#### SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

156



**SUBMITTAL DATE:** 

May 9, 2015

FROM: TLMA – Transportation Department

SUBJECT: Accept the Low Bid and Award the Contract for the Construction of Pyrite Street Sidewalk Improvement Project between Galena Street and Mission Boulevard in the City of Jurupa Valley. 2<sup>nd</sup> District; [\$595,000- Construction Cost]; Local Funds 100%

**RECOMMENDED MOTION:** That the Board of Supervisors:

- 1. Approve one addendum to the plans and specifications issued prior the April 6, 2016, bid opening; and
- 2. Accept the low bid of Hardy and Harper, Inc. of Santa Ana, CA in the amount of \$595,000; and
- 3. Award the contract to Hardy and Harper, Inc. and authorize the Chairman of the Board to execute the contract documents; and

4. Approve the project's proposed budget as shown on Attachment "A."

Patricia Romo

Director of Transportation

JCP:jrj:rr

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:	Ongoing Cost:	(Per Exec. Office)
COST	\$ 595,000	\$ 0	\$ 595,000	\$ 0	Consent □ Policy
NET COUNTY COST	\$ 0	\$ 0	\$ 0	\$ 0	Consent - Folicy 2

SOURCE OF FUNDS: City of Jurupa Valley (23%) and State – Active

Transportation Program (ATP) (77%). There are no General Funds used in this project.

Budget Adjustment: No

For Fiscal Year: 16/17

C.E.O. RECOMMENDATION:

**County Executive Office Signature** 

#### MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Jeffries, seconded by Supervisor Ashley and duly carried, IT WAS ORDERED that the above matter is approved as recommended.

Ayes:

Jeffries, Washington, Benoit and Ashley

Nays:

None

Absent: Date:

**Tavaglione** July 12, 2016

XC:

Transp.

Prev. Agn. Ref.: 3/15/16, Item 3-22; 3/24/15. Item 3-38

District: 2

Agenda Number:

Kecia Harper-Ihem

Clerk of the Board

Positions Added Change Order

4/5 Vote

#### SUBMITTAL TO THE BOARD OF SUPERVISORS, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

**FORM 11:** Accept the Low Bid and Award the Contract for the Construction of Pyrite Street Sidewalk Improvement Project between Galena Street and Mission Boulevard in the City of Jurupa Valley. 2<sup>nd</sup> District; [\$595,000- Construction Cost]; Local Funds 100%

**DATE:** May 9, 2015

**PAGE:** 2 of 3

#### **BACKGROUND:**

#### Summary

By Minute Order dated March 15, 2016 (Agenda Item 3-22), the Board of Supervisors authorized the Clerk of the Board to advertise for the construction of Pyrite Street Sidewalk Improvement Project in the City of Jurupa Valley.

The purpose of this project is to provide a safer pedestrian and bicycle path for travel to and from the Glen Avon Elementary School. Pyrite Street is an existing major arterial facility (two lanes in each direction) and lacks continuous curb, gutter and sidewalk on both sides between Mission Boulevard and Galena Street.

In August 2014, the City of Jurupa Valley (City) successfully competed to receive Active Transportation Program (ATP) funds for this project. Although the project is located within the City, the City does not yet have authority to administer federal or state funded projects with the California Department of Transportation (Caltrans). The County of Riverside (County) agreed to act as the lead agency on behalf of the City for the project administration, development and implementation of construction. On March 24, 2015 (Agenda Item 3-38), the Board of Supervisors (Board) approved the Cooperative Agreement between the County and the City for Pyrite Street Sidewalk Improvement Project. Amendment Number 1 to the Cooperative Agreement is a companion item on this agenda and is for approval of construction phase funds.

The proposed improvements on Pyrite Street will extend the existing curb, gutter and sidewalk on the east side of the street from its existing limit just north of Glen Avon Elementary School to Mission Boulevard and install new sidewalk on the west side of the street between Mission Boulevard and Galena Street. Americans with Disabilities Act (ADA) compliant ramps at all intersections along the project will be installed and an enhanced crosswalk at the intersection of Pyrite Street and Cassidy Circle will be constructed to include solar LED flashers and pedestrian push buttons.

During the advertisement period, one addendum was issued to all registered plan holders as a supplement to the plans and specifications. Bidders were required to acknowledge and take into account the issued addendum on their contractor's Bid in order to be considered for award. The addendum was issued to clarify and modify the approved contract documents. The addendum is attached and designated as Addendum No. 1.

The contractor is qualified to perform the work as outlined in the bid, has executed the contract, and has provided bonds and insurance documents, which meet the requirements of the Contract.

Project Number: C5-0081

A companion item appears on the Board of Supervisors Agenda this same day.

#### Impact on Residents and Businesses

The project is located within the City of Jurupa Valley, and the proposed improvements will improve pedestrian safety, reduce traffic congestion, and enhance operational efficiency around Glen Avon Elementary School that is consistent with the City's General Plan Circulation Element.

The work is scheduled to begin in July 2016 and expected to finish in September 2016. The work will be phased to keep the road open during construction and will take approximately two months to complete. The Contractor will be required to coordinate the construction with the City of Jurupa Valley, Jurupa Unified School District, and the Postmaster for mail delivery.

#### SUBMITTAL TO THE BOARD OF SUPERVISORS, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

**FORM 11:** Accept the Low Bid and Award the Contract for the Construction of Pyrite Street Sidewalk Improvement Project between Galena Street and Mission Boulevard in the City of Jurupa Valley. 2<sup>nd</sup> District; [\$595,000- Construction Cost]; Local Funds 100%

**DATE:** May 9, 2015

**PAGE:** 3 of 3

#### SUPPLEMENTAL:

#### Additional Fiscal Information

The contract is recommended to be awarded to Hardy and Harper, Inc. for the total amount of \$595,000. The project is funded with City of Jurupa Valley local funds, and State Active Transportation Program (ATP) funds. The City was awarded the ATP funds through a statewide competitive process.

The proposed budget as shown on attachment "A" includes the contract award amount and other associated costs.

There are no General Funds used in this project.

#### **Contract History and Price Reasonableness**

Ten bids were received on April 6, 2016, ranging from \$595,000 to \$777,560. The basis for the selection of a contractor is the lowest responsive and responsible bid.

The lowest responsive and responsible bid was submitted by Hardy and Harper, Inc. in the amount of \$595,000 which is \$55,000 (8.5%) lower than the Engineer's Estimate.

#### **ATTACHMENTS:**

Vicinity Map
Attachment A
Contract/Lease/Purchase Summary Data
Summary of Bids
Addendum No. 1
Contract
Bid Proposal (Hardy and Harper, Inc.)

#### Form 11 Attachment

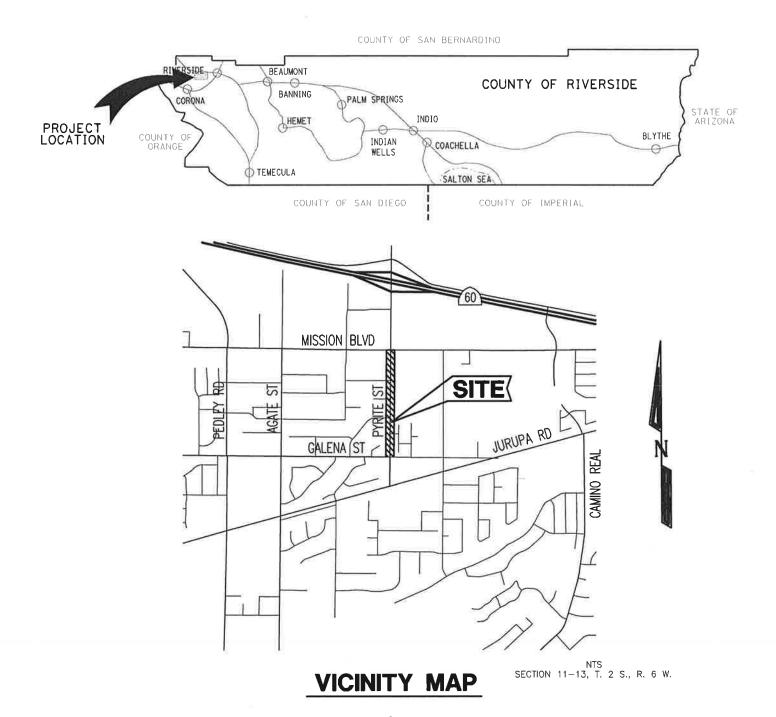
#### Contract/Lease/Purchase Summary Data

☐ Contract (fo ☐ Approval/Rend ☐ Sole Source ☐ Personal Serv ☐ Independent C ☐ Other than Lov ☐ Change Order ☑ Public Works	ewal rices Contractor w Bid		roperty e Order	□ Purchase (fo □ Sole Source □ Other than Lo □ Change Orde	w Bid
User Department:	Transportation Depar	tment	N/A		,,
Vendor/Lessor Name:	Hardy & Harper, I	nc.	Minority Status: ☐ M	□ W □ DV	⊠ None
Vendor/Lessor Location:	Santa Ana, CA	\	Local Preference	Applied: Yes	□ No ⊠ N/A
			Local Preference (5% maximum pre Local Preference	ference)	# of Orders
Applicable Board P	Policy #	Com	ments:		
RFQ/RFP Process:		Bidd	ling Process:		
Date Mailed: Response Date		Loca	Range: Il Bid Range: ponsive and	\$ 595,000.00 <b>N</b> /A	to \$ 777,560.00
# of Responses # of Qualified R			oonsive and oonsible Bid Range	\$ 595,000.00	to \$ 777,560.00
	Cont	ract/Lease	Renewals Only		
<u>E</u> 2	kisting Agreement Items		Propo	osed Agreement It	<u>ems</u>
<ol> <li>Rates</li> <li>Terms</li> <li>Conditions</li> <li>Legal Issues</li> <li>Accountability</li> <li>Utilities</li> </ol>					À
(continue on blank sheet	if necessary)				

NOTE: COMPETITIVELY BID PUBLIC WORKS CONTRACT

### COUNTY OF RIVERSIDE TRANSPORTATION DEPARTMENT

# Pyrite Street Sidewalk Improvement Project Between Galena Street and Mission Boulevard Project No. C5-0081



#### **Attachment "A"**

Riverside County Transportation Department

Project: PYRITE STREET - CITY OF JURUPA VALLEY

SIDEWALK PROJECT - REVISED WITH HARDY & HARPER BID 4-6-2016

Project No.(s): C5-0081

Expenses as of:

4/28/2016

Page 1

Activity		Incurred Costs	Projected Costs	Total Costs	Existing Budget	Proposed Budget
A Preliminary Survey		3,322		4,000	42,000	4,000
C Environmental					3,000	
B Design	22.3%	74,685	71,000	146,000	105,000	146,000
D Right-of-way						
Utilities						
E Construction			595,000	655,000	495,000	6EE 000
Construction Contingency	10.0%		59,500	655,000		655,000
F Construction Engineering & Inspection	15.0%	1,570	99,000	99,000	63,000	99,000
G Construction Survey	5.0%		33,000	33,000	28,000	33,000
	Totals:	79,577	857,500	937,000	736,000	937,000

Comments				

Printed:

April 28,16 10:52 AM

BY:

Michael O. Mueting

Riversid Summar	Riverside County Summary of Bids	Transportation Department		PROJECT: Pyrite Stree	PROJECT: Pyrite Street, Sidewalk Improvement Project	ment Project		
Advertised: Marc Addenda: 1 (3/31 Bids Open: 2 pm	٦ ا	15, 2016 (Agenda Item: 3-22) 016) Date: Wednesday, April 6, 2016		Between Galena Stre City of Jurupa Valley Project No. C5-0081	Between Galena Street and Mission Boulevard City of Jurupa Valley Project No. C5-0081	sion Boulevard		
BASE BID SCHEDIE	H. GH				COUNTY'S ESTIMATE	ESTIMATE	Hardy & Harper, Inc. Santa Ana. CA 92709	. ແ
ITEM NO.	ITEM CODE	CONTRACT ITEM	UNITS	QUANTITY	UNIT PRICE	ENG ESTIMATE	BID UNIT PRICE	BID ESTIMATE
-	066102	DUST ABATEMENT	rs	-	3,000.00	3,000.00	13,000.00	
2	074020	WATER POLLUTION CONTROL	S	τ-	3,000.00	3,000.00	9,069.20	9,069.20
ო	120100	TRAFFIC CONTROL SYSTEM	rs	-	30,000.00	30,000.00	38,000.00	38,000.00
4	170101	DEVELOP WATER SUPPLY	rs	-	2,500.00	2,500.00	4,000.00	4,000.00
5	160101	CLEARING AND GRUBBING	rs	-	5,000.00	5,000.00	38,000.00	38,000.00
9	015602	PROJECT FUNDING IDENTIFICATION SIGN	A	2	1,500.00	3,000.00	800.00	1,600.00
7	066578	PORTABLE CHANGEABLE MESSAGE SIGNS	Ą	2	2,500.00	5,000.00	1,500.00	3,000.00
80	066565	RELOCATE SIGN	Ā	18	250.00	4,500.00	100.00	1,800.00
б	152370	RELOCATE MAILBOX	Ā	-	200.00	200.00	800.00	800.00
10	190101	ROADWAY EXCAVATION	≿	1,810	40.00	72,400.00	38.38	69,467.80
7	011901	SELECT NATIVE MATERIAL	ζ	300	40.00	12,000.00	52.50	15,750.00
12	260201	CLASS 2 AGGREGATE BASE	ბ	910	45.00	40,950.00	42.50	38,675.00
13	390130	HOT MIX ASPHALT	TON	750	75.00	56,250.00	77.00	57,750.00
41	013903	PLACE ASPHALT CONCRETE DIKE (CRS 212) (6")	님	150	5.00	750.00	20.00	3,000.00
15	017304	MINOR CONCRETE (CURB AND GUTTER) (CRS 200)	٣	3,450	20.00	00'000'69	20.00	00.000,69
16	017310	MINOR CONCRETE (DRIVEWAY APPROACH) (CRS 207)	4	ĸ	2,500.00	12,500.00	3,000.00	15,000.00
17	017303	MINOR CONCRETE (SPANDREL) (CRS 209)	SQFT	3,515	20.00	70,300.00	2.00	24,605.00
18	017302	MINOR CONCRETE (CROSS-GUTTER) (CRS 209)	SQFT	1,900	20.00	38,000.00	7.00	13,300.00
19	017315	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE A)	Æ	9	3,500.00	35,000,00	2,650.00	26,500.00
20	017316	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE B)	ā	m	3,000.00	9,000.00	2,650.00	7,950.00
21	731623	MINOR CONCRETE (CURB RAMP)	ā	-	3,000.00	3,000.00	2,650,00	2,650.00
22	731521	MINOR CONCRETE (SIDEWALK)	SQFT	18,300	5.00	91,500.00	3.21	58,743.00
23	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	SQFT	150	10.00	1,500.00	12.00	1,800.00
24	150710	REMOVE TRAFFIC STRIPE	۳	5,400	1.00	5,400.00	0.55	2,970.00
25	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	1,000	5.00	5,000.00	3.77	3,770.00
56	840656	PAINT TRAFFIC STRIPE (2-COAT)	5	16,100	0.50	8,050.00	0:30	4,830.00
27	597401	PAINT CURB (2-COAT)	H	1,000	2.00	2,000.00	0.77	770.00
58	850102	PAVEMENT MARKER (REFLECTIVE)	Ā	240	5.00	1,200.00	2.00	1,200.00
29	860601	FLASHING BEACON	Ā	2	15,000.00	30,000.00	19,000.00	38,000.00
30	010602	MISCELLANEOUS WORK (AS DIRECTED)	ΕĀ	-	30,000.00	30,000.00	30,000.00	30,000.00
TOTAL, ITEMS 1-30	MS 1-30					650,000.00		595,000.00

