 1 through 7 for CSBG Disc. FUNDS. (Cannot exceed 12% of the total CSBG Discretionary allocation in Section 40)

SECTION 20: PROGRAM COSTS:

Those costs incurred that are not related to the administrative costs reported above, but are directly related to the operation of the program.

Lines 8 through 14: CSBG Discretionary FUNDS: Enter the total amount budgeted for each of the line items.

1. Salaries and Wages

INSTRUCTIONS

ATTACHMENT I CSBG Discretionary (Disc.) CONTRACT BUDGET SUMMARY CSD 627

Provide the total dollar amount of salaries and wages dedicated to staff performing programmatic support activities. Include all payments made to programmatic staff, permanent or temporary, as well as all regular and overtime pay, as approved by the contract authority.

2. Fringe Benefits

Provide the total dollar amount of fringe benefits dedicated to staff performing programmatic support duties. Include all payments made in accordance with approved payroll benefit programs. This includes retirement/pension plans and various other forms of insurances related to employee compensation such as disability, life, health and unemployment. Additionally, payroll taxes, workers' compensation, disability insurance, sick leave and accrued vacation should be included.

3. Operating Expenses

Provide the total dollar amount for all programmatic operating expenses linked with CSBG Discretionary programs. Include:

- In-state travel costs related to programmatic costs
- Building costs related to programmatic operation (such as rental & lease fees)
- Consumable supply costs
- Programmatic operating costs (such as telephones, building alarms, maintenance, etc)
- Programmatic supply costs (such as printing, duplication, postage, etc)
- Additional fees related to the programmatic operation of the CSBG Discretionary Program
- Funds spent on contractor/consultant services to meet programmatic needs in this area

4. Equipment

Provide the total dollar amount for all programmatic equipment expenses linked with CSBG Discretionary programs. Include, equipment/lease purchases dedicated to programmatic needs.

List all Equipment/Lease costs on the CSBG Discretionary Budget Support – Non Personnel Cost CSD 627B with the detailed information

5. Out-of-State Travel

Provide the total dollar amount of travel costs related to programmatic tasks incurred during travel outside of the State of California. Complete CSBG Discretionary Budget Support – Non Personnel Cost CSD 627B with the name of the conference, location, and cost per trip.

6. Subcontractor Services

Provide the total dollar amount (exclusive of the administrative costs reported in Section 10, Line 6) paid to any subcontracting agencies that provide programmatic services; include the subcontractor name and total amount of contract amount.

List all subcontractors services on the CSBG Discretionary Budget Support – Non Personnel Cost CSD 627B with the detailed information.

INSTRUCTIONS

ATTACHMENT I

CSBG Discretionary (Disc.) CONTRACT BUDGET SUMMARY CSD 627

7. Other Costs

Provide a list of all other programmatic costs that do not fit in the categories above, including but not limited to funds directed towards:

- Direct Client Purchases. Include all direct purchases made with CSBG Disc. dollars for items designated specifically for client use.

SUBTOTAL SECTION 20 (Program Costs):

Enter the sum of line items 1 through 7 for CSBG Disc. FUNDS.

SECTION 40: Total CSBG Disc. Budget Amount:

Enter the sum of Subtotal Sections 10 and 20. The amount shall not exceed the total CSBG Disc. allocated amount (Refer to Allocation spreadsheet)

SECTION 70: CSBG Disc. Funds Administrative Percent:

Divide Section 10: Administrative Costs by Section 40: Total CSBG Disc. budget amount. This percentage cannot exceed 12% of the total CSBG Disc. allocated amount.

SECTION 80: Other Agency Operating Funds Used to Support CSBG Disc. (INFORMATION ONLY):

This information is optional, if including total other agency operating funds provide a list of the funding source and amount of funds. For public community action agencies, all funds under the administration of the advisory or administrative tripartite board should be considered as community action program operating funds.

SECTION 90: Agency Total CSBG Disc. Operating Budget (INFORMATION ONLY):

The sum of Section 40 (Total CSBG Disc. Budget Amount) and Section 80 (Other Agency Operating Funds Used to Support CSBG Disc.).

**ATTACHMENT I
CSBG DISCRETIONARY (Disc.) CONTRACT BUDGET SUMMARY**

Contractor Name: Community Action Partnership of Riverside County	Contract Number: 12F-4507	Contract Amount: \$80,000
Prepared By: Kathryn J. Snyder, Fiscal Officer	Contract Term: 06/15/12 to 06/30/13	Amendment #: 0
Telephone #: (951) 955-6461	Fax Number: (951) 955-1399	
Date: 5/14/2012	E-mail Address: ksnyder@capriverside.org	

SECTION 10: ADMINISTRATIVE COSTS

Line Item	Description	CSBG Disc. Funds (rounded to the nearest dollar)
1	Salaries and Wages	
2	Fringe Benefits	
3	Operating Expenses	\$2,439
4	Equipment	
5	Out-of-State Travel	
6	Subcontractor Services	
7	Other Costs:	
Subtotal Section 10: Administrative Costs (cannot exceed 12% of the total CSBG Disc. allocation in Section 40)		\$2,439

SECTION 20: PROGRAM COSTS

Line Item	Description	CSBG Disc. Funds (rounded to the nearest dollar)
1	Salaries and Wages	
2	Fringe Benefits	
3	Operating Expenses	\$8,011
4	Equipment	
5	Out-of-State Travel	
6	Subcontractor Services	\$69,550
7	Other Costs:	
Subtotal Section 20: Program Costs		\$77,561

SECTION 40: Total CSBG Disc. Budget Amount (Sum of Subtotal Sections 10 and 20)	\$80,000
SECTION 70: CSBG Disc. Funds Administrative Percent (Section 10 divided by Section 40)	3.0%
SECTION 80: Enter "Other Agency Operating Funds Used to Support CSBG Disc." (INFORMATION ONLY)	\$15,241,963
SECTION 90: Agency Total CSBG Disc. Operating Budget (Sum of Sections 40 and 80) (INFORMATION ONLY)	\$15,321,963

ATTACHMENT I

CSBG Budget Support -- Other Agency Operating Funds

Contractor Name: Community Action Partnership of Riverside County		Contract Number: 12F-4507	Contract Amount: \$80,000
Prepared By: Kathryn J. Snyder, Fiscal Officer		Contract Term: 06/15/12 to 06/30/13	Amendment #: 0
Telephone #: (951) 955-6461		Fax Number: 951-955-1399	
Date: 5/14/2012		E-mail Address: ksnyder@capriverside.org	
Funding Source		Funding Amount	
CSBG Contract #12-F-4432		\$1,955,521	
CSBG Discretionary Contract #11F-4309		\$70,000	
2012 LIHEAP Contract #12B-5830		\$3,990,489	
2011 LIHEAP #11B-5731		\$4,753,882	
2009 DOE-ARRA Contract #09C-1830 (Remaining Award Amount)		\$529,185	
2011 DOE Contract #11C-1827		\$173,135	
2009 DOE Contract #09C-1778 (Remaining Award Amount)		\$76,957	
2012 Southern California Gas Company		\$13,353	
Southern California Edison CARE Program		\$10,000	
2011 California Lifeline Telephone Service Agreement		\$26,250	
2011/2012 City of Riverside SHARE Program - Admin		\$267,391	
2011/2012 City of Riverside SHARE Program - Utility Assistance		\$1,632,609	
County of Riverside Dispute Resolution Program Act Funding Agreement		\$625,489	
DPSS - Child Care Alternative Dispute Payment Program		\$10,000	
DPSS - Cal/Works Gain Hearing Officer		\$40,000	
2011/2012 IRS VITA Agreement		\$65,000	
Department of Health and Human Services Grant #90EI0414/01 (IDA)		\$352,000	
Department of Health and Human Services Grant #90EI0584/01 (IDA)		\$352,000	
Citi Foundation Grant - Step Up Program		\$20,000	
United Way EITC Agreement		\$10,000	
County General Funds - Leas		\$72,718	
DPSS Program Support		\$75,737	
Cal/Neva Cash Campaign		\$500	
Total Other Agency Operating Funds to Support CSBG <small>(Total should match total on CSD 425 S form, Section 70)</small>		\$15,122,216	

ATTACHMENT I
CSBG Discretionary (Disc.) BUDGET SUPPORT—PERSONNEL COSTS
CSD 627A

Enter the identifying information requested at the top of the report form: contractor's name, contract number, Contract amount, contract term, and amendment number (*if applicable*): Enter the preparer's name, telephone number, fax number, date, and e-mail address.

ADMINISTRATIVE and PROGRAM COSTS – SALARIES AND WAGES:

Complete Section 10: Administrative Costs and Section 20 Program Costs for those costs which are directly related to CSBG Disc. contract. Provide the specific positions for the salaries and wages (Budget Summary 627) and Fringe Benefits (Budget Summary 627).

Column A: Number of Positions

Specify the number of positions for each Position Title in Column B that are directly related to the administrative (Section 10) and/or program (Section 20) costs of the CSBG Disc. contract.

Column B Position Title

Specify the position title. Do not abbreviate.

Column C: Annual Salary for each position

Specify the total dollar amount of salaries and wages for staff performing CSBG Disc. administrative and/or program activities. Include all payments made to administrative/program staff, permanent or temporary, as well as all regular and overtime pay, as approved by the contract authority.

Column D: Percent (%) of CSBG Disc. Time allocated for each position

Specify the amount of time (in percent) for the position dedicated to the CSBG Disc. administrative and/or program activities.

Column E: Number of CSBG Disc. months allocated for Each Position

Specify the number of months allocated for each position listed in Column A.

Column F: Total CSBG Disc. funds budgeted for each position

The sum of Col. C plus Col. D multiplied by Col. A multiplied by Col. F= dollar amount charged to the administrative costs of the CSBG Disc. contract.

