

**SUBMITTAL TO THE BOARD OF SUPERVISORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**

920



FROM: Economic Development Agency

SUBMITTAL DATE:
September 19, 2013

SUBJECT: East County Parking Structure – Design-Build Process

RECOMMENDED MOTION: That the Board of Supervisors:

1. Direct the Economic Development Agency to comply with labor compliance oversight and program requirements as required by Public Contract Code 20133; and
2. Approve and authorize the Economic Development Agency to issue the attached Pre-Qualification Package for Design-Build Contractors for the purpose of compiling a list of Pre-Qualified Entities.

BACKGROUND:

Summary

(Commences on Page 2)

Robert Field
Assistant County Executive Officer/EDA

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:	Ongoing Cost:	POLICY/CONSENT (per Exec. Office)
COST	\$ 0	\$ 0	\$ 0	\$ 0	Consent <input type="checkbox"/> Policy <input checked="" type="checkbox"/>
NET COUNTY COST	\$ 0	\$ 0	\$ 0	\$ 0	

SOURCE OF FUNDS: East County Detention Center Budget	Budget Adjustment: No
	For Fiscal Year: 2013/14

C.E.O. RECOMMENDATION:

APPROVE

County Executive Office Signature

Jennifer L. Sargent

MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Benoit, seconded by Supervisor Stone and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes: Jeffries, Tavaglione, Stone, Benoit and Ashley
Nays: None
Absent: None
Date: October 1, 2013

Kecia Harper-Ihem
Clerk of the Board

By:
Deputy

Prev. Agn. Ref.: 3-18 of 7/16/13

District: 4/4

Agenda Number:

3-6

ATTACHMENTS FILED

REVIEWED BY CIP

Christopher Hans
Departmental Concurrence

FORM APPROVED COUNTY COUNSEL

BY:
MARSHAL VICTOR
DATE: 9/16/13

- A-30
- 4/5 Vote
- Positions Added
- Change Order

SUBMITTAL TO THE BOARD OF SUPERVISORS, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

Economic Development Agency

FORM 11: East County Parking Structure – Design-Build Process

DATE: September 19, 2013

Page 2 of 2

BACKGROUND:

Summary

On July 16, 2013, the Board of Supervisors approved and adopted the Final Environmental Impact Report (EIR) for the East County Detention Center (ECDC) Project and its companion project, the East County Parking Structure located at the intersection of Plaza Avenue and Oasis Street in the City of Indio. This new 1,626 bed detention facility has necessitated the demand for increased parking infrastructure facilities.

The parking structure will consist of a three-level covered structure with photovoltaic (PV) panels on the roof to generate solar energy. The parking structure would have a general height of 24 ft. with an additional 20 ft. for elevator housing (44 ft. at the highest point) and would include more than 990 spaces to provide additional parking for staff and the public for the ECDC, the Larson Justice Center, the anticipated new Law Building, jury parking, overflow from the Law Library, supplemental parking during the Riverside County National Date Festival, and other additional parking services.

The Local Agency Public Construction Act (Public Contract Code Section 20100 et seq.) and Uniform Public Construction Cost Accounting Act (Public Contract Code Section 22000 et seq.) requires officials to invite bids for construction projects and award contracts to the lowest responsible bidder. This "Design-Bid-Build" method is the traditional approach to public works construction. By contrast, the "Design-Build" method allows counties to procure both design and construction services from a single company before the development of completed plans and specifications. With Board approval, the county may utilize an alternative procedure for bidding on construction projects in excess of \$2,500,000 and may award the project using either the lowest responsible bidder or by best value. It is proposed that this project be awarded based on the best value procedure.

It is recommended that the Board approve the Pre-Qualification Package for Design-Build Contractors. Following a review of the Design-Build firms prequalification's the Economic Development Agency will return to the Board for approval of a short list of firms. These firms would be invited to submit Design-Build solutions for the project.

Attachment:

Design-Build Pre-Qualification Package

THE COUNTY OF RIVERSIDE ECONOMIC DEVELOPMENT AGENCY

REQUEST FOR PRE-QUALIFICATION
FOR DESIGN/BUILD ENTITIES

FOR

DESIGN-BUILD SERVICES



FOR THE

COUNTY
PARKING STRUCTURE
Indio, California

Issued by:
Rizaldy Baluyot
Supervising Facilities Project Manager
COUNTY OF RIVERSIDE
Economic Development Agency
3403 Tenth Street, Suite 500
Riverside, CA 92501

Project Manager:
Peter M. Watts
Vanir Construction Management, Inc.
250 North D Street, Suite 900
San Bernardino, CA 92401
Phone (909) 384-1785
Facsimile: (909) 381-7534

FORM APPROVED COUNTY COUNSEL

BY: *M. Victor* 9/12/13
MARSHAL VICTOR DATE

COUNTY PARKING STRUCTURE
REQUEST FOR PRE-QUALIFICATION (STEP 1)
NOTICE TO PROPOSERS
SEPTEMBER 2013
PAGE 1

September 2013

NOTICE IS HEREBY GIVEN THAT THE COUNTY OF RIVERSIDE ECONOMIC DEVELOPMENT AGENCY ("County"), is issuing this Request for Pre-Qualifications for design and construction, on a Design/Build Contract basis, for the Project generally described as the COUNTY PARKING STRUCTURE PROJECT, no later than **2:00 p.m., October 11, 2013**. Design/Build Entities interested in submitting a proposal in response to the anticipated Request for Proposals- Step 2 (RFP) must submit a response to this Step 1 Pre-Qualification. To be considered, the potential Design/Build Entity must have the appropriate licenses required under provisions of the California Business and Professions Code. All Mandatory Design Consultants and Subcontractors shall hold an appropriate license for their design discipline. Failure of a single entity Design/Build Entity to possess the required license shall be deemed as non-responsive. Failure of a joint venture Design/Build Entity to be properly licensed by the date of Contract award will result in forfeiture of the Proposal Security and loss of the Contract. Failure of Mandatory Design Consultant or Subcontractors to possess the required license on the Proposal Deadline shall require the Design/Build Entity to substitute licensed design professionals and specialty trade contractors, as applicable, prior to award of the Contract without additional cost. This project is prevailing wage and will be subject to the institution of a Labor Compliance Program.

The County's competitive selection process will proceed in two steps.

- **Step 1** is the prequalification phase of the Design/Build Entity and includes shortlisting to establish the four (4) qualified and highest ranked prequalifying entities that will be invited to participate in Step 2.
- **RFP Step 2** is the technical proposal from the Design/Build Entity. Only those Design/Build Entities that are successfully qualified and shortlisted in Part 1 will be allowed to participate in Step 2.

The maximum allowable design and construction cost for this Project is Eleven Million Dollars (\$ 11,000,000)

SUBMISSION OF PRE-QUALIFICATION QUESTIONNAIRES

Pre-Qualification packets are available through Vanir Construction Management Inc. (Vanir). Please contact Peter Watts (peter.watts@vanir.com) with company and contact information to receive the Pre-Qualification packet including Questionnaire and to be registered. Vanir will notify all registered Design/Build Entities of any addenda. **It is solely the responsibility of each Design/Build Entity to ensure that they receive any and all addenda.** Requests for Clarification (RFC) of the process or the documents may be submitted by fax (909) 381-7534, and/or email

peter.watts@vanir.com , no later than **2:00 p.m. on September 26, 2013.**

Submit five (5) complete Pre-Qualification Responses and related information to:

Rizaldy Baluyot
Supervising Facilities Project Manager
County of Riverside
Economic Development Agency
3403 Tenth Street, Suite 400
Riverside, CA 92501

Pre-Qualification Responses shall be submitted in sealed envelopes, clearly marked:

County of Riverside Economic Development Agency

**Pre-Qualification for the
COUNTY PARKING STRUCTURE
Design/Build Entity Business Name & Business Address**

1. GENERAL DESCRIPTION OF THE PROJECT

The County of Riverside Economic Development Agency ("County") is seeking a Design/Build Entity for the design and construction of the new County Parking Structure. The new Parking Structure will be built on County owned land located in the City of Indio, California.