Riverside Count Summary of Bid	Riverside County Summary of Bids	Transportation Department		PROJECT: Pvrite Stree	PROJECT: Pvrite Street. Sidewalk Improvement Project	ment Project		
Advertised: March Addenda: 1 (3/31/ Bids Open: 2 pm	: March 15, 1 (3/31/2010	Advertised: March 15, 2016 (Agenda Item: 3-22) Addenda: 1 (3/31/2016) Bids Open: 2 pm Date: Wednesday April 6, 2016	·	Between Galena Stre City of Jurupa Valley Project No. C5-0081	Between Galena Street and Mission Boulevard City of Jurupa Valley Project No. C5-0081	ssion Boulevard		
o cla	1 din 2	to make the second seco			Mamco Inc. dba Alabbasi	bbasi	Dalian Construction, Inc.	3 n, Inc.
ITEM NO. ITEM COD	ITEM CODE	CONTRACTITEM	UNITS	QUANTITY	BID UNIT PRICE	BID ESTIMATE	BID UNIT PRICE	SUS BID ESTIMATE
-	066102	DUST ABATEMENT	rs	-	6,000.00	6,000.00		2,500.00
2	074020	WATER POLLUTION CONTROL	S	-	16,000.00	16,000.00	2,500.00	2,500.00
6	120100	TRAFFIC CONTROL SYSTEM	rs	-	9,600.00	9,600.00	7,500.00	7,500.00
4	170101	DEVELOP WATER SUPPLY	rs	-	6,500.00	6,500.00	2,000.00	2,000.00
ıc	160101	CLEARING AND GRUBBING	rs	-	21,748.00	21,748.00	5,000.00	5,000.00
9	015602	PROJECT FUNDING IDENTIFICATION SIGN	4	7	800.00	1,600.00	500.00	1,000.00
7	066578	PORTABLE CHANGEABLE MESSAGE SIGNS	4	7	1,600.00	3,200.00	300.00	00.009
œ	066565	RELOCATE SIGN	E	18	110.00	1,980.00	250.00	4,500.00
o	152370	RELOCATE MAILBOX	4	-	380.00	380.00	200.00	200.00
10	190101	ROADWAY EXCAVATION	≿	1,810	62.00	112,220.00	34.92	63,205.20
=	011901	SELECT NATIVE MATERIAL	ò	300	80.00	24,000.00	20.00	15,000.00
12	260201	CLASS 2 AGGREGATE BASE	ბ	910	40.00	36,400.00	61.70	56,147.00
13	390130	HOT MIX ASPHALT	NOT	750	91.00	68,250.00	130.00	97,500.00
14	013903	PLACE ASPHALT CONCRETE DIKE (CRS 212) (6")	느	150	27.00	4,050.00	16.67	2,500.50
15	017304	MINOR CONCRETE (CURB AND GUTTER) (CRS 200)	느	3,450	18.00	62,100.00	26.49	91,390.50
16	017310	MINOR CONCRETE (DRIVEWAY APPROACH) (CRS 207)	A	2	200.00	2,500.00	2,500.00	12,500.00
17	017303	MINOR CONCRETE (SPANDREL) (CRS 209)	SQFT	3,515	8.00	28,120.00	13.49	47,417.35
18	017302	MINOR CONCRETE (CROSS-GUTTER) (CRS 209)	SQFT	1,900	00.6	17,100.00	13.00	24,700.00
19	017315	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE A)	4	10	2,000.00	20,000.00	3,880.00	38,800.00
20	017316	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE B)	4	ю	1,600.00	4,800.00	4,000.00	12,000.00
21	731623	MINOR CONCRETE (CURB RAMP)	ā	-	1,600.00	1,600,00	4,500.00	4,500.00
22	731521	MINOR CONCRETE (SIDEWALK)	SQFT	18,300	4.20	76,860.00	3.50	64,050.00
23	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	SQFT	150	6.00	900.00	00.9	900.00
24	150710	REMOVE TRAFFIC STRIPE	5	5,400	09.0	3,240.00	1.39	7,506.00
25	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	1,000	4.00	4,000.00	6.50	6,500.00
56	840656	PAINT TRAFFIC STRIPE (2-COAT)	느	16,100	0.30	4,830.00	1.30	20,930.00
27	597401	PAINT CURB (2-COAT)	느	1,000	1.00	1,000.00	2.50	2,500.00
78	850102	PAVEMENT MARKER (REFLECTIVE)	4	240	3.00	720.00	2.09	501.60
59	860601	FLASHING BEACON	Æ	2	14,600.00	29,200.00	250.00	200.00
30	010602	MISCELLANEOUS WORK (AS DIRECTED)	FA	-	30,000.00	30,000.00	30,000.00	30,000.00
TOTAL, ITEMS 1-30	MS 1-30					598,898.00		624,848.15

Riverside Count Summary of Bid	Riverside County Summary of Bids	Transportation Department		PROJECT: Pyrite Stree	PROJECT: Pyrite Street, Sidewalk Improvement Project	ment Project		
Advertised Addenda: Bids Open	l: March 15, 1 (3/31/2016 : 2 pm Da	Advertised: March 15, 2016 (Agenda Item: 3-22) Addenda: 1 (3/31/2016) Bids Open: 2 pm Date: Wednesday, April 6, 2016		Between Galena Stre City of Jurupa Valley Project No. C5-0081	Between Galena Street and Mission Boulevard City of Jurupa Valley Project No. C5-0081	sion Boulevard		
RAAR BID ACHEDIE	. HEDGE				Hillcrest Contracting	D	All American Asphalt	=
ITEM NO.	ITEM CODE	CONTRACT ITEM	UNITS	QUANTITY	BID UNIT PRICE	BID ESTIMATE	BID UNIT PRICE	BID ESTIMATE
-	066102	DUST ABATEMENT	ST	-	3,000.00	3,000.00	1,000.00	1,000.00
2	074020	WATER POLLUTION CONTROL	S	<b>←</b>	8,300.00	8,300.00	4,000.00	4,000.00
е	120100	TRAFFIC CONTROL SYSTEM	rs	-	33,200.00	33,200.00	50,000.00	50,000.00
4	170101	DEVELOP WATER SUPPLY	rs	-	2,000.00	2,000.00	2,000.00	2,000.00
S.	160101	CLEARING AND GRUBBING	rs	-	3,000.00	3,000.00	5,000.00	5,000.00
9	015602	PROJECT FUNDING IDENTIFICATION SIGN	Æ	2	770.00	1,540.00	1,300.00	2,600.00
7	066578	PORTABLE CHANGEABLE MESSAGE SIGNS	ā	2	2,760.00	5,520.00	2,000.00	10,000.00
00	066565	RELOCATE SIGN	Ā	18	105.00	1,890.00	105.00	1,890.00
6	152370	RELOCATE MAILBOX	Æ	<b></b>	230.00	230.00	1,000.00	1,000.00
10	190101	ROADWAY EXCAVATION	ζ	1,810	49.00	88,690.00	77.00	139,370.00
1	011901	SELECT NATIVE MATERIAL	ბ	300	165.00	49,500.00	142.00	42,600.00
12	260201	CLASS 2 AGGREGATE BASE	≿	910	73.00	66,430.00	39.00	35,490.00
13	390130	HOT MIX ASPHALT	TON	750	132.00	00'000'66	70.00	52,500.00
14	013903	PLACE ASPHALT CONCRETE DIKE (CRS 212) (6")	ㅂ	150	15.00	2,250.00	20.00	3,000.00
15	017304	MINOR CONCRETE (CURB AND GUTTER) (CRS 200)	<b>Ľ</b>	3,450	24.20	83,490.00	24.00	82,800.00
16	017310	MINOR CONCRETE (DRIVEWAY APPROACH) (CRS 207)	ā	သ	820.00	4,100.00	00.006	4,500.00
17	017303	MINOR CONCRETE (SPANDREL) (CRS 209)	SQFT	3,515	7.00	24,605.00	9.65	33,919.75
18	017302	MINOR CONCRETE (CROSS-GUTTER) (CRS 209)	SQFT	1,900	7.00	13,300.00	9.62	18,335.00
19	017315	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE A)	Ā	9	2,500.00	25,000.00	3,200.00	32,000.00
20	017316	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE B)	4	က	760.00	2,280.00	2,000.00	6,000.00
21	731623	MINOR CONCRETE (CURB RAMP)	<b>a</b>	-	1,750.00	1,750.00	2,500.00	2,500.00
22	731521	MINOR CONCRETE (SIDEWALK)	SQFT	18,300	4.00	73,200.00	4.50	82,350.00
23	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	SQFT	150	3.90	585.00	8.00	1,200.00
24	150710	REMOVE TRAFFIC STRIPE	5	5,400	0.55	2,970.00	0.55	2,970.00
25	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	1,000	3.70	3,700.00	3.60	3,600.00
56	840656	PAINT TRAFFIC STRIPE (2-COAT)	5	16,100	0.27	4,347.00	0.27	4,347.00
27	597401	PAINT CURB (2-COAT)	느	1,000	0.73	730.00	0.70	700.00
28	850102	PAVEMENT MARKER (REFLECTIVE)	4	240	2.85	684.00	2.80	672.00
59	860601	FLASHING BEACON	ā	2	17,800.00	35,600.00	17,000.00	34,000.00
30	010602	MISCELLANEOUS WORK (AS DIRECTED)	FA	-	30,000.00	30,000.00	30,000.00	30,000.00
TOTAL, ITEMS 1-30	MS 1-30					670,891.00		690,343.75

Riversid Summai	Riverside County Summary of Bids	Riverside County Transportation Department Summary of Bids		PROJECT: Pyrite Stree	PROJECT: Pyrite Street, Sidewalk Improvement Project	ment Project		
Advertised Addenda:	d: March 15, 1 (3/31/2016	Advertised: March 15, 2016 (Agenda Item: 3-22) Addenda: 1 (3/31/2016)		Between Galena Stre City of Jurupa Valley Project No. C5-0081	Between Galena Street and Mission Boulevard City of Jurupa Valley Project No. C5-0081	ssion Boulevard		
ado spio	i z pili Da	ie. weuliesuay, Apill o, 2010			6 on General Engineering Inc	oring Inc	7 7 Callege Construction Inc.	retion Inc
BASE BID	BASE BID SCHEDULE				Corona, CA 92878	, m.c.	Pomona, CA 91766	ction, mc.
ITEM NO.	ITEM CODE	CONTRACT ITEM	UNITS	QUANTITY	BID UNIT PRICE	BID ESTIMATE	BID UNIT PRICE	BID ESTIMATE
-	066102	DUST ABATEMENT	rs	-	10,000.00	10,000.00	7,675.00	7,675.00
2	074020	WATER POLLUTION CONTROL	rs	-	2,500.00	2,500.00	4,281.00	4,281.00
ю	120100	TRAFFIC CONTROL SYSTEM	rs	_	25,000.00	25,000.00	5,496.00	5,496.00
4	170101	DEVELOP WATER SUPPLY	rs	-	2,000.00	2,000.00	1,213.00	1,213.00
5	160101	CLEARING AND GRUBBING	ST	-	10,000.00	10,000.00	7,318.00	7,318.00
ဖ	015602	PROJECT FUNDING IDENTIFICATION SIGN	4	2	1,200.00	2,400.00	674.00	1,348.00
7	066578	PORTABLE CHANGEABLE MESSAGE SIGNS	<u>a</u>	2	4,000.00	8,000.00	2,669.00	5,338.00
∞	066565	RELOCATE SIGN	4	18	150.00	2,700.00	122.00	2,196.00
6	152370	RELOCATE MAILBOX	ā	-	300.00	300.00	180.00	180.00
10	190101	ROADWAY EXCAVATION	Շ	1,810	125.00	226,250.00	51.00	92,310.00
=	011901	SELECT NATIVE MATERIAL	Շ	300	45.00	13,500.00	134.00	40,200.00
12	260201	CLASS 2 AGGREGATE BASE	չ	910	45.00	40,950.00	56.00	50,960.00
13	390130	HOT MIX ASPHALT	NOT	750	85.00	63,750.00	107.00	80,250.00
4	013903	PLACE ASPHALT CONCRETE DIKE (CRS 212) (6")	F	150	10.00	1,500.00	21.00	3,150.00
15	017304	MINOR CONCRETE (CURB AND GUTTER) (CRS 200)	님	3,450	19.00	65,550.00	27.00	93,150.00
16	017310	MINOR CONCRETE (DRIVEWAY APPROACH) (CRS 207)	Ā	5	3,000.00	15,000.00	858.00	4,290.00
17	017303	MINOR CONCRETE (SPANDREL) (CRS 209)	SQFT	3,515	8,00	28,120.00	18.00	63,270.00
18	017302	MINOR CONCRETE (CROSS-GUTTER) (CRS 209)	SQFT	1,900	8.00	15,200.00	19.07	36,233.00
61	017315	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE A)	Ā	10	2,000.00	20,000.00	2,058.00	20,580.00
20	017316	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE B)	A	ო	2,000.00	6,000.00	1,847.00	5,541.00
21	731623	MINOR CONCRETE (CURB RAMP)	4	-	2,000.00	2,000.00	2,602.00	2,602.00
22	731521	MINOR CONCRETE (SIDEWALK)	SQFT	18,300	3.00	54,900.00	5.57	101,931.00
23	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	SQFT	150	10.00	1,500.00	13.50	2,025.00
24	150710	REMOVE TRAFFIC STRIPE	<b>5</b>	5,400	1.00	5,400.00	0.63	3,402.00
25	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	1,000	4.65	4,650.00	4.25	4,250.00
26	840656	PAINT TRAFFIC STRIPE (2-COAT)	5	16,100	0.50	8,050.00	0.32	5,152.00
27	597401	PAINT CURB (2-COAT)	님	1,000	1.65	1,650.00	0.85	850.00
28	850102	PAVEMENT MARKER (REFLECTIVE)	Ā	240	6.00	1,440.00	3.30	792.00
59	860601	FLASHING BEACON	4	2	20,000.00	40,000.00	20,527.00	41,054.00
30	010602	MISCELLANEOUS WORK (AS DIRECTED)	FA	-	30,000.00	30,000.00	30,000.00	30,000.00
TOTAL, ITEMS 1-30	EMS 1-30					708,310.00		717,037.00

Riversid	Riverside County	Transportation Department	3"	PROJECT:	PROJECT:	tojout		
od IIIIa	Spin io 6			Between G	Fine Super, Supervain Improvement Froger, Between Galena Street and Mission Boulevard	ssion Boulevard		
Advertised: Marcl Addenda: 1 (3/31 Bids Open: 2 pm	ح 27	15, 2016 (Agenda Item: 3-22) :016) Date: Wednesday, April 6, 2016		City of Jurupa Valley Project No. C5-0081	pa Valley C5-0081			
BASE BID SCHEDULE	CHEDINI F				IVL Contractors, Inc. Rialto, CA 92377	ن	9 Beador Construction Company Corona, CA 92883	n Company
ITEM NO.	ITEM CODE	CONTRACT ITEM	UNITS	QUANTITY	BID UNIT PRICE	BID ESTIMATE	BID UNIT PRICE	BID ESTIMATE
-	066102	DUST ABATEMENT	ST	+-	10,000.00	10,000.00	5,000.00	5,000.00
2	074020	WATER POLLUTION CONTROL	ST	-	20,000.00	20,000.00	25,000.00	25,000.00
ю	120100	TRAFFIC CONTROL SYSTEM	rs	-	20,000.00	20,000.00	124,587.75	124,587.75
4	170101	DEVELOP WATER SUPPLY	rs	-	5,000.00	5,000.00	2,000.00	2,000.00
cy.	160101	CLEARING AND GRUBBING	ST	-	9,500.00	9,500.00	5,000.00	5,000.00
9	015602	PROJECT FUNDING IDENTIFICATION SIGN	4	7	500.00	1,000.00	5,000.00	10,000.00
2	066578	PORTABLE CHANGEABLE MESSAGE SIGNS	4	2	2,500.00	5,000.00	3,000.00	6,000.00
80	066565	RELOCATE SIGN	<u>a</u>	18	120.00	2,160.00	115.00	2,070.00
6	152370	RELOCATE MAILBOX	8	-	120.00	120.00	265.00	265.00
10	190101	ROADWAY EXCAVATION	Շ	1,810	58.50	105,885.00	38.00	68,780.00
7	011901	SELECT NATIVE MATERIAL	≿	300	118.00	35,400.00	65.00	19,500.00
12	260201	CLASS 2 AGGREGATE BASE	≿	910	80.00	72,800.00	52.00	47,320.00
13	390130	HOT MIX ASPHALT	NOT	750	100.00	75,000.00	100.00	75,000.00
41	013903	PLACE ASPHALT CONCRETE DIKE (CRS 212) (6")	ㅂ	150	30.00	4,500.00	29.00	4,350.00
15	017304	MINOR CONCRETE (CURB AND GUTTER) (CRS 200)	ㅂ	3,450	20.00	00'000'69	32.00	110,400.00
16	017310	MINOR CONCRETE (DRIVEWAY APPROACH) (CRS 207)	Æ	ഹ	1,500.00	7,500.00	2,350.00	11,750.00
17	017303	MINOR CONCRETE (SPANDREL) (CRS 209)	SQFT	3,515	13.00	45,695.00	7.15	25,132.25
18	017302	MINOR CONCRETE (CROSS-GUTTER) (CRS 209)	SQFT	1,900	12.00	22,800,00	7.15	13,585.00
19	017315	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE A)	4	9	1,880.00	18,800.00	3,950.00	39,500.00
20	017316	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE B)	<u>a</u>	ь	1,880.00	5,640.00	3,950.00	11,850.00
21	731623	MINOR CONCRETE (CURB RAMP)	4	-	1,880.00	1,880.00	3,950.00	3,950,00
22	731521	MINOR CONCRETE (SIDEWALK)	SQFT	18,300	5.50	100,650.00	4.00	73,200.00
23	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	SQFT	150	5.50	825.00	17.50	2,625.00
24	150710	REMOVE TRAFFIC STRIPE	ㅂ	5,400	0.63	3,402.00	09.0	3,240.00
25	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	1,000	4.20	4,200.00	3.70	3,700.00
56	840656	PAINT TRAFFIC STRIPE (2-COAT)	4	16,100	0.32	5,152.00	0.25	4,025.00
27	597401	PAINT CURB (2-COAT)	5	1,000	0.85	850.00	0.75	750.00
28	850102	PAVEMENT MARKER (REFLECTIVE)	4	240	3.25	780.00	3.00	720.00
59	860601	FLASHING BEACON	<u>a</u>	2	22,000.00	44,000.00	17,500.00	35,000.00
30	010602	MISCELLANEOUS WORK (AS DIRECTED)	FA	-	30,000.00	30,000.00	30,000.00	30,000.00
TOTAL, ITEMS 1-30	MS 1-30					727,539.00		764,300.00