Fringe Benefits

Specify the total dollar amount of fringe benefits for staff performing administrative and/or program duties. Include all payments made in accordance with approved payroll benefit programs. This includes retirement/pension plans and various other forms of insurances related to employee compensation such as disability, life, health and unemployment. Additionally, workers' compensation, disability insurance, sick leave and accrued vacation should be included.

ATTACHMENT I
CSBG Discretionary (Disc.) BUDGET SUPPORT—PERSONNEL COSTS
CSD 627A

Listed below are the formulas to calculate Annualized Salary, Percentage of CSBG Time, Number of CSBG Months, and CSBG Funds:

Annualized Salary: CSBG Disc. Funds multiplied by 12 months divided by number of months divided by Percentage (%) of time.

Percentage of CSBG/Disc. Time: CSBG Disc. Funds times 12 months divided by the number of Months divided by annualized salary.

Number of Months: CSBG Disc. Funds times 12 months divided by percentage of time divided by annualized salary.

CSBG/Disc. Funds: Annualized Salary divided by 12 months multiplied by the number of months times percentage (%) of time.

INSTRUCTIONS

ATTACHMENT I CSBG Discretionary (Disc.) BUDGET SUPPORT – NON PERSONNEL COSTS CSD 627B

Enter the identifying information requested at the top of the report form: contractor's name, contract number, Contract amount, contract term, and amendment number (*if applicable*). Enter the preparer's name, telephone number, fax number, date, and e-mail address.

List those costs which are directly related to the **Administrative** (Column A) and/or **Program** (Column B) of the CSBG Disc. contract. All totals must equal the budget summary CSD 627.

List all Operating Expenses

Provide the total dollar amount for all administrative operating expenses related to CSBG Disc. programs. Examples of administrative operating expenses include:

- In-state travel costs
- Building costs (such as rental & lease fees)
- Consumable supply costs
- Utility costs
- Administrative operating costs (such as telephones, building alarms, maintenance, etc)
- Supply costs (such as printing, duplication, postage, etc)
- Insurance costs not related to personnel insurance costs.
- Additional fees related to the administration of the CSBG Disc. Program (such as staff trainings, membership dues, costs incurred due to Board meetings, subscriptions, etc)
- Funds spent on contractor/consultant services to meet administrative needs in this area

List all Equipment Purchases Services:

Provide a detailed list of all equipment purchases; include type of equipment and the amount (e.g. computer, \$200.00).

List all Out-of-State Travel Only:

Provide detailed information for each out of state travel trip; include location, purpose of each trip, and related costs per trip (e.g., Chicago, IL, CAP Law Conference, \$1500).

List all Subcontractor Services:

List the subcontractor name and total dollar amount administered to any subcontracting agencies that provide services (e.g., Youth Employment Training Agency, \$20,000).

Other Costs

Please provide a list of all other administrative (Section 10) and program (Section 20) costs that do not fit in the above categories. Attach additional sheets if necessary.

Any additional Other Costs: List the additional other costs that do not fit in any other category.

Total Other Costs (sum of i, ii, iii, iv)

**ATTACHMENT I
 CSBG DISCRETIONARY (Disc.) BUDGET SUPPORT -- NON PERSONNEL COSTS**

Contractor Name:	Community Action Partnership of Riverside County	Contract Number:	12F-4507	Contract Amount:	\$80,000
Prepared By:	Kathryn J. Snyder, Fiscal Officer	Contract Term:	06/15/12 to 06/30/13	Amendment #:	0
Telephone #:	(951) 955-6461	Fax Number:	(951) 955-1399		
Date:	5/14/2012	E-mail Address:	ksnyder@capriverside.org		

Hit Alt & Enter at the same time to begin a new line or paragraph within the cell.

EXPLAIN AND JUSTIFY EACH LINE ITEM Totals must match CSD 627 Budget Summary form Attach additional sheet(s) if necessary Missing descriptions shall result in delay of the contract execution.	CSBG DISCRETIONARY	
	Section 10 Administrative Costs	Section 20 Program Costs
List all Operating Expenses See Attachment "A"	3 sum should equal total on line item 3 of CSD 627 Budget Summary form \$2,439	3 sum should equal total on line item 3 of CSD 627 Budget Summary form \$8,011
List all Equipment Purchases N/A	4 sum should equal total on line item 4 of CSD 627 Budget Summary form -0-	4 sum should equal total on line item 4 of CSD 627 Budget Summary form -0-
List all Out-of-State Travel: Name of conference; Specify location; Cost per trip N/A	5 sum should equal total on line item 5 of CSD 627 Budget Summary form -0-	5 sum should equal total on line item 5 of CSD 627 Budget Summary form -0-
List all Subcontractor Services See Attachment "A"	6 sum should equal total on line item 6 of CSD 627 Budget Summary form -0-	6 sum should equal total on line item 6 of CSD 627 Budget Summary form \$69,550
Other Costs - Explain & Justify each line item (i - iv): Any additional Other Costs (attach additional sheet if necessary):	Section 10 Administrative Costs	Section 20 Program Cost
i	-0-	-0-
ii		
iii		
iv		
Total Other Costs (Sum of i, ii, iii, iv):	7 sum should equal total on line item 7 of CSD 627 Budget Summary form	7 sum should equal total on line item 7 of CSD 627 Budget Summary form

ATTACHMENT "A"
CSBG Disc – #12F-4507
Budget Narrative – CSD 627.B

SECTION 10: ADMINISTRATIVE COSTS

OPERATING EXPENSES - \$ 2,439

Administrative Operating Expenses include, but are not limited to, telephone, utilities, lease, and accounting processing fees

SECTION 20: PROGRAM COSTS

OPERATING EXPENSES - \$ 8,011

Program Operating Expenses include, but are not limited to, direct costs associated with education/training, office supplies, books and publications, and mileage reimbursement.

SUBCONTRACTOR SERVICES - \$ 69,550

Subcontractor services in excess of \$ 5,000;

CAP-Riverside will partner with businesses to provide on-the-job training for Veterans. Sub-contractors will be reimbursed 100% of the stipend paid to the participants.

EXHIBIT B
(Standard Agreement)

ATTACHMENT II

CSBG FISCAL DATA

CSBG Discretionary Work Plan/Progress Report

CSD 626

Instructions
CSD 626 Work Plan/Progress Report

The CSD 626 work plan/progress report has two separate and distinct functions; it functions as both an initial work plan to be completed and submitted with the contract and a progress report to be submitted in conjunction with the Expenditure Report.

When Completing Work Plan:

For projects that will achieve multiple outcomes/goals, ensure that the individual outcomes/goals are listed on a separate work plan. When completing the Work Plan for the project, complete the following items:

- Problem/Need to be addressed
- Activities/ Services to be Performed (This should address the problem and support the goal)
- Expected Outcome/Goal
- National Performance Indicator

Problem/Need to be addressed: For each identified outcome/goal, provide a description of the specific need identified that will be addressed through the project.

Projected Activities/Services to be performed: For each identified outcome/goal, provide a description of the activities/services that will be performed in support of the outcome/goal.

When describing the activities/services that will be performed, please include the following:

- Specific number of units involved in activity/service (for example: number of flyers mailed, number of hours performing outreach, etc)
- Specific timeframes for activities/services (for example: flyers will be mailed on 5/1/07 and 7/15/07)

Expected Outcome/Goal: Describes the impact on the client/community/organization as a result of the service provided. Goals should be measurable, realistic and attainable.

When completing the outcome/goal statement, be sure to include the following:

- The specific number of clients/community members/organizations affected
- The specific outcome/goal
- The specific timeframe for completion
- The specific area/community affected

National Performance Indicator: Describe the National Performance Indicators (NPI) that correspond the services and activities to be provided. There should be a direct connection between the expected outcome/goal and the NPIs. Include one or more of the following applicable NPIs:

Goal 1

- NPI 1.1 – Employment
- NPI 1.2 – Employment Supports

Instructions
CSD 626 Work Plan/Progress Report

- NPI 1.3 – Economic Asset Enhancement and Utilization

Goal 2

- NPI 2.1 – Community Improvement and Revitalization
- NPI 2.2 – Community Quality of Life and Assets
- NPI 2.3 – Community Engagement
- NPI 2.4 – Employment Growth from ARRA Funds

Goal 3

- NPI 3.1 – Community Enhancement Through Maximum Feasible Participation
- NPI 3.2 – Community Empowerment Through Maximum Feasible Participation

Goal 4

- NPI 4.1 – Expanding Opportunities Through Community-Wide Partnerships

Goal 5

- NPI 5.1 – Agency Development

Goal 6

- NPI 6.1 – Independent Living
- NPI 6.2 – Emergency Assistance
- NPI 6.3 – Child and Family Development
- NPI 6.4 – Family Supports (Seniors, Disabled and Caregivers)
- NPI 6.5 – Service Counts

When Completing Progress Report:

When completing the Progress Report for the project, complete the following items:

- Activities/Services (performed during this reporting period)
- Status toward achieving the indicated outcome/goal
- Actual Results (to be completed when the goal has been achieved)

When you submit a Progress Report to CSD, you will utilize the completed Work Plan as the template.

Activities/Services: For each identified outcome/goal, provide a narrative outlining the activities/services completed during the reporting period. Ensure that the activities indicated in this section correspond to the Activities/Service identified in the Work Plan (Please note that the services and/or activities are a means to reaching the outcome/goal.). Include any/all information that demonstrates and justifies costs submitted on expenditure report.

Status Toward Achieving Indicated Outcome/Goal: Provide a narrative of the status towards achieving this outcome/goal. Please be specific in reporting the status of the goal/outcome (for example: To date, 41 out of 100 at risk youth have obtained a job [41%]).

Actual Results (to be completed when the goal has been achieved): For each identified outcome/goal, provide the actual results achieved during the contract term.