The County proposes that for the purpose of this Project, the project site will be fully developed for this facility with access from Plaza Street and Oasis Street. The Project will be a multi-level Parking Structure with a minimum of 850 stalls, lighting, hardscape, landscape and off-site improvements. The selected Design/Build Entity will be required to provide a turnkey facility.

The County will be contracting for this Project and the County will provide funding. The facility must be designed and constructed to qualify for LEED certification under the Leadership in Energy and Environmental Design Green Building Rating System. The facility must earn the minimum points to qualify under the performance rating of "Certified."

The County has provided a Topographic Map and Survey and a Geotechnical Investigation Report. The selected DBE's Civil Engineer will provide a Water Quality Management Plan (WQMP).

2. PROJECT SUMMARY

A. Site: Approximately 5.5 acres to be developed including but not limited to:

1. Parking Structure:

- a. 850 parking stalls minimum (County wishes to maximize the parking stall quantity for the stated budget).
- b. Cast-in-place, long span, post tensioned structure
- c. Ground level, access controlled County parking with 1 office & toilet room.
- d. All other levels, public parking
- e. Shade structures for all top level parking, capable of future photovoltaic panel installation.
- f. Hardscape
- g. Landscape
- h. Access from both Plaza and Oasis streets
- i. Fire access lanes (20' wide) on south and east side

2. Alternate: Shade structures for all top level parking with integral photovoltaic panels.

B. Offsite Development:

1. Water: Indio Water Authority
2. Sewer: Valley Sanitary District
3. Electricity: Imperial Irrigation District
4. Gas: The Gas Company
5. Storm Water: City of Indio
6. Telephone: Verizon

C. Budget:

The target allowable design and construction cost for the Project, inclusive of the design and all other services to be performed under the design-build contract, is Eleven Million Dollars, (\$ 11,000,000).

D. Schedule: All design and construction work must be completed within **332 calendar days after** the Date of Commencement established in the County's initial Notice to Proceed.

Note: Bridging Documents will be made available in Step 2, RFP.

3. OWNER'S CONSULTANT TEAM INCLUDES:

Project Management: Vanir Construction Management
Peter Watts
Office: (909) 384-1785
Email: peter.watts@vanir.com

Architects: HOK
April Lenkey
Office: (310) 838-9555
E-mail: april.lenkey@hok.com

The County's consultants listed above are engaged in the preparation and support of the Project, and may *not* participate as members of or as sub-consultants to any Design/Build entity seeking to prequalify or propose for any part of this Project.

4. DESCRIPTION OF THE PROCUREMENT PROCESS

The County has elected to use the Design/Build method as outlined in Public Contract Code Section 20133 for this Project. Within the context of this law, section 20133(d) (3) (A) states that "the County shall establish a procedure to pre-qualify design-build entities using a standard questionnaire developed by the County" The County has developed this Pre-Qualification packet (Step1) to comply with the requirements of this Section.

The Pre-Qualification Questionnaire is the first step of a two-step procurement process for the selection of a Design/Build Entity for this Project. The purpose of the Pre-Qualification Questionnaire is to solicit preliminary information from interested Design/Build Entities and to determine the highest ranked prequalifying entities that will be invited to participate in Step 2 of the procurement process. The information submitted will be evaluated by a committee that includes representatives from the County. The evaluation process is intended to identify the Design/Build Entities who, in the opinion of the County, are best qualified to successfully execute the design and construction of the Project.

Following the selection of the finalists, the County will proceed to Step 2 of the procurement process. Step 2 will provide significantly more detail regarding the Project requirements and expectations, allowing the finalists to submit a response to the Request for Proposal. The selection of the successful Design/Build Entity will be based on "best value to the County", as determined by the evaluation committee, based on a proposal scoring system that will be published in Step 2.

County accepts no liability or responsibility for the costs and expenses incurred by

the Design/Build Entities in responding to this Step 1 or Step 2 procurement process of the Project, responses to clarification requests and resubmittals, potential interviews, clarification meetings or negotiations. Each Design/Build Entity that enters into the procurement process shall prepare the required materials and submittal at its own expense and with the express understanding that it cannot make any claims whatsoever for reimbursement from County for the costs and expenses associated with the process.

5. PROJECT DESIGN-BUILD PROCUREMENT MILESTONE SCHEDULE

The County currently anticipates conducting the procurement of the Project in accordance with the following milestones, leading to award of a design-build contract. This schedule is subject to revision and the County reserves the right to modify this schedule as it finds necessary.

1.	Pre-Qualification Package Available	09/12/13
2.	Pre-Qualification Responses Due	10/11/13
3.	Shortlist Notification to Successful Firms	11/19/13
4.	Request for Proposal (RFP) Available to Pre-Qualified Entities	11/20/13
5.	Request for Proposals Due	12/20/13
6.	Best Value Notification to Successful Entity	01/10/14
7.	Cost Proposal & Scope Negotiations Finalized	01/24/14
8.	Contract Award and Notice to Proceed	03/05/14

6. NOTICE OF PRE-QUALIFICATION REQUIREMENTS

Notice is hereby given that the County has determined that all Proposers on this Project to be undertaken by the County must be pre-qualified and determined to be one of the highest ranked prequalifying entities prior to submitting a proposal for the Step 2 RFP for the Project. It is mandatory that all Design/Build Entities who intend to submit a proposal for Step 2 fully complete the Pre-Qualification Questionnaire, provide all materials requested herein, and be selected and approved by the County as one of the highest ranked prequalifying entities, in order to be on the final qualified list. No proposal will be accepted from a Design/Build Entity that has failed to comply with these requirements.

While it is the intent of the Pre-Qualification Questionnaire and supporting information required therewith to assist the County in determining a proposer's responsibility prior to the submission of the proposal, neither the fact of pre-qualification, nor any pre-qualification rating, will preclude the County from a post-bid consideration and determination of whether a proposer has the quality, fitness, capacity and experience to satisfactorily perform the proposed work and has demonstrated the requisite trustworthiness.

A Design/Build Entity will be evaluated based upon how well the entity meets the

County's requirements. A Design/Build Entity may be found not qualified for either: (1) Omission of requested information or (2) Falsification of information.

7. MINIMUM BONDING REQUIREMENTS

The Design/Build Entity, individually or as a team, must have the capacity to obtain performance and payment bonds for the full value of the design-build project. Prospective Design/Build Entities that cannot provide bonding at that amount will not be considered qualified even though they may be qualified otherwise.

8. CALIFORNIA PUBLIC RECORDS ACT

All information submitted in the Pre-Qualification Response or in response to request for additional information is subject to disclosure under the provisions of the California Public Records Act, Government Code Section 6250 et seq. and the following. Pre-Qualification Questionnaire may contain financial or other data that constitutes a trade secret. **To protect such data from disclosure, the Design/Build Entity should specifically identify the pages that contain what they consider to be confidential information by properly marking the applicable pages and inserting the following notice on the front of its response:**

NOTICE

The data on pages _____ of this Pre-Qualification response, identified by an asterisk (*) or marked along the margin with a vertical line, contains information which are trade secrets. We request that such data be used only for the evaluation of our response, but understand that disclosure will be limited to the extent that the County of Riverside determines is proper under federal, state, and local law.

The proprietary or confidential data shall be readily separable from the Pre-Qualification Questionnaire in order to facilitate eventual public inspection of the non-confidential portion of the Pre-Qualification Questionnaire.

The County assumes no responsibility for disclosure or use of unmarked data for any purpose. In the event disclosure of properly marked data is requested, the Design/Build Entity will be advised of the request and may expeditiously submit to the County a detailed statement indicating the reasons it has for believing that the information is exempt from disclosure under federal, state and local law. This statement will be used by the County in making its determination as to whether or not disclosure is proper under federal, state and local law. Notwithstanding the foregoing, the County will not be responsible or held liable in any way for any losses that the Design/Build Entity may suffer resulting from any disclosure that may occur.