Riversid Summar	e County y of Bids	Riverside County Transportation Department Summary of Bids		PROJECT: Pyrite Stree	PROJECT: Pyrite Street, Sidewalk Improvement Project	ment Project	
Advertised	t: March 15,	2016 (Agenda Item: 3-22)		Between Galena Stre City of Jurupa Valley	Between Galena Street and Mission Boulevard City of Jurupa Valley	sion Boulevard	
Addenda: Bids Open	1 (3/31/201 : 2 pm Da	Addenda: 1 (3/31/2016) Bids Open: 2 pm Date: Wednesday, April 6, 2016		Project No. C5-0081			
BASE BID SCHEDULE	CHEDULE				10 R-JS General Construction Riverside, CA 92501	ruction	
ITEM NO.	ITEM CODE	CONTRACT ITEM	UNITS	QUANTITY	BID UNIT PRICE	BID ESTIMATE	
-	066102	DUST ABATEMENT	SI	-	15,000.00	15,000.00	
2	074020	WATER POLLUTION CONTROL	ST	-	15,000,00	15,000.00	
ო	120100	TRAFFIC CONTROL SYSTEM	rs	-	15,000.00	15,000.00	
4	170101	DEVELOP WATER SUPPLY	S	-	10,000.00	10,000.00	
S	160101	CLEARING AND GRUBBING	S	-	62,000.00	62,000.00	
9	015602	PROJECT FUNDING IDENTIFICATION SIGN	ā	7	1,700.00	3,400.00	
7	066578	PORTABLE CHANGEABLE MESSAGE SIGNS	\$	7	1,800.00	3,600.00	
∞	066565	RELOCATE SIGN	<b>a</b>	18	150.00	2,700.00	
თ	152370	RELOCATE MAILBOX	\$	-	200.00	200.00	
10	190101	ROADWAY EXCAVATION	≿	1,810	55.00	99,550.00	
17	011901	SELECT NATIVE MATERIAL	≿	300	40.00	12,000.00	
12	260201	CLASS 2 AGGREGATE BASE	Շ	910	50.00	45,500.00	
13	390130	HOT MIX ASPHALT	NOT	750	95.00	71,250.00	
41	013903	PLACE ASPHALT CONCRETE DIKE (CRS 212) (6")	ㅂ	150	25.00	3,750.00	
15	017304	MINOR CONCRETE (CURB AND GUTTER) (CRS 200)	<b>5</b>	3,450	38.00	131,100.00	
16	017310	MINOR CONCRETE (DRIVEWAY APPROACH) (CRS 207)	<u>a</u>	S	1,500.00	7,500.00	
17	017303	MINOR CONCRETE (SPANDREL) (CRS 209)	SQFT	3,515	12.00	42,180.00	
18	017302	MINOR CONCRETE (CROSS-GUTTER) (CRS 209)	SQFT	1,900	15.00	28,500.00	
19	017315	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE A)	A	10	2,500.00	25,000.00	
50	017316	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE B)	ā	က	2,650.00	7,950.00	
21	731623	MINOR CONCRETE (CURB RAMP)	<b>a</b>	-	2,500.00	2,500.00	
22	731521	MINOR CONCRETE (SIDEWALK)	SQFT	18,300	5.00	91,500.00	
23	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	SQFT	150	6.50	975.00	
24	150710	REMOVE TRAFFIC STRIPE	۳	5,400	0.70	3,780.00	
25	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	1,000	4.25	4,250.00	
56	840656	PAINT TRAFFIC STRIPE (2-COAT)	5	16,100	0.35	5,635.00	
27	597401	PAINT CURB (2-COAT)	느	1,000	06.0	00.006	
28	850102	PAVEMENT MARKER (REFLECTIVE)	4	240	3.50	840.00	
59	860601	FLASHING BEACON	ā	2	18,000.00	36,000.00	
30	010602	MISCELLANEOUS WORK (AS DIRECTED)	FA	1	30,000.00	30,000.00	
TOTAL, ITEMS 1-30	MS 1-30					777,560.00	
1	:			4			



#### **COUNTY OF RIVERSIDE**

### TRANSPORTATION AND LAND MANAGEMENT AGENCY



#### **Transportation Department**

#### **ADDENDUM NUMBER 1**

Dated March 31, 2016

to the Specifications and Contract Documents for the construction of

Pyrite Street
Sidewalk Improvement Project
Between Galena Street and Mission Boulevard
City of Jurupa Valley
Project No. C5-0081

**Bids Due:** 

Wednesday, April 6, 2016; 2:00 p.m. 14<sup>th</sup> Street Transportation Annex 3525 14<sup>th</sup> Street; Riverside, CA 92501 (951) 955-6780

This Addendum is issued pursuant to the Instructions to Bidders, Item No. 8, of the Contract Documents for the reference project. This Addendum is issued as a supplement to the specification and special provisions for the referenced project. The revisions to the specifications shall become a part of the Contract Documents, and each bidder shall acknowledge receipt thereof on the Bid (Proposal). Bidders are directed to sign this addendum as acknowledged, and attach the signed addendum to the contractor's submitted proposal.

Note: During the advertisement period of this project, this document and attachments (if any) are available upon request at the office of the Transportation Department, and are available as a free download at the Transportation Department's website:

http://rctlma.org/trans/Contractors-Corner/Notices-Inviting-Bids

#### **MODIFICATIONS / CLARIFICATIONS TO THE PLANS**

Item 1: Construction and Quantity Estimates Table. Refer to 'Construction and Quantity Estimates' table on sheet 2 of 7 on the plans.

Delete all the quantities found in the table. These quantities are inaccurate and were inadvertently not deleted from the plans.

Contractors shall only refer to the quantities found on the bid items list, Proposal, on pages B2 through B3 of the bidding documents, for the preparation of their bid proposals.

Addendum No. 1, Page 2 of

Addendum No. 1, Pyrite Street Sidewalk Improvement Project, Between Galena Street and Mission Boulevard City of Jurupa Valley, Project No. C5-0081 March 31, 2016 Page 2 of 2

This addendum has been prepared under the direction of the following registered Civil Engineer(s):

3-31-16 Michael Mueting, PE



Concurrence:

Khalid Nasim, PE

**Engineering Division Manager** 

Acknowledged: Date: (Contractor)

3/31/16

JRJ:jrj:rr

Note: Refer to Instruction to Bidders Item No. 8, "Addenda". Submission of all addendum pages and nonbidding document attachments of addendum are not necessary for Bid submittal. Submittal of this acknowledgement page is adequate for Bid reception. Bidders are reminded to list addendum number(s) received on the first page of the Bid form (Proposal).

#### Contract

THIS CONTRACT is entered into at Riverside, California as of the date set forth below is between County of Riverside hereafter called "County" and <u>Hardy & Harper, Inc.</u>, hereafter called "Contractor".

#### WITNESSETH

#### Recitals:

- 1. Contractor has submitted to County his Contractor's Proposal for the construction of County Project, <u>Pyrite Street, Sidewalk Improvement Project, Between Galena Street and Mission Boulevard, City of Jurupa Valley, Project No. C5-0081</u>, in strict accordance with the Contract Documents identified below and County has accepted said Proposal.
- 2. Contractor states that he has reexamined his Contractor's Proposal and found it to be correct, has ascertained that his subcontractors are properly licensed and possess the requisite skill and forces, has reexamined the site and Contract Documents and is of the opinion that he can presently do the work in accordance with the Contract Documents for the money set forth in his Proposal to be paid as provided in the Contract Documents.

#### Agreement:

It is agreed by the parties as follows:

#### 1. Contract Documents

The entire Contract consists of the following: (a) The Construction Contract, (b) The Notice to Bidders. (c) The Instruction to Bidders, (d) The Bid, (e) The Bid Bond, (f) The Payment Bond, (g) The Performance Bond, (h) The General Conditions, (i) The Special Provisions, (j) The Standard Specifications of the State of California Department of Transportation edition of May 2006 as modified in other portions of the Contract Documents and as amended by the State of California Department of Transportation, (k) The Standard Plans of the Department of Transportation identified on the plans or in the Special Provisions, (l) The Plans, (m) Addenda one, (n) The Determination of Prevailing Wage Rates for Public Works, (o) Any Change Orders issued, and (p) Any additional or supplemental specifications, notice, instructions and drawings issued in accordance with the provisions of the Contract Documents. All of said Documents presently in existence are by this reference incorporated herein with like effect as if here set forth in full and upon the proper issuance of other documents they shall likewise be deemed incorporated. The Bid Bond is exonerated upon execution of this Contract and the Payment Bond and Performance Bond.

JUL 1 2 2016 3-51

#### 2. The Work

Contractor shall do all tasks necessary to construct the work generally described in Recital No. 1 in accordance with the Contract Documents.

#### 3. Liquidated Damages and Time of Completion

Attention is directed to the provisions in Section 8-1.06,"Time of Completion", and in Section 8-1.07 "Liquidated Damages", of the Standard Specifications and these Special Provisions.

Standard Specification Section 8-1.03, "Beginning of Work" is modified to read as follows:

The Contractor shall begin work within <u>fifteen (15)</u> calendar days, or as revised in the Special Provisions, of the date stated within the written "Notice to Proceed".

The Contractor shall notify the Engineer, in writing, of the Contractor's intent to begin work at least 72 hours before work is begun. If the project has more than one (1) location of work, Contractor shall submit a separate notice for each location. The notice shall be delivered to the Transportation Department's Construction Engineer and shall specify the date the Contractor intends to start at said location.

Should the Contractor begin work in advance of receiving a written "Notice to Proceed", any work performed by the Contractor in advance of the date stated in the "Notice to Proceed" shall be considered as having been done by the Contractor at his own risk and as a volunteer and subject to the following:

- A. The Contractor shall, on commencing operations, take all precautions required for public safety and shall observe all the provisions in the Specifications and the Special Provisions.
- B. All work done according to the Contract, prior to the issuance of the "Notice to Proceed", will be considered authorized work and will be paid for as provided in the contract.
- C. The Contractor shall not be entitled to any additional compensation or an extension of time for any delay, hindrance or interference caused by or attributable to commencement of work prior to the issuance of the "Notice to Proceed".

#### 4. Compensation

Contractor shall be paid in the manner set forth in the Contract Documents the amount of his Proposal as accepted by County, the above rates, subject to additions and deductions as provided in the Contract Documents. Said Proposal is on file in the Office of the Clerk of the Board of Supervisors of County.

## Pyrite Street Sidewalk Improvement Project Between Galena Street and Mission Boulevard City of Jurupa Valley

#### Project No. C5-0081

#### Contract

ITEM No.	ITEM	ITEM	UNIT	ESTIMATED QUANTITY	ITEM PRICE (IN FIGURES)	TOTAL (IN FIGURES)
1	066102	DUST ABATEMENT	LS	1	13,000.00	13,000.00
2	074020	WATER POLLUTION CONTROL	LS	1	9,069.20	9,069.20
3	120100	TRAFFIC CONTROL SYSTEM	LS	1	38,000.00	38,000.00
4	170101	DEVELOP WATER SUPPLY	LS	1	4,000.00	4,000.00
5	160101	CLEARING AND GRUBBING	LS	1	38,000.00	38,000.00
6	015602	PROJECT FUNDING IDENTIFICATION SIGN	EA	2	800.00	1,600.00
7	066578	PORTABLE CHANGEABLE MESSAGE SIGNS	EA	2	1,500.00	3,000.00
8	066565	RELOCATE SIGN	EA	18	100.00	1,800.00
9	152370	RELOCATE MAILBOX	EA	1	800.00	800.00
10	190101	ROADWAY EXCAVATION	CY	1,810	38.38	69,467.80
11	011901	SELECT NATIVE MATERIAL	CY	300	52.50	15,750.00
12	260201	CLASS 2 AGGREGATE BASE	CY	910	42.50	38,675.00
13	390130	HOT MIX ASPHALT	TON	750	77.00	57,750.00
14	013903	PLACE ASPHALT CONCRETE DIKE (CRS 212) (6")	LF	150	20.00	3,000.00
15	017304	MINOR CONCRETE (CURB AND GUTTER) (CRS 200)	LF	3,450	20.00	69,000.00
16	017310	MINOR CONCRETE (DRIVEWAY APPROACH) (CRS 207)	EA	5	3,000.00	15,000.00
17	017303	MINOR CONCRETE (SPANDREL) (CRS 209)	SQFT	3,515	7.00	24,605.00
18	017302	MINOR CONCRETE (CROSS-GUTTER) (CRS 209)	SQFT	1,900	7.00	13,300.00
19	017315	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE A)	EA	10	2,650.00	26,500.00
20	017316	MINOR CONCRETE (CURB RAMP) (CRS 403 - CASE B)	EA	3	2,650.00	7,950.00
21	731623	MINOR CONCRETE (CURB RAMP)	EA	1	2,650.00	2,650.00
22	731521	MINOR CONCRETE (SIDEWALK)	SQFT	18,300	3.21	58,743.00
23	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	SQFT	150	12.00	1,800.00
24	150710	REMOVE TRAFFIC STRIPE	LF	5,400	0.55	2,970.00
25	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	1,000	3.77	3,770.00
26	840656	PAINT TRAFFIC STRIPE (2-COAT)	LF	16,100	0.30	4,830.00
27	597401	PAINT CURB (2-COAT)	LF	1,000	0.77	770.00
28	850102	PAVEMENT MARKER (REFLECTIVE)	EA	240	5.00	1,200.00
29	860601	FLASHING BEACON	EA	2	19,000.00	38,000.00
30	010602	MISCELLANEOUS WORK (AS DIRECTED)	FA	1	30,000.00	30,000.00

PROJECT TOTAL: ITEMS 1-30

"Five hundred ninety five thousand dollars and zero cents"

<u>\$595,000.00</u>

"WORDS"

## Pyrite Street Sidewalk Improvement Project Between Galena Street and Mission Boulevard City of Jurupa Valley

#### Project No. C5-0081

IN WITNESS WHEREOF the parties hereto have executed this Contract as of the date set forth below.

COUNTY OF RIVERSIDE  BY: Sem Denort	HARDY & HARPER, INC. BY:
Chairman, Board of Supervisors	Steve Kirschner
DATED: JUL 1 2 2016	TITLE: <u>Vice President</u> (If Corporation, affix Seal)
ATTEST:  Kecia Harper-Ihem, Clerk of the Board	ATTEST: ROOM
Trees There are a series of the Board	Kristen Paulino
Bet will after	TITLE: Corporate Secretary
Deputy	Licensed in accordance with an act providing for the registration of Contractors,
	License No.: 215952
	Federal Employer Identification Number: 95–2251022
BY: Warsha Wictor 6/29/16 MARSHAL VICTOR DATE	Department of Industrial Relations Registration  Number:1000000076
BY	
"County"	"Corporation"

#### MINUTES OF BOARD OF DIRECTORS HELD ON NOVEMBER 15, 2005

The Board of Directors met this date pursuant to the president's request for a special board meeting. Notice was waived. All directors being present in the office of the corporation the meeting was called to order by the president and;

The Secretary then presented the waiver of notice which was agreed to by all directors and entered in the minutes.

The following directors were present being all the acting directors:

Fred T. Maas, Jr. Daniel Maas Steven M. Kirschner Kristen S. Paulino

The President, Fred T. Maas Jr., then called the board's attention to the purpose of the meeting which was to authorize Daniel Maas as a director and CEO, Steven M. Kirschner as a director and Vice President, and Kristen S. Paulino as a director and secretary of the corporation to enter into contracts, bids and other documents on behalf of the corporation.

On motion unanimously carried the following resolution was adopted:

Daniel Maas, Steven M. Kirschner, and Kristen S. Paulino are authorized to sign contracts, bids, credit applications and necessary documents on behalf of the corporation to bind the corporation as he and the president of the corporation agree.

There being no further business, the meeting was adjourned.

DATED: November 15, 2005

FRED T. MAAS, JR., President

KRISTEN'S, PAULINO, Secretary

#### **Performance Bond**

#### Recitals:

1.	Hardy & Harper, Inc. (Contractor) has entered into a Contract with COUNTY OF RIVERSIDE (County) for construction of public work known as <a href="Pyrite Street">Pyrite Street</a> , Sidewalk Improvement Project, <a href="Between Galena Street">Between Galena Street</a> and Mission Boulevard, City of Jurupa Valley, Project No. C5-0081.				
2.	is t	the Surety under this Bond.	, a	corporation (Surety),	
<u>Ag</u>	ree	ment:			
		ontractor as Principal and Surety as y, as obligee, as follows:	s Surety, jointly and seve	rally agree, state, and are bound unto	
	1.	The state of the s		imated contract price for the Project of zero cents) and inures to the benefit of	
	2.	conformance with the Contract Do	ocuments for this project, age and expense of County	be kept and performed by it in strict otherwise it remains in full force and resulting from failure of Contractor to rein.	
	3. This obligation is binding on our successors and assigns.				
	4.	Contractor, alteration or addition to work to be performed thereunder sh	o the terms and requiremenall affect its obligations l	nange, time extension, prepayment to ents of the Contract Documents or the nereunder and waives notice as to such by more than 10% without approval of	
TH	IS I	BOND is executed as of			
Ву	·		Ву		
Ву	, <u> </u>		Type Name		
Tit	tle.			Its Attorney in Fact "Surety"	
		"Contractor"			
		(Corporate Seal)		(Corporate Seal)	

NOTE: This Bond must be executed by both parties with corporate seal affixed. <u>All</u> signatures must be acknowledged. (Attach acknowledgements).