Instructions
CSD 626 Work Plan/Progress Report

Example:

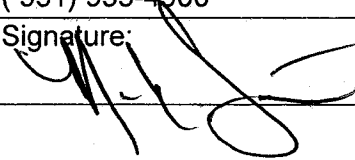
Work Plan

Problem/Need to be addressed:
A community needs assessment indicates that youth in the County Z are lacking formal training in job readiness and thus lack necessary skills to obtain employment.
Projected Activities/Services to be performed:
Conduct two information sessions at ABC School by 4/1/07. Mail 150 flyers to local community center and public libraries on 4/15/07 and 6/30/07. Conduct 100 interviews with youth to identify candidacy for program by 7/1/07. Ensure case management for 75 youth and track youth progress including employment (ongoing throughout project)
Expected Outcome/Goal (Number 1 of 1):
100 at-risk youth will be enrolled in a local job training program and 60 youth will obtain employment by 12/31/2007.
National Performance Indicator:
The outcome of at-risk youth enrolling in a local job training program correlates to NPI 6.3 (b) (3)– Youth avoid risk taking behavior for a defined period of time.

Progress Report (Indicate the Report Period Apr.1 – May 31)

Activities/ Services:
As of 5/15/07 – two information sessions have been held at ABC School, 100 flyers of 150 total flyers have been mailed, 61 interviews with youth have been conducted
Status Toward Achieving Indicated Outcome/Goal
45 out of 100 youth have been enrolled in a case management program and a job training program (45%). 14 out of 60 youth have obtained employment (23%)
Actual Results:
<i>Will report when goal/outcome is achieved</i>

<input checked="" type="checkbox"/> Work plan
<input type="checkbox"/> Progress Report

Agency Name: Community Action Partnership of Riverside County	Agency/Project Representative: Maria Y. Juarez, CCAP Executive Director
Contract Number: 12F-4507	Contract Term: July 1, 2012 – June 30, 2013
Email: mjuarez@capriverside.org	Telephone Number: (951) 955-4900
Date: May 14, 2012	Signature: 

Work Plan

Make copies for each outcome/goal

The national unemployment rate for veterans who served on active duty in the U.S. Armed Forces at any time since September 2001--a group referred to as Gulf War-era II veterans--was 12.1 percent in 2011 (U.S. Bureau of Labor Statistics 2012); the jobless rate for all veterans was 8.3 percent. Statistics show that this population often exits the service finding it difficult to bridge the gap between military and civilian life, hindering their ability to obtain living wage employment and achieve self-sufficiency.

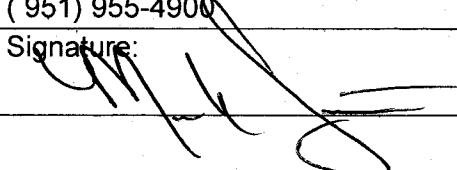
1. 4 full-time positions will be created by 8/31/2012.
2. 4 positions will be filled by 8/31/2012.
3. 2 participants will secure permanent full-time employment by 6/30/2013.

2 of 4 (50%) participants will demonstrate increased self-sufficiency through permanent full-time employment by June 30, 2013.

Goal 1: Low-income people become more self-sufficient.
NPI 1.1.A.: Employment – Unemployed and obtained a job.

Progress Report (Indicate the Report Period _____)

<input checked="" type="checkbox"/> Work plan
<input type="checkbox"/> Progress Report

Agency Name: Community Action Partnership of Riverside County	Agency/Project Representative: Maria Y. Juarez, CCAP Executive Director
Contract Number: 12F-4607	Contract Term: July 1, 2012 – June 30, 2013
Email: mjuarez@capriverside.org	Telephone Number: (951) 955-4900
Date: May 14, 2012	Signature: 

Work Plan

Make copies for each outcome/goal

The national unemployment rate for veterans who served on active duty in the U.S. Armed Forces at any time since September 2001--a group referred to as Gulf War-era II veterans--was 12.1 percent in 2011 (U.S. Bureau of Labor Statistics 2012); the jobless rate for all veterans was 8.3 percent. Statistics show that this population often exits the service finding it difficult to bridge the gap between military and civilian life, hindering their ability to obtain living wage employment and achieve self-sufficiency.

1. 3 participants will complete an 80-hour project orientation by 8/31/2012.
2. 3 participants will complete seven (7) monthly VEP meetings by 6/30/2013.
3. 3 participants will complete 1,307 on-the-job training hours by 6/30/2013.

3 of 4 (75%) participants will demonstrate increased job skills and readiness through completion of on-the-job training by June 30, 2013.

Goal 1: Low-income people become more self-sufficient.

NPI 1.2.A.: Employment Support – Obtained skills/competencies required for employment

Progress Report (Indicate the Report Period _____)

EXHIBIT C
(Standard Agreement)

GENERAL TERMS AND CONDITIONS GTC 610

1. APPROVAL

This Agreement is of no force or effect until signed by both parties.

2. AMENDMENT

No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

3. ASSIGNMENT

This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.

4. AUDIT

Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code § 8546.7, Pub. Contract Code § 10115 et seq., CCR Title 2, Section 1896.)

5. INDEMNIFICATION

Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.

6. DISPUTES

Contractor shall continue with the responsibilities under this Agreement during any dispute.

EXHIBIT C
(Standard Agreement)

7. TERMINATION FOR CAUSE

The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

8. INDEPENDENT CONTRACTOR

Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. NON-DISCRIMINATION CLAUSE

During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (e.g., cancer), age (over 40), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code 12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

10. CERTIFICATION CLAUSES

The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

EXHIBIT C
(Standard Agreement)

11. TIMELINESS

Time is of the essence in this Agreement.

12. COMPENSATION

The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

13. GOVERNING LAW

This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

14. CHILD SUPPORT COMPLIANCE ACT

For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

- a. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- b. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

15. UNENFORCEABLE PROVISION

In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

16. PRIORITY HIRING CONSIDERATIONS

If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code § 10353.

EXHIBIT D
(Standard Agreement)

SPECIAL TERMS AND CONDITIONS

1. **TRAVEL/PER DIEM**

- A. Contractor's total in-state and/or out-of-state travel and per diem shall be included in the Agreement Budget(s). Out-of-state travel costs that exceed the budgeted amount shall not be reimbursed without prior written authorization from CSD.
- B. Contractor's employee travel costs and per diem reimbursement rates shall be reimbursed in accordance with Contractor's written policies and procedures not to exceed federal per diem requirements, and subject to the requirements of OMB Circular A-87 Attachment B, Paragraph 43 (2 CFR, Part 225) or OMB Circular A-122 Attachment B, Paragraph 51 (2 CFR, Part 230), as applicable.
- C. In the absence of a written travel reimbursement policy, Contractor shall be subject to the provisions of California Code of Regulations Sections 599.615 through 599.638 and shall be reimbursed in accordance with the terms contained therein.

2. **CERTIFICATIONS**

- A. Contractor's signature affixed hereon shall constitute a certification that to the best of its ability and knowledge it will, unless exempted, comply with the provisions set forth in the following:
 - 1) Drug-Free Workplace Requirements Contract Certification Clause (CCC-307)
 - 2) National Labor Relations Board Certification (CCC-307)
 - 3) Expatriate Corporations (CCC-307)
 - 4) Domestic Partners (CCC-307)
 - 5) Amendment for Change of Agency Name (CCC-307)
 - 6) Resolution (CCC-307)
 - 7) Air and Water Pollution Violation (CCC-307)
 - 8) Information Integrity and Security (Department of Finance, Budget Letter 04-35)
 - 9) Safeguarding Against and Responding to a Breach of Security Involving Personal Information (Department of General Services, Management Memo 08-11)

EXHIBIT D
(Standard Agreement)

- B. The above documents are hereby incorporated by reference into this Agreement. To access these documents, please visit the CSD Providers' Website:
<https://providers.csd.ca.gov>.

3. INTERNAL CONTROL CERTIFICATION

Contractor shall ensure the establishment and maintenance of a system of internal accounting and administrative control. This responsibility includes documenting the system, communicating system requirements to employees, and assuring that the system is functioning as prescribed and is modified, as appropriate, for changes in conditions. The system of internal accounting and administrative control shall be attested to within the Contractor's independent audit conducted pursuant to this Agreement, and include:

- A. Segregation of duties appropriate to safeguard state assets.
- B. Limited access to agency assets to authorized personnel who require these assets in the performance of their assigned duties.
- C. Authorization and recordkeeping procedures adequate to provide effective accounting controls over assets, liabilities, revenues, and expenditures.
- D. Practices to be followed in performance of duties and functions.
- E. Personnel of a quality commensurate with their responsibilities.
- F. Effective internal review.

4. CONFLICT OF INTEREST

- A. Contractor certifies that its employees and the officers of its governing body shall avoid any actual or potential conflicts of interest and that no officer or employee who exercises any functions or responsibilities in connection with this Agreement shall have any personal financial interest or benefit that either directly or indirectly arises from this Agreement.
- B. Contractor shall establish safeguards to prohibit its employees or its officers from using their positions for a purpose that could result in private gain or that gives the appearance of being motivated for private gain for themselves or others, particularly those with whom they have family, business, or other ties.