9. COUNTY OF RIVERSIDE RIGHTS

A. The County reserves the right to waive minor irregularities and omissions in the information contained in the Pre-Qualification Responses submitted, to make all final determinations, and to determine at any time that the pre-qualification procedures will not be applied to a specific future project.

B. The County may refuse to grant pre-qualification where the requested information and materials are not provided, or not provided by the date specified above. There is no appeal from a refusal for an incomplete or late application, but re-submitting for a later project is permitted. The closing time for the submission of the Pre-Qualification Responses will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

C. The County reserves the right to adjust, increase, limit, suspend or rescind the pre-qualification rating based on subsequent learned information. Design/Build Entities whose rating changes sufficiently to disqualify them will be notified.

D. County reserves the right to terminate the procurement process by written notice to the respondents for any reason whatsoever, or to decide not to award a contract as a result of this procurement process.

E. County reserves the right to request additional information and to conduct clarification discussions at any time with one or more respondents.

F. County reserves the right at any time prior to execution of a contract, to change the required services, to omit services, or to include services not currently contemplated.

G. County reserves the right to visit and examine any of the facilities referenced in the Pre-Qualification Response and to observe and investigate the operations of such facilities.

H. County reserves the right throughout the procurement process to conduct independent investigations with respect to the qualifications and experience of each respondent included in its Pre-Qualification Response, by contacting project references, accessing public information, contacting independent parties, or any other means, and reserves the right to request additional evidence to support any such information.

I. County reserves the right to take any action affecting the Pre-Qualification process, the RFP process, or the Project that is determined in good faith to be in County's best interests.

10. APPEAL PROCESS

Where the scoring of a timely and completed Pre-Qualification Application results in a rating below that necessary for a Design-Build Entity to pre-qualify and be ranked as one of the highest prequalifying firms, an appeal can be made. Upon written request, the County will provide to the non-qualifying Design/Build Entity the basis for the determination that the entity is not prequalified, and any copies of supporting evidence that has been received from others or adduced as a result of the County's own investigation.

An appeal is begun by the Design-Build Entity delivering written notice to the County of its appeal of the decision with respect to its pre-qualification rating, no later than 5:00 pm on the fifth business day after the County issues notice of the non-qualification. Notice of the appeal must be sent to: County of Riverside Economic Development Agency, Attn: Rizaldy Baluyot, at 3403 10th St., Suite 400, Riverside, CA 92501. Grounds for an appeal are that the County failed to follow the selection procedures and adhere to the requirements specified in this Pre-Qualification Packet or any addenda or amendments; there has been a violation of conflict of interest as provided in California government Code Section 87100 et seq.; or violation of State or Federal law. Appeals will not be accepted on any other grounds. Without a timely appeal, the Design-Build entity waives any and all rights to challenge the decision of the County, regarding selection or pre-qualification of Design-Build Entity for this Project, whether by administrative process, judicial process or any other legal process or proceeding.

If the Design-Build Entity gives the required notice of appeal and requests a hearing, the hearing shall be conducted no later than ten business days after the County's receipt of the notice of appeal. The hearing shall be an informal process conducted before the Pre-Qualification Appeal Committee ("Appeal Committee"). The Appeal Committee will consider only those specific issues addressed in the written request for an appeal. During the hearing, the Design/Build Entity will be given the opportunity to rebut any evidence used as a basis for disqualification and to present evidence to the County as to why it should be found qualified. The Design-Build Entity will be given the opportunity to present information and present reasons in opposition to the rating. Within five business days after the conclusion of the hearing, the Appeal Committee will render its decision. It is the intention of the County that the date for the submission of Technical Proposal documents will not be delayed or postponed to allow for completion of an appeal process.

The Appeal procedures set forth in this section are mandatory and are each Design/Build Entity's sole and exclusive remedy in the event of an appeal. A Design/Build Entity's failure to complete both the appeal and appeal procedures shall be deemed a failure to exhaust administrative remedies. Failure to exhaust

administrative remedies herein, or failure to comply otherwise with these procedures shall constitute a waiver of any right to further pursue the appeal, including a Government Code claim or legal proceedings.

11. INSTRUCTIONS AND OVERVIEW OF THE PRE-QUALIFICATION PROCESS

A. Submission Requirements – The Prequalification Questionnaire seeks information about the contractor and design professionals that comprise the Design/Build Entity. The Questionnaire must be signed under penalty of perjury in the manner designated on the Certifications page by an individual who has the legal authority to bind the Design/Build Entity on whose behalf that person is signing.

If any information provided by a Design/Build Entity becomes inaccurate, the Design/Build Entity must immediately notify the County and provide updated accurate information in writing, under penalty of perjury.

B. Financial Information – Submission of evidence, including financial statements, that establishes that the Design/Build Entity has the capacity to perform the work under the Project and to obtain all required payment and performance bonding as identified in the Pre-Qualification packet.

C. Definition Of What Comprises A Design-Build Entity

A Design/Build Entity is defined by Public Contract Code 20133 (c) (3) as, “a partnership, corporation, or other legal entity that is able to provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a design-build contract.”

Although that definition allows wide latitude in the composition of the Design/Build Entity, the County requires the Design/Build Entity to identify and list, in this Step 1 RFQ, certain core member organizations that will comprise the team. Those core organizations must individually complete the appropriate scored question portion of the Pre-qualification questionnaire and obtain a passing score in order for a Design/Build entity to be considered qualified and subject to scoring by the evaluation committee to determine the highest scoring prequalifying entities. The core members that are required to be listed in this Step 1 RFQ by the Design/Build Entity are:

1. General Contractor Member
2. Architect Member
3. Sub-Consultant Member (Structural Engineer)

The core members of the Design/Build Entity identified in the response to this Pre-

qualification packet must be selected on qualifications alone. Additional members of the highest scoring prequalifying teams will be required to be listed, or designated by the proposing Design/Build Entity in Step 2 of the RFP. The California Public Contract Code Section 20133(f) requires that all subcontractors, not listed as part of its core member organization by the Design/Builder in its submission in response to the Request for Proposals (Step 1 or Step 2), be awarded in accordance with the design-build process set forth by the County.

The County's process allows the selection of subcontractors based upon the best value to the Project. For all subcontractors not designated as core members of the Design/Build Entity team during Step 1 or Step 2 of the RFP, the Design/Build Entity shall: (1) Provide public notice of the availability of work to be subcontracted in accordance with the publication requirements applicable to the competitive bidding process of the County and (2) Provide a fixed date and time on which the subcontracted work will be awarded in accordance with the procedure established pursuant to Public Contract Code Section 20133(f). If the Design/Build Entity chooses to use a core member organization with the capability of performing both design and construction services (i.e. a subcontractor with a licensed engineer and design staff), then that member must successfully qualify as both the sub-consultant and subcontractor for the listed discipline.

D. Scoring Approach

The rating of the Design/Build Entity will result from consideration of the scores attained in all parts of the questionnaire. The evaluation process is intended to identify the Design/Build Entities who, in the opinion of the County, are best qualified to execute successfully the design and construction of the project based on the criteria identified in the Pre-Qualification Response. The best qualified entities will be based on the County's evaluation as reflected by the rating and scores assigned to each prequalifying entity as follows:

PART I: INFORMATION ABOUT THE DESIGN/BUILD ENTITY AND EACH OF ITS MEMBERS

This part applies to the Design/Build Entity as a whole and to each of its Members, and is for identification purposes only. **There is no rating or scored value to Part I.** Part I will be the only information of the Pre-Qualification Document provided to the public.