Payment Bond
(Public Works - Civil Code §9550 et seq.)

The makers of this Bond are Hardy &	Harper, Inc., as Principal and Original Contractor and a corporation, authorized to issue Surety Bonds in
executed between Principal and COUNTY (Five hundred ninety five thousand dollars a bond is one hundred percent (100%) of said s	, a corporation, authorized to issue Surety Bonds in in conjunction with that certain public works contract to be DF RIVERSIDE a public entity, as Owner, for \$ 595,000.00 and zero cents), the total amount payable. The amount of this sum. Said contract is for public work generally consisting of tet, Between Galena Street and Mission Boulevard, City of
Jurupa Valley, Project No. C5-0081.	et, between Galeia Street and Mission Boulevard, City of
this Bond are as is set forth in 9554, 9558, 95	in 9554 of the Civil Code and requirements and conditions of 60 and 9564 of said code. Without notice, Surety consents to requirements, amount of compensation, or prepayment under
Dated:	
	Original Contractor – Principal
	Ву
Surety	
	mu.
By	Title
Its Attorney In Fact	(If corporation, affix seal)
(Corporate Seal)	(Corporate Seal)
· -	(Corporate Scar)
STATE OFCOUNTY OF	ss. SURETY'S ACKNOWLEDGEMENT
On before me,	personally appeared, known to me, or proved to me on the basis of satisfactory
evidence, to be the person whose name is sub-	scribed to the within instrument and acknowledged to me that ties, and that by his signature on the instrument the person, or
WITNESS my hand and official seal.	
Signature of Notary Public	Notary Public (Seal)
NOTE: This Bond must be executed by must be acknowledged. (Attach	both parties with corporate seal affixed. <u>All</u> signatures acknowledgements).

This bond was executed in two (2) identical counterparts.

### THE FINAL PREMIUM IS PREDICATED ON THE FINAL CONTRACT PRICE Performance Bond

Bond No. PRF7649024 Premium: \$3,199.00

#### Recitals:

1/C	citais.		
1.	Hardy & Harper, Inc. (Contractor) has entered (County) for construction of public work kn Between Galena Street and Mission Bouleva	nown as Pyrite Street,	Sidewalk Improvement Project,
2.	Fidelity and Deposit Company of Maryland, a Mis the Surety under this Bond.	1aryland	corporation (Surety),
<u>Ag</u>	rcement:		
	e, Contractor as Principal and Surety as Suret unty, as obligee, as follows:	y, jointly and severally	agree, state, and are bound unto
	1. The amount of the obligation of this Bond 595,000.00 (Five hundred ninety five thou County.		
	2. This Bond is exonerated by Contractor of conformance with the Contract Document effect for the recovery of loss, damage and so act. All of said Contract Documents as	nts for this project, other expense of County resu	rwise it remains in full force and
	3. This obligation is binding on our successor	ors and assigns.	
	4. For value received, Surety stipulates and Contractor, alteration or addition to the te work to be performed thereunder shall affi matters, except the total contract price car Surety.	erms and requirements of ect its obligations hereu	of the Contract Documents or the nder and waives notice as to such
TH	IS BOND is executed as of April 15, 2015	71111	
Ву	Hardy & Marper Inc.	_ ` `	it Company of Maryland
Ву	Kristen Paulino - Secretary	Type Name Dwight	Reilly
T!.	10		Its Attorney in Fact "Surety"
111	le		
	"Contractor"		

NOTE: This Bond must be executed by both parties with corporate seal affixed. All signatures must be acknowledged. (Attach acknowledgements).

(Corporate Seal)

(Corporate Seal)

#### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

\(\text{\text{constant}}\)			
	ificate verifies only the identity of the individual who signed the ot the truthfulness, accuracy, or validity of that document.		
State of California	)		
County ofORANGE	)		
OnApril 18, 2016 before me,	IO-ANN LYONS NOTARY PUBLIC		
Date	Here Insert Name and Title of the Officer		
personally appeared Steve Kirschner			
	Name(s) of Signer(s)		
Kristen Paulino			
subscribed to the within instrument and ackn	ory evidence to be the person(s) whose name(s) ks/are owledged to me that he/ske/they executed the same in y his/hær/their signature(s) on the instrument the person(s), acted, executed the instrument.		
	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.		
JO-ANN LYONS Commission # 2023055 Notary Public - California Orange County My Comm. Expires May 3, 2017	Signature Signature of Notary Public		
Place Notary Seal Above	ORTIONAL		
Though this section is optional, completing t	OPTIONAL ————————————————————————————————————		
<b>Description of Attached Document</b>			
	Document Date: April 15, 2016		
Number of Pages:1 Signer(s) Other	Than Named Above:Dwight Reilly		
Capacity(ies) Claimed by Signer(s) Signer's Name: Steve Kirschner	Signer's Name: Kristen Paulino		
✓ Corporate Officer — Title(s): Vice President			
☐ Partner — ☐ Limited ☐ General	☐ Partner — ☐ Limited ☐ General		
☐ Individual ☐ Attorney in Fact ☐ Guardian or Conservator	<ul><li>☐ Individual</li><li>☐ Attorney in Fact</li><li>☐ Guardian or Conservator</li></ul>		
Other:	Other:		
Signer Is Representing:			
Hardy & Harper, Inc.	Hardy & Harper, Inc.		

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#### **ACKNOWLEDGMENT**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California County ofOrange)					
On April 15, 2016 before me,	Susan Pugh, Notary Public (insert name and title of the officer)				
personally appearedDwight Reilly who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/axx subscribed to the within instrument and acknowledged to me that he/sche/shay executed the same in his/her/sheix authorized capacity(ias), and that by his/her/sheix signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.					
I certify under PENALTY OF PERJURY under the paragraph is true and correct.	e laws of the State of California that the foregoing				
WITNESS my hand and official seal.	SUSAN PUGH COMM. #2105405 Notary Public-California ORANGE COUNTY				
Signature Susan Pugh	(Seal)				

1	Р	R	F7	76	49	٩n	24	1

**Bond Number** 

County of Riverside

Obligee

#### ZURICH AMERICAN INSURANCE COMPANY COLONIAL AMERICAN CASUALTY AND SURETY COMPANY FIDELITY AND DEPOSIT COMPANY OF MARYLAND POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Maryland, and the FIDELITY
AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Maryland (herein collectively called the "Companies"), by
Michael P. Bond, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are
set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute,
and appoint Dwight Reilly, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all
lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all
bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said
Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected
officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected
officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper
persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 15th day of April , A.D. 2016 .

ATTEST

ZURICH AMERICAN INSURANCE COMPANY COLONIAL AMERICAN CASUALTY AND SURETY COMPANY FIDELITY AND DEPOSIT COMPANY OF MARYLAND







By:

Secretary Gerald F. Haley Vice President Michael P. Bond

State of Maryland County of Baltimore

On this 15th day of April A.D. 2016, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, Michael P. Bond, Vice President and Gerald F. Haley, Secretary of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposeth and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Constance a. Dunn

Constance A. Dunn, Notary Public My Commission Expires: July 9, 2019

#### EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, <u>Attorneys-in-Fact</u>. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify of revoke any such appointment or authority at any time."

#### **CERTIFICATE**

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 15th day of April 2016





Thomas O. McClellan, Vice President



#### Company Profile

Company Search

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Names

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Lines of Business

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Compensation
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Request for
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Quarterly Statements

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Company Enforcement Action

Composite Complaints Studies

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Find A Company Representative In Your Area

View Financial Disclaimer

#### **COMPANY PROFILE**

#### **Company Information**

#### FIDELITY AND DEPOSIT COMPANY OF MARYLAND

1400 AMERICAN LANE, 19TH FLOOR SCHUAMBURG, IL 60196-1056 800-382-2150

#### **Old Company Names**

**Effective Date** 

#### Agent For Service

KARISSA LOWRY

2710 GATEWAY OAKS DRIVE

SUITE 150N

SACRAMENTO CA 95833

#### **Reference Information**

NAIC #:	39306	
California Company ID #:	2479-4	
Date Authorized in California:	01/01/1982	
License Status:	UNLIMITED-NORMAL	
Company Type:	Property & Casualty	
State of Domicile:	MARYLAND	

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#### **NAIC Group List**

NAIC Group #:

0212 ZURICH INS GRP

#### **Lines Of Business**

The company is authorized to transact business within these lines of insurance. For an explanation of any of these terms, please refer to the glossary.

AIRCRAFT

AUTOMOBILE

BOILER AND MACHINERY

BURGLARY

CREDIT

FIRE

LIABILITY

MARINE

MISCELLANEOUS

PLATE GLASS

SPRINKLER SURETY

TEAM AND VEHICLE

WORKERS' COMPENSATION

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THE FINAL PREMIUM IS PREDICATED ON THE

This bond was executed in two (2) identical counterparts.

#### Payment Bond FINAL CONTRACT PRICE

Bond No. PRF7649024 Premium: See

(Public Works - Civil Code §9550 et seq.) Performance Bond

The makers of this Bond are Hardy & Harper, Inc., as Principal and Original Contractor and , a corporation, authorized to issue Surety Bonds in Fidelity and Deposit Company of Maryland California, as Surety, and this Bond is issued in conjunction with that certain public works contract to be executed between Principal and COUNTY OF RIVERSIDE a public entity, as Owner, for \$ 595,000.00 (Five hundred ninety five thousand dollars and zero cents), the total amount payable. The amount of this bond is one hundred percent (100%) of said sum. Said contract is for public work generally consisting of Pyrite Street, Sidewalk Improvement Project, Between Galena Street and Mission Bouleyard, City of Jurupa Valley, Project No. C5-0081.

The beneficiaries of this Bond are as is stated in 9554 of the Civil Code and requirements and conditions of this Bond are as is set forth in 9554, 9558, 9560 and 9564 of said code. Without notice, Surety consents to extension of time for performance, change in requirements, amount of compensation, or prepayment under said contract.

Dated: _April 15, 2016	Hardy & Harper, Inc.
Fidelity and Deposit Company of Maryland Surety	By Steve Kirschner
By	Title Vice President
Dwight Reilly  Its Attorney In Fact	(If corporation, affix seal)
(Corporate Seal)	(Corporate Seal)
STATE OFCOUNTY OF	ss. SURETY'S ACKNOWLEDGEMENT
evidence, to be the person whose name is sub	personally appeared, known to me, or proved to me on the basis of satisfactory scribed to the within instrument and acknowledged to me that ities, and that by his signature on the instrument the person, or cted, executed the instrument.
WITNESS my hand and official seal.	
Signature of Notary Public	Notary Public (Seal)
NOTE: This Bond must be executed by	both parties with corporate seal affixed. All signatures

must be acknowledged. (Attach acknowledgements).

#### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

	s certificate verifies only the identity of the individual who signed the and not the truthfulness, accuracy, or validity of that document.			
State of California	)			
County of ORANGE	)			
	JO-ANN LYONS NOTARY PUBLIC			
Date	Here Insert Name and Title of the Officer			
personally appeared Steve Kirschner				
policinally appeared	Name(s) of Signer(s)			
subscribed to the within instrument and a	factory evidence to be the person(s) whose name(s) is/axe acknowledged to me that he/sixe/they executed the same in hat by his/his/their signature(s) on the instrument the person(s), on(s) acted, executed the instrument.			
	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.			
	WITNESS my hand and official seal.			
JO-ANN LYONS				
Commission # 2023055	Signature — Mm / S			
Orange County	Signature of Notary Public			
My Comm. Expires May 3, 2017				
Place Notary Seal Above				
	— OPTIONAL			
	ting this information can deter alteration of the document or at this form to an unintended document.			
	to this form to an animonaed document.			
Description of Attached Document  Title or Type of Document: Payment Bor	nd Document Date: April 15-2016			
Title or Type of Document: Payment Bond Document Date: April 15, 2016  Number of Pages: 1 Signer(s) Other Than Named Above: Dwight Reilly				
Capacity(ies) Claimed by Signer(s)				
Signer's Name: Steve Kirschner	Signer's Name:			
☑ Corporate Officer — Title(s): Vice Presid				
☐ Partner — ☐ Limited ☐ General	□ Partner — □ Limited □ General			
☐ Individual ☐ Attorney in Fact	☐ Individual ☐ Attorney in Fact			
☐ Trustee ☐ Guardian or Conserv				
Other:Signer Is Representing:	Other: Signer Is Representing:			
Hardy & Harper, Inc.	Olgitor to Frepresenting.			
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#### **ACKNOWLEDGMENT**

personally appeared \_ Dwight Reilly

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/sex subscribed to the within instrument and acknowledged to me that he/she/thæy executed the same in his/her/theix authorized capacity(ics), and that by his/her/theix signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Susan Pugh (S

A notary public or other officer completing this

(Seal)

(insert name and title of the officer)

SUSAN PUGH
COMM. #2105405 m
Notary Public-California 
ORANGE COUNTY
My Comm. Expires Apr 29, 2019

PR	F7	649	024
----	----	-----	-----

**Bond Number** 

County of Riverside

Obligee

#### ZURICH AMERICAN INSURANCE COMPANY COLONIAL AMERICAN CASUALTY AND SURETY COMPANY FIDELITY AND DEPOSIT COMPANY OF MARYLAND POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Maryland, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Maryland (herein collectively called the "Companies"), by Michael P. Bond, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint Dwight Reilly its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.
Petitoria.
The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.
IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 15th day of April , A.D. 2016 . ATTEST:
ZURICH AMERICAN INSURANCE COMPANY COLONIAL AMERICAN CASUALTY AND SURETY COMPANY FIDELITY AND DEPOSIT COMPANY OF MARYLAND
SEAL)





Secretary Gerald F. Haley

Vice President Michael P. Bond

State of Maryland County of Baltimore

On this 15th day of April A.D. 2016, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, Michael P. Bond, Vice President and Gerald F. Haley, Secretary of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposeth and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

stance a. Dunn

Constance A. Dunn, Notary Public My Commission Expires: July 9, 2019

#### **EXTRACT FROM BY-LAWS OF THE COMPANIES**

"Article V, Section 8, <u>Attorneys-in-Fact</u>. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify of revoke any such appointment or authority at any time."

#### **CERTIFICATE**

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 15th day of April , 2016.





Thomas O. McClellan, Vice President



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Request for
Action/Appeals

Contact Information Financial Statements

PDF's Annual Statements

Quarterly Statements

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Company Performance & Comparison Data

Company Enforcement Action

Composite Complaints Studies

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#### COMPANY PROFILE

#### **Company Information**

#### FIDELITY AND DEPOSIT COMPANY OF MARYLAND

1400 AMERICAN LANE, 19TH FLOOR SCHUAMBURG, IL 60196-1056 800-382-2150

#### **Old Company Names**

**Effective Date** 

#### **Agent For Service**

KARISSA LOWRY 2710 GATEWAY OAKS DRIVE SUITE 150N

SACRAMENTO CA 95833

#### Reference Information

NAIC #:	39306	
California Company ID #:	2479-4	
Date Authorized in California:	01/01/1982	
License Status	UNLIMITED-NORMAL	
Company Type:	Property & Casualty	
State of Domicile:	MARYLAND	

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#### **NAIC Group List**

NAIC Group #:

0212 ZURICH INS GRP

#### **Lines Of Business**

The company is authorized to transact business within these lines of insurance. For an explanation of any of these terms, please refer to the glossary.

