

**SUBMITTAL TO THE BOARD OF SUPERVISORS  
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**



211

**FROM:** Riverside County Regional Medical Center

**SUBMITTAL DATE:**  
November 20, 2015

**SUBJECT:** Acceptance of grants funds to support the 'Program for Improving Care for Aging Adults through Training and Education' (PICATE) from the Regents of the University of California, University of California Los Angeles for one year, All Districts, [\$154,277]; The Regents of the University of California, UCLA

**RECOMMENDED MOTION:** That the Board of Supervisors:

1. Authorize the Chairman to accept on behalf of the Board, \$154,277 grant funds from The Regents of the University of California, University of California Los Angeles (UCLA) to support the Program for Improving Care for Aging Adults through Training and Education (PICATE); and
2. Ratify and authorize the Chairman to execute the UCLA Cost-Reimbursement Subaward between The Regents of the University of California, UCLA and Riverside County Regional Medical Center (RCRMC) effective July 1, 2015 through June 30, 2016; and
3. Approve and direct the Auditor-Controller to make the budget adjustment as specified on attached schedule A.

*Jennifer Cruikshank*

Jennifer Cruikshank, COO on behalf of Zareh H. Sarrafian, Hospital CEO

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:	Ongoing Cost:	POLICY/CONSENT (per Exec. Office)
COST	\$ 154,277	\$ 0	\$ 154,277	\$ 0	Consent <input type="checkbox"/> Policy <input checked="" type="checkbox"/>
NET COUNTY COST	\$ 0	\$ 0	\$ 0	\$ 0	

**SOURCE OF FUNDS:** The Regents of the University of California, UCLA - 100%

Budget Adjustment: Yes  
For Fiscal Year: 15/16

**C.E.O. RECOMMENDATION:** **APPROVE**

BY: *Christopher M. Hans*  
County Executive Office Signature Christopher M. Hans

**MINUTES OF THE BOARD OF SUPERVISORS**

On motion of Supervisor Jeffries, seconded by Supervisor Ashley and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes: Jeffries, Tavaglione, Washington, Benoit and Ashley  
Nays: None  
Absent: None  
Date: January 5, 2016  
xc: RCRMC, Auditor

Kecia Harper-Ihem  
Clerk of the Board  
By: *Kecia Harper-Ihem*  
Deputy

Prev. Agn. Ref.: | District: ALL | Agenda Number:

**3-22**

FORM APPROVED COUNTY COUNSEL  
 BY: ANITA C. WILLIS 12-1-15 DATE  
 FISCAL PROCEDURES APPROVED  
 PAUL ANGULO, CPA, AUDITOR-CONTROLLER  
 BY: Susana Garcia-Bocanegra 12/8/15  
 Departmental Concurrence

A-30  
 Positions Added  
 4/5 Vote  
 Change Order

## **SUBMITTAL TO THE BOARD OF SUPERVISORS, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**

**FORM 11:** Acceptance of grants funds to support the 'Program for Improving Care for Aging Adults through Training and Education' (PICATE) from the Regents of the University of California, University of California Los Angeles for one year, All Districts, [\$154,277]; The Regents of the University of California, UCLA

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### **BACKGROUND**

#### **Summary**

California has the largest number of older adults of any state. There are over 4 million people aged 65 and older in California, representing 12.5% of the population. The total elderly population is expected to double in the next 20 years and elders of color become a majority of the older population during the same time frame (California Department of Aging, 2014).

Riverside County- the primary performance site for our proposed project is the largest county in the Inland Southern California. It has a population of approximately 3 million people. Of the 58 counties within the State of California, Riverside County is the fourth largest county with only Los Angeles, Orange and San Diego counties having larger populations. Riverside county is the eleventh largest county in the nation, with more residents than 15 of the U.S. states. Its aging population is growing at a rate that outpaces that of the average rate for California. The 2010 U.S. Census showed that 357,560 adults age 60+ are living in the county and that the 85+ cohort is growing at the fastest rate (75% growth rate compared to 69% for 60+ group according to the Riverside County Office on Aging, 2012).

There were 1,831 patients over the age of 65 who were admitted to RCRMC just in the first three quarters of 2014 and this number is growing. Yet, RCRMC has only one board certified and fellowship-trained geriatrician, Dr. Wael Hamade. Not only is the geriatric workforce lacking, but geriatric services are limited and RCRMC is in need of improved care continuum in nursing facilities, rehab centers, palliative care and hospice services. We propose to transform the clinical training environment of three primary care clinics serving older residents of an underserved area through the systematic integration of evidence-based geriatric care into its clinical and teaching structures. The catalyst for this transformation will be the Cognition and Mobility Care Manager (CMCM or CM<sub>2</sub>) who will be embedded into these teaching clinics, perform home visits, facilitate interprofessional collaboration and bridge medical care with community-based resources. The focus for this grant period will be on *cognition and mobility issues*, two areas of highest priority identified by regional representatives, and for which the applicant organization has extensive experience and resources through ongoing and successfully completed federal grants. Older persons with certain geriatric conditions have been found to receive suboptimal care and specifically, those with dementia or falls receive approximately 1/3 of recommended care for these conditions (Wenger et al., 2003).

During the three-year grant period, UCLA MPGMG as the applicant organization will collaborate with the Riverside County Collaborative to apply evidence-based care management programs to improve the care of community-dwelling geriatric patients with cognition and/or mobility issues. This will be accomplished through the modification and application of care management protocols for falls and dementia that have been developed at UCLA and nationally.

The model integrates trainees in all aspects of intervention including screening/case identification, data collection, patient education and dissemination. The CMCM will be joined by trainees that include nursing students, social work trainees, medical students and residents. We will develop and deploy an interprofessional geriatrics curriculum aided at trainees in medicine, nursing, social work and other health professions, and work with RCRMC and the Riverside County Collaborative to ensure trainees are well prepared to practice.

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### **PROJECT STAFFING**

#### **Co-Project Director, PICATE Program**

Wael Hamade, MD, FAAP, Department of Family Medicine, RCRMC will serve as Co-Project Director for the PICATE program and will lead all training efforts at RCRMC, its teaching clinics and satellite clinical sites.

Dr. Hamade will be Medical Director of the Falls and Dementia Care Management (FDCM) Program and primary supervisor of the Falls and Dementia Care Manager. He will serve as a member of the PICATE Executive Committee and participate in the PICATE administrative core activities, including attendance at monthly conference calls, recruitment of trainers and participants for training activities; serve as faculty upon request, and review and provide feedback on all program activities.

Dr. Hamade will oversee RCRMC's efforts in the PICATE activities. RCRMC will assist with integration of geriatrics in primary care clinical settings, including the recruitment of patients into the FDMC Program, data collection, Internal Review Board (IRB) application and the coordination of trainees at other RCRMC clinics. He will oversee the fiscal management of the RCRMC subcontract and assure that expenses are spent appropriately per budget. He will serve as the RCRMC liaison to the PICATE Director and represent the PICATE at RCRMC.

#### **Registered Nurse – Cognition and Mobility Care Manager (CMCM or CM<sub>2</sub>)**

The CMCM will report to Dr. Hamade, PICATE Co-Project Director. They will serve as the Cognition and Mobility Care Manager (CMCM or CM<sub>2</sub>) for the geriatrics integration into primary care project at RCRMC and other Riverside County Health system teaching clinics.

The CMCM will be embedded into the RUHS clinics and oversee proper screening of older patients for cognition and/or mobility issues and evaluate referrals to the program. They will develop and implement individualized Cognition and Mobility care plans based on needs assessments; communicate care plans and changes in condition to families and primary care physicians. They will ensure continuity of care; provide in-person sessions, resources, and implement care plans; teach dementia/fall education and management skills to caregivers through individual counseling; and provide telephone follow-up to monitor implementation of dementia/fall care plans.

The CMCM will assist in developing evidence based, collaborative care protocols for dementia and fall; maintain components of a dementia/fall registry and case management system; will additionally perform home visits, facilitate interprofessional collaboration, and bridge medical care with community-based resources and teach students/trainees.

#### **Program Coordinator – RCRMC PICATE**

The Program Coordinator at RCRMC will coordinate all training activities under the direction of Dr. Wael Hamade and the CMCM to aid in student and resident training, CMCM Program implementation and liaise with the UCLA Administrative Core on the collection and analysis of program outcome data.

### **Impact on Citizens and Businesses**

This service impacts the patients residing in Riverside County receiving care from Riverside County Regional Medical Center.

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**SUPPLEMENTAL**

**Additional Fiscal Information**

UCLA has received a Notice of Award from the Health Resources and Services Administration of the U.S. Department of Health and Human Services to conduct training for the project entitled, "Program for Improving Care of Aging Adults through Training and Education" (PICATE). UCLA has designated RCMRC as the sub-recipient in which RCMRC will perform portions of the work for the PICATE project and will be reimbursed for allowable costs actually incurred during performance of the work under this Subaward. Attachment A reflects the budget adjustment.

ZHS:ns

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**SCHEDULE A**  
**FY 2015-2016**

**Increase Estimated Revenue:**

40050	4300100000	781850	Grants-Non Gov Agencies	<u>154,277</u>
			Total	154,277

**Increase Appropriations:**

40050	4300100000	510040	Regular Salaries	133,989
40050	4300100000	523700	Office Supplies	1,200
40050	4300100000	520320	Telephone Services	240
40050	4300100000	523800	Printing/Binding	1,200
40050	4300100000	527840	Training-edu/tuition	100
40050	4300100000	529000	Misc Travel expense	6,120
40050	4300100000	523230	Miscellaneous expense	11,428
			Total	154,277

WHEN DOCUMENT IS FULLY EXECUTED RETURN TO  
CLERK'S COPY

**UCLA Subaward Number 1558 G TA175**

to Riverside County Clerk of the Board, Stop 1010  
Post Office Box 1147, Riverside, Ca 92502-1147  
Thank you.