EXHIBIT D
(Standard Agreement)

5. CODES OF CONDUCT

- A. Contractor shall maintain written standards of conduct governing the performance of its employees engaged in the award and administration of contracts or subcontracts. No employee, officer, or agent of the Contractor shall participate in the selection, award, or administration of a subcontract supported by Federal funds if a real or apparent conflict of interest would be involved. Such a conflict would arise when the employee, officer, or agent, any member of his or her immediate family, his or her partner, or an organization that employs or is about to employ any of the parties indicated herein, has a financial or other interest in the firm selected for an award. The officers, employees, and agents of the Contractor shall neither solicit nor accept gratuities, favors, or anything of monetary value from subcontractors or parties to subagreements. The standards of conduct shall provide for disciplinary actions to be applied for violations of such standards by officers, employees, or agents of the recipients.
- B. Contractor shall not pay Federal funds received from CSD to any entity in which it (or one of its employees, officers, or agent, any member of his or her immediate family, his or her partner, or an organization that employs or is about to employ any of the parties indicated herein) has an interest. As ownership constitutes a financial interest, Contractor shall not subcontract with a subsidiary. Similarly, Contractor shall not subcontract with an entity that employs or is about to employ any person described in 45 CFR Part 92 (for states and local governments) and 45 CFR Part 74 (for nonprofit organizations) (Office of Management and Budget Circular A-110, section 42).

6. COMPLIANCE MONITORING

- A. As the recipient of federal CSBG Block Grant funds under this Agreement, Contractor is responsible for substantiating that all costs claimed under this Agreement are allowable and allocable under all applicable federal and state laws, and provisions, for tracing all costs to the level of expenditure.
- B. As the administrator for the CSBG Block Grant for the State, CSD is required to ensure the funds allocated to Contractor are expended for the purposes identified in, federal and state CSBG law, and for allowable and allocable costs under the applicable rules of the Office of Management and Budget.
- C. CSD is required to conduct onsite and follow-up monitoring of Contractor to ensure that Contractor meets the performance goals, administrative standards, financial management requirements, and other requirements of the federal and State CSBG program.
- D. CSD shall provide Contractor reasonable advance notice in writing of on-site monitoring reviews of Contractor's program or fiscal performance.

Contractor shall cooperate with CSD program and audit staff and other representatives, and provide access to all programs, records, documents,

EXHIBIT D
(Standard Agreement)

resources, personnel, inventory and other things reasonably related to the administration and implementation of the services and activities funded directly or indirectly by this Agreement.

- E. In the event, CSD determines that Contractor is in non-compliance of material or other legal requirements of this Agreement, CSD shall provide the observations, recommendations or findings in writing, along with a specific action plan for correcting the non-compliance.

7. SPECIAL CONDITIONS FOR ENTITIES NOT MEETING TERMS OF THE AGREEMENT

- A. In addition to the State's authority to terminate or suspend funding or deny refunding under federal and State law and regulation, the State has authority to set fiscal control and fund accounting procedures to fulfill the State's oversight responsibilities and ensure that CSBG Block Grant funds are appropriately expended. Thus, notwithstanding the express exception in 45 CFR Part 92 as applied to the CSBG Program, the State hereby incorporates by reference 45 CFR Part 92.12 and may impose special conditions according to that section on Contractor for unsatisfactory performance of the requirements, standards, and guidelines of this Agreement.
- B. Contractor shall ensure that requirements set forth in this Agreement are met, that all required documentation is submitted in a timely manner, and that any corrective action plans are fulfilled. In the event that prescribed timelines are not met or corrective action is not taken, it shall be deemed a material breach of this Agreement, and CSD shall take appropriate action, including but not limited to withholding of advance payments and initiation of the suspension and termination procedures provided by State and federal CSBG law.

8. BOARD ROSTER AND RESOLUTION

- A. Upon execution of this Agreement, Contractors shall submit to CSD an Executive Director and Board Roster (CSD 188). It must identify the Executive Director and tripartite board, including contact information for each board member at a location other than the office of the eligible entity. Contractor is responsible to notify CSD of any changes to the Executive Director or tripartite board within thirty (30) days of such occurrence.
- B. Contractor shall submit to CSD a current tripartite board roster, including the name and sector (i.e., low-income, public, private) of each board member.
- C. Contractor's current governing board must authorize the execution of this Agreement. Contractor has the option of demonstrating such authority by direct signature by a board member, or by any lawful delegation of such authority that is consistent with Contractor's bylaws.

EXHIBIT D
(Standard Agreement)

- D. Where Contractor elects to delegate the signing authority to the chief executive officer, CSD will accept either a resolution specific to this Agreement or a resolution approved by the current governing board that is more generally applicable to any CSD program contract or amendment. Where Contractor provides a general resolution, Contractor shall maintain documentation that the chief executive officer provided timely and effective communication of the execution and terms of this Agreement to the board. Either a specific or current general resolution must be on file with CSD prior to CSD's finally executing this Agreement.

9. AUDITING STANDARDS AND REPORTS

A. Auditing Standards

Contractor must follow all audit requirements as set forth in OMB Circular A-133 and the 2009 CSD Supplemental Audit Guide. The 2009 Supplemental Audit Guide is attached herein as Exhibit D, Attachment I. The 2009 Supplemental Audit Guide may be accessed at the CSD Providers' Website:
<https://providers.csd.ca.gov>

B. Audit Reports

- 1) a. Funds provided under this Agreement shall be included in an audit conducted in accordance with the provisions of OMB Circular A-133 for nonprofit and public agencies, standards promulgated by the American Institute of Certified Public Accountants (AICPA), and those standards included in "Government Auditing Standards, 2007 Revision, as amended."
- b. Contractors falling below the federal funding threshold that mandates a single agency-wide audit in accordance with OMB Circular A-133 shall:
 - i. Submit an annual program-specific audit within nine months of the end of the Contractor's fiscal year; and
 - ii. Be subject to an audit and/or other fiscal- or program-specific review conducted by CSD or its agents, upon thirty (30) days written notice.
- 2) The financial and compliance audit report shall contain the following supplementary financial information: a combined statement of revenue and expenditures for each contract that presents, by budget line item, revenue and expenditures for the audit period and a description of the methodology used to allocate and claim indirect costs and any administrative cost pools.

EXHIBIT D
(Standard Agreement)

- 3) The audit report must specifically mention that a review for compliance with OMB Circulars A-87 and A-122 was conducted.
- 4) Contractor shall submit to CSD one (1) printed copy and one (1) electronic copy of the required audit report(s) and any management letter(s) issued by the accountant, within nine (9) months of the end of the Contractor's fiscal year, accompanied by a copy of the signed, final engagement letter between Contractor and the independent auditor.

If the Contractor's independent auditor is unable to meet this deadline, the Contractor shall submit to CSD Audit Services Unit a written request for an extension, which includes a copy of a letter from the independent auditor explaining the anticipated delay. CSD may grant an extension not to exceed thirty (30) calendar days from the original due date. The audit report(s) and all supplemental financial information are to be submitted to the following addresses:

Electronic copy:
audits@csd.ca.gov.

Printed copy:
Department of Community Services and Development
Attention: Audit Services Unit
P.O. Box 1947
Sacramento, CA 95812-1947

In accordance with the guidelines of the Division of Audits of the California State Controller's Office (SCO), if Contractor is a local government agency, additional copies of the audit report must be submitted to the following address:

State Controller's Office
Division of Audits
300 Capitol Mall, Fifth Floor
Sacramento, CA 95814

- 5) In the event an audit required under this Agreement has not been submitted in a timely fashion, CSD may impose sanctions as provided in OMB Circular No. A-133 at § ___.225, to include:
 - a) Withholding a percentage of federal awards until the audit is completed satisfactorily;
 - b) Withholding or disallowing overhead costs;
 - c) Suspending federal awards until the audit is conducted; and/or
 - d) Terminating the federal award.

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(Standard Agreement)

10. SUBCONTRACTS

Contractor may enter into subcontract(s) to perform part or all of the direct services covered under this Agreement. Prior to the commencement of subcontracted services under this Agreement, Contractor shall obtain board approval, to include but not be limited to, an assurance that the subcontractor agreement(s) shall comply with all terms, conditions, assurances, and certifications of this Agreement for the nonprofit and local governmental agencies performing services in the area(s) described in EXHIBIT A, SCOPE OF WORK, Section 2.

- A. Contractor shall provide written notification to the State within 60 calendar days of execution of each subcontractor agreement the name of the subcontractor entity, its address, telephone number, contact person, contract amount, and program description of each subcontractor activity to be performed under this Agreement. This written notification shall also include a certification that, to the best of Contractor's knowledge, the subcontractor is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency. For purposes of this certification of subcontractor eligibility, Contractor may rely on information provided via the Excluded Parties List System (EPLS), available at <https://www.epls.gov>.
- B. If CSD determines that Contractor has executed a subcontract with an individual or entity listed as disbarred, suspended, or otherwise ineligible on EPLS as of the effective start date of the subcontract, costs Contractor has incurred under the subcontract may be disallowed.
- C. Contractor remains responsible to substantiate the allowable and allocable use of all funds under this Agreement and to adopt fiscal control and accounting procedures sufficient to permit the tracing of funds paid to any subcontractor to a level of expenditure adequate to establish that such funds have not been used in violation of this Agreement. Contractor shall ensure that any subcontracts under this Agreement contain all provisions necessary to ensure adequate substantiation and controls of the expenditure of such funds. Contractor may achieve this through detailed invoices, by periodic monitoring of subcontractor's program activities and fiscal accountability, by retaining a right of reasonable access to the subcontractor's books and records, or by any other method sufficient to meet Contractor's responsibility to substantiate costs required by OMB Circulars A-87, 122, and 133.
- D. In the event CSD suspends, terminates, and/or makes changes to the services to be performed under this Agreement, Contractor shall notify all of its subcontractors in writing within five (5) days of receipt of notice of such action.
- E. Contractor is the responsible party and shall remain liable for the performance of the terms, conditions, assurances, and certifications of this Agreement, without recourse to the State, regarding the settlement and satisfaction of all contractual

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and administrative issues arising out of subcontract agreement(s) entered into in support of this Agreement, including disputes, claims, or other matters of a contractual nature as well as civil liability arising out of negligence or intentional misconduct of the subcontractor(s).