AIRCRAFT

AUTOMOBILE

BOILER AND MACHINERY

BURGLARY

CREDIT

FIRE

LIABILITY

MARINE

MISCELLANEOUS

PLATE GLASS

SPRINKLER

SURETY

TEAM AND VEHICLE

WORKERS' COMPENSATION

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#### CERTIFICATE OF LIABILITY INSURANCE

DATE (MMIDDITYYY) 5/2/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s). PRODUCER Cheisea Anderson Wood Gutmann & Bogart PHONE IAIC NR EXH: 714-824-8392 E-MAIL ADDRESS; canderson@wgbib.com 15901 Red Hill Ave., Suite 100 License #0679263 Tustin CA 92780 INSURER(S) AFFORDING COVERAGE INSURER A : ZURICH AMERICAN INS CO 16535 INSURED HARDY-1 INSURER 8 : EVANSTON INS CO 35378 Hardy & Harper, Inc. INSURER C : First Mercury Insurance Co 10657 1312 E. Wamer Ave. INSURER D: Great American Insurance Co. 16691 Santa Ana CA 92705 COVERAGES CERTIFICATE NUMBER: 1370748415 **REVISION NUMBER:** HIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD MIDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. ADDL SUBR POLICY EFF POLICY EXP TYPE OF INSURANCE LIMITS POLICY NUMBER COMMERCIAL GENERAL LIABILITY WACGL000006023001 12/31/2015 12/31/2016 EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) CLAIMS-MADE X OCCUR \$100,000 MED EXP (Any one person) \$5,000 \$1,000,000 PERSONAL & ADV INJURY GEN'L AGGREGATE LIMIT APPLIES PER GENERAL AGGREGATE \$2,000,000 JECT POLICY PRODUCTS - COMP/OP AGG \$2,000,000 OTHER COMPINED SINGLE LIMIT (Ea accident) AUTOMOBILE LIABILITY 10/1/2015 BAP373845411 10/1/2016 \$1,000,000 X ANY AUTO **BODILY INJURY (Per person)** S SCHEDULED AUTOS NON-CWNED ALL OWNED AUTOS BODILY INJURY (Per accident ŝ PROPERTY DAMAGE (Per socident) Х × HIRED AUTOS \$ **AUTOS** 2 D UMBRELLA LIAB TUE406784500 12/31/2015 X 12/31/2016 \$10,000,000 OCCUR EACH OCCURRENCE EXCESS LIAR X \$10,000,000 CLAIMS-MADE AGGREGATE DED RETENTION 5 WORKERS COMPENSATION WC373645311 10/1/2015 10/1/2016 X PER STATUTE AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? E L EACH ACCIDENT \$1,000,000 N (Mandatory in NH) EL DISEASE - EA EMPLOYER \$1,000,000 l yes, describe under DESCRIPTION OF OPERATIONS below E L. DISEASE - POLICY LIMIT \$1,000,000 Pollation Liability 1SCPLOWEGGG89 7/1/2015 7/1/2017 \$2,000,000 Agg \$1,660,000 Occ. DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) ENDORSEMENTS ATTACHED ONLY APPLY AS REQUIRED BY WRITTEN CONTRACT SUBJECT TO THE TERMS AND CONDITIONS OF THE POLICY. RE: Pyrite St, Sidewalk Improvement Project Between Galena Street and Mission Blvd in City of Jurupa Valley - Project No. CS-0081 - 20108 CERTIFICATE HOLDER ADDITIONAL INSURED: The County of Riverside, its Agencies, Districts, Special Districts and Departments, their respective directors, officers, Board of Supervisors, See Attached... **CERTIFICATE HOLDER** CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE County of Riverside Transportation Department THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. Attn: Contracts/Bidding Unit 3525 14th St. Riverside, CA 92501 AUTHOBIZED REPRESENTATIVE

ACORD 25 (2014/01)

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AGENCY CUSTOMER ID: HARDY-1			
		LOC #:	
ACORD ADDITIONAL	L REMA	RKS SCHEDULE	Page 1 of 1
AGENCY Wood Gutmann & Bogart		NAMED INSURED Hardy & Harper, Inc.	
POLICY NUMBER		1312 E. Warner Ave.	
		Santa Ana CA 92705	
CARRIER	NAIC CODE		
A PRITONAL PENANCO	L	EFFECTIVE DATE:	····
ADDITIONAL REMARKS	200 50014	- 11	
THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACC FORM NUMBER: 25 FORM TITLE: CERTIFICATE (		Y INSURANCE	
elected and appointed officials, employees, agents, and representatives appointed officials, employees, agents, and representatives appointed officials, employees, agents, and representatives GENERAL LIABILITY: Additional insured applies per attached endorsements CG Primary and Non Contributory wording applies per attached waiver of Subrogation applies per attached endorsement FPer Project Aggregate applies per attached endorsement N 30 Day Notice of Cancellation applies.	oresentatives s. Jurupa Val s. 20 33 04 13 d endorseme MIC-GL-249	and City of Jurupa Valley, its director, office Community Services District, its director and CG 20 37 04 13.  ht FMIC-GL-1002 (10/2015).	ærs, elected and ; officers, elected and
AUTOMOBILE LIABILITY: Additional insured applies per attached endorsement CA 20 Primary wording applies per the attached CA00010310. Waiver of Subrogation applies per attached endorsement C 30 Day notice of cancellation applies per the attached UCA WORKERS COMPENSATION: Waiver of Subrogation applies per attached endorsement W	832ACW.		
30 day notice of cancellation applies per the attached WC 9 EXCESS LIABILITY:	99 06 33.		
Follows form			
		¥!	

EFFECTIVE: 12/31/15 to 12/31/16

THIS ENDORSEMENT CHANGES THE POLICY, PLEASE READ IT CAREFULLY.

## ADDITIONAL INSURED - OWNERS, LESSEES OR CONTRACTORS - AUTOMATIC STATUS WHEN REQUIRED IN CONSTRUCTION AGREEMENT WITH YOU

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

- A. Section II Who Is An Insured is amended to include as an additional insured any person or organization for whom you are performing operations when you and such person or organization have agreed in writing in a contract or agreement that such person or organization be added as an additional insured on your posicy. Such person or organization is an additional insured only with respect to flability for "podily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:
  - 1. Your acts or emissions; or
  - The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured.

However, the insurance afforded to such additional insured:

- Only applies to the extent permitted by law; and
- Will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

A person's or organization's status as an additional insured under this endorsement ends when your operations for that additional insured are completed.

B. With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to:

- "Bodily injury", "property damage" or "personal and advertising injury" arising out of the rendering of, or the failure to render, any professional architectural, engineering or surveying services, including:
  - a. The preparing, approving, or failing to prepare or approve, maps, shop drawings, opinions, reports, surveys, field orders, change orders or drawings and specifications; or
  - Supervisory, inspection, architectural or engineering activities.

This exclusion applies even if the daims against any insured allege negligence or other wrongdoing in the supervision, hiring, employment, training or monitoring of others by that insured, if the "occurrence" which caused the "bodily injury" or "properly damage", or the offense which caused the "personal and advertising injury", involved the rendering of or the failure to render any professional architectural, engineering or surveying services.

- "Bodily injury" or "property damage" occurring after;
  - a. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
  - b. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.
- C. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:

The most we will pay on behalf of the additional insured is the amount of insurance;

- Required by the contract or agreement you have entered into with the additional insured; or
- 2. Available under the applicable Limits of insurance shown in the Declarations;

whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

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# THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

# GENERAL AGGREGATE LIMIT PER PROJECT WITH AN OVERALL GENERAL AGGREGATE CAP

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

#### SCHEDULE

Designated Construction Projects: All Projects

Overall General Aggregate Cap: \$5,000,000

(If no entry appears above, information required to complete this endorsement will be shown in the Declarations as applicable to this endorsement.)

- A. For all sums which the insured becomes legally obligated to pay as damages caused by "occurrences" under COVERAGE A (SECTION I), and for all medical expenses caused by accidents under COVERAGE C (SECTION I), which can be attributed only to ongoing operations at a single designated construction project shown in the Schedule above:
  - 1. A separate Designated Construction Project General Aggregate Limit applies to each designated construction project, and that limit is equal to the amount of the General Aggregate Limit shown in the Declarations. Subject to the application of the General Aggregate Limit to each of your projects, the maximum amount we will pay under the General Aggregate Limit for all claims arising from all projects is the Overall General Aggregate Cap shown in the Schedule above.
  - 2. The Designated Construction Project General Aggregate Limit is the most we will pay for the sum of all damages under COVERAGE A, except damages because of "bodity injury" or "property damage" included in the "productscompleted operations hazard", and for medical expenses under COVERAGE C regardless of the number of:

- a. Insureds:
- b. Claims made or "suits" brought; or
- c. Persons or organizations making claims or bringing "suits".
- 3. Any payments made under COVERAGE A for damages or under COVERAGE C for medical expenses shall reduce the Designated Construction Project General Aggregate Limit for that designated construction project. Such payments shall not reduce the General Aggregate Limit shown in the Declarations nor shall they reduce any other Designated Construction Project General Aggregate Limit for any other designated construction project shown in the Schedule above.
- 4. The limits shown in the Declarations for Each Occurrence, Fire Damage and Medical Expense continue to apply. However, instead of being subject to the General Aggregate Limit shown in the Declarations, such limits will be subject to the applicable Designated Construction Project General Aggregate Limit.

- B. For all sums which the insured becomes legally obligated to pay as damages caused by "occurrences" under COVERAGE A (SECTION I), and for all medical expenses caused by accidents under COVERAGE C (SECTION I), which cannot be attributed only to ongoing operations at a single designated construction project shown in the Schedule above:
  - Any payments made under COVERAGE A for damages or under COVERAGE C for medical expenses shall reduce the amount available under the General Aggregate Limit or the Products-Completed Operations Aggregate Limit, whichever is applicable; and
  - Such payments shall not reduce any Designated Construction Project General Aggregate Limit.
- C. When coverage for liability arising out of the "products-completed operations hazard" is provided, any payments for damages because of "bodily injury" or "property damage" included in the "products-completed operations hazard" will reduce the Products-Completed Operations Aggregate Limit, and not reduce the General Aggregate Limit nor the Designated Construction Project General Aggregate Limit.

- D. If the applicable designated construction project has been abandoned, delayed, or abandoned and then restarted, or if the authorized contracting parties deviate from plans, blueprints, designs, specifications or timetables, the project will still be deemed to be the same construction project.
- E. The provisions of Limits Of Insurance (SECTION III) not otherwise modified by this endorsement shall continue to apply as stipulated.

Ohelfu for

EFFECTIVE: 12/31/16 to 12/31/16

CG 20 37 04 13

# THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

# ADDITIONAL INSURED – OWNERS, LESSEES OR CONTRACTORS – COMPLETED OPERATIONS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

### SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s)	Location And Description Of Completed Operations
As required by written contract signed by both parties prior to the loss.	Coverage under this endorsement applies to all commercial and residential construction, excluding any construction operations, work or activities performed on any condominiums (as defined by controlling applicable statute), "tract homes" or "townhouse projects".
	"Tract homes" means _10 or more single-family dwellings constructed by a developer consecutively or concurrently on a single or subdivided parcel or tract of land utilizing common or similar design elements, floorplans or blueprints.
	"Townhouse projects" means multi-unit housing consisting of homes in a row of the same or similar design with common walls or with very narrow spacing between adjacent sidewalls.

A. Section II – Who is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury" or "property damage" caused, in whole or in part, by "your work" at the location designated and described in the Schedule of this endorsement performed for that additional insured and included in the "products-completed operations hazard".

### However

- The insurance afforded to such additional insured only applies to the extent permitted by law; and
- If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

- B. With respect to the insurance afforded to these additional insureds, the following is added to Section III Limits Of Insurance:
  - If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:
  - 1. Required by the contract or agreement; or
  - 2. Available under the applicable Limits of Insurance shown in the Declarations;

whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

and And fr

# THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

# PRIMARY AND NONCONTRIBUTORY -OTHER INSURANCE CONDITION

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

The following is added to the Other Insurance Condition and supersedes any provision to the contrary:

# **Primary And Noncontributory Insurance**

This insurance is primary to and will not seek contribution from any other insurance available to an additional insured under this policy provided that:

- (1) The additional insured is a Named Insured under such other insurance; and
- (2) You have agreed in writing in a contract or agreement prior to the injury or damage that this insurance would be primary and would not seek contribution from any other insurance available to the additional insured.

However, the insurance provided under this endorsement will not apply beyond the extent required by such contract or agreement.

ALL OTHER TERMS AND CONDITIONS OF THIS POLICY REMAIN UNCHANGED.

This endorsement forms a part of the Policy to which attached, effective on the inception date of the Policy unless otherwise stated herein.

(The following information is required only when this endorsement is issued subsequent to preparation of the Policy.)

Endorsement effective December 31, 2015

Policy No. WACGL000006023001 Endorsement No.

Named Insured Hardy & Harper, Inc., etal

Countersigned by

FMIC-GL-1002(10/2015)

# WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS TO US

This endorsement modifies insurance provided under the following:

# COMMERCIAL GENERAL LIABILITY COVERAGE PART

#### **SCHEDULE**

Person or Organization:	As required by written contract signed by both parties prior to the loss.	
Effective Date:	As required by written contract signed by both parties prior to the loss.	<del></del>

The following is added to Paragraph 8. Transfer Of Rights Of Recovery Against Others To Us of Section IV - Conditions:

We waive any right of recovery we may have against the person or organization shown in the Schedule above because of payments we make for injury or damage arising out of your ongoing operations.

This endorsement applies only:

- to ongoing operations performed by the Named Insured for the person or organization named above on or after the
  effective date of this endorsement; and
- when you and such person or organization have agreed in writing in a contract or agreement entered into prior to the loss or occurrence that such right of recovery is waived; and
- when the "suit" for damages against the person or organization in the Schedule is based on the Named Insured's sole negligence.

Subrogation shall not be waived with respect to any liability arising directly or indirectly out of any of the following actions of persons or organizations named above:

- 1. "Professional services";
- 2. Modifying or changing specifications without the express written consent of the Named Insured; or
- 3. Any activities beyond the scope of monitoring the progress of the Named Insured.

For the purposes of this endorsement, "professional services" includes but is not limited to the following:

- Preparing, approving, recommending, or failing to prepare, approve, or recommend maps, drawings, opinions, reports, surveys, change orders, designs, specifications, hazard assessment plans, response actions, abatement methods or products, air monitoring plans, or insurance requirements;
- Supervisory, inspection, training, or engineering services; and/or
- 3. Monitoring, testing, analysis, legal, accounting, architectural, medical, processing, consulting, or advisory services.

# ALL OTHER TERMS AND CONDITIONS OF THE POLICY REMAIN UNCHANGED.

This endorsement forms a part of the Policy to which attached, effective on the inception date of the Policy unless otherwise stated herein.

(The following information is required only when this endorsement is issued subsequent to preparation of the Policy.)

Endorsement effective December 31, 2015

Policy No. WACGL000006023001

Endorsement No.

Named Insured Hardy & Harper, Inc., etal

Countersigned by

FMIC-GL-2490(10/2013)



# Blanket Notification to Others of Cancellation or Non-Renewal

Policy No.	Elf. Date of Pol.	Exp. Date of Fol.	E#. Date of Enp.	Producer No.	Add'i. Prem	Return Frem.
BAP37364541	10/1/15	10/1/1/5	10/1/16	-	-	_

# THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

This endorsement modifies insurance provided under the:

# Commercial Automobile Coverage Part

- A. If we cancel or non-renew this Coverage Part by written notice to the first Named Insured, we will mall or deliver notification that such Coverage Part has been cancelled or non-renewed to each person or organization shown in a list provided to us by the first Named Insured if you are required by written contact or written agreement to provide such notification. However, such notification will not be mailed or delivered if a conditional notice of renewal has been sent to the first Named Insured. Such list:
  - Must be provided to us prior to cancellation or non-renewal;
  - 2. Must contain the names and addresses of only the persons or organizations requiring notification that such Coverage Part has been cancelled or non-renewed; and
  - 3. Must be in an electronic format that is acceptable to us.
- B. Our notification as described in Paragraph A. of this endorsement will be based on the most recent list in our records as of the date the notice of cancellation or non-renewal is mailed or delivered to the first Named Insured. We will mail or deliver such notification to each person or organization shown in the list:
  - 1. Within seven days of the effective date of the notice of cancellation, if we cancel for non-payment of premium; or
  - 2. At least 30 days prior to the effective date of:
    - a. Cancellation, if cancelled for any reason other than nonpayment of premium; or
    - b. Non-renewal, but not including conditional notice of renewal.
- C. Our mailing or delivery of notification described in Paragraphs A. and B. of this endorsement is intended as a courtesy only. Our fallure to provide such mailing or delivery will not:
  - 1. Extend the Coverage Part cancellation or non-renewal date;
  - Negate the cancellation or non-renewal; or
  - 3. Provide any additional insurance that would not have been provided in the absence of this endorsement.
- D. We are not responsible for the accuracy, integrity, timeliness and validity of information contained in the list provided to us as described in Paragraphs A and B of this endorsement.

All other terms and conditions of this policy remain unchanged.

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**COMMERCIAL AUTO** CA 20 48 19 13

# THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

# DESIGNATED INSURED FOR COVERED AUTOS LIABILITY COVERAGE

This endorsement modifies insurance provided under the following:

AUTO DEALERS COVERAGE FORM **BUSINESS AUTO COVERAGE FORM** MOTOR CARRIER COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by this endorsement.

This endorsement identifies person(s) or organization(s) who are "insureds" for Covered Autos Liability Coverage under the Who Is An Insured provision of the Coverage Form. This endorsement does not after coverage provided in the Coverage Form.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated

Named Insured: Hardy & Harper Inc.

Endorsement Effective Date: 10/1/15

### SCHEDULE

# Name Of Person(s) Or Organization(s):

AS REQUIRED BY WRITTEN CONTRACT OR AGREEMENT

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

Each person or organization shown in the Schedule is an "insured" for Covered Autos Liability Coverage, but only to the extent that person or organization qualifies as an "insured" under the Who is An insured provision contained in Paragraph A.1. of Section II -Covered Autos Liability Coverage in the Business Auto and Motor Carrier Coverage Forms and Paragraph D.2. of Section I - Covered Autos Coverages of the Auto Dealers Coverage Form.