This Cost-Reimbursement Subaward is by and between The Regents of the University of California (hereinafter referred to as "UCLA") and Riverside County Regional Medical Center (hereinafter referred to as "Subrecipient");

WITNESSETH THAT:

WHEREAS, UCLA has received a Notice of Award regarding Cooperative Agreement No. UIQHP28725 (FAIN No. UIQHP28725; CFDA No. 93.969) from the Health Resources and Services Administration of the U.S. Department of Health and Human Services (Prime Sponsor) to conduct training for the project entitled, "Program for Improving Care of Aging Adults through Training and Education (PICATE);" and

WHEREAS, UCLA desires to have the Subrecipient perform a certain portion of said work; and

WHEREAS, the Subrecipient has represented that it has the present ability to perform said work;

NOW THEREFORE, the parties hereto do hereby mutually agree as follows:

Article 1: Statement of Work

The Subrecipient shall provide all necessary and qualified personnel, equipment, material and facilities to accomplish the programmatic and technical activities applicable to the Subrecipient as set forth in Attachment A - Statement of Work, attached hereto and incorporated by reference herein.

Article 2: Key Personnel and Performance

The Subrecipient Principal Investigator (PI) is considered key personnel and essential to the work conducted under this Subaward. The Subrecipient will make reasonable efforts in performing the work under this Subaward and services will be rendered at a level commensurate with professional standards acceptable in the discipline and within the scope of the project.

UCLA PI: Zaldy Tan  
Subrecipient PI: Wael Hamade

Article 3: Period of Performance

The period of performance under this Subaward shall commence on July 1, 2015 and terminate June 30, 2016.

Article 4: Limitation of Cost

Subject to the availability of funds from the Prime Sponsor, UCLA agrees to reimburse Subrecipient for allowable costs actually incurred during performance of the work under this Subaward in accordance with Attachment B – Budget and in an amount not to exceed \$154,277 for the budget period of July 1, 2015 through June 30, 2016. Subrecipient shall not be reimbursed for costs incurred in excess of the stated maximum amount payable without an executed amendment to this Subaward. Subrecipient shall notify UCLA's Principal Investigator at a time it anticipates that, within 60 days, funding will be insufficient to accomplish the purposes of this Subaward. Contingent upon the progress of the project and the availability of funds from the Prime Sponsor, it is anticipated that this Subaward will be funded for an additional two years.

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Article 5: Invoicing and Payment

Subrecipient may submit invoices to UCLA not less frequently than quarterly nor more frequently than monthly. All invoices shall be submitted in a format similar to the sample invoice appended hereto as Attachment C. At a minimum, invoices must include the UCLA Subaward Number, the UCLA Full Accounting Unit (FAU) Number 4-401336-ZT-29797, identify the period for which reimbursement is being requested, itemize current and cumulative costs by budget category in accordance with the Subrecipient's approved budget appended hereto as Attachment B, and be signed by an authorized representative of the Subrecipient certifying that the expenditures claimed represent actual expenses for the work performed under this Subaward. UCLA shall reimburse Subrecipient upon receipt of an acceptable invoice. A final invoice and statement of costs incurred, marked "FINAL," must be submitted on or before the schedule in Article 6.

Invoices shall be submitted as follows:

Endy Lopez  
UCLA David Geffen School of Medicine, Division of Geriatrics  
10945 Le Conte Ave., Suite 2339  
Los Angeles, CA 90095-1687  
*Contact:*  
Phone: 310-206-3327  
EndyLopez@mednet.ucla.edu

Article 6: Reporting Requirements

- A) *Fiscal*: Subrecipient shall submit invoices in accordance with Article 5 and final statement of expenditures no later than forty five (45) days after expiration or termination of the Subaward as noted in Article 3.
- B) *Technical*: Subrecipient shall submit to the UCLA Principal Investigator semi-annual progress reports on January 1<sup>st</sup> and June 1<sup>st</sup> of each period in Article 4 if non-competitive continuation of funding is expected.

Subrecipient shall submit to the UCLA Principal Investigator the final progress report not later than forty five (45) days after the final period of performance in Article 3.

- C) *FCOI*: If an FCOI has been identified and reported to the UCLA Authorized Representative by Subrecipient per Article 23, then the Subrecipient must submit a report on the status of the FCOI and any changes to the management plan to the UCLA Authorized Representative, as stated in Article 24, at the same time that the Subrecipient's Article 6.B report(s) are sent to the UCLA Principal Investigator.
- D) *Invention*: In accordance with 37 CFR, Part 401.14 and/or 35 U.S.C. 203, whichever is appropriate and applicable, Subrecipient shall notify the UCLA Authorized Representative within two months after Subrecipient's inventor discloses invention(s) in writing to Subrecipient's personnel responsible for patent matters.

Subrecipient shall submit to the UCLA Authorized Representative a final patent report on form HHS 568 not later than forty five (45) days after the final period of performance in Article 3.

- E) *Audit*: Subrecipient shall submit to the UCLA Authorized Representative a statement similar to the one included in Attachment D per Article 16.B at least seventy- five (75) days prior to the end of each period in Article 4 if non-competitive continuation of funding is expected.
- F) *Other*: Any additional report(s) required by this Subaward shall be due within thirty (30) days of the date of formal request.

Article 7: Prior Approvals

The administrative requirements for prior approvals will be governed by the will be governed by the Prime Notice of Award regarding Cooperative Agreement No. U1QHP28725, appended hereto in Attachment F, including the Health and Human Services (HHS) Grants Policy Statement, except any prior approvals are to be sought from UCLA and not the Prime Sponsor. In addition, any increase in the major budget categories – personnel, supplies, travel, and other expenses (as shown in Attachment B), carry forward of unexpended funds from one budget period to another (as shown in Article 4), any extensions without additional funds, change in key personnel and scope or objectives of the project require prior written, per Article 15, approval of UCLA. Requests for prior approvals must be submitted to both UCLA’s Authorized Representative and Principal Investigator/Technical Contact as shown in Article 24.

Article 8: Equipment

Purchase of equipment is not authorized under this Subaward.

Article 9: Protection of Research Subjects

If human or animal subjects are used in the conduct of the work performed under this Subaward, the Subrecipient’s protocol must be approved by the Subrecipient’s office for the protection of research subjects in accordance with federal regulations and submitted to UCLA’s Principal Investigator for forwarding and review by UCLA’s research subject protection committee.

Article 10: Use of Name:

Neither party will use the name of the other, either expressly or by implication, in any publicity or advertisement without the express written approval of the other party of this Subaward, with the exception that no prior approval is needed to acknowledge the other party's participation in and support of the work performed under this Subaward in scientific publications and other scientific communications, or in public records of its awards required by Prime Sponsor or law.

Article 11: Publication

Each publication, press release, or other document under this Subaward shall contain the acknowledgement and disclaimer language required by Prime Sponsor’s HHS Grants Policy Statement. For each publication that results from Subaward-supported activities, Subrecipient must include an acknowledgement of Prime Sponsor support using the following statements: “This project is/was supported by the Health Resources and Services Administration (HRSA) of the U.S. Department of Health and Human Services (HHS) via a Subaward under grant number U1QHP28725 for the project: *“Program for Improving Care of Aging Adults through Training and Education (PICATE)* (specify total award amount and percentage financed with nongovernmental sources). This information or content and conclusions are those of the author and should not be construed as the official position or policy of, nor should any endorsements be inferred by HRSA, HHS or the U.S. Government.”



Article 12: Copyright

The Subrecipient reserves the right to protect by copyright original works developed under this Subaward. All such copyrighted works will be in the name of the Subrecipient. The Subrecipient hereby grants to UCLA and the U.S. federal government an irrevocable, non-exclusive, worldwide, and royalty-free right and license to use, reproduce, prepare derivative works, distribute copies, and perform and display publicly any copyrighted material developed and/or delivered under this Subaward for the purpose of education or research and to authorize others to do so.

Article 13: Independent Contractor

In the performance of this Subaward, Subrecipient shall be deemed to be an independent contractor and, as such, no employees or staff of Subrecipient assigned to perform work under this Subaward shall be entitled to any benefits applicable to employees of UCLA.

Article 14: Assignment

Neither party shall assign, transfer or subcontract its rights, interest, or obligations hereunder without written consent of the other party and modification to this Subaward. Purchase of a controlling interest in either party by a third party shall be deemed an assignment.

Article 15: Modifications

The terms and conditions of this Subaward may be changed only by mutual agreement. Said modifications shall be in the form of a duly executed amendment to this Subaward.

Article 16: Audit

- A) All costs incurred in the performance of the work under this Subaward will be subject to audit by the Prime Sponsor and/or an Authorized Representative of UCLA. Upon written notice, Subrecipient agrees to provide auditors access to records necessary to support reported costs at Subrecipient's premises. Should any costs incurred by Subrecipient be disallowed by audit exception by the Prime Sponsor or UCLA in accordance with applicable cost principles, Subrecipient shall reimburse UCLA for said disallowed costs.
  