PART II: ESSENTIAL REQUIREMENTS FOR THE DESIGN/BUILD ENTITY AS A WHOLE

This part applies to the Design/Build Entity as a whole and is a **qualify-don't qualify series of questions. All questions must be answered correctly or the Design/Build Entity will be disqualified.** Part II is the

first step in rating the Design/Build Entity in the Pre-Qualification process. If the Design/Build Entity is able to correctly respond to each of these questions, its ultimate rating will be dependent upon the scored questions. **If the Design/Build Entity is unable to correctly respond to each of these questions, it will be disqualified regardless of the results of the scored questions.**

PART III: SCORED QUESTIONS FOR INDIVIDUAL MEMBERS OF THE DESIGN/BUILD ENTITY

Subpart A: Scored Questions for the General Contractor Member

This part applies to the general contractor member. It consists of a series of questions that must be answered. Each group of questions will be scored a number within the point value assigned in the Step 1 Evaluation Summary. The total score attained establishes the rating for the general contractor and will be combined with the scores of the other parts to determine the total score for the Design/Build Entity.

Subpart B: Scored Questions for the Architect Member

This part applies to the architect member. It consists of a series of questions that must be answered. Each group of questions will be scored a number within the point value assigned in the Step 1 Evaluation Summary. The total score attained establishes the rating for the architect member and will be combined with the scores of the other parts to determine the total score for the Design/Build Entity.

Subpart C: Scored Questions for Sub-Consultant Member (Structural Engineer)

This part applies to the sub-consultant member (structural engineer). It consists of a series of questions that must be answered. Each group of questions will be scored a number within the point value assigned in the Step 1 Evaluation Summary. The total score attained establishes the rating for the sub-consultant member (structural engineer) and will be combined with the scores of the other parts to determine the total score for the Design/Build Entity.

PART IV: SCORED QUESTIONS FOR RELEVANT PROJECT EXPERIENCE

This part applies to the Design/Build Entity as a whole and to each of its Members. It consists of a series of questions that must be answered and the total score attained will be combined with the scores of the other parts to determine the total score for the Design/Build Entity.

**STEP 1 RFP EVALUATION SUMMARY:
PREQUALIFICATION QUESTIONNAIRE - 450 Points Total**

Part	Method	Points
Part I	Info Only, No Score	None
Part II	Qualify-Don't Qualify	None
Part III Sub Part A	Sub-Total	90 Points Total
Organization & History of Business Performance	Scoring	45 points
Bankruptcy, Disputes, Criminal Matters & Lawsuits	Scoring	30 points
Safety, Prevailing Wage & Apprenticeship	Scoring	15 points
Part III Sub Part B	Sub-Total	60 Points Total
Organization & History of Business Performance	Scoring	30 points
Bankruptcy, Disputes, Criminal Matters & Lawsuits	Scoring	30 points
Part III Sub Part C	Sub-Total	80 Points Total
Organization & History of Business Performance	Scoring	30 points
Bankruptcy, Disputes, Criminal Matters & Lawsuits	Scoring	30 points
Project Experience	Scoring	20 points
Part IV Sub Part A	Scoring	60 Points Total
Part IV Sub Part B	Scoring	120 Points Total
Part IV Sub Part C	Scoring	40 Points Total
<u>Part 1 RFP Total</u>	<u>Grand Total</u>	<u>450 Points Total</u>

**REQUEST FOR
PROPOSALS
STEP 1:**

**DESIGN/BUILD
PRE-QUALIFICATION
QUESTIONNAIRE**

**PART I:
INFORMATION about the DESIGN/BUILD ENTITY (D/B Entity) and each of
its TEAM MEMBERS**

Lead Person or Firm - Contact Information

D/B Entity: _____

Contact: _____

Address: _____

Phone: _____ Fax: _____

Email: _____

Type of Entity (check one):

- Corporation Partnership Sole Prop. Other Assoc.

If D/B Entity is a sole proprietor or partnership:

Owner(s) _____

Design/Build Entity Team Members

Member Firm(s) -List only legal entities or individuals that are part of the Design/Build Entity or Team Members of the Design/Build Entity.		
Discipline	Name	License
General Contractor		
Architect		
Sub-Consultant (Structural Engineer)		

For each Design/Build Member listed above that is not a sole proprietorship or corporation (such as, but not limited to, a partnership or other association), please provide a copy of the agreement creating the partnership or other association.

**PART II:
ESSENTIAL REQUIREMENTS for the TEAM of the DESIGN/BUILD ENTITY
as a WHOLE**

Part II is the first step in scoring the Design/Build Entity. It seeks information about various members of the Design/Build Entity, and consists of questions that must be answered correctly (per the table at the end of this Section) or the Design/Build Entity will be disqualified.

Definitions:

- The term "Design/Build Entity" as used in this Part II means Design/Build Entities wishing to be considered for receipt of the County's Request for Proposal for the design and construction of the Project.
- The term "Associates" as used in this Part II means all of the following:
 - The current qualifiers for all current Contractors State License Board contracting licenses held by the General Contractor.
 - All current officers of the General Contractor if it is a corporation.
 - All current partners of the General Contractor if it is a partnership.
 - All current joint ventures of the joint venture contractor which is seeking prequalification.

**ESSENTIAL REQUIREMENTS FOR THE GENERAL (PRIME) CONTRACTOR
MEMBER OF THE DESIGN/BUILD ENTITY.**

NOTE: Design/Build Entity will be automatically disqualified if the answer to any of the question 1 through 7 is "no".

1. Does the General Contractor possess a current California contractor's license for the Project for which it intends to submit a proposal?

Yes No

2. Does the General Contractor have a liability insurance policy with a policy limit of at least the amount shown on the table below, which correlates with the proposed construction budget for this project from a California admitted company?

Commercial/General Liability Insurance – The Contractor shall carry General Liability Insurance covering all operations performed by or on behalf of the Contractor providing coverage for bodily injury and property damage with a combined single limit of not less than five million (\$5,000,000) in General Liability

and Auto Liability. If such insurance contains a general aggregate limit, it shall apply separately to this Contract or be no less than two (2) times the occurrence limit.

Yes No

If yes, provide the following information. (Attach a separate page if more than one policy.)

Insurance Company

Policy Number

Policy limit per occurrence

Aggregate policy limit

3. Does the General Contractor have current workers' compensation insurance policies as required by the Labor Code or are legally self-insured pursuant to Labor Code sections 3700 et. seq.?

Yes No

If yes, provide the following information. (Attach a separate page if more than one policy)

Insured

Carrier

Policy Number

4. Has the General Contractor attached the latest copy of a CPA-reviewed or CPA-audited financial statement with accompanying notes attached for the General

Contractor?

Yes No

5. Has the General Contractor attached a notarized statement from a surety insurer admitted (approved by the California Department of Insurance) and authorized to issue bonds in the State of California attached, which states that the General Contractor's current bonding capacity is sufficient for the project for which you seek prequalification?

Yes No

Notarized Statement must be from the surety company, not an agent or broker.

6. Has the General Contractor attached a notarized statement from a surety insurer admitted (approved by the California Department of Insurance) and authorized to issue bonds in the State of California attached, which states that the General Contractor is able to obtain a bond for the County Parking Structure for an amount up to the anticipated target cost of Eleven Million Dollars, (\$11,000,000).

Notarized Statement must be from the surety company, not an agent or broker.

7. Does the General Contractor seeking prequalification know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, section 230.1, and do they intend to comply with these requirements, including the requirement, if applicable, to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?

Yes No

NOTE: Design/Build Entity will be automatically disqualified if the answer to any of the questions 8 through 14 is "yes".

8. Has the General Contractor's license been revoked or suspended at any time in the last ten (10) years?

Yes No

9. Has a surety firm completed a contract on behalf of the General Contractor, or paid for completion because the firm was in default or terminated by the project owner within the last ten (10) years?

Yes No

10. Within the last ten (10) years was the General Contractor declared ineligible to bid on a public works contract, to be awarded a public works contract, or to perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes No

11. At any time during the last ten (10) years, has the General Contractor or any of its owners or officers been convicted of a crime involving the awarding of a contract for a government construction project, or the bidding or performance of a government contract?