# **Coverage Extension Endorsement**

Policy No.	Eff. Date of Pol.	Exp. Date of Pol.	Eff. Date of End.	Producer No.	Add'í. Prem	Return Prem.
BAP373645411	10/1/2015	10/1/2016	10/1/2015			

# THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

This endorsement modifies insurance provided under the:

Business Auto Coverage Form Motor Carrier Coverage Form

# A. Amended Who Is An insured

- The following is added to the Who Is An Insured Provision in Section II Covered Autos Liability Coverage:
   The following are also "insureds":
  - a. Any "employee" of yours is an "insured" while using a covered "auto" you don't own, hire or borrow for acts performed within the scope of employment by you. Any "employee" of yours is also an "insured" while operating an "auto" hired or rented under a contract or agreement in an "employee's" name, with your permission, while performing duties related to the conduct of your business.
  - b. Anyone volunteering services to you is an "insured" while using a covered "auto" you don't own, hire or borrow to transport your clients or other persons in activities necessary to your business.
  - c. Anyone else who furnishes an "auto" referenced in Paragraphs A.1,a. and A.1.b. in this endorsement.
  - d. Where and to the extent permitted by law, any person(s) or organization(s) where required by written contract or written agreement with you executed prior to any "accident", including those person(s) or organization(s) directing your work pursuant to such written contract or written agreement with you, provided the "accident" arises out of operations governed by such contract or agreement and only up to the limits required in the written contract or written agreement, or the Limits of Insurance shown in the Declarations, whichever is less.
- 2. The following is added to the Other Insurance Condition in the Business Auto Coverage Form and the Other Insurance Primary and Excess Insurance Provisions Condition in the Motor Carrier Coverage Form:
  - Coverage for any person(s) or organization(s), where required by written contract or written agreement with you executed prior to any "accident", will apply on a primary and non-contributory basis and any insurance maintained by the additional "insured" will apply on an excess basis. However, in no event will this coverage extend beyond the terms and conditions of the Coverage Form.

### B. Amendment - Supplementary Payments

Paragraphs a.(2) and a.(4) of the Coverage Extensions Provision in Section II – Covered Autos Liability Coverage are replaced by the following:

- (2) Up to \$5,000 for the cost of bail bonds (including bonds for related traffic law violations) required because of an "accident" we cover. We do not have to furnish these bonds.
- (4) All reasonable expenses incurred by the "insured" at our request, including actual loss of earnings up to \$500 a day because of time off from work.

# C. Fellow Employee Coverage

The Fellow Employee Exclusion contained in Section II - Covered Autos Liability Coverage does not apply.

# D. Driver Safety Program Liability and Physical Damage Coverage

1. The following is added to the Racing Exclusion in Section II - Covered Autos Liability Coverage:

This exclusion does not apply to covered "autos" participating in a driver safety program event, such as, but not limited to, auto or truck rodeos and other auto or truck agility demonstrations.

 The following is added to Paragraph 2. in the Exclusions of Section III - Physical Damage Coverage of the Business Auto Coverage Form and Paragraph 2.b. in the Exclusions of Section IV - Physical Damage Coverage of the Motor Carrier Coverage Form:

This exclusion does not apply to covered "autos" participating in a driver safety program event, such as, but not limited to, auto or truck rodeos and other auto or truck agility demonstrations.

# E. Lease or Loan Gap Coverage

The following is added to the Coverage Provision of the Physical Damage Coverage Section:

# Lease Or Loan Gap Coverage

In the event of a total "loss" to a covered "auto", we will pay any unpaid amount due on the lease or loan for a covered "auto", less:

- a. Any amount paid under the Physical Damage Coverage Section of the Coverage Form; and
- b. Anv:
  - (1) Overdue lease or loan payments at the time of the "loss";
  - (2) Financial penalties imposed under a lease for excessive use, abnormal wear and tear or high mileage;
  - (3) Security deposits not returned by the lessor;
  - (4) Costs for extended warranties, credit life insurance, health, accident or disability insurance purchased with the loan or lease; and
  - (5) Carry-over balances from previous leases or loans.

# F. Towing and Labor

Paragraph A.2. of the Physical Damage Coverage Section is replaced by the following:

We will pay up to \$75 for towing and labor costs incurred each time a covered "auto" of the private passenger type is disabled. However, the labor must be performed at the place of disablement.

# G. Extended Glass Coverage

The following is added to Paragraph A.3.a. of the Physical Damage Coverage Section:

If glass must be replaced, the deductible shown in the Declarations will apply. However, if glass can be repaired and is actually repaired rather than replaced, the deductible will be waived. You have the option of having the glass repaired rather than replaced.

# H. Hired Auto Physical Damage - Increased Loss of Use Expenses

The Coverage Extension for Loss Of Use Expenses in the Physical Damage Coverage Section is replaced by the following:

# Loss Of Use Expenses

For Hired Auto Physical Damage, we will pay expenses for which an "insured" becomes legally responsible to pay for loss of use of a vehicle rented or hired without a driver under a written rental contract or written rental agreement. We will pay for loss of use expenses if caused by:

- Other than collision only if the Declarations indicate that Comprehensive Coverage is provided for any covered "auto";
- (2) Specified Causes Of Loss only if the Declarations indicate that Specified Causes Of Loss Coverage is provided for any covered "auto"; or
- (3) Collision only if the Declarations indicate that Collision Coverage is provided for any covered "auto".

However, the most we will pay for any expenses for loss of use is \$100 per day, to a maximum of \$3000.

# 1. Personal Effects Coverage

The following is added to the Coverage Provision of the Physical Damage Coverage Section:

# Personal Effects Coverage

- a. We will pay up to \$750 for "loss" to personal effects which are:
  - (1) Personal property owned by an "insured"; and
  - (2) In or on a covered "auto".
- b. Subject to Paragraph a. above, the amount to be paid for "loss" to personal effects will be based on the lesser of:
  - (1) The reasonable cost to replace; or
  - (2) The actual cash value.
- c. The coverage provided in Paragraphs a. and b. above, only applies in the event of a total theft of a covered "auto". No deductible applies to this coverage. However, we will not pay for "loss" to personal effects of any of the following:
  - Accounts, bills, currency, deeds, evidence of debt, money, notes, securities, or commercial paper or other documents of value.
  - (2) Bullion, gold, silver, platinum, or other precious alloys or metals; furs or fur garments; jewelry, watches, precious or semi-precious stones.
  - (3) Paintings, statuary and other works of art.
  - (4) Contraband or property in the course of illegal transportation or trade.
  - (5) Tapes, records, discs or other similar devices used with audio, visual or data electronic equipment.

Any coverage provided by this Provision is excess over any other insurance coverage available for the same "loss".

# J. Tapes, Records and Discs Coverage

- The Exclusion in Paragraph B.4.a. of Section III Physical Damage Coverage in the Business Auto Coverage
  Form and the Exclusion in Paragraph B.2.c. of Section IV Physical Damage Coverage in the Motor Carrier
  Coverage Form does not apply.
- 2. The following is added to Paragraph 1.a. Comprehensive Coverage under the Coverage Provision of the Physical Damage Coverage Section:

We will pay for "loss" to tapes, records, discs or other similar devices used with audio, visual or data electronic equipment. We will pay only if the tapes, records, discs or other similar audio, visual or data electronic devices:

- (a) Are the property of an "insured"; and
- (b) Are in a covered "auto" at the time of "loss".

The most we will pay for such "loss" to tapes, records, discs or other similar devices is \$500. The **Physical Damage Coverage Deductible** Provision does not apply to such "loss".

# K. Airbag Coverage

The Exclusion in Paragraph **B.3.a.** of **Section III – Physical Damage Coverage** in the Business Auto Coverage Form and the Exclusion in Paragraph **B.4.a.** of **Section IV – Physical Damage Coverage** in the Motor Carrier Coverage Form does not apply to the accidental discharge of an airbag.

# L. Two or More Deductibles

The following is added to the Deductible Provision of the Physical Damage Coverage Section:

If an accident is covered both by this policy or Coverage Form and by another policy or Coverage Form issued to you by us, the following applies for each covered "auto" on a per vehicle basis:

- 1. If the deductible on this policy or Coverage Form is the smaller (or smallest) deductible, it will be waived; or
- 2. If the deductible on this policy or Coverage Form is not the smaller (or smallest) deductible, it will be reduced by the amount of the smaller (or smallest) deductible.

# M. Physical Damage - Comprehensive Coverage - Deductible

The following is added to the Deductible Provision of the Physical Damage Coverage Section:

Regardless of the number of covered "autos" damaged or stolen, the maximum deductible that will be applied to Comprehensive Coverage for all "loss" from any one cause is \$5,000 or the deductible shown in the Declarations, whichever is greater.

# N. Temporary Substitute Autos - Physical Damage

The following is added to Section I – Covered Autos:

# Temporary Substitute Autos - Physical Damage

If Physical Damage Coverage is provided by this Coverage Form on your owned covered "autos", the following types of vehicles are also covered "autos" for Physical Damage Coverage:

Any "auto" you do not own when used with the permission of its owner as a temporary substitute for a covered "auto" you do own but is out of service because of its:

- 1. Breakdown:
- 2. Repair;
- 3. Servicing;
- 4. "Loss"; or
- 5. Destruction.
- 2. The following is added to the Paragraph A. Coverage Provision of the Physical Damage Coverage Section:

# Temporary Substitute Autos - Physical Damage

We will pay the owner for "loss" to the temporary substitute "auto" unless the "loss" results from fraudulent acts or omissions on your part. If we make any payment to the owner, we will obtain the owner's rights against any other party.

The deductible for the temporary substitute "auto" will be the same as the deductible for the covered "auto" it replaces.

# O. Amended Duties In The Event Of Accident, Claim, Suit Or Loss

Paragraph a. of the Duties In The Event Of Accident, Claim, Suit Or Loss Condition is replaced by the following:

a. In the event of "accident", claim, "suit" or "loss", you must give us or our authorized representative prompt notice of the "accident", claim, "suit" or "loss". However, these duties only apply when the "accident", claim, "suit" or "loss" is known to you (if you are an individual), a partner (if you are a partnership), a member (if you are a limited liability company) or an executive officer or insurance manager (if you are a corporation). The failure of any

agent, servant or employee of the "insured" to notify us of any "accident", claim, "suit" or "loss" shall not invalidate the insurance afforded by this policy.

include, as soon as practicable:

- (1) How, when and where the "accident" or "loss" occurred and if a claim is made or "suit" is brought, written notice of the claim or "suit" including, but not limited to, the date and details of such claim or "suit";
- (2) The "insured's" name and address; and
- (3) To the extent possible, the names and addresses of any injured persons and witnesses.

If you report an "accident", claim, "suit" or "loss" to another insurer when you should have reported to us, your failure to report to us will not be seen as a violation of these amended duties provided you give us notice as soon as practicable after the fact of the delay becomes known to you.

# P. Waiver of Transfer Of Rights Of Recovery Against Others To Us

The following is added to the Transfer Of Rights Of Recovery Against Others To Us Condition:

This Condition does not apply to the extent required of you by a written contract, executed prior to any "accident" or "loss", provided that the "accident" or "loss" arises out of operations contemplated by such contract. This waiver only applies to the person or organization designated in the contract.

# Q. Employee Hired Autos – Physical Damage

Paragraph b. of the Other Insurance Condition in the Business Auto Coverage Form and Paragraph f. of the Other Insurance – Primary and Excess Insurance Provisions Condition in the Motor Carrier Coverage Form are replaced by the following:

For Hired Auto Physical Damage Coverage, the following are deemed to be covered "autos" you own:

- (1) Any covered "auto" you lease, hire, rent or borrow; and
- (2) Any covered "auto" hired or rented under a written contract or written agreement entered into by an "employee" or elected or appointed official with your permission while being operated within the course and scope of that "employee's" employment by you or that elected or appointed official's duties as respect their obligations to you.

However, any "auto" that is leased, hired, rented or borrowed with a driver is not a covered "auto".

# R. Unintentional Failure to Disclose Hazards

The following is added to the Concealment, Misrepresentation Or Fraud Condition:

However, we will not deny coverage under this Coverage Form if you unintentionally:

- (1) Fail to disclose any hazards existing at the inception date of this Coverage Form; or
- (2) Make an error, omission, improper description of "autos" or other misstatement of information.

You must notify us as soon as possible after the discovery of any hazards or any other information that was not provided to us prior to the acceptance of this policy.

### S. Hired Auto - World Wide Coverage

Paragraph 7a.(5) of the Policy Period, Coverage Territory Condition is replaced by the following:

(5) Anywhere in the world if a covered "auto" is leased, hired, rented or borrowed for a period of 60 days or less,

# T. Bodily Injury Redefined

The definition of "bodily injury" in the Definitions Section is replaced by the following:

"Bodily injury" means bodily injury, sickness or disease, sustained by a person including death or mental anguish, resulting from any of these at any time. Mental anguish means any type of mental or emotional illness or disease.

# U. Expected Or Intended Injury

The Expected Or Intended Injury Exclusion in Paragraph B. Exclusions under Section II – Covered Auto Liability Coverage is replaced by the following:

# **Expected Or Intended Injury**

"Bodily injury" or "property damage" expected or intended from the standpoint of the "insured". This exclusion does not apply to "bodily injury" or "property damage" resulting from the use of reasonable force to protect persons or property.

# V. Physical Damage – Additional Temporary Transportation Expense Coverage

Paragraph A.4.a. of Section III - Physical Damage Coverage is replaced by the following:

# 4. Coverage Extensions

# a. Transportation Expenses

We will pay up to \$50 per day to a maximum of \$1,000 for temporary transportation expense incurred by you because of the total theft of a covered "auto" of the private passenger type. We will pay only for those covered "autos" for which you carry either Comprehensive or Specified Causes of Loss Coverage. We will pay for temporary transportation expenses incurred during the period beginning 48 hours after the theft and ending, regardless of the policy's expiration, when the covered "auto" is returned to use or we pay for its "loss".

# W. Replacement of a Private Passenger Auto with a Hybrid or Alternative Fuel Source Auto

The following is added to Paragraph A. Coverage of the Physical Damage Coverage Section:

In the event of a total "loss" to a covered "auto" of the private passenger type that is replaced with a hybrid "auto" or "auto" powered by an alternative fuel source of the private passenger type, we will pay an additional 10% of the cost of the replacement "auto", excluding tax, title, license, other fees and any aftermarket vehicle upgrades, up to a maximum of \$2500. The covered "auto" must be replaced by a hybrid "auto" or an "auto" powered by an alternative fuel source within 60 calendar days of the payment of the "loss" and evidenced by a bill of sale or new vehicle lease agreement.

To qualify as a hybrid "auto", the "auto" must be powered by a conventional gasoline engine and another source of propulsion power. The other source of propulsion power must be electric, hydrogen, propane, solar or natural gas, either compressed or liquefied. To qualify as an "auto" powered by an alternative fuel source, the "auto" must be powered by a source of propulsion power other than a conventional gasoline engine. An "auto" solely propelled by biofuel, gasoline or diesel fuel or any blend thereof is not an "auto" powered by an alternative fuel source.

# X. Return of Stolen Automobile

The following is added to the Coverage Extension Provision of the Physical Damage Coverage Section:

If a covered "auto" is stolen and recovered, we will pay the cost of transport to return the "auto" to you. We will pay only for those covered "autos" for which you carry either Comprehensive or Specified Causes of Loss Coverage.

All other terms, conditions, provisions and exclusions of this policy remain the same.

anopa

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY,

# WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS TO US (WAIVER OF SUBROGATION)

This endorsement modifies insurance provided under the following:

AUTO DEALERS COVERAGE FORM BUSINESS AUTO COVERAGE FORM MOTOR CARRIER COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by the endorsement.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated below.

Named Insured: Hardy & Harper Inc.
Endorsement Effective Date: 10/1/15

# SCHEDULE

# Name(s) Of Person(s) Or Organization(s):

AS REQUIRED BY WRITTEN CONTRACT OR AGREEMENT

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

The Transfer Of Rights Of Recovery Against Others To Us condition does not apply to the person(s) or organization(s) shown in the Schedule, but only to the extent that subrogation is waived prior to the "accident" or the "loss" under a contract with that person or organization.



## **NOTIFICATION TO OTHERS OF CANCELLATION ENDORSEMENT**

This endorsement is used to add the following to Part Six of the policy.

# PART SIX

- A. If we cancel this policy by written notice to you for any reason other than nonpayment of premium, we will mail or deliver a copy of such written notice of cancellation to the name and address corresponding to each person or organization shown in the Schedule below. Notification to such person or organization will be provided at least 10 days prior to the effective date of the cancellation, as advised in our notice to you, or the longer number of days notice if indicated in the Schedule below.
- B. If we cancel this policy by written notice to you for nonpayment of premium, we will mall or deliver a copy of such written notice of cancellation to the name and address corresponding to each person or organization shown in the Schedule below at least 10 days prior to the effective date of such cancellation.
- C. If notice as described in Paragraphs A. or B. of this endorsement is mailed, proof of mailing will be sufficient proof of such notice.

SCHEDULE		
Name and Address of Other Person(s) / Organization(s):	Number of Days Notice:	
Per Schedule on File with the Agent	30	
	AND	
	VIII.	

All other terms and conditions of this policy remain unchanged.

This endorsement changes the policy to which it is attached and is effective on the date issued unless otherwise stated. (The information below is required only when this endorsement is issued subsequent to preparation of the policy.)

Endorsement Effective 10/1/15 Insured Hardy & Harper, Inc.

Policy No. . WC373645311

Endorsement No. Premium S

Insurance Company - Zurich American Insurance Company

WC 99 06 33

(Ed. 05-10)

# **Schedule of Auto Physical Damage Deductibles**



The Schedule set forth below identifies the Deductible to apply to the Coverage(s) and Vehicle(s) described.