- B) Subrecipient shall provide annually to UCLA a statement similar to the one included in Attachment D. If the Subrecipient expends \$750,000 or more a year in federal funds, it shall comply with the requirements of Office of Management and 2 CFR Part 200 Uniform Guidance, incorporated herein by reference in Attachment F. If the Subrecipient expends \$750,000 or more a year in federal funds, Subrecipient shall certify in the required annual statement, similar to the one included in Attachment D, that the required audit has been performed and that no instances of noncompliance with federal laws and regulations which bear directly on the performance or administration of the Subaward were noted. In cases of noncompliance findings, Subrecipient will provide UCLA with a copy of its response to the findings and plan for corrective action. All records and reports prepared in accordance with the requirements of 2 CFR Part 200 Uniform Guidance shall be available for inspection by representatives of UCLA or Prime Sponsor during normal business hours.

Article 17: Record Retention

All pertinent records and books of accounts related to this Subaward shall be retained for a period of three (3) years after the expiration or termination of this Subaward. Records relating to any claim arising out of the performance of this Subaward, or costs and expenses to which exception has been taken as a result of audit and/or inspection shall be retained by the Subrecipient until such claim or audit exception has been resolved.

Article 18: Insurance

Subrecipient shall maintain at its expense, during the period of this Subaward, insurance acceptable to UCLA in terms as follows:

- A) Professional Liability:
  - i. Each Occurrence \$2,000,000
  - ii. General Aggregate (Bodily Injury, Property Damage) \$5,000,000

If such insurance is written on a claim made form, it shall continue for three years following termination of this Subaward. The insurance shall have a retroactive date of placement prior to or coinciding with the effective date of this Subaward.

- B) General liability:
  - i. Comprehensive or Commercial Form (Minimum Limits) Each Occurrence \$1,000,000
  - ii. Products, Completed Operations Aggregate \$5,000,000
  - iii. Personal and Advertising Injury \$1,000,000
  - iv. General Aggregate\* \$5,000,000

\*However, if such insurance is written on a commercial claims made form, following termination of this Subaward coverage shall survive for a period of not less than three (3) years. Coverage shall provide for a retroactive date of placement coinciding with the effective date of this Subaward.

- C) Business Automobile Liability (Minimum Limits) for owned, scheduled, non-owned or hired automobiles with combined single limit of not less than \$1,000,000 per occurrence.
- D) Workers' Compensation as required under California State law.

E) Subrecipient, upon execution of this Subaward, shall furnish UCLA with a certificate or certificates of insurance, signed by an Authorized Representative of Subrecipient's insurer(s) or of Subrecipient, if self-insured, evidencing compliance with the above requirements. It should be expressly understood, however, that the coverages and limits required above shall not in any way limit the liability of Subrecipient. Subrecipient's insurance policy/policies shall be primary. This provision, however, shall only apply in proportion and to the extent of Subrecipient's negligence. The Regents of the University of California shall be added to the policy or policies (Workers' Compensation excepted) as an Additional Insured, and a Severability of Interest Clause and a Cross Liability Clause shall extend to UCLA as an additional insured. This provision, however, shall only apply in proportion to and to the extent of the negligent acts or omissions of Subrecipient, its officers, agents, or employees. The insurance coverages evidenced by the Certificate(s) of Insurance shall not be modified, changed or canceled except after thirty (30) days prior written notice to UCLA.

Article 19: Indemnification

Each party shall protect, indemnify, and save the other harmless from and against any damage, cost or liability for any or all injuries to persons or property arising out of the performance of this Subaward but only in proportion to and to the extent such damage, cost or liability results from the negligence or willful misconduct of the indemnifying party, its officers, agents or employees.

Article 20: Termination

- A) This Subaward may be terminated without cause by either party hereto by giving written notice to the other party thirty (30) days in advance of a specified date of termination.
- B) This Subaward may be terminated for cause in the event that Subrecipient does not perform its obligations as specified under this Subaward or commits any breach of or default in any of the terms and conditions of this Subaward. If Subrecipient fails to remedy such non-performance, breach or default within thirty (30) days after receipt of written notice thereof, UCLA may at its option and in addition to other remedies which it may have at law or in equity, terminate this Subaward by sending notice of termination in writing to the Subrecipient. Such termination shall be effective as of the date of the Subrecipient's receipt of such notice.
- C) In the event the Prime Sponsor for any reason terminates its award to UCLA, then performance by Subrecipient may be terminated by UCLA at any time by written notice to Subrecipient.

In the event of termination, UCLA will reimburse Subrecipient for all allowable costs incurred and uncancellable obligations made up to and including the date of termination, provided such costs do not exceed the stated maximum amount payable.

Article 21: Certifications, Assurances and/or Regulations: By signing this Subaward, the Subrecipient has executed and shall comply with the certifications, assurances and regulations identified in Attachment E. If, during the performance period of this Subaward, the status of Subrecipient changes relative to any of these certifications or assurances, Subrecipient shall immediately notify UCLA and include an explanation of the changes.

Article 22: Administrative Requirements: This Subaward shall be administered in accordance with, and Subrecipient shall comply with the Administrative Requirements listed in Attachment F as applicable, which are incorporated herein by reference.

Article 23: PHS-Specific Requirements Promoting Objectivity in Research Applicable to Subrecipients (42 CFR Part 50 Subpart F): Subrecipient must have its own financial conflicts of interest policy, and by execution of this Subaward Subrecipient Institution certifies that its policy complies with 42 CFR Part 50.

- A) Subrecipient is responsible for collecting disclosures of Significant Financial Interests (SFI) (as defined within 42 CFR Part 50 Subpart F) from each Investigator. Investigators who are participating in the project should update their disclosures within 30 days of acquiring or discovering a new SFI during the course of the Subaward, or at least annually. Investigators who are new to an ongoing project must complete an SFI disclosure within 30 days of joining the project.
- B) Subrecipient must review each disclosure to determine if the SFI disclosed is related to the proposed research activity. An SFI is related to the research if it could be affected by the proposed research or is in an entity whose financial interests could be affected by the research. If an SFI is "related" to the proposed research and if it reasonably appears to directly and significantly affect the design, conduct, or reporting of the research, a financial conflict of interest (FCOI) will be deemed to exist. Subrecipient is required to manage any FCOI identified.

- C) Subrecipient shall notify UCLA Authorized Representative, per Article 24, of any FCOI identified and of Subrecipient's ability to manage the identified conflict. Such report shall be made by Subrecipient to UCLA Authorized Representative before expenditure of any funds authorized in this Subaward and within 45 days of any subsequently identified FCOI. Any identified FCOI will subsequently be reported to NIH by UCLA. **If an FCOI has been identified and reported to UCLA, Subrecipient must submit a report on the status of the FCOI and any changes to the management plan to the UCLA Authorized Representative at the same time that the Subrecipient's progress report(s) is sent to the UCLA Principal Investigator per Article 6.**
- D) Prior to beginning work on this research activity, all Investigators shall complete a training course on the 2011 Public Health Service (PHS) regulations on Objectivity in Research. That course is to be completed at least once every four (4) years or more frequently as determined by the Subrecipient organization. Subrecipient must maintain records relating to all SFI disclosures, reviews, and actions for at least three (3) years from the date of final payment.
- E) Failure by an individual to file a complete and truthful disclosure statement or failure to comply with a plan put in place to manage an FCOI shall be handled under Subrecipient's policies for sanctions and discipline. Subrecipient's failure to comply with these requirements may be considered a breach of contract. It may also cause UCLA to require the Subrecipient to undertake a retrospective review designed to determine if the ongoing PHS Research activity was biased in its design, conduct or reporting.

Article 24: Administrative and Technical Contacts: The following individuals shall serve as contacts for communications regarding this Subaward:

Technical For Subrecipient

Name: Wael Hamade, MD,FAAFP  
Address: 26520 Cactus Avenue  
Department of Family Medicine  
Moreno Valley, CA 92555

Phone: 951-486-5610  
Email: [w.hamade@ruhealth.org](mailto:w.hamade@ruhealth.org)

Technical For UCLA

Zaldy Tan  
UCLA Medicine-Geriatrics  
BOX 951687, 2339 PVUB  
Los Angeles, CA 90095-1687

310-825-8253  
[ztan@mednet.ucla.edu](mailto:ztan@mednet.ucla.edu)

Authorized Representative For Subrecipient

Name: Geoffrey Leung, MD, EdM  
Address: 26520 Cactus Avenue  
Department of Family Medicine  
Moreno Valley, CA 92555

Phone: 951-486-5610  
Email: [geoleung@rivcocha.org](mailto:geoleung@rivcocha.org)

Authorized Representative For UCLA

Mary Haskins  
UCLA Office of Contract & Grant Administration  
11000 Kinross Ave., Suite 211  
Los Angeles, CA 90095


310-794-0622  
[mhaskins@research.ucla.edu](mailto:mhaskins@research.ucla.edu)

In witness whereof, the parties hereto have executed this Subaward as of the day and year written.

Riverside County Regional Center Medical

The Regents of the University of California

By:   
Authorized Signature  
**JOHN J. BENOIT**  
Chairperson, Board of Supervisors

By:   
Authorized Signature  
Mary Haskins  
Sr. Subaward Analyst  
mhaskins@research.ucla.edu


Date: JAN 05 2016

Date: 1/26/2016

ATTEST:  
Kecia Harper-Ihem  
Clerk of the Board

By:   
Deputy

APPROVED AS TO FORM:  
Gregory P. Priamos  
County Counsel

By:   
Asst. Neal Kipnis  
County Counsel

*This award is under the Federal Funding Accountability and Transparency Act (FFATA)*

**Attachment A - Statement of Work**

See Attached

## Riverside County Regional Medical Center (RCRMC)

### Scope of Work

RCDPSS will collaborate with the UCLA PICATE project to assist with the integration of geriatrics in primary care clinical settings at RCRMC and its teaching clinical sites. Wael Hamade, MD, FAAFP will serve as the medical director of the Cognition and Mobility Care Management (CMCM) program and the primary supervisor of the Cognition and Mobility care manager (CMCM). As Co-Project Director for the PICATE Project at RCRMC, he will lead all training and clinical care efforts at RCRMC and serve as a member of the PICATE Executive Committee, participate in monthly conference calls, assist with integration of geriatrics in primary care clinical settings, including the recruitment of patients and a CMCM, data collection, IRB application and the coordination of trainees at other RCRMC clinics, serve as faculty upon request, provide feedback on all program activities, and support program evaluation activities for all RCRMC training offerings. Dr. Hamade will oversee the fiscal management of the RCRMC subcontract and assure that expenses are spent appropriately per the budget.