Nothing contained in this Agreement shall create any contractual relation between CSD and any subcontractors, and no subcontract shall relieve the Contractor of its responsibilities and obligations hereunder. Contractor agrees to be as fully responsible to CSD for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contractor. The Contractor's obligation to pay its subcontractors is independent from CSD's obligation to make payments to the Contractor. As a result, CSD shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.

11. INSURANCE AND FIDELITY BOND

A. General Requirements

- 1) By execution of this Agreement, Contractor agrees that the below-required insurance policies and bond shall be in effect at all times during the term of this Agreement.
- 2) Contractor shall provide the State with written notice at least 30 calendar days prior to cancellation or reduction of insurance coverage to an amount less than that required in this Agreement.
- 3) In the event said insurance coverage expires at any time or times during the term of this Agreement, Contractor agrees to provide within 30 calendar days prior to said expiration date a new Certificate of Insurance (ACORD 25) evidencing insurance coverage as provided for herein for not less than the remainder of the term of this Agreement. The Certificate shall identify and name the State as the Certificate Holder.
- 4) New Certificates of Insurance will be reviewed for content and form by CSD.
- 5) In the event Contractor fails to keep in effect at all times the specified insurance and bond coverage as herein provided, the State may, in addition to any other remedies it may have, suspend this Agreement.
- 6) With the exception of workers' compensation and fidelity bond, the State shall be named as additional insured on all Certificates of Insurance required under this Agreement.
- 7) The issuance of other CSD contracts, to include any cash advances, and reimbursement payments, to the Contractor shall be contingent upon required current insurance coverage being on file at CSD for this Agreement.

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B. Self-Insurance

- 1) When Contractor is a self-insured governmental entity, the State, upon satisfactory proof, may waive the appropriate insurance requirements. To qualify for a waiver, an appropriate county or city risk manager shall sign a certification that shall contain assurance of the adequacy of the governmental entity's ability to cover any potential losses under this Agreement.
- 2) Contractor shall specify in writing a list of which coverage(s) will be self-insured under this Agreement and shall list all applicable policy numbers, expiration dates, and coverage amount.
- 3) In the case that the Contractor's self-insurance coverage does not contain any changes from the prior year, CSD will accept a certified letter signed by authorized personnel stating that no changes have occurred from last year. This letter is due at the time of contract execution or within 30 days of expiration of insurance.
- 4) Should Contractor utilize a subcontractor(s) to provide services under this Agreement, Contractor shall indemnify and hold the State harmless against any liability incurred by that subcontractor(s).

C. Workers' Compensation Insurance

- 1) Contractor shall have and maintain for the term of this Agreement workers' compensation insurance issued by an insurance carrier licensed to underwrite workers' compensation insurance in the State of California.
- 2) Contractor shall submit either an applicable Certificate of Insurance or a Certificate of Consent to Self-Insure issued by the Director of the Department of Industrial Relations to the State as evidence of compliance with the workers' compensation insurance requirement prior to issuance of an initial cash advance.

D. Commercial or Government Crime Coverages (Fidelity Bond)

- 1) Contractor shall maintain a commercial crime policy, or if Contractor is a public entity, a government crime policy (hereinafter "fidelity bond") that shall include the following coverages or their substantial equivalents: Employee Dishonesty/Theft, Forgery or Alteration, and Computer Fraud.
- 2) Contractor's fidelity bond coverage limits shall not be less than a minimum amount of four percent (4%) of the total amount of consideration set forth under this Agreement.

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(Standard Agreement)

- 3) Contractor shall submit an applicable Certificate of Insurance (ACORD 25) to the State as evidence of compliance with the fidelity bond requirement prior to issuance of an initial cash advance.

E. General Liability Insurance

- 1) Contractor shall have and maintain for the term of this Agreement general liability and property damage insurance for a combined single limit of not less than \$500,000 per occurrence.
- 2) Contractor shall submit to CSD an applicable Certificate of Insurance naming CSD as an additional insured, as evidence of compliance with general liability insurance requirements concurrent with submission of the Contract. No initial cash advance(s) will be issued to the Contractor until this requirement is met.

F. Vehicle Insurance

- 1) Contractor shall have and maintain for the term of this Agreement vehicle insurance in the amount of \$500,000 for each person and each accident for bodily injury and in the amount of \$500,000 for each person and each accident for property damage.
- 2) When Contractor will allow employees to use their own vehicles to perform duties within the scope of their employment, Contractor shall have and maintain for the term of this Agreement non-owned and hired auto liability insurance in the amount of \$500,000 for each person and each accident for bodily injury and \$500,000 for each person and each accident for property damage. (Driving to and from work is not within the scope of employment.)
- 3) Concurrent with submission of the signed Agreement, Contractor shall submit an applicable Certificate of Insurance, which designates CSD as an additional insured, to the State as evidence of compliance with said vehicle insurance requirements. No initial cash advance(s) will be issued to the Contractor until this requirement is met.

12. AGREEMENT CHANGES

A. Amendment

Formal Amendments are required for changes to the term, total cost or Maximum Amount of this Agreement, scope of work, and formal name changes. No amendment to this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties. CSD 425b, Justification for Contract Amendment/ Modification, must be completed by Contractor's request to make changes to Attachment I or Attachment II.

EXHIBIT D
(Standard Agreement)

If Contractor intends to request a formal amendment to this Agreement, the request must be submitted on a CSD 425b, Justification for Contract Amendment/Modification, no later than forty-five (45) days prior to the expiration of the Agreement term (CSD Form 425b can be located at CSD Providers' Website: <https://providers.csd.ca.gov>).

B. Modification

- 1) Changes involving alterations such as changes to program activities and/or delivery strategies will be considered modifications. A CSD 425b, Justification for Contract Amendment/Modification, must be completed by Contractor's request to make changes to Attachment I or Attachment II.
- 2) Please note that modifications to projected outcomes on the CSD 626 forms will not be allowed. In the event that projections will not be met, please provide a description of the circumstances. For further instruction please contact your Field Services Representative.
- 3) A modification to the projected budget is not required as long as the maximum amount payable does not change. Any increase to out-of-state travel costs or changes to equipment purchases will require a modification.
- 4) If a Contractor intends to request a contract modification to modify Attachment I or Attachment II, justification shall be submitted on CSD 425b, Justification for Contract Amendment/Modification, no later than 15 calendar days prior to the expiration date of this Agreement.

13. SYSTEM SECURITY REQUIREMENTS

Contractor shall, in cooperation with CSD, institute measures, procedures, and protocols designed to ensure the security of data and to protect information in accordance with California State Administrative Manual (SAM) Section 5310, Item 4, and such other State and Federal laws and regulations as may apply. The parties hereto agree to the following requirements, obligations, and standards:

A. General Information/Data Description

The interconnection between CSD and Contractor is a two-way data exchange. The purpose of the data exchange or direct input is to deliver application records for payment processing or contract activity reimbursement.

B. Services Offered

Data exchange between CSD and Contractor shall be handled through two methods: 1) a Contractor user must authenticate to upload data files in a secure socket layer connection; or 2) a secure user interface that is only available to Contractor users with a unique software authentication to see the login window and also secure tunnel between CSD and the Contract user.

EXHIBIT D
(Standard Agreement)

C. Data Sensitivity

- 1) The sensitivity of data exchanged between CSD and Contractor may vary from sensitive to personal or confidential because of personal data such as social security numbers to private data, e.g., family income level, family member name, etc. No personal financial information, i.e., credit card, bank account numbers, shall be stored or exchanged in the data exchange sessions.
- 2) Appropriate levels of confidentiality for the data shall be based on established data classification (see SAM Section 5320.5).

D. Information Exchange Security

- 1) The security of the information being passed on this primary two-way connection shall be protected through the use of encryption software. The connections at each end shall be secured plus the physical location the application systems shall be within a controlled access facilities. Individual users may not have access to the data except through their systems security software that is logged in detail or controlled. All access will be controlled by authentication methods to validate the approved users.
- 2) Standards for secure transmission may be accomplished through such means as certificates, secure socket layer, etc., and storage of the data with encryption, if applicable.
- 3) Both CSD and Contractor shall maintain security patches and anti-virus software updates.

E. Trusted Behavior Expectations

CSD's application system and users shall protect Contractor's application system/data, and the Contractor's application system and users shall protect CSD's application system/data, in accordance with the Privacy Act and Trade Secrets Act (18 U.S. Code 1905) and the Unauthorized Access Act (18 U.S. Code 2701 and 2710).

F. Formal Security Guidelines

CSD's Computer Security Policy and Contractor's policy and procedures for internal controls shall conform to the standards and obligations for the protection of data established herein and shall ensure their implementation.

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G. Incident Reporting

Any party discovering a security incident shall report it in accordance with its incident reporting procedures. Contractor shall within 24 hours of discovery report to CSD any security incident contemplated herein. Policy governing the reporting of Security Incidents is detailed in section D 2 – L of the SAM Management Memorandum entitled, “Safeguarding Against and Responding to a Breach of Security Involving Personal Information.”

H. Audit Trail Responsibilities

Both parties are responsible for auditing application processes and user activities involving the interconnection. Activities that will be recorded include event type, date and time of event, user identification, workstation identification, success or failure of access attempts, and security actions taken by system administrators.

I. Data Sharing Responsibilities

All primary and delegated secondary organization that share, exchange, or use personal, sensitive, or confidential data shall adhere to all CSD’s policies and SAM guidelines. If data sharing is accomplished via interconnectivity of an application system, then data sharing must be certified to be secure by both parties.