Yes No

12. Has the General Contractor or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

13. Has the General Contractor or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

14. Has the General Contractor or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

ESSENTIAL REQUIREMENTS FOR THE ARCHITECT AND SUB-CONSULTANT MEMBER (STRUCTURAL ENGINEER) OF THE D/B ENTITY:

NOTE: D/B Entity will be automatically disqualified if the answer to any of the questions 15 through 18 is "no".

15. Does the Architect Member and each Sub-consultant Member possess a valid and current California professional license for the project for which it intends to submit a proposal?

Yes No

16. Does the Architect and each Sub-consultant Member (professional engineer(s)) have a professional liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate?

Yes No

If yes, provide the name of the insurance company, policy number, and policy limits. (Attach a separate page if more than one policy.)

Insurance Company

Policy Number

Policy limit per occurrence

Aggregate policy limit

17. Does the Architect and each Sub-Consultant Member have current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code Section 3700 et. seq.?

Yes No

18. Has the Architect attached the latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information?

Yes No

A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.

NOTE: D/B Entity will be automatically disqualified if the answer to any of the questions 19 through 23 is "yes".

19. Has any professional license held by any architect or sub-consultant member who will provide services been revoked at any time in the last ten (10) years?

Yes No

20. At any time during the last ten (10) years, has the Architect, Sub-consultant Member or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or performance of a government contract?

Yes No

21. Has the Architect, Sub-consultant Member, or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

22. Has the Architect, Sub-consultant Member, or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

23. Has the Architect, Sub-consultant Member, or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

**PART III:
SCORED QUESTIONS for TEAM MEMBERS of the D/B ENTITY**

SUBPART A: SCORED QUESTIONS FOR THE GENERAL CONTRACTOR

Provide the following information about the General Contractor for which prequalification is being requested by the Design-Build Entity. If the Design-Build Entity is itself the General Contractor for which prequalification is being requested, then provide all information requested as it relates to the Design-Build Entity itself.

SCORING: Each of the following Questions shall be scored and included in the calculation of the D/B Entity's total score.

Definitions:

- "General Contractor" - shall mean the general contractor, holding a current, valid, California Class "B" general contractor's license in good standing, that will assume responsibility for the subcontracting, management, supervision and administration of the construction for the Project.
- The term "Associates" as used in this Part A means all of the following:
 - The current qualifiers for all current Contractors State License Board contracting licenses held by the General Contractor.
 - All current officers of the General Contractor if it is a corporation.
 - All current partners of the General Contractor if it is a partnership.
 - All current joint ventures' of the General Contractor if it is a joint venture.
- The term "Design-Build" as used in this Part III means only a project delivery method wherein both the design and construction of the project were provided under a single contract.

ORGANIZATION, and HISTORY OF BUSINESS PERFORMANCE

A-1. Name of General Contractor: _____

A-2. Date of company formation or incorporation: _____

A-3. State of formation or incorporation: _____

A-4. How many persons does the General Contractor currently employ? _____

A-5(a) If the General Contractor is a corporation, provide the following:

Provide information for each officer of the corporation or individual(s) with 20% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			
President			
Vice-President			
Secretary			
Treasurer			

A-5(b) If the General Contractor is a sole proprietorship, please complete the following:

Provide information for each member of the proprietorship.

Owner	Years as Owner

A-5(c) If the General Contractor is a joint venture, partnership or other association, provide the following for each member of the joint venture, each partner or other association member; and provide a copy of the agreement creating the joint venture, partnership or association that specifies that all partners or association members agree to be fully liable for the performance under the Design-Build Contract. (Attach additional pages if necessary)

Name of Individual Or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

A-6 Has there been any change in ownership of the General Contractor during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes No

If "yes," explain on a separate page.

A-7 Is the General Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No

If "yes," explain on a separate page.

A-8 State the General Contractor's gross revenues for each of the last three years:

YEAR: _____ YEAR: _____ YEAR: _____

\$ _____ \$ _____ \$ _____

A-9. Please fill in the following blanks based on the General Contractor's attached financial statement:

Current Assets: \$ _____

Current Liabilities: \$ _____

Total Net Worth: \$ _____

Current Ratio (Assets/Liabilities): _____

Working Capital (Current Assets - Current Liabilities): \$ _____

A-10 List all California contractor license numbers, classifications and expiration dates currently held by the General Contractor.

License Number	Trade Classification	Date Issued	Expiration Date

A-11 Provide information regarding former County of Riverside administrative officials (as defined below) who are employed by or represent the organization/firm. The information, provided on a separate page, must include a list of former County administrative officials who terminated County employment within the last five (5) years and who are now officers, principals, partners, associates or members of the organization/firm. Information should also include the employment and/or representative capacity and the dates these individuals began employment with or representation of the organization/firm. For purposes of this section, "County administrative official" is defined as a member of the Board of Supervisors or such officer's staff, County Executive Officer or member of such officer's staff, County department or group head, assistant department or group head, or any employee.

Failure to provide this information may result in the response to the pre-qualification questionnaire being deemed non-responsive and the D/B entity disqualified.

A-12 Has the General Contractor changed names or license numbers in the past five (5) years?

Yes No

If "yes," explain on a separate page.

A-13 Has any owner, California State Licensing Board qualifier or corporate officer of the General Contractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five (5) years?

Yes No

If "yes," explain on a separate page.

A-14 Surety Information for General Contractor:

Bonding Co. /Surety: _____

Surety Agent: _____

Agent Address: _____

Telephone No.: _____

A-15 List all other sureties (name and full address) that have written bonds for the General Contractor during the last five years, including periods during which each wrote the bonds. Provide, on a separate attachment, information concerning any work complete by a surety related to: (1) bankruptcy or receivership of the General Contractor Member or each Contractor of a Joint Venture Design/Build entity; and (2) all settled adverse claims, disputes or lawsuits between the owner of a public works project and any member of the General Contractor Member or each Contractor of a Joint Venture Design/Build entity for the last five years.:

Surety	Address	Periods of Coverage

A-16 How many years has the General Contractor or each Contractor of a Joint Venture organization been in business in California as a General Contractor under its present business name and license number?

NOTE: If Contractor Member is a Joint Venture, add years for each partner to the Joint Venture and enter the average of combined experience.

Years: _____

BANKRUPTCY, DISPUTES, CRIMINAL MATTERS & LAWSUITS

A-17 Is the General Contractor or any of its Associates currently the debtor in a bankruptcy case?

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number

Bankruptcy Court

Date Filed

A-18 Was the General Contractor or any of its Associates in bankruptcy at any time during the last ten (10) years? (This question refers only to a bankruptcy action that was not described in answer to question A-18, above)

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number

Bankruptcy Court

Date Filed

A-19 At any time in the last ten (10) years has the General Contractor or any of its Associates been assessed liquidated damages of more than \$50,000 on a construction contract with either a public or private owner?

Yes No

If yes, explain on a separate page, identifying all such projects by owner, owner's address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

A-20 Has the General Contractor or any of its Associates ever been terminated for default on a construction contract?

Yes No

If "yes," explain on a separate page.

A-21 In the last ten (10) years has the General Contractor or any of its Associates, been debarred, disqualified, removed or otherwise prevented from bidding on, or

completing, any government agency or public works project for any reason?

Yes No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

A-22 Has the General Contractor or any of its Associates ever been denied an award of a public works contract based on a finding by a public agency that they were either non-responsive or not a responsible bidder?

Yes No

If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

NOTE: Questions 23 and 24 refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, other contractors, or subcontractors. You need not include information about "pass-through" disputes in which the actual dispute is between a subcontractor and a project owner.

A-23 In the past ten (10) years has any claim in excess of \$50,000 been filed in court or arbitration against the General Contractor or any of its Associates concerning their work on a construction project?

Yes No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

A-24 In the past ten (10) years has the General Contractor or any of its Associates made any claim in excess of \$50,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

Yes No

If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and

case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

A-25 Has the General Contractor or party to the Joint Venture been required to pay a premium of more than one percent for a performance and payment bond on any project(s) on which the Contractor worked at any time during the last three years?