**Co-Project Director, Wael Hamade, MD, FAAFP** - Dr. Hamade will lead all training efforts at Riverside County Regional Medical Center (RCRMC), its teaching clinics and satellite clinical sites with the integration of geriatrics in primary care clinical settings. Activities include serving as the medical director of the Cognition and Mobility Care Management Program (CMCM) and will supervise the CMCM registered nurse, assist with recruiting patients, patient data collection, IRB application and the coordination of trainees at other RCRMC clinics and will oversee the fiscal spending for RCRMC. **Qualifications for position:** Dr. Hamade is a Faculty Physician and Resident Advisor at the University of California, Riverside (UCR) School of Medicine Family Medicine Residency Program and is the Director of the Geriatric Clinic and lead consultant for the Geriatric Trauma Service at RCRMC. Dr. Hamade's interests include Geriatric Medicine, Home Visits, Palliative Medicine and Nursing Home care. He completed his Family Medicine residency training at RCRMC and his Geriatric Fellowship at UCLA.

**TBN Registered Nurse** - TBN Registered Nurse (RN) will serve as the Cognition and Mobility Care Manager for the geriatrics integration into primary care project (Initiative I) at RCRMC. He/she will oversee the proper screening of older patients for cognition and/or mobility issues by the clinic staff, evaluate referrals to the program, produce care plans with input from the primary physicians/patients/families, perform home visits as needed and teach students/trainees who attend the CMCM evaluation, team huddle and home visits. He/she will report to the Dr. Hamade, CMCM medical director and PICATE Co-Project Director. **Qualifications for position:** TBN Registered Nursing (RN) will demonstrate knowledge, interest and preferably experience in the care of older patients. He/she will attend training programs at UCLA including the Intensive Course in Geriatric Medicine, Leadership and Management in Geriatrics and train under the care managers of the UCLA Alzheimer's and Dementia Care program. He/she will have outstanding skills in teaching, clinical care, interprofessional communication and have a strong understanding of the RCRMC systems, policies and procedures.

**TBN Program Coordinator** - TBN Program Coordinator at RCRMC will coordinate all training activities under the direction of Dr. Wael Hamade and TBN Registered Nurse (CMCM) to aid in the student and resident trainings, CMCM Program implementation and liaise with the UCLA Administrative Core on the collection and analysis of program outcome data. **Qualifications for position:** TBN Program Coordinator will demonstrate qualifications in planning, coordination, travel coordination, scheduling of student trainees and have a strong understanding of the RCRMC systems, policies and procedures.

*This award is under the Federal Funding Accountability and Transparency Act (FFATA)*

**Attachment B – Budget**

See Attached



**UCLA PICATE**  
**Riverside County Regional Medical Center**  
**YEAR 1: 7/1/2015 - 6/30/2016**

<b>FACULTY</b>							
<u>Name</u>	<u>Role</u>	<u>Base</u>	<u>Effort %</u>	<u>Salary</u>	<u>FB (%)</u>	<u>FB(\$)</u>	<u>Total</u>
Wael Hamade, MD, FAAFP	Geriatrician	\$ 183,300	10%	\$18,330	30%	\$5,499	\$23,829
TBN-RN	Falls and Dementia Care Manager	\$ 88,000	75%	\$66,000	35%	\$23,100	\$89,100
<b>Subtotal Faculty</b>		\$271,300	85%	\$84,330		\$28,599	\$112,929
<b>PERSONNEL</b>							
<u>Name</u>	<u>Role</u>	<u>Base</u>	<u>Effort %</u>	<u>Salary</u>	<u>FB (%)</u>	<u>FB(\$)</u>	<u>Total</u>
TBN	Program Coordinator	\$52,000	30%	\$15,600	35%	\$5,460	\$21,060
<b>Subtotal Personnel</b>		\$52,000	30%	\$15,600		\$5,460	\$21,060
				\$99,930		\$34,059	\$133,989
<b>SUPPLIES</b>							
<b>Office Supplies</b>							
General Office Supplies		\$100	12				\$1,200
Telephone, Fax, mailing expenses		\$20	12				\$240
printing/ manual/ copies		\$100	12				\$1,200
FDCM Online Training	<i>Online Dementia Training from Johns Hopkins School of Nursing - Living with Dementia: Impact on Individuals, Caregivers, Communities and Societies</i>	\$100	1				\$100
<b>Subtotal Supplies</b>							\$2,740
<b>TRAVEL</b>							
Training associated travel		\$250	12				\$3,000
Mileage reimbursement 0.55/ miles		\$260	12				\$3,120
		0.55	236	2	12	\$3,120	
<b>Subtotal Travel</b>							\$6,120
<b>TOTAL DIRECT COSTS</b>							\$142,849
F&A Base							\$142,849
F&A @ 8%							8.0% \$11,428
<b>TOTAL COSTS</b>							\$154,277

## **Riverside County Regional Medical Center (RCRMC) Budget Justification**

RCDPSS will collaborate with the UCLA PICATE project to assist with the integration of geriatrics in primary care clinical settings at RCRMC and its teaching clinical sites. Wael Hamade, MD, FAAFP will serve as the medical director of the Cognition and Mobility Care Management (CMCM) program and the primary supervisor of the Cognition and Mobility care manager (CMCM). As Co-Project Director for the PICATE Project at RCRMC, he will lead all training and clinical care efforts at RCRMC and serve as a member of the PICATE Executive Committee, participate in monthly conference calls, assist with integration of geriatrics in primary care clinical settings, including the recruitment of patients and a CMCM, data collection, IRB application and the coordination of trainees at other RCRMC clinics, serve as faculty upon request, provide feedback on all program activities, and support program evaluation activities for all RCRMC training offerings. Dr. Hamade will oversee the fiscal management of the RCRMC subcontract and assure that expenses are spent appropriately per the budget.

**Co-Project Director, Wael Hamade, MD, FAAFP** - Dr. Hamade will lead all training efforts at Riverside County Regional Medical Center (RCRMC), its teaching clinics and satellite clinical sites with the integration of geriatrics in primary care clinical settings. Activities include serving as the medical director of the Cognition and Mobility Care Management Program (CMCM) and will supervise the CMCM registered nurse, assist with recruiting patients, patient data collection, IRB application and the coordination of trainees at other RCRMC clinics and will oversee the fiscal spending for RCRMC. **Qualifications for position:** Dr. Hamade is a Faculty Physician and Resident Advisor at the University of California, Riverside (UCR) School of Medicine Family Medicine Residency Program and is the Director of the Geriatric Clinic and lead consultant for the Geriatric Trauma Service at RCRMC. Dr. Hamade's interests include Geriatric Medicine, Home Visits, Palliative Medicine and Nursing Home care. He completed his Family Medicine residency training at RCRMC and his Geriatric Fellowship at UCLA.

**TBN Registered Nurse** - TBN Registered Nurse (RN) will serve as the Cognition and Mobility Care Manager for the geriatrics integration into primary care project (Initiative I) at RCRMC. He/she will oversee the proper screening of older patients for cognition and/or mobility issues by the clinic staff, evaluate referrals to the program, produce care plans with input from the primary physicians/patients/families, perform home visits as needed and teach students/trainees who attend the CMCM evaluation, team huddle and home visits. He/she will report to the Dr. Hamade, CMCM medical director and PICATE Co-Project Director. **Qualifications for position:** TBN Registered Nursing (RN) will demonstrate knowledge, interest and preferably experience in the care of older patients. He/she will attend training programs at UCLA including the Intensive Course in Geriatric Medicine, Leadership and Management in Geriatrics and train under the care managers of the UCLA Alzheimer's and Dementia Care program. He/she will have outstanding skills in teaching, clinical care, interprofessional communication and have a strong understanding of the RCRMC systems, policies and procedures.

**TBN Program Coordinator** - TBN Program Coordinator at RCRMC will coordinate all training activities under the direction of Dr. Wael Hamade and TBN Registered Nurse (CMCM) to aid in

the student and resident trainings, CMCM Program implementation and liaise with the UCLA Administrative Core on the collection and analysis of program outcome data. **Qualifications for position:** TBN Program Coordinator will demonstrate qualifications in planning, coordination, travel coordination, scheduling of student trainees and have a strong understanding of the RCRMC systems, policies and procedures.