14. SCHEDULE OF ATTACHMENT

The following attachment to this exhibit is hereby attached and incorporated by this reference:

ATTACHMENT I 2009 SUPPLEMENTAL AUDIT GUIDE

EXHIBIT D
(Standard Agreement)

ATTACHMENT I

2009 CSD SUPPLEMENTAL AUDIT GUIDE

DEPARTMENT OF COMMUNITY SERVICES AND DEVELOPMENT

P.O. Box 1947
Sacramento, CA 95812-1947
(916) 576-7109
(916) 263-1406 (FAX)
(916) 263-1402 (TDD)



To: All Community Service Block Grant, Low-Income Home Energy Assistance Program, Department of Energy, and Other Program Contractors

From: CSD Audit Services Unit

Date: February 3, 2010

SUPPLEMENTAL AUDIT GUIDE**Introduction**

The purpose of this 2009 Supplemental Audit Guide is to provide further instructions for the independent auditor and/or CPA firms that perform audits of agencies that contract with the California Department of Community Services and Development (CSD) to deliver programs. As specified in each program contract, all independent auditors and CPA firms must follow this Supplemental Audit Guide if the Contractor being audited is funded totally or in part by CSD contracts. This guide is not intended to be an auditing procedure manual but rather to further instruct the independent auditor and CPA firm in testing certain costs identified by CSD as needing more detailed disclosure.

The primary focus of this guide is auditing and reporting on specific items of costs funded by CSD contracts. The procedures outlined in this guide either clarify and complement or, exceed the requirements of Office of Management and Budget (OMB) Circular A-133.

Auditor's Judgment

Auditors performing the work according to this Supplemental Audit Guide must continue to exercise professional judgment. The auditor shall follow the procedures included in this audit guide unless, in the exercise of his or her professional judgment, the auditor determines that other procedures are more appropriate in particular circumstances. The auditor, however, must justify in writing any change from the audit procedures suggested by this Supplemental Audit Guide. The audit report must contain assurances that a review for compliance with OMB Circulars A-87 and A-122 was conducted.

Supplemental Audit Guide

Selected Items of Cost

Inventory System (All Contracts)

1. The independent auditor or CPA firm must gather evidence to validate the inventory listed as an asset on the balance sheet.
2. The closeout report on CSD contracts requires an inventory listing on all items purchased with CSD contract funds.
3. Inventories listed on the balance sheet and on the CSD closeout reports must be verified that they physically exist, are owned (not leased), and are in operable condition.
4. Inventory listings must be accurately compiled in the inventory accounts. Inventories are to be properly stated at cost (except when the market rate is lower).

Subcontracts (All Contracts)

1. Subcontracts must be arms-length agreements and free of actual or apparent conflicts of interest. Validate and report to CSD. CSD-funded agencies should be aware that contracting with wholly owned subsidiaries might not be considered arms-length agreements. This is especially true where both boards have similar members.
2. Contractors are required to substantiate that all costs expended under subcontracts are allowable and allocable to the particular program pursuant to the same standards as the costs expended directly by the Contractor under the specific CSD contract. Document the Contractor's system of ensuring this level of accountability, and report to CSD.

Weatherization Crew Hours (LIHEAP and DOE Contracts)

Document the methodology the Contractor uses to capture the actual hours each weatherization worker spends on each house, specific work performed and address. If this data is maintained in an automated system, obtain and review system documentation.

1. Verify that the monthly report summaries used to report weatherization crew hours provide accurate information by selecting and testing a representative sample.
2. Trace the monthly closeout report totals for weatherization labor hours to the Contractor's monthly report summaries and reconcile this to the supporting source documents.

Supplemental Audit Guide

Prohibition on Lobbying

The independent auditor shall verify that no CSD contract funds were used to influence or attempt to influence an officer or employee of a state or federal government agency, or a member of Congress or the State Legislature, in connection with the awarding of any contract, grant, loan, or cooperative agreement.

System of Internal Control

Audits must include an examination of the systems of internal control. Internal control systems must be established to ensure compliance with laws and regulations affecting the expenditure of State and/or Federal funds, financial transactions and accounts, and the agency's process for submission of Contractor billings submitted to CSD for the performance of the contract.

The Contractor's accounting system must provide for accumulating and recording of expenditures by cost category (budget line items) shown in the approved budget. The independent auditor or CPA firm must give an opinion on the internal controls of the Contractor being reviewed.

Administrative Cost Cap

CSD contracts have an administrative cost cap. Administrative costs charged to each CSD contract must not exceed this cost. In addition, other Federal funds must not be used to exceed the total administrative cost cap charged to the CSD contract, unless specifically allowed by Federal statute.

Use of Indirect Cost Rates or Other Indirect Cost Methodology

1. A Federally Approved Indirect Cost Allocation Rate may be used for selected items of costs up to the maximum allowed by the CSD contract's administrative cost rate. Costs claimed for a specific line item in the budget cannot be reported as direct costs and also as indirect costs.
2. Validate the indirect cost rate or methodology and the application of the rate used by the Contractor.
3. Ensure compliance with OMB Circulars A-87 and A-122.

Basis for Allocation of Costs

1. The independent auditor or CPA firm must identify the Contractor's basis for allocating costs to CSD contracts. Costs charged to CSD contracts must be allocable, allowable, and based on actual expenses incurred by the Contractor for the CSD contract. Costs charged to the CSD contract must also have an approved contract budget line item.
2. Ensure Compliance with OMB Circulars A-87 and A-122.

Supplemental Audit Guide

Going Concern and Subsequent Events

The independent auditor or CPA firm must provide a "positive assurance" statement that any (significant) subsequent events, related directly or indirectly, that occurred after the final closeout report and single agency-wide audit are submitted to CSD do not materially affect the closeout report, as submitted by the Contractor. Additionally, the independent auditor or CPA firm must provide "positive assurance" whether or not the Contractor will continue as a going concern. Some examples are litigation settlement, bankruptcy, mergers, large loans, cash flow problems, etc.

Representation Letter

A Representation Letter between the independent auditor or CPA firm and the Contractor must be forwarded to CSD. The Representation Letter must be signed by the Contractor's controller (or equivalent) and either the Chair of the Audit Committee if it exists or the Executive Director.

Engagement Letter

In the event a Contractor is more than one month late in submitting the required independent audit report, the Contractor shall submit one copy of the finalized, signed Engagement letter between the Contractor and the Contractor's independent auditor or CPA firm.

Supplemental Statements

Beginning with the 1994 program year, CSD contract provisions have required the financial and compliance audit to include supplemental statements. These supplemental statements must be included as part of the package submitted to CSD with the single agency-wide audit for each fiscal year. CSD uses the above information to reconcile the audited costs to the costs reported by the Contractor.

The supplemental statements should be based on the budget line items contained in the contract. The supplemental statement must include the contract budget line items, expenditures for each budget line item by fiscal year, total audited costs and total reported expenses by budget line item.

Auditing Standards and Reports

The financial and compliance audit report shall contain the following supplemental financial information: a combined statement of revenue and expenditures for each contract that presents, by budget line item, revenue and expenditures for the audit period and a description of the methodology used to allocate and claim indirect costs and any administrative cost pools.

Supplemental Audit Guide

Testing of Transactions

A sufficient number of items should be selected for review that represent all material costs categories. The audit should determine whether:

- a. Contractor's internal control over the contract is effective and working as intended;
- b. Reported program expenditures are allowable and allocable;
- c. Reported expenditures conform to funding or program limitations or exclusions;
- d. Reported expenditures are not charged to, or reimbursed by, other programs or funding sources;
- e. Transactions are properly approved, reported, and supported by source documents;
- f. Reported expenditures were incurred within the appropriate contract term; and
- g. Contractor complied with applicable laws, regulations, and contract requirements.

American Recovery and Reinvestment Act of 2009 (ARRA) Funds

ARRA IDENTIFICATION

Contractors covered under the Single Audit Act and OMB circular A-133 must specifically identify ARRA funds on the SEFA by CFDA number, contract number, and by attaching the prefix "ARRA-" to the Federal program name. This information may be used by CSD to monitor the Contractor's expenditures of ARRA funds. In addition, the Contractor should maintain documentation to identify sub-award and project funded through the ARRA.

SEPARATE ACCOUNTING

Contractors must segregate the obligations and expenditures related to funding under the Recovery Act. Financial and accounting systems should be revised as necessary to segregate, track and maintain these funds apart and separate from other revenue streams. No part of the funds from the Recovery Act shall be commingled with any other funds or used for a purpose other than that of making payments for costs allowable for Recovery Act projects. Recovery Act funds can be used in conjunction with other funding sources as necessary to complete projects, but tracking and reporting must be separate to meet the reporting requirements of the Recovery Act and OMB Guidance.

Supplemental Audit Guide

PREVAILING WAGE

Determine if there is a designated payroll person to certify, on a weekly basis, that the Contractor is paying residential prevailing wage in accordance with the wage determinations as set forth in the ARRA contract.

EXHIBIT E
(Standard Agreement)

ADDITIONAL PROVISIONS:

1. **FEDERAL CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND
RELATED MATTERS**

Contractor hereby certifies to the best of its knowledge that it, any of its officers, or any subcontractor(s):

- A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency.
- B. Have not within a three (3) year period preceding this Agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or State antitrust statutes; commission of embezzlement, theft, forgery, or bribery; falsification or destruction of records; making false statements; or receiving stolen property.
- C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph B of this certification.
- D. Have not, within a three (3) year period preceding this Agreement, had one or more public (federal, state, or local) transactions terminated for cause or default.
- E. If any of the above conditions are true for the Contractor, any of its officers, or any subcontractor(s), Contractor shall describe such condition and include it as an attachment to this Exhibit E. Based on the description, CSD in its discretion may decline to execute this Agreement, or set further conditions of this Agreement. In the event any of the above conditions are true and not disclosed by Contractor, it shall be deemed a material breach of this Agreement, and CSD may terminate this Agreement for cause immediately pursuant to the termination provisions of State and federal law governing the CSBG program.
- F. As provided in EXHIBIT D, Paragraph 10.A. of this Agreement, Contractor must certify in writing to the best of its knowledge that any subcontractor(s) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency.

