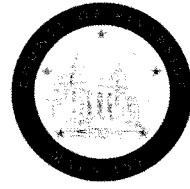


SUBMITTAL TO THE BOARD OF SUPERVISORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA



ITEM
2.40
(ID # 5078)

MEETING DATE:

Tuesday, August 29, 2017

FROM : AUDITOR CONTROLLER:

SUBJECT: AUDITOR-CONTROLLER: Fraud Hotline 24/7! Program Activity Report for the
Period of July1, 2016 through June 30, 2017, All Districts. [\$0]

RECOMMENDED MOTION: That the Board of Supervisors:

1. Receive and file the Fraud Hotline 24/7! Program activity report for the Period of July1, 2016, through June 30, 2017.

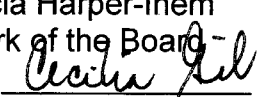
ACTION: Consent


Paul Angulo, Director of Auditor Controller 8/11/2017

MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Jeffries, seconded by Supervisor Ashley and duly carried,
IT WAS ORDERED that the above matter is received and filed as recommended.

Ayes: Jeffries, Washington, Perez and Ashley
Nays: None
Absent: Tavaglione
Date: August 29, 2017
xc: Auditor

Kecia Harper-Ihem
Clerk of the Board
By: 
Deputy

**SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE,
STATE OF CALIFORNIA**

| FINANCIAL DATA | Current Fiscal Year: | Next Fiscal Year: | Total Cost: | Ongoing Cost |
|-----------------------------|-----------------------------|--------------------------|------------------------------|---------------------|
| COST | \$ 0.0 | \$ 0.0 | \$ 0.0 | \$ 0.0 |
| NET COUNTY COST | \$ 0.0 | \$ 0.0 | \$ 0.0 | \$ 0.0 |
| SOURCE OF FUNDS: N/A | | | Budget Adjustment: No | |
| | | | For Fiscal Year: n/a | |

C.E.O. RECOMMENDATION: APPROVE

BACKGROUND:

Summary

On September 1, 2009 (Minute Order 3.19), the Board of Supervisors approved Policy C-35, Standards of Ethical Conduct to address Fraud, Waste and Abuse. Approved along with the policy, was the implementation of the county's Fraud, Waste and Abuse Prevention Program.

On October 16, 2012 (Minute Order 3.12), Policy C-35 was modified to update the website address and clarify which offenses should be reported to the Fraud, Waste and Abuse Hotline. The reporting of such incidents is confidential and anonymous. Confidentiality and anonymity are enhanced through the use of a third-party vendor that provides 24/7 incident reporting system through internet and call center services.

Since implementation of the Fraud, Waste and Abuse Prevention Program in September 2009, the County's Auditor-Controller's Office has received 637 incident reports of fraud, waste, and abuse. Pursuant to California Government Code Section 53087.6, each report is reviewed and investigated by the Auditor-Controller's Office or distributed to the affected department head and their assigned human resources service manager for investigation and action. If necessary, certain incidents are reported to the District Attorney's Office.

The Fraud, Waste and Abuse Prevention Committee reviews and approves reports for closure on a quarterly basis. County Counsel, the Director of Human Resources, and the County Auditor-Controller are members of the Fraud Waste and Abuse Prevention Committee where the County Auditor-Controller serves as the chair. Reasons for closing incident reports include referrals to other departments with their own administrative procedures, insufficient information for an investigation, or upon conclusion of the investigation.

Impact on Residents and Businesses

Provide information on activity of the Fraud Hotline 24/7! Program.

SUPPLEMENTAL:

Additional Fiscal Information

Not applicable

SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE,
STATE OF CALIFORNIA

ATTACHMENT A. *Fraud Hotline 24/7!* Program Activity Report for the Period of July 1,
2016, through June 30, 2017


Stephanie Pusi 8/22/2017



Fraud Hotline 24/7!
Report Fraud, Waste & Abuse

ACC AUDITOR
 CONTROLLER
 COUNTY OF RIVERSIDE

Paul Angulo, CPA, MA
 AUDITOR-CONTROLLER

Frankie Ezzat, MPA
 ASSISTANT AUDITOR-CONTROLLER

FRAUD HOTLINE 24/7! PROGRAM ACTIVITY REPORT

FOR THE PERIOD OF JULY 1, 2016 THROUGH JUNE 30, 2017

The following tables report key incident statistics from the MySafeWorkplace Anonymous Incident Reporting System:

INCIDENTS REPORTED AND CLOSED

| | FY 2015-16 | FY 2016-17 |
|----------------------------------|------------|------------|
| Total Reported Incidents | 58 | 40 |
| FWA Committee Closures | | |
| To Other Jurisdictions/Processes | (27) | (30) |
| By FWA Hotline | (9) | (8) |
| Active Incidents Fiscal Year End | 22 | 2 |

REPORT METHOD

| | FY 2015-16 | FY 2016-17 |
|-------------|------------|------------|
| Internet | 44 | 29 |
| Call Center | 14 | 10 |
| Proxy (1) | - | 1 |
| Reported | 58 | 40 |

ANONYMITY

| | FY 2015-16 | FY 2016-17 |
|-----------------------|------------|------------|
| Full Anonymity | 49 | 25 |
| Partial Anonymity (2) | 4 | 2 |
| None | 5 | 13 |
| Total | 58 | 40 |

Notes:

- (1) Incidents entered into the system by the Auditor-Controller's fraud administrative team.
- (2) Reporter wants to remain anonymous to their department; however, they allow the fraud administrative team and fraud, waste and abuse committee to know their identity.