Yes No

If "yes," on a separate page state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

A-26 During the last ten (10) years, has there ever been a period of time when the General Contractor or any of its Associates ever been denied bond coverage by a surety company, or has there ever been a period of time when there was no surety bond in place during a public construction project when one was required?

Yes No

If yes, indicate the period during which no surety bonds were in place, name of entity without the surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

SAFETY, PREVAILING WAGE & APPRENTICESHIP

A-27 Has CAL OSHA cited and assessed penalties against the General Contractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past ten (10) years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision. Note that the existence of any "willful" violations of Part 1 (commencing with Section 6300) of Division 5 of the California Labor Code may constitute grounds for disqualification.

A-28 Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the General Contractor or any of its Associates in the past ten (10) years?

NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

A-29 Has the EPA, any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the General Contractor or any of its Associates or the owner of a project ON WHICH THE Contractor was the D/B Entity in the past ten (10) years?

NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

A-30 Does the General Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

Yes No

If "yes", identify how often the meetings are required.

Weekly Bi-Weekly Monthly Less Frequent

A-31 Within the last ten (10) years has there ever been a period when the General Contractor or any of its Associates had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the General Contractor for the last ten (10) years. (If the General Contractor has been in business for less than ten (10) years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in business.)

A-32 Has there been more than one occasion during the last ten (10) years in which the General Contractor or any of its Associates was required to pay either back wages or penalties for failure to comply with the state's prevailing wage laws?

Yes No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

A-33 During the last ten (10) years, has there been more than one occasion in which the General Contractor or any of its Associates have been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

Yes No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

A-34 At any time during the last ten (10) years, has the General Contractor or any of its Associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes No

If "yes," provide the date of the findings and attach a copy of the final decision.

Date of Findings

A-35 Will the General contractor self-perform any work on this project in trades that require apprentice craft tradespersons?

Yes

No

**PART III:
SCORED QUESTIONS for TEAM MEMBERS of the D/B ENTITY**

SUBPART B: TEAM MEMBER QUESTIONS FOR THE ARCHITECT OF RECORD

SCORING: Each of the following questions shall be scored and included in the calculation of the D/B Entity's total score.

Definitions:

- "Architect of Record" means the licensed architect member of the Design/Build Entity whose stamp will appear on the Project Construction Documents.
- "Firm" shall mean, in the case where the Architect of Record is not an individual doing business as a sole proprietorship, the firm (whether a sole proprietorship, corporation, partnership or other association) which employs the Architect of Record.
- The term "Design/Build" as used in this Part III means only a project delivery method wherein both the design and construction of the project were provided under a single contract.

ORGANIZATION, and HISTORY OF BUSINESS PERFORMANCE

B-1. If the Architect of Record or its Firm is different from that of the General Contractor, please answer the following:

B-1(a) Date of company formation or incorporation: _____

B-1(b) State of formation or incorporation: _____

B-1(c) How many persons does the Architect of Record or its Firm currently employ: _____

B-1(d) If the Architect of Record's Firm is a corporation, provide the following information for each officer of the corporation and owners of 20% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			

President			
Vice President			
Secretary			
Treasurer			

B-1(e) If the Architect of Record is an individual doing business as a sole proprietorship, complete the following:

Owner	Years as Owner

B-1(f) If the Architect of Record's Firm is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member. Provide a copy of the agreement creating the partnership or association and specifying that all partners or association members agree to be fully liable of the performance under the design-build contract. (Attach additional pages if necessary)

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership/ Other Association	% Ownership Interest

B-1(g) Has there been any change in ownership of the Architect of Record's Firm during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.

Yes No

If "yes," explain on a separate page.

B-1(h) Is the Architect of Record's Firm a subsidiary, parent, holding company or affiliate of another firm?

NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of the Firm holds a similar position in another firm.

Yes No

If "yes," explain on a separate page.

B-1(i) Has any corporate officer or owner of the Architect of Record's Firm, worked for any other architectural firms in the past five years?

NOTE: Include information about other firms if an owner, partner, or officer of your Firm holds a similar position in another firm.

Yes No

If "yes," explain on a separate page.

B-1(j) Provide information regarding former County of Riverside administrative officials (as defined below) who are employed by or represent the organization/firm. The information, provided on a separate page, must include a list of former County administrative officials who terminated County employment within the last five (5) years and who are now officers, principals, partners, associates or members of the organization/firm. Information should also include the employment and/or representative capacity and the dates these individuals began employment with or representation of the organization/firm. For purposes of this section, "County administrative official" is defined as a member of the Board of Supervisors or such officer's staff, County Executive Officer or member of such officer's staff, County department or group head, assistant department or group head, or any employee.

Failure to provide this information may result in the response to the request for proposal being deemed non-responsive.

B-1(k) Has the Architect of Record's Firm changed names or license number in the last five years?

Yes No

If "yes," explain on a separate page including reason for change.

B-2 Provide the following information for all known Architects who will be designing the project: (Attach additional pages if necessary)

Architect	License Number	Years in Practice

B-3 How many years has the Architect's firm been in business in California as an Architect under its present business name and license number?

NOTE: If Architect's firm is a Joint Venture, add years for each partner to the Joint Venture and enter the average combined experience.

Years: _____ CA License: _____

B-4 The Architect of Record will be required to have an active office located within California during the duration of the Project if selected. This office will have responsibility for the design work associated with the Project. All design associated with the Project, including that design work within the responsibility of Specialty Sub-consultants, shall be accomplished or reviewed and approved by design professionals registered to practice in the State of California for the related professional field(s).

At time of submission of this Pre-Qualification Questionnaire; does the Architect of Record have an active office located within California?

Yes No

If "yes", on a separate page provide location of office, where the majority of the design work will be performed and number of staff members. If "no", on a separate page explain fully how the Architect of Record will comply with the requirements outlined in B-4 above.

B-5 Does Architect of Record or the Firm currently employ LEED (Leadership in Energy & Environmental Design) accredited designers?

Yes No

If yes, identify individuals on a separate page.

BANKRUPTCY, DISPUTES, CRIMINAL MATTERS & LAWSUITS

B-6 Is the Architect of Record or the Firm currently the debtor in a bankruptcy case?

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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B-7 Was the Architect of Record or Firm in bankruptcy at any time during the last ten (10) years? (This question refers only to a bankruptcy action that was not described in answer to question B-7, above)

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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B-8 In the last ten (10) years has the Architect of Record or the Firm been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

Yes No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

B-9 Has the Architect of Record or the Firm ever been denied an award of a public

works contract based on a finding by a public agency that they were not properly qualified?

Yes No

If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

B-10 In the past ten (10) years, has any claim in excess of \$10,000 been filed in court or arbitration against the Architect of Record or the Firm concerning its architectural work on a project for which they were found at fault?

Yes No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

B-11 In the past ten (10) years, has the Architect of Record or the Firm made any claim in excess of \$ 10,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

Yes No

If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

B-12 In the last ten (10) years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Architect of Record or the Firm?

Yes No

If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

**PART III:
SCORED QUESTIONS for TEAM MEMBERS of the D/B ENTITY**

**SUBPART C: SCORED QUESTIONS FOR THE SUB-CONSULTANT MEMBER
(STRUCTURAL ENGINEER)**

SCORING: Each of the following Questions shall be scored and included in the calculation of the D/B Entity's total score.

Definitions:

- "Principal Engineer" shall mean the licensed professional(s) providing professional services in structural engineering who will stamp the construction documents.
- "Principal Engineer's Firm" shall mean, in the case where the "Principal Engineer" is not an individual doing business as a sole proprietorship, the firm (whether a sole proprietorship, corporation, partnership or other association) which employs the Structural Engineer.
- The term "Design-Build" as used in this Part III means only a project delivery method wherein both the design and construction of the project were provided under a single contract.