# SCHEDULE

Coverage	Vehicle Description	Deductible
COMPREHENSIVE	FOR PRIVATE PASSENGER, PICKUP	\$250
	TRUCKS AND PASSENGER VANS UP	
3 <b>T</b>	TO \$50,000 COST NEW	
COLLISION	FOR PRIVATE PASSENGER, PICKUP	\$50C
	TRUCKS AND TASSENGER VANS UP	
	TO \$50,000 COST NEW	
COMPREHENSIVE	TABLE ARTHUR MUSTO ME DE COM MA	6.00
COMPRIMENTAL	FOR ALL OTHER VEHICLES UP TO	\$500
COLLISION	\$50,000 COST NEW	21 000
COLLDION	FOR ALL OTHER VEHTCLES UP TO \$50,000 COST NEW	\$1,000
	POU, OUG COMI NEW	
COMPREHENSIVE	FOR ALL VEHICLES EXCESS OF \$50,000	\$1,600
	COST NEW	•
COLLISION	FOR ALL VEHICLES EXCESS OF \$50,000	\$2,500
	COST NEW	• •

# WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY INSURANCE POLICY WC 04 (3) 06 (Ed. 4-84)

# WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT— CALIFORNIA

This endorsement changes the policy to which it is attached effective on the inception date of the policy unless a different date is indicated below.

(The tritioning "altaching clause" wend the completed only when this endorsement to lieued aubsequent to preparation of the policy.)

(DATE)

This endorsement, effective on

10/1/15

at 12:01 A.M. standard time, forms a part of

Policy No. 'WC373645314

Endoreement No.

of the ZURICH AMERICAN INSURANCE COMPANY

(NAME OF INSURANCE COMPANY)

Issued to Hardy & Harper Inc.
Premjum (If any) \$ INCLUDED

Authorized Represents live

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. (This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.)

You must maintain payroll records accurately sagragating the remuneration of your amployees while engaged in the work described in the Schedule.

The additional premium for this endorsement shall be 0% of the California workers' compensation premium otherwise due on such remuneration.

Schedule

Person or Organization

Job Description

ALL PERSONS AND/OR ORGANIZATIONS THAT REQUIRE A WRITTEN CONTRACT OR AGREEMENT WITH THE INSURED, EXECUTED PRIOR TO THE ACCIDENT OR LOSS, THAT WAIVER OF SUBROGATION BE PROVIDED UNDER THIS POLICY FOR WORK PERFORMED BY YOU FOR THAT PERSON AND/OR ORGANIZATION

**ALL CALIFORNIA OPERATIONS** 



**TAU 9500** (Ed. 11 97)

# **EXCESS LIABILITY POLICY**

There are provisions in this policy that restrict coverage. Read the entire policy carefully to determine rights, duties and what is and is not covered.

Words and phrases in quotation marks have special meaning and can be found in the **Definitions** Section or the specific policy provision where they appear.

In consideration of the payment of the premium and in reliance upon the statements in the Declarations we agree with you to provide coverage as follows:

#### INSURING AGREEMENTS

#### I. COVERAGE

We will pay on behalf of the Insured "loss" in excess of the Underlying Limits of Insurance shown in Item 5. of the Declarations, but only up to an amount not exceeding the Company's Limits of Insurance as shown in Item 4. of the Declarations. Except for the terms, conditions, definitions and exclusions of this policy, the coverage provided by this policy will follow the First Underlying Insurance Policy, as shown in Item 5. of the Declarations.

The inclusion or addition hereunder of more than one Insured shall not operate to increase the Company's Limits of Insurance beyond that set forth in Item 4. of the Declarations.

We will be furnished a complete copy of the First Underlying Insurance Policy described in Item 5. of the Declarations.

# II. LIMITS OF INSURANCE

- A. The Limits of Insurance shown in the Declarations and the rules below describe the most we will pay regardless of the number of:
  - 1. Insureds;
  - 2. claims made or suits brought; or
  - 3. Persons or organizations making claims or bringing suits.
- **B.** The Limits of Insurance of this policy will apply as follows:

- This policy applies only in excess of the Underlying Limits of Insurance shown in Item 5. of the Declarations.
- 2. The aggregate limit shown in Item 4. of the Declarations is the most we will pay for all "loss" that is subject to an aggregate limit provided by the First Underlying Insurance Policy. The aggregate limit applies separately and in the same manner as the aggregate limits provided by the First Underlying Insurance Policy.
- 3. Subject to B.2., the occurrence limit stated in Item 4. of the Declarations is the most we will pay for all "loss" arising out of any one occurrence to which this policy applies.
- 4. Subject to Paragraphs B.2. and B.3. above, if the underlying Limits of Insurance stated in Item 5. of the Declarations are reduced or exhausted solely by payment of "loss," such insurance provided by this policy will apply in excess of the reduced Underlying Limits or, if all Underlying Limits are exhausted, will apply as underlying insurance subject to the same terms, conditions, definitions and exclusions of the First Underlying Insurance Policy, except for the terms, conditions, definitions and exclusions of this policy.
- The Limits of Insurance of this policy apply separately to each consecutive annual period and to any remaining pe-

riod of less than 12 months, starting with the beginning of the policy period shown in the Declarations, unless the policy period is extended after issuance for an additional period of less than 12 months. In that case, the additional period will be deemed part of the last preceding period for purposes of determining the Limits of Insurance.

# III. DEFENSE

- A. We will not be required to assume charge of the investigation of any claim or defense of any suit against you.
- B. We will have the right, but not the duty, to be associated with you or your underlying insurer or both in the investigation of any claim or defense of any suit which in our opinion may create liability on us for "loss" under this policy. If we exercise such right, we will do so at our own expense.
- C. If all Underlying Limits of Insurance stated in Item 5. of the Declarations are exhausted solely by payment of "loss," we shall have the right but not the duty to investigate and settle any claim or assume the defense of any suit which in our opinion may give rise to a "loss" under this policy. Such investigation or defense shall be at our own expense. We may, however, withdraw from the defense of such suit and tender the continued defense to you if our applicable Limits of Insurance stated in Item 4. of the Declarations are exhausted by payment of "loss."

# IV. EXCLUSIONS

This policy does not apply to:

- A. Any "loss," including, but not limited to settlements, judgments, costs, charges, expenses, costs of investigations, or the fees of attorneys, experts, or consultants arising out of or related in any way, either directly or indirectly, to:
  - asbestos, asbestos products, asbestos-containing materials or products, asbestos fibers or asbestos dust, including, but not limited to, manufacture, mining, use, sale, installation, removal, or distribution activities;

- exposure to testing for, monitoring of, cleaning up, removing, containing or treating of asbestos, asbestos products, asbestos-containing materials or products, asbestos fibers or asbestos dust; or
- any obligation to investigate, settle or defend, or indemnify any person against any claim or suit arising out of, or related in any way, either directly or indirectly, to asbestos, asbestos products, asbestos-containing materials or products, asbestos fibers or asbestos dust.

# B. Any "loss":

- with respect to which any Insured under this policy is also an Insured under a nuclear energy liability policy issued by Nuclear Energy Liability Insurance Association, Mutual Atomic, Energy Liability Underwriters, Nuclear Insurance Association of Canada or any of their successors, or would be an Insured under any such policy but for its termination upon exhaustion of its Limit of Insurance; or
- resulting from the "hazardous properties" of "nuclear material" and with respect to which:
  - a. a person or organization is required to maintain financial protection pursuant to the Atomic Energy Act of 1954, or any law amendatory thereof, or
  - b. any insured is, or had this policy not been issued would be, entitled to indemnity from the United States of America, or any agency thereof, under any agreement entered into by the United States of America, or any agency thereof, with any person or organization.

Any injury or "nuclear property damage" resulting from the "hazardous properties" of "nuclear material". if:

# 1. the "nuclear material"

 a. is at any "nuclear facility" owned by, or operated by or on behalf of, any Insured; or

- **b.** has been discharged or dispersed therefrom:
- the "nuclear material" is contained in "spent fuel" or "nuclear waste" at any time possessed, handled, used, processed, stored, transported or disposed of by or on behalf of any Insured; or
- 3. the injury or "nuclear property damage" arises out of the furnishing by any Insured of services, materials, parts or equipment in connection with the planning, construction, maintenance, operation or use of any "nuclear facility," but if such facility is located within the United States of America, its territories or possessions or Canada, this Exclusion B.3. applies only to "nuclear property damage" to such "nuclear facility" and any property therein.

### As used in this exclusion:

- "Hazardous properties" include radioactive, toxic or explosive properties.
- 2. "Nuclear facility" means:
  - a. any "nuclear reactor";
  - b. any equipment or device designed or used for:
    - (1) separating the isotopes of uranium or plutonium.
    - (2) processing or utilizing "spent fuel," or
    - (3) handling, processing or packaging "nuclear waste";
  - c. any equipment or device used for the processing, fabricating or alloying of "special nuclear material" if at any time the total amount of such material in the custody of the insured at the premises where such equipment or device is located consists of or contains more than 25 grams of plutonium

- or uranium 233 or any combination thereof, or more than 250 grams of uranium 235;
- d. any structure, basin, excavation, premises or place prepared or used for the storage or disposal of "nuclear waste," and includes the site on which any of the foregoing is located, all operations conducted on such site and all premises used for such operations;
- 3. "Nuclear material" means "source material," "special nuclear material" or "by-product material."
- "Nuclear property damage" includes all forms of radioactive contamination of property.
- "Nuclear reactor" means any apparatus designed or used to sustain nuclear fission in a self-supporting chain reaction or to contain a critical mass of fissionable material.
- "Nuclear Waste" means any "nuclear waste" material:
  - a. containing "by-product material" other than the tailings of "nuclear wastes" produced by the extraction or concentration of uranium or thorium from any ore processed primarily for its "source material" content, and
  - b. resulting from the operation by any person or organization of any "nuclear facility" included within the definition of "nuclear facility" under Paragraph C.2.a. or C.2.b.
- 7. "Source material," "special nuclear material," and "by-product" material have the meanings given them in the Atomic Energy Act of 1954 or in any law amendatory thereof.
- "Spent fuel" means any fuel element or fuel component, solid or liquid, which has been used or exposed to radiation in a "nuclear reactor."

# V. DEFINITIONS

"Loss" means those sums which you are legally obligated to pay as damages, after making proper deductions for all recoveries and salvage.

# VI. CONDITIONS

### A. Appeals

In the event you or any underlying insurer elects not to appeal a judgment in excess of the amount of the Underlying Insurance, we may elect to appeal at our expense. If we do so elect, we will be liable for the costs and additional interest accruing during this appeal. In no event will this provision increase our liability beyond the applicable Limits of Insurance described in Section II. of this policy.

# B. Bankruptcy or insolvency

The bankruptcy, insolvency or inability to pay of any Insured will not relieve us from our obligation to pay "loss" covered by this policy.

In the event of bankruptcy, insolvency or refusal or inability to pay, of any underlying insurer, the insurance afforded by this policy will not replace such underlying insurance, but will apply as if the underlying insurance was fully available and collectible.

### C. Cancellation

- 1. You may cancel this policy. You must mail or deliver advance written notice to us stating when the cancellation is to take effect.
- 2. We may cancel this policy. If we cancel because of nonpayment of premium, we must mail or deliver to you not less than ten (10) days advance written notice stating when the cancellation is to take effect. If we cancel for any other reason, we must mail or deliver to you not less than thirty (30) days advance written notice stating when the cancellation is to take effect. Mailing that notice to you at your mailing address shown in Item 1. of the Declarations will be sufficient to prove notice.

- 3. The policy period will end on the day and hour stated in the cancellation notice.
- 4. If we cancel, final premium will be calculated pro rata based on the time this policy was in force.
- 5. If you cancel, final premium will be more than pro rata; it will be based on the time this policy was in force and increased by our short rate cancellation table and procedure.
- 6. Premium adjustment may be made at the time of cancellation or as soon as practicable thereafter but the cancellation will be effective even if we have not made or offered any refund due you. Our check or our representative's check, mailed or delivered, will be sufficient tender of any refund due you.
- 7. The first Named Insured in Item 1. of the Declarations will act on behalf of all other insureds with respect to the giving and receiving of notice of cancellation and the receipt of any refund that may become payable under this policy.
- 8. Any of these provisions that conflict with a law that controls the cancellation of the insurance in this policy is changed by this statement to comply with the law.

# D. Maintenance of Underlying Insurance

During the period of this policy, you agree:

- 1. To keep the policies listed in the Schedule of Underlying Insurance in full force and effect:
- 2. That the Limits of Insurance of the policies listed in the Schedule of Underlying Insurance will be maintained except for any reduction or exhaustion of aggregate limits by payment of claims or suits for "loss" covered by Underlying Insurance.

If you fail to comply with these requirements, we will only be liable to the same extent that we would have been had you fully complied with these requirements.

# E. Notice of Occurrence

- You must see to it that we are notified as soon as practicable of an occurrence which may result in a "loss" covered under this policy. To the extent possible, notice will include:
  - a. how, when and where the occurrence took place;
  - b. the names and addresses of any injured persons and witnesses;
  - the nature and location of any injury or damage arising out of the occurrence.
- If a claim or suit against any Insured is reasonably likely to involve this policy you must notify us in writing as soon as practicable.
- 3. You and any other involved Insured must:
  - a. immediately send us copies of any demands, notices, summonses or legal papers received in connection with the claim or suit:
  - authorize us to obtain records and other information;
  - c. cooperate with us in the investigation, settlement or defense of the claim or suit; and
  - d. assist us, upon our request, in the enforcement of any right against any person or organization which may be liable to the Insured because of injury or damage to which this insurance may also apply.

4. The Insureds will not, except at their own cost, voluntarily make a payment, assume any obligation, or incur any expense, other than for first aid, without our consent.

# F. Other Insurance

If other insurance applies to a "loss" that is also covered by this policy, this policy will apply excess of the other insurance. Nothing herein will be construed to make this policy subject to the terms, conditions and limitations of such other insurance. However, this provision will not apply if the other insurance is specifically written to be excess of this policy.

Other insurance includes any type of self-insurance or other mechanism by which an insured arranges for funding of legal liabilities.

# G. Terms Conformed to Statute

The terms of this Policy which are in conflict with the statutes of the state where this Policy is issued are amended to conform to such statutes. If we are prevented by law or statute from paying on behalf of the Insured, then we will, where permitted by law or statute, indemnify the Insured.

# H. When "Loss" is Payable

Coverage under this policy will not apply unless and until the Insured or the Insured's underlying insurance has paid or is obligated to pay the full amount of the Underlying Limits of Insurance stated in Item 5. of the Declarations.

When the amount of "loss" has finally been determined, we will promptly pay on behalf of the insured the amount of "loss" covered under the terms of this policy.

0274442 GREAT AMERICAN INSURANCE CO



Administrative Offices 301 E 4th Street Cincinnati OH 45202-4201

**TAU 9999** (Ed. 11/97)

# GENERAL ENDORSEMENT

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY,

NAMED INSURED LIMITATION ENDORSEMENT 

THE FOLLOWING IS ADDED TO SECTION V. - DEFINITIONS:

"INSURED" MEANS EACH OF THE FOLLOWING, TO THE EXTENT SET FORTH:

- 1. THE NAMED INSURED MEANING!
  - A. ANY PERSON OR ORGANIZATION LISTED IN ITEM 1. OF THE DECLARATIONS, AND ANY COMPANY OF WHICH YOU OWN MORE THAN 50% AS OF THE EFFECTIVE DATE OF THIS POLICY.
  - B. ANY ORGANIZATION YOU NEWLY ACQUIRE OR FORM, OTHER THAN A PARTNERSHIP, JOINT VENTURE OR LIMITED LIABILITY COMPANY, AND OVER WHICH YOU MAINTAIN OWNERSHIP OR MAJORITY INTEREST, WILL QUALIFY TO BE A NAMED INSURED. HOWEVER,
    - (1) COVERAGE UNDER THIS ENDORSEMENT IS AFFORDED ONLY UNTIL THE 90TH DAY AFTER YOU ACQUIRE OR FORM THE ORGANIZATION OR THE END OF THE POLICY PERIOD, WHICHEVER IS EARLIER;
    - (2) COVERAGE DOES NOT APPLY TO "LOSS" THAT OCCURRED BEFORE YOU ACQUIRED OR FORMED THE ORGANIZATION: AND
    - (3) COVERAGE APPLIES ONLY IF THE ORGANIZATION IS INCLUDED UNDER THE COVERAGE PROVIDED BY THE POLICIES LISTED IN THE SCHEDULE OF UNDERLYING INSURANCE AND THEN FOR NO BROADER COVERAGE THAN IS PROVIDED UNDER SUCH UNDERLYING POLICIES.
- 2. IF YOU ARE AN INDIVIDUAL, YOU AND YOUR SPOUSE, BUT ONLY WITH RESPECT TO THE CONDUCT OF A BUSINESS OF WHICH YOU ARE THE SOLE OWNER AS OF THE EFFECTIVE DATE OF THIS POLICY.
- 3. IF YOU ARE A PARTNERSHIP OR JOINT VENTURE, THE PARTNERS OR MEMBERS AND THEIR SPOUSES BUT ONLY AS RESPECTS THE CONDUCT OF YOUR BUSINESS.
- 4. IF YOU ARE A LIMITED LIABILITY COMPANY, THE MEMBERS OR MANAGERS BUT ONLY AS RESPECTS THE CONDUCT OF YOUR BUSINESS.
- 5. ANY PERSON OR ORGANIZATION, OTHER THAN THE NAMED INSURED. INCLUDED AS AN ADDITIONAL "INSURED" BY VIRTUE OF AN INSURED CONTRACT, AND TO WHICH COVERAGE IS PROVIDED BY THE UNDERLYING INSURANCE AND FOR NO BROADER COVERAGE THAN IS PROVIDED BY THE UNDERLYING INSURANCE TO SUCH ADDITIONAL INSURED.