**PERSONNEL:**

Name	Title	% FTE	Annual Salary	Amount Requested
<b>Faculty</b>				
Wael Hamade, MD	Co-Project Director; Geriatrician	10%	\$183,300	\$18,330
TBN Registered Nurse	Cognition and Mobility Care Manager	75%	\$88,000	\$66,000
<b>Other Personnel</b>				
TBN	Program Coordinator	30%	\$52,000	\$15,600

**FRINGE BENEFITS:**

Name	Title	% Fringe Benefit	Amount Requested
<b>Faculty</b>			
Wael Hamade, MD	Co-Project Director; Geriatrician	30%	\$5,499
TBN Registered Nurse	Cognition and Mobility Care Manager	35%	\$23,100
<b>Other Personnel</b>			
TBN	Program Coordinator	35%	\$5,460

**TRAVEL:** Training travel expenses are requested for **\$6,120** for associated travel for TBN Registered Nurse Memory & Mobility Care Manager to meet with other Riverside County satellite teaching clinics, attend UCLA trainings, planning meetings at \$250 per month x 12 months = \$3,000; and mileage to travel to other Riverside County satellite teaching clinics and patient home visits at 0.55/mile x 2 people (Dr. Hamade and TBN Registered Nurse) x 236 RT miles RT in Riverside County x 12 months = \$3,120.

**SUPPLIES:** Office supplies requested for **\$2,740** include: phone, fax and conference calls at \$20/month x 12 months, \$240; mail postage, express mail and courier charges, \$100/month x 12 months, \$1,200; photocopying charges, paper and toner, \$100/month x 12 months, \$1,200; and for a registration fee for the Cognition and Mobility Care Manager to participate in Online Dementia Training Program at \$100.

<b>RCRMC BUDGET SUMMARY YEARS 1-3</b>			
Personnel Costs	\$99,930	\$99,930	\$99,930
Fringe Benefits	\$34,059	\$34,059	\$34,059
Travel Costs	\$6,120	\$6,120	\$6,120
Supplies	\$2,740	\$2,740	\$2,740
Total Direct Costs	\$142,849	\$142,849	\$142,849
Indirect Costs (8%)	\$11,428	\$11,428	\$11,428
<b>TOTAL COSTS</b>	<b>Year 1: \$154,277</b>	<b>Year 2: \$154,277</b>	<b>Year 3: \$154,277</b>

**Attachment C – Sample Invoice Format**

**SUBMIT INVOICE TO:**

Endy Lopez  
 UCLA David Geffen School of Medicine, Division of Geriatrics  
 10945 Le Conte Ave., Suite 2339  
 Los Angeles, CA 90095-1687

Project Title: “Program for Improving Care of Aging Adults through Training and Education (PICATE)”  
 UCLA Subaward Number: 1558 G TA175  
 UCLA FAU: 4-401336-ZT-29797  
 UCLA Principal Investigator: Dr. Zaldy Tan

**SUBRECIPIENT**

XYX UNIVERSITY  
 123 College Drive  
 Anytown, CA 91111

Invoice Date:  
 Invoice No.:

Contact Name:  
 Contact Telephone/Email:

Period of Performance:

Billing Period:

\*Subrecipient costs must be identified on each invoice by categorical line item in accordance with the approved budget.

	<i>Budget</i>	<i>Cumulative Costs</i>	<i>Current Costs</i>
Salaries and Wages			
Fringe Benefits			
Materials and Supplies			
Travel – Domestic			
Travel – Foreign			
Other			
Equipment			
Subawards			
Cost Sharing			
Program Income			
Indirect Cost: <u>Rate%</u> of <u>Base</u>			
<b>TOTAL</b>	\$ _____	\$ _____	\$ _____

**Amount of payment requested** \$ \_\_\_\_\_

As an authorized representative of the organization, I certify that all expenditures reported (or payments requested) for cost incurred are for appropriate purposes and in accordance with the agreements set forth in the application and award documents.

\_\_\_\_\_  
 Authorized Signature (e.g. Financial Controller)  
 (Name and Title)

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 UCLA Principal Investigator Signature of Approval

\_\_\_\_\_  
 Date

**Attachment D – Subrecipient Uniform Guidance Audit Certification**

The undersigned, being an authorized financial officer of the referenced Subrecipient, hereby certifies that:

\_\_\_\_\_ The Subrecipient’s total Federal expenditures for fiscal year ending \_\_\_\_\_ do not exceed \$750,000.00. The Subrecipient is exempt from Federal Audit requirements for the Subaward period of performance.

-----  
\_\_\_\_\_ The Subrecipient has had a Uniform Guidance compliance audit for fiscal year ending \_\_\_\_\_ and has not been informed of any instances of non-compliance with federal laws and regulations that have a direct bearing on this Subaward. A copy of the Subrecipient’s written notification as promulgated in Section C: Subpart F, Parts 200.501 - 200.521, Audit Requirements of the Uniform Guidance, is attached or is available at the following website address: \_\_\_\_\_.

\_\_\_\_\_ The Subrecipient has had a Uniform Guidance compliance audit for fiscal year ending \_\_\_\_\_ and has been informed of instances of non-compliance with federal laws and regulations that have a direct bearing on this Subaward. Copies of the Subrecipient’s written notification and reporting package as promulgated in Section C: Subpart F, Parts 200.501 - 200.521, Audit Requirements of the Uniform Guidance, is attached.

\_\_\_\_\_ The Subrecipient has not yet completed the Uniform Guidance compliance audit for fiscal year ending \_\_\_\_\_. The audit is to be completed by \_\_\_\_\_. A copy of the Subrecipient’s written notification and, if applicable, the reporting package or website containing the information will be forwarded to UCLA when available.

\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name and Title

**Attachment E - Compliance Requirements**

Civil Rights. Compliance with Title VI of the Civil Rights Act of 1964.

Handicapped Individuals. Compliance with Section 504 of the Rehabilitation Act of 1973 as amended.

Sex Discrimination. Compliance with Section 901 of Title IX of the Education Amendments of 1972 as amended.

Age Discrimination. Compliance with the Age Discrimination Act of 1975 as amended.

Drug-Free Workplace. Compliance with the Drug-Free Workplace Act of 1988.

Patents, Licenses, and Inventions. Compliance with the Standard Patent Rights clauses as specified in 37 CFR, Part 401.14 and/or 35 U.S.C. 203, whichever is appropriate and applicable.

Human Subjects. Compliance with the requirements of federal policy (P.L. 93-348) concerning the safe-guarding of the rights and welfare of human subjects who are involved in activities supported by Federal funds.

Use of Animals. Compliance with applicable portions of the Animal Welfare Act (PL 89-544 as amended).

Use of program income; Additional Costs described in the NIH Grants Policy Statement shall apply.

Financial Conflict of Interest SUBRECIPIENT assures compliance with 42 CFR Part 50, Subpart F, revised October 1, 1997.

Debarment and Suspension. SUBRECIPIENT specifically certifies that neither it nor the Principal Investigator or any other employee or student participating on this project is not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency.

Non-Delinquency on Federal Debt. SUBRECIPIENT specifically certifies that neither it nor any person to be paid from funds under this Subaward is delinquent in repaying any Federal debit as defined by OMB Circular A-129.

Restrictions on Lobbying. Compliance with PL 101-121, Title 31, Section 1352, which prohibits the use of Federally appropriated funds for lobbying in connection with this particular Subaward.

Affirmative action for disabled Veterans and Veterans of the Vietnam era Compliance with Public Laws 92-540 and 93-508, Executive Order 11701, and the regulations of the Secretary of Labor (41 CFR Part 60-250) in promoting employment opportunities for disabled and Vietnam veterans.

*This award is under the Federal Funding Accountability and Transparency Act (FFATA)*

**Attachment F - Administrative Requirements**

Notice of Award regarding Cooperative Agreement Award No. U1QHP28725 (See Attached);

HHS Grants Policy Statement (<http://www.hhs.gov/grants/grants/policies-regulations/hhsgps107.pdf>); and

2 CFR Part 200 Uniform Guidance: Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards.

1. DATE ISSUED:  
06/29/2015

2. PROGRAM CFDA: 93.969

3. SUPERSEDES AWARD NOTICE dated:  
except that any additions or restrictions previously imposed remain in effect unless specifically rescinded.

4a. AWARD NO.:  
1U1QHP28725-01-00

4b. GRANT NO.:  
U1QHP28725

5. FORMER GRANT NO.:

6. PROJECT PERIOD:  
FROM: 07/01/2015 THROUGH: 06/30/2018

7. BUDGET PERIOD:  
FROM: 07/01/2015 THROUGH: 06/30/2016



NOTICE OF AWARD  
AUTHORIZATION (Legislation/Regulation)  
Public Health Service (PHS) Act Title VII, Sections 750 and 753(a),  
and PHS Act Title VIII, Section 865

8. TITLE OF PROJECT (OR PROGRAM): Geriatrics Workforce Enhancement Program

9. GRANTEE NAME AND ADDRESS:  
UNIVERSITY OF CALIFORNIA, LOS ANGELES  
Office of Contract and Grant Administration, 11000 Kinross Avenue, Suite 211  
Los Angeles, CA 90095  
DUNS NUMBER:  
092530369

10. DIRECTOR: (PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR)  
Yessenia Sarmiento  
UNIVERSITY OF CALIFORNIA, LOS ANGELES  
Office of Contract and Grant Administration  
Los Angeles, CA 90095-1406

11. APPROVED BUDGET: (Excludes Direct Assistance)  
 Grant Funds Only  
 Total project costs including grant funds and all other financial participation

a. Salaries and Wages :  
b. Fringe Benefits :  
c. Total Personnel Costs :  
d. Consultant Costs :  
e. Equipment :  
f. Supplies :  
g. Travel :  
h. Construction/Alteration and Renovation :  
i. Other :  
j. Consortium/Contractual Costs :  
k. Trainee Related Expenses :  
l. Trainee Stipends :  
m. Trainee Tuition and Fees :  
n. Trainee Travel :  
o. TOTAL DIRECT COSTS :  
p. INDIRECT COSTS (Rate: % of S&WTADC) :  
q. TOTAL APPROVED BUDGET :  
i. Less Non-Federal Share: \$0.00  
ii. Federal Share: \$850,000.00