ORGANIZATION, and HISTORY OF BUSINESS PERFORMANCE

C-1 Provide the following information:

Name: _____

License Number: _____

Engineering Discipline: _____

Years in Practice: _____

C-2 If the Principal Engineer or its Firm is different from that of the General Contractor or Architect of Record, please answer the following:

C-2(a) Date of company formation or incorporation: _____

C-2(b) State of formation or incorporation: _____

C-2(c) How many persons does the Principal Engineer or

its Firm currently employ?: _____

C-2(d) If the Principal Engineer's firm is a corporation, provide the following information for each officer of the corporation and individuals who own 20% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			
President			
Vice-President			
Secretary			
Treasurer			

C-2(e) If the Principal Engineer is an individual doing business as a sole proprietorship, complete the following:

Owner	Years as Owner

C-2(f) If the Principal Engineer's firm is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member. Provide a copy of the agreement creating the partnership or association and specifying that all partners or association members agree to be fully liable for the performance under the design-build contract.

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership/ Other Association	% Ownership Interest

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C-2(g) Provide information on former County of Riverside administrative officials (as defined below) who are employed by or represent organization/firm. The information provided must include a list of former County administrative officials who terminated County employment within the last five years and who are now officers, principals, partners, associates or members of the organization/firm. Information should also include the employment and/or representative capacity and the dates these individuals began employment with or representation of the organization/firm. For purposes of this section, "County administrative official" is defined as a member of the Board of Supervisors or such officer's staff, County Executive Officer or member of such officer's staff, County department or group head, assistant department or group head, or any employee in the Exempt Group, Management Unit, or Safety Management Unit. Failure to provide this information may result in the response to the pre-qualification questionnaire, being deemed non-responsive and the D/B Entity disqualified.

C-2(h) Has there been any change in ownership of the Principal Engineer's Firm during the last three years?
NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.

- Yes No

If "yes," explain on a separate page.

C-2(i) Is the Principal Engineer's Firm a subsidiary, parent, holding company or affiliate of another firm?
NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your Firm holds a similar position in another firm.

- Yes No

If "yes," explain on a separate page.

C-2(j) Has any corporate officer or owner of the Principal Engineer's Firm worked for any other engineering firms in the past five years?
NOTE: Include information about other firms if an owner, partner, or officer of your Firm holds a similar position in another firm.

- Yes No

If "yes," explain on a separate page.

C-2(k) Has the Principal Engineer's Firm changed names in the past five years?

Yes No

If "yes," explain on a separate page including reason for change.

C-3 Attach a copy of the Principal Engineer's resume with information provided under Section IV.

C-4 How many years has the Principal Engineer's Firm been in business in California under its present business name and license number?

Years: _____ CA License: _____

BANKRUPTCY, DISPUTES, CRIMINAL MATTERS & LAWSUITS

C-5 Is the Principal Engineer's Firm currently the debtor in a bankruptcy case?

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ _____ _____
Case Number Bankruptcy Court Date Filed

C-6 Was the Principal Engineer's Firm in bankruptcy at any time during the last ten (10) years? (This question refers only to a bankruptcy action that was not described in answer to question C-5, above)

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ _____ _____
Case Number Bankruptcy Court Date Filed

C-7 In the past ten (10) years has any claim in excess of \$10,000 been filed in court or arbitration against the Principal Engineer's Firm concerning its work on a construction project?

Yes No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

C-8 Has the Principal Engineer's Firm ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

Yes No

If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

C-9 In the past ten (10) years has the Principal Engineer's Firm made any claim in excess of \$10,000 against a project owner concerning work on a construction project or payment?

Yes No

If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

C-10 In the last ten (10) years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Principal Engineer's Firm based on non-payment or losses?

Yes No

If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

SUB-CONSULTANT MEMBER (STRUCTURAL ENGINEER) PROJECT EXPERIENCE

B-13 On a separate sheet, provide the project name and current owner contact information for a minimum of five Parking Structures of the type described in the Project Summary of this pre-qualification questionnaire, having construction completed in the past 10 years for which the Structural Engineer provided structural design consultancy services. Indicate the contractual role that the Member had on the project and the type of project delivery system utilized by the client (*for example: Structural Engineer as a*

sub-consultant to the Architect of Record (name) for a design-build project, etc.)

PART IV

RELEVANT PERSONNEL AND PROJECT EXPERIENCE

RELEVANT EXPERIENCE

PART IV SUBPART A: PERSONNEL

SCORING: Each of the following Questions shall be scored and included in the calculation of the D/B Entity's total score. D/B Entity shall provide sufficient information to enable the County to understand and evaluate the experience of the D/B Entities team on similar projects.

1. List the names of the following key personnel who will be assigned to this Project for the Design-Build Entity team:
 - Architect Principal-in-Charge of Project
 - Architect Project Manager
 - Architect of Record
 - Structural Engineer of Record
 - General Contractor Executive-in-Charge of Project
 - General Contractor Project Manager
 - General Contractor Superintendent
2. For each of the above provide a resume containing the individual's overall experience, education, licensing and other general information as well as the individual's prior experience to perform his/her required functions relevant to the scope associated with the Project. These individuals are to be committed to the roles indicated for the project and may not be subject to substitution without prior written approval by the County. Any persons so substituted must possess qualifications equal to or better than the individuals who may be pre-qualified.
3. Provide an Organization Chart for the project indicating, at a minimum, the roles and relationships of the above named individuals.
4. Provide an explanation of the history and business relationship, if any, between the D/B Entity's lead contractor and architect-of-record.
5. Provide an explanation of the prior working relationship that the D/B Entity has had with any other proposed member of its team

PART IV

RELEVANT PERSONNEL AND PROJECT EXPERIENCE

PART IV SUBPART B: PROJECT EXPERIENCE

SCORING: Project examples provided shall be scored and will be included in the calculation of the D/B Entity's total score. D/B Entity shall provide sufficient information to enable the County to understand and evaluate the experience of the D/B Entity's team on similar projects.

1. D/B Entity, D/B Entity's Lead Contractor (if different from D/B Entity) and Architect-of-Record only (if different from D/B Entity) shall each describe a minimum of (3) three completed projects (following guidelines set forth in Schedule A - Project Summary) that said entity has managed, designed, and/or constructed during the last ten (10) years involving a parking structure projects that the D/B Entity considers most relevant in demonstrating its qualifications for this Project.
2. D/B Entity, D/B Entity's Lead Contractor (if different from D/B Entity) and Architect-of-Record (if different from D/B Entity) shall each describe a minimum of (3) three completed projects (following guidelines set forth in Schedule A -Project Summary) that said entity has managed, designed, and/or constructed during the last ten (10) years that were parking structure projects located in California with a construction contract value of \$10M or more.
3. D/B Entity, D/B Entity's Lead Contractor (if different from D/B Entity) and Architect-of-Record (if different from D/B Entity) shall each describe a minimum of (2) two completed projects (following guidelines set forth in Schedule A -Project Summary) that said entity has managed, designed, and/or constructed during the last ten (10) years that were Public Parking Structures with a total contract value of \$10M or more.
4. D/B Entity, D/B Entity's Lead Contractor (if different from D/B Entity) and Architect-of-Record (if different from D/B Entity) shall each describe a minimum of (1) one project (following guidelines set forth in Schedule A - Project Summary) that said entity has managed, designed, and/or constructed during the last ten (10) years that were Design-Build Parking Structures, with a construction contract value of \$10M or more.
5. Identify any projects where Design/Build Entity, Design/Build Entity's lead contractor (if different from D/B Entity) and Architect-of-Record (if different from D/B Entity) have worked together in a design-build relationship. If applicable, describe a minimum of (1) one project (following guidelines set forth in Schedule A - Project Summary) completed during the last ten (10) years, focusing on those projects that D/B Entity considers most relevant in demonstrating its qualifications to serve as the design-builder for this Project.
6. Identify any projects where Design/Build Entity, Design/Build Entity's lead contractor (if different from D/B Entity), and Architect-of-Record (if different from D/B Entity) have worked together on the same project, for the same owner, in a non-design-build relationship; including, but not limited to projects delivered on an at-risk construction management or design-bid-build basis. If applicable, describe a minimum of (1) one project (following guidelines set forth in Schedule A - Project Summary) completed during the last ten (10) years, focusing on those projects that D/B Entity considers most relevant in demonstrating its qualifications to serve as the design-builder for this Project.