EXHIBIT E
(Standard Agreement)

2. PROCUREMENT

A. Contract Administration

- 1) Contractors shall administer this Agreement in accordance with all federal and state rules and regulations and Recovery Act provisions governing CSBG pertaining to procurement, including Office of Management and Budget (OMB) Circulars and amendments thereto, consistent with the general OMB compliance requirement in Exhibit B to this Agreement. Contractors shall establish, maintain, and follow written procurement procedures consistent with the procurement standards in OMB Circulars A-102 and A-110 and all additional provisions in this Agreement, including but not limited to a code of conduct for the award and administration of contracts and a procedure that provides, to the maximum extent practical, open and free competition.
- 2) Contractor shall not permit any organizational conflicts of interest or noncompetitive practices that may restrict or eliminate competition or otherwise restrain trade. In order to ensure objective subcontractor performance and eliminate unfair competitive advantage, individuals, or firms that develop or draft specifications, requirements, statements of work, invitations for bids, and/or requests for proposals shall be excluded from competing for such procurements. Contractor shall award any subcontract to the bidder or offeror whose bid or offer is responsive to the solicitation and is most advantageous to Contractor when considering price, quality, and other factors. Contractor's solicitations shall clearly set forth all requirements that the bidder or offeror shall fulfill in order for the bid or offer to be evaluated by the recipient.
- 3) Contractor assures that all supplies, materials, equipment, or services purchased or leased with funds provided by this Agreement shall be used solely for the activities allowed under this Agreement, unless a fair market value for such use is charged to the benefiting program and credited to this Agreement.
- 4) In addition to adhering to all OMB requirements and the Contractor's established procedures for all procurement transactions of any amount, for each purchase, lease, or subcontract for any articles, supplies, equipment, or services obtained from vendors or subcontractors where the per-unit cost exceeds \$5,000, three competitive quotations shall be obtained or adequate justification documented and maintained as to the absence of bidding. In cases of a bona fide emergency where awarding a subcontract is necessary for the immediate preservation of public health, welfare, or safety, documentation of the emergency will be sufficient in lieu of the three-bid process.

EXHIBIT E
(Standard Agreement)

- 5) To ensure that significant procurement transactions are conducted in an open and freely competitive manner, Contractor shall comply with the following requirement:
Contractor shall prepare and submit a Request for Purchase/Lease Pre Approval (CSD 558) to CSD at least fifteen (15) calendar days prior to executing the subcontract for each of the following procurement transactions:
 - a. Any articles, supplies, equipment or services having a per-unit cost in excess of \$5,000; or
 - b. Any articles, supplies or equipment where the total contract amount exceeds \$100,000.
- 6) Noncompliance with any of the provisions in this Section 2. shall result in a disallowance of the costs of the procurement transaction.
- 7) Contractor assures that it shall exercise due care in the use, maintenance, protection, and preservation of state-owned property in Contractor's possession or any other property or equipment procured by Contractor with State funds. Such care shall include, but is not limited to, the following:
 - a. Maintaining insurance coverage against loss or damage to such property or equipment.
 - b. Ensuring that the legal ownership of any motor vehicle or trailer is in the name of the Contractor.

B. Limitation on Use of Funds

Contractor shall assure that funds received under this Agreement shall not be used for the purchase or improvement of land or for the purchase, construction, or permanent improvement of any building or other facility other than low-income weatherization or energy-related home repairs.

3. AFFIRMATIVE ACTION COMPLIANCE

- A. Each Contractor or subcontractor with 50 or more employees and an agreement of \$50,000 or more shall be required to develop a written Affirmative Action Compliance Program.

EXHIBIT E
(Standard Agreement)

- B. The written program shall follow the guidelines set forth in Title 41 CFR Section 60-1.40, Sections 60-2.10 through 60-2.32, Sections 60-250.1 through 60-250.33, and Sections 60-741.4 through 60-741.32.
- C. Each Contractor or subcontractor with less than 50 employees shall comply with Section 202 of Part II of Executive Order 11246, as amended by Executive Order 11375. Contractor shall ensure that subcontractors falling within the scope of this provision shall comply in full with the requirements thereof.

4. NONDISCRIMINATION COMPLIANCE

- A. Contractor's signature affixed hereon shall constitute a certification that to the best of its ability and knowledge will, unless exempted, comply with the nondiscrimination program requirements set forth in this section.
- B. Contractor hereby certifies compliance with the following:
 - 1) Federal Executive Order 11246, as amended by Executive Order 11375, relating to equal employment opportunity.
 - 2) Title VI and Title VII of the Civil Rights Act of 1964, as amended.
 - 3) Rehabilitation Act of 1973, as amended.
 - 4) Vietnam Era Veterans Readjustment Assistance Act of 1972, as amended.
 - 5) Title 41, Code of Federal Regulations (CFR), Chapter 60, Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor, as amended.
 - 6) Public Law 101-336, Americans with Disabilities Act of 1990, as amended.

5. SPECIFIC ASSURANCES

- A. Pro-Children Act of 1994
 - 1) This Agreement incorporates by reference all provisions set forth in Public Law 103-227, Part C - Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act).
 - 2) Contractor further agrees that the above language will be included in any subcontracts that contain provisions for children's services and that all subcontractors shall certify compliance accordingly. For detailed explanation, see <https://providers.csd.ca.gov>.

EXHIBIT E
(Standard Agreement)

- 3) This Agreement incorporates by reference all provisions set forth in the Child Support Services and Referrals (Section 678 (b) 1998 CSBG Reauthorization Act).” For detailed explanation, see <https://providers.csd.ca.gov>.

B. American-Made Equipment/Products

Contractor shall assure, pursuant to Public Law 103-333, Section 507, to the extent practicable, that all equipment and products purchased with funds made available under this Agreement shall be American made.

C. Federal and State Occupational Safety and Health Statutes

Contractor assures that it shall be in compliance with the provisions as set forth in Federal and State Occupational Safety and Health Statutes; the California Safe Drinking Water and Toxic Enforcement Act of 1986; Universal Waste Rule (Hazardous Waste Management System: Modification of the Hazardous Waste Recycling Regulatory Program); Final Rule; and Workers' Compensation laws.

D. Political Activities

- 1) Contractor shall refrain from all political activities if such activities involve the use of any funds that are the subject of this Agreement.
- 2) Contractor is prohibited from any activity that is designed to provide voters or prospective voters with transportation to the polls or to provide similar assistance in connection with an election if such activities involve the use of any funds that are subject to this Agreement.

E. Lobbying Activities

- 1) Contractor shall refrain from all lobbying activities if such activities involve the use of any funds that are the subject of this Agreement or any other fund, programs, projects, or activities that flow from this Agreement.
- 2) If Contractor engages in lobbying activities, Contractor shall complete, sign and date the CERTIFICATION REGARDING LOBBYING/DISCLOSURE OF LOBBYING ACTIVITIES, EXHIBIT G, as required by the U.S. Department of Health and Human Services under 45 CFR Part 93.

EXHIBIT E
(Standard Agreement)

6. RIGHT TO MONITOR, AUDIT, AND INVESTIGATE

- A. Any duly authorized representative of the federal or state government, which includes but is not limited to the State Auditor, CSD Staff, and any entity selected by CSD to perform inspections, shall have the right to monitor and audit Contractor and all subcontractors providing services under this Agreement through on-site inspections, audits, and other applicable means the State determines necessary.
- B. Contractor shall make available all reasonable information necessary to substantiate that expenditures under this agreement are allowable and allocable, including, but not limited to books, documents, papers, and records. Contractor shall agree to make such information available to the federal government, the state, or any of their duly authorized representatives including representatives of the entity selected by CSD to perform inspections, for examination, copying, or mechanical reproduction, on or off the premises of the appropriate entity upon a reasonable request thereof.
- C. Any duly authorized representative of the federal or state government shall have the right to undertake investigations in accordance with Public Law 97-35, as amended.
- D. All agreements entered into by Contractor with audit firms for purposes of conducting independent audits under this Agreement shall contain a clause permitting any duly authorized representative of the federal or state government access to the working papers of said audit firm(s).

7. RECORD-KEEPING

- A. All records maintained by Contractor shall meet the OMB requirements contained in the following Circulars: A-102, Subpart C, ("Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments") or A-110, Subpart C, Nonprofit Organizations, whichever is applicable.
- B. Contractor shall maintain all records pertaining to this Agreement for a minimum period of three years after submission of the final report. However, Contractor shall maintain all such records until resolution of all audit and monitoring findings are completed.
- C. Contractor assures that employee and applicant records shall be maintained in a confidential manner to assure compliance with the Information Practices Act of 1977, as amended, and the Federal Privacy Act of 1974, as amended.

EXHIBIT E
(Standard Agreement)

8. ADMINISTRATIVE HEARING FOR DENIAL OF CLIENT BENEFITS BY
CONTRACTOR

- A. Contractor has read and agrees to strictly comply with Title 22 of the California Code of Regulations, Section 100751, as amended, which sets forth elements to be included in client benefit denial appeal procedures and shall advise individuals who have been denied assistance of their twenty (20) day right to appeal to the State for an administrative hearing pursuant to 42 USC 8624(b)(13), as amended.
- B. Within five (5) working days of receipt of an appeal from a client, CSD's Fair Hearings Officer shall schedule an administrative hearing to be conducted no later than thirty (30) calendar days from the receipt of the request.
- C. The client may withdraw request for appeal for administrative hearing at any time during the appeal process by rendering written or oral notice to the State. Where oral notice is given, such notice shall be confirmed in writing by the Parties.