12. AWARD COMPUTATION FOR FINANCIAL ASSISTANCE:

a. Authorized Financial Assistance This Period	\$850,000.00
b. Less Unobligated Balance from Prior Budget Periods	
i. Additional Authority	\$0.00
ii. Offset	\$0.00
c. Unawarded Balance of Current Year's Funds	\$0.00
d. Less Cumulative Prior Awards(s) This Budget Period	\$0.00
e. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	\$850,000.00

13. RECOMMENDED FUTURE SUPPORT: (Subject to the availability of funds and satisfactory progress of project)

YEAR	TOTAL COSTS
02	\$850,000.00
03	\$850,000.00

14. APPROVED DIRECT ASSISTANCE BUDGET: (In lieu of cash)

a. Amount of Direct Assistance	\$0.00
b. Less Unawarded Balance of Current Year's Funds	\$0.00
c. Less Cumulative Prior Awards(s) This Budget Period	\$0.00
d. AMOUNT OF DIRECT ASSISTANCE THIS ACTION	\$0.00

15. PROGRAM INCOME SUBJECT TO 45 CFR 75.307 SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:  
A=Addition B=Deduction C=Cost Sharing or Matching D=Other [A]  
Estimated Program Income: \$0.00

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY HRSA, IS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:  
a. The grant program legislation cited above. b. The grant program regulation cited above. c. This award notice including terms and conditions, if any, noted below under REMARKS. d. 45 CFR Part 75 as applicable. In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS: (Other Terms and Conditions Attached [ X ]Yes [ ]No)

Electronically signed by James King, Grants Management Officer on : 06/29/2015

17. OBJ. CLASS: 41.21 18. CRS-EIN: 1956006143A1 19. FUTURE RECOMMENDED FUNDING: \$0.00

FY-CAN	CFDA	DOCUMENT NO.	AMT. FIN. ASST.	AMT. DIR. ASST.	SUB PROGRAM CODE	SUB ACCOUNT CODE
15 - 3721UB4	93.969	15U1QHP28725	\$850,000.00	\$0.00	N/A	15GWEP



## HRSA Electronic Handbooks (EHBs) Registration Requirements

The Project Director of the grant (listed on this NoA) and the Authorizing Official of the grantee organization are required to register (if not already registered) within HRSA's Electronic Handbooks (EHBs). Registration within HRSA EHBs is required only once for each user for each organization they represent. To complete the registration quickly and efficiently we recommend that you note the 10-digit grant number from box 4b of this NoA. After you have completed the initial registration steps (i.e., created an individual account and associated it with the correct grantee organization record), be sure to add this grant to your portfolio. This registration in HRSA EHBs is required for submission of noncompeting continuation applications. In addition, you can also use HRSA EHBs to perform other activities such as updating addresses, updating email addresses and submitting certain deliverables electronically. Visit <https://grants3.hrsa.gov/2010/WebEPSEExternal/Interface/common/accesscontrol/login.aspx> to use the system. Additional help is available online and/or from the HRSA Call Center at 877-Go4-HRSA/877-464-4772.

## Terms and Conditions

Failure to comply with the remarks, terms, conditions, or reporting requirements may result in a draw down restriction being placed on your Payment Management System account or denial of future funding.

### Grant Specific Term(s)

1. Funding will be provided in the form of a cooperative agreement. A cooperative agreement, as opposed to a grant, is an award instrument of financial assistance where substantial involvement is anticipated between HRSA and the recipient during performance of the contemplated project.

#### HRSA Program responsibilities shall include:

- Assist recipients during the six-month planning period;
- Make available the services of experienced HRSA/BHW program staff to serve as a resource in the planning, development, and evaluation of all phases of the project;
- Provide ongoing input and review of activities and procedures to be established and implemented for accomplishing the goals of the cooperative agreement;
- Participate, as appropriate, in meetings or site visits conducted during the period of the cooperative agreement;
- Review project information prior to dissemination;
- Assist the recipients to develop a network to share resources, best practices, and lessons learned;
- Provide assistance and referral in the establishment and facilitation of effective collaborative relationships with Federal and State agencies, BHW grant projects and other resource centers, and other entities that may be relevant to the project's mission;
- Provide programmatic input and consultation for development and delivery of training and technical assistance;
- Collaborate with recipients to develop and implement assessment and evaluation strategies;
- Provide information resources; and,
- Participate in the dissemination of project activities and products.

#### The cooperative agreement recipient's responsibilities shall include:

- Implement the approved work plan;
  - Work closely with HRSA during the planning year;
  - Collaborate and communicate in a timely manner with the HRSA project officer;
  - Participate in ongoing conference calls and webinars with other awardees and HRSA staff;
  - Provide the HRSA project officer with an opportunity to review project information prior to dissemination;
  - Establish contacts relevant to the project's mission such as collaborating partners, Federal and non-federal partners, and other HRSA grant projects;
  - Coordinate activities with other awardees under this FOA where possible;
  - Submit all required reports in a timely fashion;
  - Develop and implement Rapid Cycle Improvement assessment and evaluation strategies such as the Plan, Do, Study, Act strategy or other iterative evaluation strategies to ensure continuous quality improvement; and,
  - Partner with HRSA to evaluate priorities and respond to constituent/field requirements.
- It is expected that the Project Director attend quarterly conference calls with the HRSA Program Staff. The conference calls are an integral part of project management.

2. A student/trainee/fellow/junior faculty development award recipient/faculty member in a retraining program receiving support from grant funds must be a citizen of the United States, a non-citizen national, or a foreign national having in his/her possession a visa permitting permanent residence in the United States.
3. All post-award requests, such as significant budget revisions or a change in scope, must be submitted as a Prior Approval action via the Electronic Handbooks (EHBs) and approved by HRSA prior to implementation. Grantees under "Expanded Authority," as noted in the Remarks section of the Notice of Award, have different prior approval requirements. See "Prior-Approval Requirements" in the DHHS Grants Policy Statement: <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>
4. The requirements of 48 CFR section 3.908 (found at <http://www.ecfr.gov>) implementing section 828 of the National Defense Authorization Act (NDAA) for Fiscal Year 2013 (Pub. L.

112-239, enacted January 2, 2013) entitled "Pilot Program for Enhancement of Contractor Employee Whistleblower Protections" apply to this award. This notice requires that grantees inform their employees in writing of employee whistleblower rights and protections under 41 U.S.C. 4712 in the predominant native language of the workforce. The details of 41 U.S.C. 4712 can be found at <http://uscode.house.gov/browse.xhtml>. (regarding 48 CFR section 3.908, note that use of the term "contract," "contractor," "subcontract," or "subcontractor" for the purpose of this term, should read as "grant," "grantee," "subgrant," or "subgrantee").

5. As required by the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109-282), as amended by section 6202 of Public Law 110-252, recipients must report information for each subaward of \$25,000 or more in Federal funds and executive total compensation as outlined in Appendix A to 2 CFR Part 170 (<http://www.hrsa.gov/grants/ffata.html>). The FFATA reporting requirements apply for the duration of the project period and so include all subsequent award actions to aforementioned HRSA grants and cooperative agreement awards (e.g., Type 2 (competing continuation), Type 5 (non-competing continuation), etc.). Subawards to individuals are exempt from these requirements.
6. Effective December 26, 2014, all references to OMB Circulars for the administrative and audit requirements and the cost principles that govern Federal monies associated with this award are superseded by the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.
7. The funds for this award are sub-accounted in the Payment Management System (PMS) and will be in a P type (sub accounted) account. This type of account allows recipients to specifically identify the individual grant for which they are drawing funds and will assist HRSA in monitoring the award. If your organization previously received a grant under this program, it was in a G type (cash pooled) account designated by a PMS Account Number ending in G or G1. Now that this grant is sub accounted the PMS Account Number will be changed to reflect either P or P1. For example, if the prior year grant was in payee account number 2AAG it will now be in 2AAP. Similarly, if the prior year grant was in payee account 2AAG1, the grant will be in payee account 2AAP1. The P sub account number and the sub account code (provided on page 1 of this Notice of Award) are both needed when requesting grant funds.

You may use your existing PMS username and password to check your organizations P account access. If you do not have access, complete a PMS Access Form (PMS/FFR Form) found at: [http://www.dpm.psc.gov/grant\\_recipient/grantee\\_forms.aspx](http://www.dpm.psc.gov/grant_recipient/grantee_forms.aspx) and send it to the fax number indicated on the bottom of the form. If you have any questions about accessing PMS, contact the PMS Liaison Accountant as identified at: <http://www.dpm.psc.gov/contacts/contacts.aspx?explorer.event=true>.