SCHEDULE A: PROJECT SUMMARY

Name of Design-Build Entity: _____

For each project provide, at a minimum, the information listed below. Names and references must be current and verifiable

Project Name: _____

Location: _____

Owner: _____

Owner Contact Name: _____

Owner Contact Phone: _____ email: _____

Architect: _____

Architect Contact Name: _____

Architect Phone: _____ email: _____

Construction Manager Name: _____

Construction Manager Phone: _____ email: _____

Contractor Name _____

Contractor Phone _____ email: _____

Description of Project, Scope of Work Performed: _____

Key Qualifying Characteristics (See project evaluation criteria on prior page) _____

Type of Procurement (D-B-B, D-B, CM@R, Other.) _____

Value of Original Contract: _____

Final value of Contract including change orders: _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of calendar days): _____

Actual Date of Completion: _____

Schedule A Project Summary, Glossary of Abbreviations:

- D-B-B Design-Bid-Build
- D-B Design-Build
- CMAR Construction Management at Risk

**PART IV
RELEVANT PERSONNEL AND PROJECT EXPERIENCE**

PART IV SUBPART C: REFERENCE INTERVIEWS

Part IV Subpart C is comprised of a series of questions relating to the D/B Entity's performance on four projects with at least two completed as design build projects. The County will select the projects from the total list of projects submitted by the D/B Entity. Using the sample interview questions below, questions will be asked by the County's staff and answered by an owner's representative for each subject project. Each question has a possible score value ranging from 1 to 5.

(This form to be filled out by County Evaluation Committee Members and is included as information only)

Project: _____

Project Owner: _____

Contractor: _____

Project Brief Description: _____

1. Were there any outstanding stop notices, liens, or claims by the Contractor that were/are currently unresolved on contracts for which notices of completion were recorded and unresolved in excess of 120 days?

Yes No

If "yes" how many separate instances? _____

2. On a scale of 1-5, with 5 being the best, did the Contractor provide adequate personnel? Rating: _____

3. On a scale of 1-5, with 5 being the best, did the Contractor provide adequate supervision? Rating: _____

4. On a scale of 1-5, with 5 being the best, was there adequate equipment provided on the job? Rating: _____

5. On a scale of 1-5, with 5 being the best, was the Contractor timely in providing

reports and other paperwork, including change order paperwork and scheduling updates? Rating: ____

6. On a scale of 1-5, with 5 being the best, did the Contractor adhere to the project schedule that your agency or business approved? Rating: ____

7. Was the project completed on time?

Yes (5 Points) No

If "no", on a scale of 1-5, with 5 being the best, rate Contractor responsibility for schedule impact (5 = Contractor was not responsible for delay): Rating: ____

8. On a scale of 1-5, with 5 being the best, rate the Contractor on the timely submission of reasonable cost and time estimates to perform change order work. Rating: ____

9. On a scale of 1-5, with 5 being the best, rate the contractor on how well they performed the work after a change order was issued, and how well they integrated the change order work into the existing work. Rating: ____

9. On a scale of 1-5, with 5 being the best, rate how has the Contractor been performing in the area of submitting Operation & Maintenance manuals, completing as-built drawings, providing required training, and taking care of warranty items? Rating: ____

12. On a scale of 1-5, with 5 being the best, rate the Contractor on whether there were an unusually high number of claims, given the nature of the project, or unusual difficulty in resolving them. Rating: ____

13. On a scale of 1-5, with 5 being the highest, rate the Contractor with respect to timely payments by them to sub-contractors and/or suppliers. Rating: ____

14. On a scale of 1-5, with 5 being the best, rate the quality of the work overall. Rating: ____

15a. If the project was design-build, on a scale of 1-5, with 5 being the best, did the Contractor actively manage the design and involve the subcontractors in identifying and resolving design issues prior to construction? Rating: ____

15b. If the project was not design-build, on a scale of 1-5, with 5 being the best, was the contractor cooperative with the owner and the architect and proactive in identifying and resolving design issues prior to construction? Rating: ____

16. On a scale of 1-5, with 5 being the best, did the contractor try to resolve disputes in a fair and equitable manner? Rating: _____

END PREQUALIFICATION QUESTIONNAIRE

**Riverside County Board of Supervisors
Request to Speak**

Submit request to Clerk of Board (right of podium),
Speakers are entitled to three (3) minutes, subject
to Board Rules listed on the reverse side of this form.

SPEAKER'S NAME: Paul Jacobs

Address: _____
(only if follow-up mail response requested)

City: Temecula **Zip:** 92592

Phone #: _____

Date: 10/1/13 **Agenda #** 3.6

PLEASE STATE YOUR POSITION BELOW:

Position on "Regular" (non-appealed) Agenda Item:

_____ **Support** _____ **Oppose** _____ **Neutral**

Note: If you are here for an agenda item that is filed
for "Appeal", please state separately your position on
the appeal below:

_____ **Support** _____ **Oppose** _____ **Neutral**

I give my 3 minutes to: _____

BOARD RULES

Requests to Address Board on "Agenda" Items:

You may request to be heard on a published agenda item. Requests to be heard must be submitted to the Clerk of the Board before the scheduled meeting time.

Requests to Address Board on items that are "NOT" on the Agenda:

Notwithstanding any other provisions of these rules, member of the public shall have the right to address the Board during the mid-morning "Oral Communications" segment of the published agenda. Said purpose for address must pertain to issues which are under the direct jurisdiction of the Board of Supervisors. YOUR TIME WILL BE LIMITED TO THREE (3) MINUTES.

Power Point Presentations/Printed Material:

Speakers who intend to conduct a formalized Power Point presentation or provide printed material must notify the Clerk of the Board's Office by 12 noon on the Monday preceding the Tuesday Board meeting, insuring that the Clerk's Office has sufficient copies of all printed materials and at least one (1) copy of the Power Point CD. Copies of printed material given to the Clerk (by Monday noon deadline) will be provided to each Supervisor. If you have the need to use the overhead "Elmo" projector at the Board meeting, please insure your material is clear and with proper contrast, notifying the Clerk well ahead of the meeting, of your intent to use the Elmo.

Individual Speaker Limits:

Individual speakers are limited to a maximum of three (3) minutes. Please step up to the podium when the Chairman calls your name and begin speaking immediately. Pull the microphone to your mouth so that the Board, audience, and audio recording system hear you clearly. Once you start speaking, the "green" podium light will light. The "yellow" light will come on when you have one (1) minute remaining. When you have 30 seconds remaining, the "yellow" light will begin flash, indicating you must quickly wrap up your comments. Your time is up when the "red" light flashes. The Chairman adheres to a strict three (3) minutes per speaker. **Note: If you intend to give your time to a "Group/Organized Presentation", please state so clearly at the very bottom of the reverse side of this form.**

Group/Organized Presentations:

Group/organized presentations with more than one (1) speaker will be limited to nine (9) minutes at the Chairman's discretion. The organizer of the presentation will automatically receive the first three (3) minutes, with the remaining six (6) minutes relinquished by other speakers, as requested by them on a completed "Request to Speak" form, and clearly indicated at the front bottom of the form.

Addressing the Board & Acknowledgement by Chairman:

The Chairman will determine what order the speakers will address the Board, and will call on all speakers in pairs. The first speaker should immediately step to the podium and begin addressing the Board. The second speaker should take up a position in one of the chamber aisles in order to quickly step up to the podium after the preceding speaker. This is to afford an efficient and timely Board meeting, giving all attendees the opportunity to make their case. Speakers are prohibited from making personal attacks, and/or using coarse, crude, profane or vulgar language while speaking to the Board members, staff, the general public and/or meeting participants. Such behavior, at the discretion of the Board Chairman may result in removal from the Board Chambers by Sheriff Deputies.