EXHIBIT F
(Standard Agreement)

DEFINITIONS

All terms used in this Agreement shall be those as defined in applicable federal and state law (see 42 U.S.C. § 9902 and Govt. Code § 12730) and regulation (see 45 C.F.R. Part 96 and 22 C.C.R. § 100601), or as more specifically defined as:

- Agreement: The complete contents of this contract entered into by and between the CSD and Contractor, including all rights, duties, and obligations whether expressed or implied required toward the legal performance of the terms hereof, and including all documents expressly incorporated by reference.
- Amendment: A formal change to the Agreement of a material nature including but not limited to the term, scope of work, or name change of one of the Parties, or a change of the maximum amount of this Agreement.
- Authorized Agent: The duly authorized representative of the Board of Directors of Contractor, and the duly elected or appointed, qualified, and acting officer of the State. In the case of Contractor, the State shall be in receipt of a board resolution affirming the agent's representative capacity to bind Contractor to the terms of this Agreement.
- Board of Directors: For the purposes of a private nonprofit Community Action Agency, Board of Directors refers to the tripartite board as mandated by 42 U.S.C. § 9910 and Government Code § 12751. For the purposes of a publicly governed Community Action Agency, Board of Directors refers to the tripartite advisory/ administering board that is mandated by 42 U.S.C. § 9910 and California Government Code § 12752.1 and established by the political subdivision or local government.
- Community Action Agency: A public, or private nonprofit, agency that fulfills all requirements of Government Code § 12750.
- Contractor: The entity (partnership, corporation, association, agency, or individual) designated on the face sheet (STD 213) of this Agreement.
- CSD: The State of California Department of Community Services and Development.
- Equipment: An article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost which equals or exceeds the lesser of the capitalization level established by the non-profit organization for financial statement purposes, or \$5000.
- Final Allocation: The actual amount of funds available to Contractor under this Agreement, as calculated pursuant to Government Code § 12759 after

EXHIBIT F
(Standard Agreement)

CSD receives the notice of grant award for the full allocation based on the appropriation by Congress for the related federal fiscal year, and as publicly announced by CSD's Director or designee, subsequent to the execution of this Agreement.

Limited Purpose
Agency (LPA):

A community-based nonprofit organization without a tripartite board, as defined in California Government Code § 12775 and 42 U.S.C. § 9921.

Maximum Amount:

The dollar amount reflected on line 3 of the face sheet (STD 213) of this Agreement.

Modification:

An immaterial change to this Agreement that does not require an Amendment.

Native American Indian
program (NAI):

A tribal or other Native American Indian organization in an urban or rural off-reservation area, as defined in California Government Code section 12772, such as an Indian nonprofit organization, which meets the criteria of 'eligible entity' as defined in subdivision (g) of Section 12730. An NAI may be considered a 'public organization' for purposes of tripartite board requirements or other mechanisms of governance in accordance with 42 U.S.C. section 9910(b).

Parties:

CSD on behalf of the State of California, and the Contractor.

Program:

The Community Services Block Grant (CSBG) Program, 42 USC §§ 9901 et seq., as amended.

State:

The State of California Department of Community Services and Development.

Subcontractor:

An entity (partnership, tribe, corporation, association, agency, or individual) that enters into a separate contract or agreement with Contractor to fulfill direct program or administrative tasks in support of this Agreement.

Subcontract:

A separate contract or agreement entered into by and between Contractor and Subcontractor to fulfill direct program or administrative tasks in support of this Agreement.



EXHIBIT G

CERTIFICATION REGARDING LOBBYING
DEPARTMENT OF HEALTH AND HUMAN SERVICES
FAMILY SUPPORT ADMINISTRATION

PROGRAM: Community Services Block Grant/Discretionary

PERIOD: June 15, 2012 through June 30, 2013

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award document for subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Title

Signature

Agency/Organization

Date

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

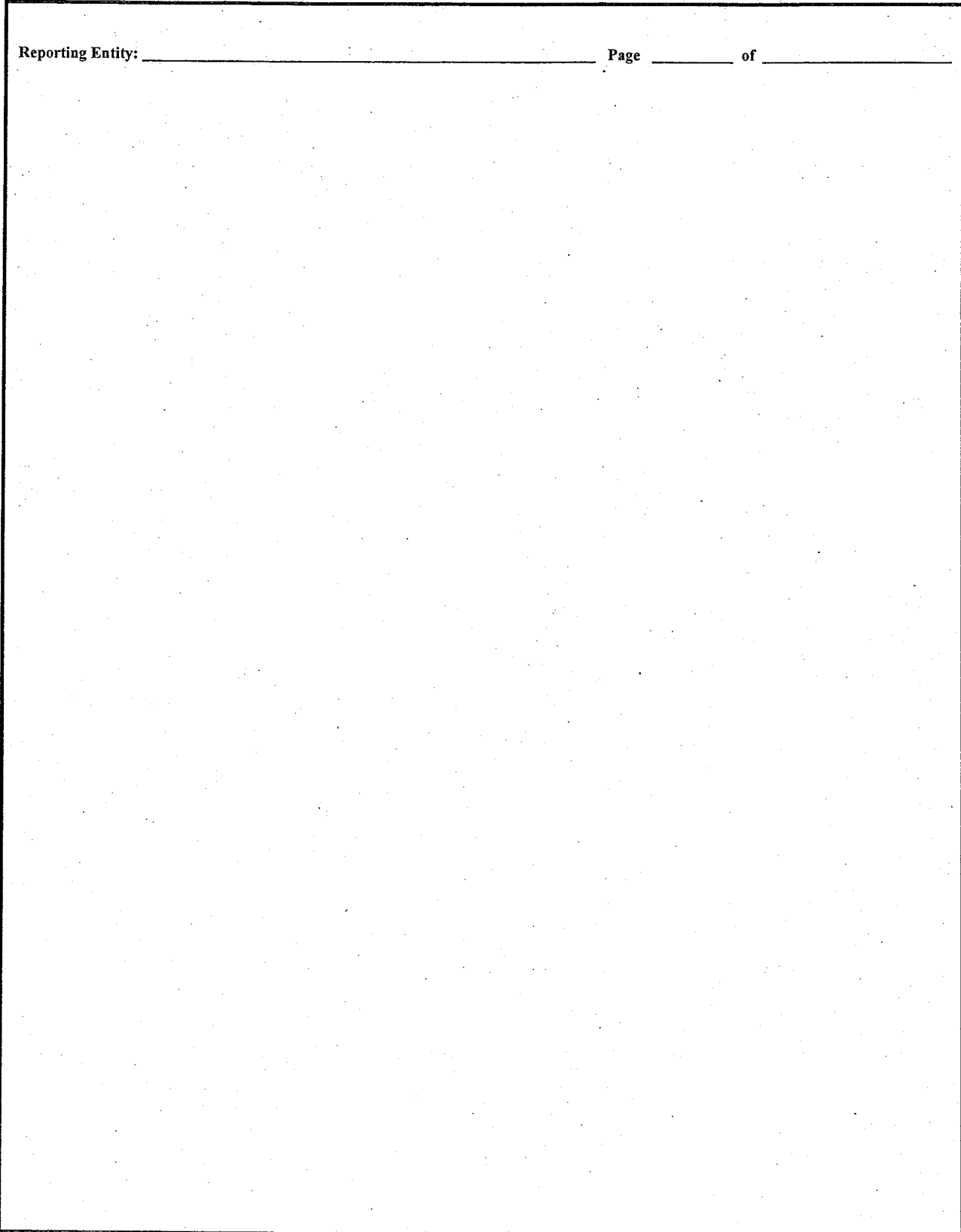
Complete the form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

<p>1. Type of Federal Action:</p> <p><input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance</p>	<p>2. Status of Federal Action:</p> <p><input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award</p>	<p>3. Report Type:</p> <p><input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change</p> <p>For Material Change Only: year _____ quarter date of Last report</p>
<p>4. Name and Address of Reporting Entity:</p> <p><input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:</p> <p>Congressional District, if known:</p>		<p>5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:</p> <p>Congressional District, if known:</p>
<p>6. Federal Department/Agency:</p>	<p>7. Federal Program Name/Description:</p> <p>CFDA Number, if applicable:</p>	
<p>8. Federal Action Number, if known:</p>	<p>9. Award Amount, if known:</p> <p>\$ _____</p>	
<p>10. a. Name address of Lobbying Entity (if individual, last name, first, name, MI):</p>		<p>b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):</p>
<p>(attach Continuation Sheet(s) SF-LLL-A, if necessary)</p>		
<p>11. Amount of Payment (check all that apply):</p> <p>\$ _____ <input type="checkbox"/> actual <input type="checkbox"/> planned</p>	<p>13. Type of Payment (check all that apply):</p> <p><input type="checkbox"/> a. retainer <input type="checkbox"/> b. one-time fee <input type="checkbox"/> c. commission <input type="checkbox"/> d. contingent fee <input type="checkbox"/> e. deferred <input type="checkbox"/> f. other; specify:</p>	
<p>12. Form of Payment (check all that apply):</p> <p><input type="checkbox"/> a. cash <input type="checkbox"/> b. in-kind; specify: nature value</p>		
<p>14. Brief Description of Services Performed or to be Performed and Date(s) of Services, including officer(s), employee(s), or Member(s), contacted, for Payment indicated in Item 11:</p> <p>(attach Continuation Sheet(s) SF-LLL-A, if necessary)</p>		
<p>15. Continuation Sheet(s) SF-LLL-A attached: <input type="checkbox"/> Yes <input type="checkbox"/> No</p>		
<p>16. Information requested through this form is authorized by Title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1353. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty for not less than \$10,000 and not more than \$100,000 for each such failure.</p>		<p>Signature: _____</p> <p>Print Name: _____</p> <p>Title: _____</p> <p>Telephone No.: _____ Date: _____</p>
<p>Federal Use Only:</p>		<p>Authorized for Local Reproductions Standard Form - LLL</p>

DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET

Approved by OMB
0348-0046

Reporting Entity: _____ Page _____ of _____



INSTRUCTION FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and ZIP Code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full name of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budgets, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.