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Administrative Offices 301 E 4th Street Cincinnati OH 45202-4201

**TAU 9999** (Ed. 11/97)

### GENERAL ENDORSEMENT

NAMED INSURED LIMITATION ENDORSEMENT (CONTINUED) 

6. ANY OF YOUR PARTNERS, EXECUTIVE OFFICERS, DIRECTORS, OR EMPLOYEES BUT ONLY WHILE ACTING WITHIN THE SCOPE OF THEIR DUTIES.

HOWEVER, THE COVERAGE GRANTED BY THIS PROVISION 6. DOES NOT APPLY TO THE OWNERSHIP, MAINTENANCE, USE, LOADING OR UNLOADING OF ANY AUTOS, AIRCRAFT, OR WATERCRAFT UNLESS SUCH COVERAGE IS INCLUDED UNDER THE POLICIES LISTED IN THE SCHEDULE OF UNDERLYING INSURANCE AND FOR NO BROADER COVERAGE THAN IS PROVIDED UNDER SUCH UNDERLYING POLICIES.

- 7. ANY PERSON, OTHER THAN ONE OF YOUR EMPLOYEES, OR ORGANIZATION WHILE ACTING AS YOUR REAL ESTATE MANAGER.
- B. ANY PERSON (OTHER THAN YOUR PARTNERS, EXECUTIVE OFFICERS, DIRECTORS, STOCKHOLDERS OR EMPLOYEES) OR ORGANIZATIONS WITH RESPECT TO ANY AUTO OWNED BY YOU, LOANED TO YOU OR HIRED BY YOU OR ON YOUR BEHALF AND USED WITH YOUR PERMISSION.

HOWEVER, THE COVERAGE GRANTED BY THIS PROVISION 8. DOES NOT APPLY TO ANY PERSON USING AN AUTO WHILE WORKING IN A BUSINESS THAT SELLS, SERVICES, REPAIRS OR PARKS AUTOS UNLESS YOU ARE IN THAT BUSINESS.

9. NO PERSON OR ORGANIZATION IS AN INSURED WITH RESPECT TO THE CONDUCT OF ANY CURRENT OR PAST PARTNERSHIP OR JOINT VENTURE THAT IS NOT SHOWN AS A NAMED INSURED IN THE DECLARATIONS.

THIS ENDORSEMENT DOES NOT CHANGE ANY OTHER PROVISION OF THE POLICY.

0274442

GREAT AMERICAN INSURANCE CO



Administrative Offices 301 E 4th Street Cincinnati OH 45202-4201

IL 72 68 (Ed. 09 09)

# In Witness Clause

In Witness Whereof, we have caused this Policy to be executed and attested, and, if required by state law, this Policy shall not be valid unless countersigned by our authorized representative.

**President** 

Eve Cutter Rosen.

ORIG IMAGE COPY

0274442 GREAT AMERICAN INSURANCE CO



Administrative Offices

TAU 9501 (Ed. 11 97)

Policy No. TUE 4-06-78-45 🗐 00 Renewal Of TUE 5-95-00-69 - 12

# EXCESS LIABILITY POLICY DECLARATIONS

EXCESS LIABILITY P	OLICY DECLARATIONS
ITEM 1. NAMED INSURED AND MAILING ADDRE HARDY & HARPER, INC. 1312 E. WARNER AVENUE SANTA ANA, CA 92705	SS: ITEM 2. POLICY PERIOD: 12:01 A.M. Standard Time at the mailing address of the Named Insured shown at left. From 12-31-2015 To 12-31-2016
AND SUBJECT TO ALL TERMS OF THIS POLICY, WE AGREE WITH YOU TO PROVIDE 77	GENT'S NAME AND ADDRESS: ROWN & RIDING INSURANCE SERVICES 77 S. FIGUEROA STREET, SUITE 2550 DS ANGELES, CA 90017
Insurance is Afforded by GREAT AMERICAN INSURANCE COMPANY (A capital stoc	•
ITEM 3. POLICY PREMIUM: \$ 89,767. PREMIUM BASIS: (X) Flat (	POLICY MINIMUM PREMIUM: \$ 22,442.
ITEM 4. LIMITS OF INSURANCE:  The Company's Liability under th following limit: 100 percent Insurance stated in Item 5. of t greater than:  \$ 10,000,000. Each Occurrence \$ 10,000,000. Aggregate Limit	of "loss" excess of Underlying he Declarations, but for no
ITEM 5. SCHEDULE OF UNDERLYING INSURANCE	<u> </u>
First Underlying Insurance Policy Insurer, Policy No., Policy Period SEE ATTACHED GAI6003 SCHEDULE A- SCHEDULE OF UNDERLYING POLICIES	Applicable Limit \$ GA16003 Each Occurrence \$ GA16003 Aggregate Limit (where applicable)
Other Underlying Insurance (Excess of First Underlying Insurance Policy) N/A	Applicable Limit \$ N/A Each Occurrence \$ N/A Aggregate Limit (where applicable)
ITEM 6. FORMS AND ENDORSEMENTS applicable of this policy at time of issue Endorsements Schedule, TAU 9997	are listed on the attached Forms and
CountersignedBy	Authorized Representative

0274442 GREAT AMERICAN INSURANCE CO

ORIG IMAGE COPY

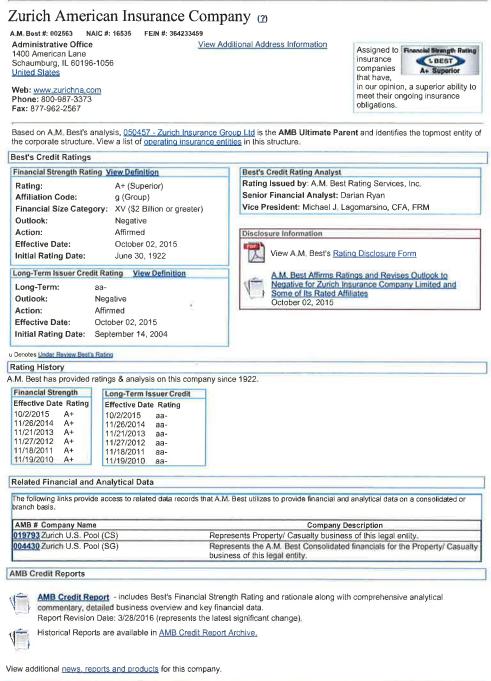
GAI 6003 (Ed. 06 97)



# SCHEDULE A - SCHEDULE OF UNDERLYING INSURANCE

Carrier, Policy Number and Period	Type of Coverage	Limits of Insurance
a) ZURICH AMERICAN INSURANCE COMPANY POL: WC 3736453-11 10/1/15 TO 10/1/16	Employers Liability	Bodily Injury By Accident \$ 1,000,000. each accident Bodily Injury By Disease \$ 1,000,000. policy limit \$ 1,000,000. each employee
b) AMERICAN ZURICH INSURANCE COMPANY POL: BAP 3736454-11 10/1/15 TO 10/1/16	Automobile/Garage  ( X ) Any Automobile  ( ) Owned Automobile Only  ( ) Specifically Designated Automobile  ( ) Hired Automobile  ( ) Non-owned Automobile  ( ) Garage Liability  ( X ) DEFENSE OUTSIDE THE LIMIT	( ) Split Limit  Bodily Injury Liability  \$ each person  \$ each accident  Property Damage Liability  \$ each accident  ( X ) Combined Single Limit  \$ 1,000,000. each accident  ( ) Garage Operations  \$ Auto only each accident  \$ Other than auto each accident  \$ Other than auto each accident  \$ Other than auto aggregate  \$ each location

Carrier, Policy Number and Period	Type of Coverage	Limits of Insurance
c) ( )	Comprehensive General Liability including	( ) Split Limit  Bodily Injury Liability
	( ) Products-Completed Operation Liability  ( ) Broad Form Endorsement  ( )	\$ each occurrence \$ aggregate Property Damage Liability \$ each occurrence \$ aggregate ( ) Combined Single Limit \$ each occurrence
OR ( X )	OR Commercial	\$ aggregate OR \$2,000,000. General Aggre-
FIRST MERCURY INSURANCE COMPANY POL: WACGL000006023001 12/31/15 TO 12/31/16  (X) DEFENSE OUTSIDE	General Liability  ( X ) Occurrence Form  ( ) Claims-Made Form  ( X ) \$2,000 SIR	gate Limit \$2,000,000. Products-Com- pleted Opera- tion Aggre- gate Limit
THE LIMIT  Retroactive Date	\$5MM POLICY AGG. CAP	\$1,000,000 Personal and Advertising Injury Limit \$1,000,000 Each Occurrence Limit
d) FIRST MERCURY INSURANCE COMPANY POL: WACGL000006023001 12/31/15 TO 12/31/16	EMPLOYEE BENEFIT LIABILITY	\$1,000,000. EACH EMPLOYEE \$2,000,000. AGGREGATE



Press Releases	
Date	Title
Apr 05, 2016	A.M. Best Removes from Under Review and Upgrades Ratings of Rural Community Insurance Company
Dec 21, 2015	A.M. Best Comments on Zurich Insurance Group's Ratings Following Announcement to Acquire Wells Farge Crop-Insurance Subsidiaries
Dec 21, 2015	A.M. Best Places Ratings of Rural Community Insurance Company Under Review With Developing Implications
Oct 02, 2015	A.M. Best Affirms Ratings and Revises Outlook to Negative for Zurich Insurance Company Limited and Som of Its Rated Affiliates
Nov 26, 2014	A.M. Best Affirms Ratings of Zurich American Insurance Company and Its Affiliates
Nov 21, 2013	A.M. Best Affirms Ratings of Zurich American Insurance Company and Its Affiliates
Nov 27, 2012	A.M. Best Affirms Ratings of Zurich American Insurance Company and Its Affiliates
Nov 18, 2011	A.M. Best Affirms Ratings of Zurich American Insurance Company and Its Affiliates
Nov 19, 2010	A.M. Best Upgrades Ratings of Zurich Insurance Company Limited's U.S. Subsidiaries

# A.M. Best Rating Services A.M. Best Company | A.M. Best Rating Services | A.M. Best Information Services

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companies

that have,

obligations,

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& BEST

in our opinion, an excellent ability

to meet their ongoing insurance



- » Recent Rating Activity
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#### Regional Centers 💊

#### Rating Process & Definitions

- » Understanding Best's Ratings » Guide to Best's Ratings
- » Financial Strength Rating Guide
- » Issuer Credit Rating Guide
- » Issue Rating Guide
- » National Scale Rating Guide
- » Country Risk Information » Market Segment Outlook

### Rating Methodology

Industry Research

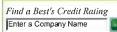
Industry & Market Centers

Contact an Analyst

Conferences & Events 🐷

Awards & Recognitions

Data Submission Center ᇦ Regulatory Information 📦



Advanced Search



Rating Search: Search » Advanced Search

Evanston Insurance Company (2) NAIC #: 35378 FEIN #: 362950161 A.M. Best #: 003759

**Domiciliary Address** Ten Parkway North Deerfield, IL 60015 **United States** 

Phone: 847-572-6000

Fax: 847-572-6389

Based on A.M. Best's analysis, <u>058405 - Markel Corporation</u> is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure, View a list of <u>operating insurance entities</u> in this structure.

#### Best's Credit Ratings

# Financial Strength Rating View Definition

Rating: A (Excellent) Affiliation Code: g (Group)

Financial Size Category: XV (\$2 Billion or greater) Outlook: Stable

Affirmed Effective Date: May 15, 2015 Initial Rating Date: June 30, 1983

# Long-Term Issuer Credit Rating View Definition

Long-Term: Outlook: Stable Affirmed Action: Effective Date: May 15, 2015 Initial Rating Date: June 29, 2005 Best's Credit Rating Analyst

Rating Issued by: A.M. Best Rating Services, Inc. Assistant Vice President: Jennifer Marshall, CPCU. ARM Vice President: Michael J., Lagomarsino, CFA, FRM



View A.M. Best's Rating Disclosure Statement

A.M. Best Upgrades Ratings of FirstComp Insurance Company, Affirms Markel Corporation and Other Affiliate

Ratings May 15, 2015

### u Denotes Under Review Best's Rating

### Rating History

A.M. Best has provided ratings & analysis on this company since 1983.

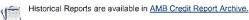
Financial Strength Effective Date Rating 5/15/2015 3/21/2014 11/13/2012 9/7/2011 7/20/2010

Long-Term Issuer Credit Effective Date Rating 5/15/2015 3/21/2014 11/13/2012 a+ 9/7/2011 7/20/2010 a+

# AMB Credit Reports



AMB Credit Report - includes Best's Financial Strength Rating and rationale along with comprehensive analytical commentary, detailed business overview and key financial data. Report Revision Date: 7/10/2015 (represents the latest significant change).



View additional news, reports and products for this company

<u>Date</u>	<u>Title</u>
May 15, 2015	A.M. Best Upgrades Ratings of FirstComp Insurance Company, Affirms Markel Corporation and Other Affiliate Ratings
Mar 21, 2014	A.M. Best Affirms Ratings of Markel Corporation and Its Affiliates
Jan 04, 2013	A.M. Best Assigns Ratings to Essentia Insurance Company
Nov 13, 2012	A.M. Best Affirms Ratings of Markel Corporation and Its Domestic Subsidiaries
Sep 07, 2011	A.M. Best Affirms Ratings of Markel Corporation and Its Domestic Subsidiaries
Jan 05, 2011	A.M. Best Assigns Ratings to FirstComp Insurance Company
Jul 20, 2010	A.M. Best Affirms Ratings of Markel Corporation & Its Domestic Subsidiaries; Downgrades Ratings of Deerfield Insurance Company
Jun 24, 2009	A.M. Best Affirms Ratings of Markel Corporation and Its Domestic Subsidiaries
Jun 20, 2008	A.M. Best Affirms Ratings of Markel Corporation and Domestic Subsidiaries

# List of Approved Surplus Line Insurers (LASLI)

changed from Arizona to Delaware effective 11/21/2014.)	
CUMIS Specialty Insurance Company, Inc. (lowa)	05/15/2008

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# E-G

Insurer	Date Approved
Empire Indemnity Insurance Company (Oklahoma)	12/01/1995
Endurance American Specialty Insurance Company (Delaware) (Name changed from Traders & Pacific Insurance Company effective 06/08/2006)	02/23/1996
Energy Insurance Mutual Limited (Barbados)	12/17/1997
Essex Insurance Company (Delaware)	07/06/1995
Evanston Insurance Company (Illinois)	08/11/1995
Everest Indemnity Insurance Company (Delaware)	08/14/1998
Executive Risk Specialty Insurance Company (Connecticut)	09/01/1995
Fair American Select Insurance Company (Delaware)	07/28/2014
Fireman's Fund Insurance Company of Ohio (Ohio)	05/19/1999
First Mercury Insurance Company (Illinois)	10/16/1997
First Specialty Insurance Corporation (Missouri)	09/01/1995
Founders Insurance Company (Illinois)	10/16/2007
Gemini Insurance Company (Delaware)	02/23/1998
General Security Indemnity Company of Arizona (Arizona) (Name changed from Fulcrum Insurance Company effective 05/03/2002)	09/01/1995
General Star Indemnity Company (Delaware) (Domicile changed from Connecticut to Delaware, effective 12/31/2012)	08/11/1995
Gotham Insurance Company (New York)	08/04/1995
Great American E&S Insurance Company (Delaware) (Name changed from Agricultural Excess and Surplus Insurance Company, effective 07/27/2000)	06/30/1995
Great American Fidelity Insurance Company (Delaware) (Name changed from American Dynasty Surplus Lines Insurance Company, effective 06/27/2001)	09/01/1995
Great Lakes Reinsurance (UK) SE	12/01/1995
GuideOne National Insurance Company (Iowa)	12/07/2015
Gulf Underwriters Insurance Company (Connecticut) (Domicile changed from Missouri to Connecticut, effective 10/01/2001)	07/07/1995

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#### COMPANY PROFILE

# Company Information

#### **GREAT AMERICAN INSURANCE COMPANY**

**301 E. FOURTH STREET** CINCINNATI, OH 45202-4201 800-545-4269

# **Old Company Names**

**Effective Date** 

AMERICAN CONTINENTAL INSURANCE COMPANY MANUFACTURERS & MERCHANTS INDEMNITY CO. SELECTIVE INSURANCE COMPANY

12/06/1976 09/05/1956 06/15/1972

#### Agent For Service

Vivian Imperial 818 WEST SEVENTH STREET SUITE 930 LOS ANGELES CA 90017

#### Reference Information

NAIC #:	16691
California Company ID #:	1301-1
Date Authorized in California:	10/17/1945
License Status:	UNLIMITED-NORMAL
Company Type:	Property & Casualty
State of Domicile:	OHIO

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## **NAIC Group List**

NAIC Group #:

0084

American Financial Grp

# Lines Of Business

The company is authorized to transact business within these lines of insurance. For an explanation of any of these terms, please refer to the glossary.

ATRCRAFT

AUTOMOBILE

BOILER AND MACHINERY

BURGLARY

COMMON CARRIER LIABILITY

CREDIT

DISABILITY

FIRE

LIABILITY

MARINE

MISCELLANEOUS PLATE GLASS

SPRINKLER

SURETY

TEAM AND VEHICLE

WORKERS' COMPENSATION

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