## Standard Term(s)

1. Recipients must comply with all terms and conditions outlined in their grant award, including grant policy terms and conditions outlined in applicable Department of Health and Human Services (HHS) Grants Policy Statements, and requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable; as well as any requirements or limitations in any applicable appropriations acts.
2. All discretionary awards issued by HRSA on or after October 1, 2006, are subject to the HHS Grants Policy Statement (HHS GPS) unless otherwise noted in the Notice of Award (NoA). Parts I through III of the HHS GPS are currently available at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. Please note that the Terms and Conditions explicitly noted in the award and the HHS GPS are in effect.
3. HRSA requires grantees to use the following acknowledgement and disclaimer on all products produced by HRSA grant funds:  

*"This project is/was supported by the Health Resources and Services Administration (HRSA) of the U.S. Department of Health and Human Services (HHS) under grant number and title for grant amount (specify grant number, title, total award amount and percentage financed with nongovernmental sources). This information or content and conclusions are those of the author and should not be construed as the official position or policy of, nor should any endorsements be inferred by HRSA, HHS or the U.S. Government."*

Grantees are required to use this language when issuing statements, press releases, requests for proposals, bid solicitations, and other HRSA supported publications and forums describing projects or programs funded in whole or in part with HRSA funding. Examples of HRSA-supported publications include, but are not limited to, manuals, toolkits, resource guides, case studies and issues briefs.
4. Recipients and sub-recipients of Federal funds are subject to the strictures of the Medicare and Medicaid anti-kickback statute (42 U.S.C. 1320a - 7b(b) and should be cognizant of the risk of criminal and administrative liability under this statute, specifically under 42 U.S.C. 1320 7b(b) Illegal remunerations which states, in part, that whoever knowingly and willfully: (A) Solicits or receives (or offers or pays) any remuneration (including kickback, bribe, or rebate) directly or indirectly, overtly or covertly, in cash or in kind, in return for referring (or to induce such person to refer) an individual to a person for the furnishing or arranging for the furnishing of any item or service, OR (B) In return for purchasing, leasing, ordering, or recommending purchasing, leasing, or ordering, or to purchase, lease, or order, any goods, facility,

- services, or item ....For which payment may be made in whole or in part under subchapter XIII of this chapter or a State health care program, shall be guilty of a felony and upon conviction thereof, shall be fined not more than \$25,000 or imprisoned for not more than five years, or both.
5. Items that require prior approval from the awarding office as indicated in 45 CFR Part 75 [Note: 75 (d) HRSA has not waived cost-related or administrative prior approvals for recipients unless specifically stated on this Notice of Award] or 45 CFR Part 75 must be submitted in writing to the Grants Management Officer (GMO). Only responses to prior approval requests signed by the GMO are considered valid. Grantees who take action on the basis of responses from other officials do so at their own risk. Such responses will not be considered binding by or upon the HRSA.  
In addition to the prior approval requirements identified in Part 75, HRSA requires grantees to seek prior approval for significant rebudgeting of project costs. Significant rebudgeting occurs when, under a grant where the Federal share exceeds \$100,000, cumulative transfers among direct cost budget categories for the current budget period exceed 25 percent of the total approved budget (inclusive of direct and indirect costs and Federal funds and required matching or cost sharing) for that budget period or \$250,000, whichever is less. For example, under a grant in which the Federal share for a budget period is \$200,000, if the total approved budget is \$300,000, cumulative changes within that budget period exceeding \$75,000 would require prior approval). For recipients subject to 45 CFR Part 75, this requirement is in lieu of that in 45 CFR 75 which permits an agency to require prior approval for specified cumulative transfers within a grantee's approved budget. [Note, even if a grantee's proposed rebudgeting of costs falls below the significant rebudgeting threshold identified above, grantees are still required to request prior approval, if some or all of the rebudgeting reflects either a change in scope, a proposed purchase of a unit of equipment exceeding \$25,000 (if not included in the approved application) or other prior approval action identified in Part 75 unless HRSA has specifically exempted the grantee from the requirement(s).]
  6. Payments under this award will be made available through the DHHS Payment Management System (PMS). PMS is administered by the Division of Payment Management, Financial Management Services, Program Support Center, which will forward instructions for obtaining payments. Inquiries regarding payments should be directed to: ONE-DHHS Help Desk for PMS Support at 1-877-614-5533 or [PMSSupport@psc.hhs.gov](mailto:PMSSupport@psc.hhs.gov). For additional information please visit the Division of Payment Management Website at [www.DPM.PSC.GOV](http://www.DPM.PSC.GOV).
  7. The DHHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Such reports are kept confidential and callers may decline to give their names if they choose to remain anonymous. Contact: Office of Inspector General, Department of Health and Human Services, Attention: HOTLINE, 330 Independence Avenue Southwest, Cohen Building, Room 5140, Washington, D. C. 20201, Email: [Htips@os.dhhs.gov](mailto:Htips@os.dhhs.gov) or Telephone: 1-800-447-8477 (1-800-HHS-TIPS).
  8. Submit audits, if required, in accordance with 45 CFR Part 75, to: Federal Audit Clearinghouse Bureau of the Census 1201 East 10th Street Jefferson, IN 47132 PHONE: (310) 457-1551(310) 457-1551, (800)253-0696(800)253-0696 toll free <http://harvester.census.gov/sac/facconta.htm>
  9. EO 13166, August 11, 2000, requires recipients receiving Federal financial assistance to take steps to ensure that people with limited English proficiency can meaningfully access health and social services. A program of language assistance should provide for effective communication between the service provider and the person with limited English proficiency to facilitate participation in, and meaningful access to, services. The obligations of recipients are explained on the OCR website at <http://www.hhs.gov/ocr/lep/revisedlep.html>.
  10. This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to <http://www.hrsa.gov/grants/trafficking.htm>. If you are unable to access this link, please contact the Grants Management Specialist identified in this Notice of Award to obtain a copy of the Term.
  11. The Consolidated Appropriations and Further Continuing Appropriations 2015 (P.L. 113-235) enacted December 16, 2014, limits the salary amount that may be awarded and charged to HRSA grants and cooperative agreements. HRSA funds may not be used to pay the salary of an individual at a rate in excess of \$183,300 - The Executive Level II of the Federal Executive Pay Scale salary is currently set at \$183,300, effective January 11, 2015. This amount reflects an individual's base salary exclusive of fringe and any income that an individual may be permitted to earn outside of the duties to the applicant organization. This salary limitation also applies to subawards/subcontracts for substantive work under a HRSA grant or cooperative agreement. The salary limitation does not apply to payments made to consultants under this award although, as with all costs, those payments must meet the test of reasonableness and be consistent with institutional policy. Your award amount will not necessarily be recalculated to adjust for necessary reductions in salaries included in your proposal. However, none of the funds in this award shall be used to pay the salary of an individual at a rate in excess of the salary limitation. [It is important to note that an individual's base salary, per se, is NOT constrained by the legislative provision for a limitation of salary. The rate limitation simply limits the amount that may be awarded and charged to HRSA grants and cooperative agreements.]
  12. To serve persons most in need and to comply with Federal law, services must be widely accessible. Services must not discriminate on the basis of age, disability, sex, race, color, national origin or religion. The HHS Office for Civil Rights provides guidance to grant and cooperative agreement recipients on complying with civil rights laws that prohibit discrimination on these bases. Please see <http://www.hhs.gov/ocr/civilrights/understanding/index.html>. HHS also provides specific guidance for recipients on meeting their legal obligation under Title VI of the Civil Rights Act of 1964, which prohibits discrimination on the basis of race, color or national origin in programs and activities that receive Federal financial assistance (P. L. 88-352, as amended and

45 CFR Part 75). In some instances a recipient's failure to provide language assistance services may have the effect of discriminating against persons on the basis of their national origin. Please see <http://www.hhs.gov/ocr/civilrights/resources/laws/revisedlep.html> to learn more about the Title VI requirement for grant and cooperative agreement recipients to take reasonable steps to provide meaningful access to their programs and activities by persons with limited English proficiency.

13. Important Notice: The Central Contractor registry (CCR) has been replaced. The General Services Administration has moved the CCR to the System for Award Management (SAM) on July 30, 2012. To learn more about SAM please visit <https://www.sam.gov>.

It is incumbent that you, as the recipient, maintain the accuracy/currency of your information in the SAM at all times during which your entity has an active award or an application or plan under consideration by HRSA, unless your entity is exempt from this requirement under 2 CFR 25.110. Additionally, this term requires your entity to review and update the information at least annually after the initial registration, and more frequently if required by changes in your information. This requirement flows down to subrecipients. Note: SAM information must be updated at least every 12 months to remain active (for both grantees and sub-recipients). Grants.gov will reject submissions from applicants with expired registrations. It is advisable that you do not wait until the last minute to register in SAM or update your information. According to the SAM Quick Guide for Grantees ([https://www.sam.gov/sam/transcript/SAM\\_Quick\\_Guide\\_Grants\\_Registrations-v1.6.pdf](https://www.sam.gov/sam/transcript/SAM_Quick_Guide_Grants_Registrations-v1.6.pdf)), an entity's registration will become active after 3-5 days. Therefore, check for active registration well before the application deadline.

14.

In any grant-related activity in which family, marital, or household considerations are, by statute or regulation, relevant for purposes of determining beneficiary eligibility or participation, grantees must treat same-sex spouses, marriages, and households on the same terms as opposite-sex spouses, marriages, and households, respectively. By "same-sex spouses," HHS means individuals of the same sex who have entered into marriages that are valid in the jurisdiction where performed, including any of the 50 states, the District of Columbia, or a U.S. territory or in a foreign country, regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. By "same-sex marriages," HHS means marriages between two individuals validly entered into in the jurisdiction where performed, including any of the 50 states, the District of Columbia, or a U.S. territory or in a foreign country, regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. By "marriage," HHS does not mean registered domestic partnerships, civil unions or similar formal relationships recognized under the law of the jurisdiction of celebration as something other than a marriage. This term applies to all grant programs except block grants governed by 45 CFR part 96 or 45 CFR Part 98, or grant awards made under titles IV-A, XIX, and XXI of the Social Security Act; and grant programs with approved deviations.

## Reporting Requirement(s)

1. **Due Date: Semi-Annually (Budget Period) Beginning: Budget Start Date Ending: Budget End Date, due 30 days after end of reporting period.**

Performance data for the recently completed academic year must be reported for each budget period semi-annually before January 30 and before July 30. An email notification will be sent as a reminder that a report is due, including instructions on how to provide the report through the EHB system. The Bureau of Health Workforce (BHW) requirements and performance measures will be available at <http://bhw.hrsa.gov/grants/reporting/index.html>. Contact your BHW project officer for additional information.

2. **Due Date: Annually (Budget Period) Beginning: Budget Start Date Ending: Budget End Date, due 90 days after end of reporting period.**

The grantee must submit an annual Federal Financial Report (FFR). The report should reflect cumulative reporting within the project period and must be submitted using the Electronic Handbooks (EHBs). The FFR due dates have been aligned with the Payment Management System quarterly report due dates, and will be due 90, 120, or 150 days after the budget period end date. Please refer to the chart below for the specific due date for your FFR:

- Budget Period ends August – October: FFR due January 30
- Budget Period ends November – January: FFR due April 30
- Budget Period ends February – April: FFR due July 30
- Budget Period ends May – July: FFR due October 30

**3. Due Date: Within 90 Days of Project End Date**

A final report is due within 90 days after the project period ends. The final report collects program-specific goals and progress on strategies; core performance measurement data; impact of the overall project; the degree to which the grantee achieved the mission, goal and strategies outlined in the program; grantee objectives and accomplishments; barriers encountered; and responses to summary questions regarding the grantee's overall experiences over the entire project period. The final report must be submitted on-line by awardees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

Failure to comply with these reporting requirements will result in deferral or additional restrictions of future funding decisions.

**Contacts**

**NoA Email Address(es):**

Name	Role	Email
Yessenia Sarmiento	Program Director	yessenia.sarmiento@research.ucla.edu
Enjunaya Canton	Authorizing Official	enjunaya.canton@research.ucla.edu
Yessenia Sarmiento	Point of Contact	yessenla.sarmiento@research.ucla.edu

Note: NoA emailed to these address(es)

**Program Contact:**

For assistance on programmatic issues, please contact Young Song at:  
MailStop Code: PKLN 12C-05  
HRSA/BHW/DMD/MTGB  
5600 Fishers Ln  
Rockville, MD, 20857-1750  
Email: [ysong@HRSA.GOV](mailto:ysong@HRSA.GOV)  
Phone: (301) 443-3353  
Fax: (301) 443-0791

**Division of Grants Management Operations:**

For assistance on grant administration issues, please contact Shelia Burks at:  
MailStop Code: 10SWH03  
HRSA/OFAM/DGMO/HPB  
5600 Fishers Ln  
Rockville, MD, 20852-1750  
Email: [sburks@hrsa.gov](mailto:sburks@hrsa.gov)  
Phone: (301) 443-6452  
Fax: (301) 443-3643

1. DATE ISSUED: 08/11/2015

2. PROGRAM CFDA: 93.969

3. SUPERSEDES AWARD NOTICE dated: 06/29/2015  
except that any additions or restrictions previously imposed remain in effect unless specifically rescinded.

4a. AWARD NO.: 6U1QHP28725-01-01

4b. GRANT NO.: U1QHP28725

5. FORMER GRANT NO.:

6. PROJECT PERIOD:  
FROM: 07/01/2015 THROUGH: 06/30/2018

7. BUDGET PERIOD:  
FROM: 07/01/2015 THROUGH: 06/30/2016



NOTICE OF AWARD  
AUTHORIZATION (Legislation/Regulation)  
Public Health Service (PHS) Act Title VII, Sections 750 and 753(a),  
and PHS Act Title VIII, Section 865

8. TITLE OF PROJECT (OR PROGRAM): Geriatrics Workforce Enhancement Program

9. GRANTEE NAME AND ADDRESS:  
UNIVERSITY OF CALIFORNIA, LOS ANGELES  
Office of Contract and Grant Administration, 11000 Kinross Avenue, Suite 211  
Los Angeles, CA 90095  
DUNS NUMBER:  
092530369

10. DIRECTOR: (PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR)  
Zaldy S. Tan  
UNIVERSITY OF CALIFORNIA, LOS ANGELES  
Division Line: Office of Contract and Grant Administration  
11000 Kinross Avenue  
Los Angeles, CA 90095

11. APPROVED BUDGET: (Excludes Direct Assistance)  
 Grant Funds Only  
 Total project costs including grant funds and all other financial participation

a. Salaries and Wages :  
b. Fringe Benefits :  
c. Total Personnel Costs :  
d. Consultant Costs :  
e. Equipment :  
f. Supplies :  
g. Travel :  
h. Construction/Alteration and Renovation :  
i. Other :  
j. Consortium/Contractual Costs :  
k. Trainee Related Expenses :  
l. Trainee Stipends :  
m. Trainee Tuition and Fees :  
n. Trainee Travel :  
o. TOTAL DIRECT COSTS :  
p. INDIRECT COSTS (Rate: % of S&W/TADC) :  
q. TOTAL APPROVED BUDGET :  
    i. Less Non-Federal Share:  
    ii. Federal Share: \$850,000.00

12. AWARD COMPUTATION FOR FINANCIAL ASSISTANCE:

a. Authorized Financial Assistance This Period **\$850,000.00**

b. Less Unobligated Balance from Prior Budget Periods

    i. Additional Authority **\$0.00**  
    ii. Offset **\$0.00**

c. Unawarded Balance of Current Year's Funds **\$0.00**

d. Less Cumulative Prior Awards(s) This Budget Period **\$850,000.00**

e. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION **\$0.00**

13. RECOMMENDED FUTURE SUPPORT: (Subject to the availability of funds and satisfactory progress of project)

YEAR	TOTAL COSTS
02	\$850,000.00
03	\$850,000.00

14. APPROVED DIRECT ASSISTANCE BUDGET: (In lieu of cash)

a. Amount of Direct Assistance **\$0.00**

b. Less Unawarded Balance of Current Year's Funds **\$0.00**

c. Less Cumulative Prior Awards(s) This Budget Period **\$0.00**

d. AMOUNT OF DIRECT ASSISTANCE THIS ACTION **\$0.00**

15. PROGRAM INCOME SUBJECT TO 45 CFR 75.307 SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:  
A=Addition B=Deduction C=Cost Sharing or Matching D=Other **[A]**  
Estimated Program Income: \$0.00

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY HRSA, IS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:  
a. The grant program legislation cited above. b. The grant program regulation cited above. c. This award notice including terms and conditions, if any, noted below under REMARKS. d. 45 CFR Part 75 as applicable. In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS: (Other Terms and Conditions Attached  Yes  No)

Electronically signed by Shelia Burks, Grants Management Officer on : 08/11/2015

17. OBJ. CLASS: 41.21    18. CRS-EIN: 1956006143A1    19. FUTURE RECOMMENDED FUNDING: \$0.00

FY-CAN	CFDA	DOCUMENT NO.	AMT. FIN. ASST.	AMT. DIR. ASST.	SUB PROGRAM CODE	SUB ACCOUNT CODE
15 - 3721UB4	93.969	15U1QHP28725	\$0.00	\$0.00	NA	15GWEP

## HRSA Electronic Handbooks (EHBs) Registration Requirements

The Project Director of the grant (listed on this NoA) and the Authorizing Official of the grantee organization are required to register (if not already registered) within HRSA's Electronic Handbooks (EHBs). Registration within HRSA EHBs is required only once for each user for each organization they represent. To complete the registration quickly and efficiently we recommend that you note the 10-digit grant number from box 4b of this NoA. After you have completed the initial registration steps (i.e., created an individual account and associated it with the correct grantee organization record), be sure to add this grant to your portfolio. This registration in HRSA EHBs is required for submission of noncompeting continuation applications. In addition, you can also use HRSA EHBs to perform other activities such as updating addresses, updating email addresses and submitting certain deliverables electronically. Visit <https://grants3.hrsa.gov/2010/WebEPSEExternal/Interface/common/accesscontrol/login.aspx> to use the system. Additional help is available online and/or from the HRSA Call Center at 877-Go4-HRSA/877-464-4772.

## Terms and Conditions

Failure to comply with the remarks, terms, conditions, or reporting requirements may result in a draw down restriction being placed on your Payment Management System account or denial of future funding.

## Grant Specific Term(s)

1. ~~This revision is issued to change the Program Director from Yessenia Sarmiento to Zaldy S. Tan, in accordance with your Prior Approval Request.~~

All prior terms and conditions remain in effect unless specifically removed.

## Contacts

### NoA Email Address(es):

Name	Role	Email
Zaldy S. Tan	Program Director	ztan@mednet.ucla.edu
Yessenia Sarmiento	Point of Contact	yessenia.sarmiento@research.ucla.edu
Enjunaya Canton	Authorizing Official	enjunaya.canton@research.ucla.edu

Note: NoA emailed to these address(es)

### Program Contact:

For assistance on programmatic issues, please contact Tamara Zurakowski at:  
HRSA/BHW/DMD/MTGB  
5600 Fishers Lane  
RM 12C-05  
Rockville, MD, 20857-  
Email: [tzurakowski@hrsa.gov](mailto:tzurakowski@hrsa.gov)  
Phone: (301) 443-7762  
Fax: (301) 443-1879

### Division of Grants Management Operations:

For assistance on grant administration issues, please contact Shelia Burks at:  
MailStop Code: 10SWH03  
HRSA/OFAM/DGMO/HPB  
5600 Fishers Ln  
Rockville, MD, 20852-1750  
Email: [sburks@hrsa.gov](mailto:sburks@hrsa.gov)  
Phone: (301) 443-6452  
Fax: (301) 443-3643