

Schedule B
Scope of Services**B.1 BACKGROUND**

The Out-of-Home Parenting Education Program is intended to provide Evidence-based/Evidence-informed training for parents/caregivers with children and youth who are at risk and/or have been reported for maltreatment. Trained parenting education professionals provide parents/caregivers with information, skills, and principles essential for improving the parent's/caregiver's knowledge, parenting competence, and parental stress. Successful implementation of the parenting education training is intended to improve Child Welfare outcomes related to child safety, permanency, and child and family well-being.

B.2 GOAL

The primary goal of Riverside County's Out-of-Home Parenting Education Program is to reduce entry/re-entry into the Child Welfare System and increase re-unification by equipping parents/caregivers with the knowledge and skills necessary to increase their capacity to effectively parent their children.

B.3 OBJECTIVES

- A. Provide Evidence-based/Evidence-informed parenting education programs which meet the requirements of Welfare and Institutions Code section 16507.07; and
- B. Ensure that the Out-of-Home Parenting Education Program is accessible to parents in need of the service.

B.4 PROGRAM OUTCOMES

- A. After completion of the Out-of-Home Parenting Education Program, participating Clients will demonstrate a 7% increase in knowledge of effective parenting practices as measured by a difference in scores using an evaluation tool selected by DPSS (Knowledge of Effective Parenting Scale) administered to Clients prior to participation in the program (Pre) and subsequent to participation in the program (Post).
- B. After completion of the Out-of-Home Parenting Education Program, participating Clients will demonstrate a 15% increase in parenting sense of competence (Parent self-efficacy and satisfaction) as measured by a difference in scores using an evaluation tool selected by DPSS (Parenting Sense of Competence Scale), administered to Clients prior to participation in the program (Pre) and subsequent to participation in the program (Post).
- C. After completion of the Out-of-Home Parenting Education Program, participating Clients will demonstrate a 13% decrease in parenting stress as measured by a difference in scores using an evaluation tool selected by DPSS (Parenting Stress Scale), administered to Clients prior to participation in the program (Pre) and subsequent to participation in the program (Post).

The results generated by the evaluation tool(s) during the first year of implementation will be used to establish a baseline for future use in determining appropriate percentage(s) of change for targeted program objective(s). In subsequent years, changes to target program outcomes percentage(s) of change may be modified based on the collected data.

B.5 UNIT OF SERVICE REQUIREMENTS

CONTRACTOR shall provide the following services:

<p>Parenting Education Groups:</p>	<p>A single unit of parenting education groups must include ALL of the following:</p> <ul style="list-style-type: none"> • Must include all components mandated in Welfare and Institutions Code section 16507.7, as may be amended from time to time, and must be Evidence-based and/or Evidence-informed. • Must be weekly face-to-face Contact between the Licensed Clinical Therapist or Registered Intern and a group of Clients no more than six (6) months in duration. Clients cannot attend more than one session per week, unless the Client is making up a missed class. • Groups exceeding fifteen (15) Clients shall have a group facilitator and co-facilitator, except in cases where Client(s) are requiring a Make-up Class. Make-up Classes are expected to be an exception for Clients to complete the program. Exceptions will be reviewed and evaluated on a case-by-case basis by the provider, who will note all justifications for any exceptions 1) on the sign-in sheets; 2) in the case file; and, 3) in the invoice documentation. • Groups must have a minimum of six (6) Clients per group to receive full payment. Groups with less than six (6) Clients will be prorated. • Must enter into a written agreement with each Client with respect to the responsibilities a parent must satisfy in order to pass the course. The Client's written agreement must be kept in the Client's case file.
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B.6 GENERAL SERVICE REQUIREMENTS

A. Evidence-based or Evidence-informed Programs

1. CONTRACTOR must use Evidence-based practice(s) or Evidence-informed practice(s) which meet the requirements of Welfare and Institutions Code section 16507.07.
2. CONTRACTOR will institute the Triple P – Positive Parenting Program (Level 4) and any other parenting class curriculum as may be approved by DPSS.
3. CONTRACTOR must obtain approval of Evidence-based practice(s) or Evidence-informed practice(s) by CSD-PEU within 30 days after award of the contract.
4. CONTRACTOR must purchase and provide CSD-PEU a physical copy of all program materials and curriculums 60 days following the approval of Evidence-based practice(s) or Evidence-informed practice(s). The cost of DPSS's copy can be a line item in the budget.

B. Recipients of Services

1. The target population is parents/caregivers of children and youth demonstrating moderate to severe behavioral and/or emotional problems, and/or at risk of demonstrating such behaviors, who have been identified as needing parenting training.
2. The County of Riverside is divided into three (3) separate Zones (as determined by zip code and population). CONTRACTOR will provide the services in Zones 1 and 2 as specified in Schedule C below.

3. CONTRACTOR shall provide services to both Clients referred by COUNTY and walk-ins.
4. CONTRACTOR shall make the services accessible to parents in need of the services.
5. CONTRACTOR shall conduct the classes in a group setting and may present them through lecture, videotapes, and/or group discussion.
6. CONTRACTOR must enter into a written agreement with each Client with respect to the responsibilities a parent must satisfy in order to pass the course. The Client's written agreement must be kept in the Client's case file.

C. Intake

1. Intake Process for COUNTY referrals/walk-in Clients
 - a. CONTRACTOR must Contact or Attempt to contact the Client within two (2) business days of receipt of referral.
 - 1) A minimum of two (2) attempts on two (2) separate days must be made to Contact the Client. If CONTRACTOR cannot Contact the Client, CONTRACTOR must notify the referring social worker that Contact cannot be made. All attempts to Contact the Client must be documented in writing and maintained in the Client file.
 - 2) If after five (5) business days from initial referral, CONTRACTOR has not made Contact with the Client, CONTRACTOR must notify the referring social worker via DPSS's program liaison. Thereafter, CONTRACTOR will not be required to make additional attempts to Contact the Client.
 - b. Referrals for Clients are valid for one year from date of issue and must be submitted with appropriate billings for service.
 - c. For Clients receiving services that will cross fiscal years, CONTRACTOR must:
 - 1) Submit a copy of the original referral with the first billing for service in the new fiscal year; or
 - 2) Request a new referral for services from the Client's social worker to submit with the first billing for service in the new fiscal year.
2. Intake/Assessment

Clients must have an Intake/Assessment completed within ten (10) business days of receipt of the referral or walk-in. Service delays outside of ten (10) business days must be documented, along with the reason for the delay, and maintained in the Client's file.
3. Commencement of Services

All Clients must begin services within thirty (30) calendar days of receipt of the CWS referral or walk-in. Service delays outside of thirty (30) calendar days must be documented, along with the reason for the delay, and maintained in the Client's file.
4. For Clients with a referral, CONTRACTOR shall notify the Client's social worker when the Client is a "no-show" for class within two (2) business days of the missed class.

D. New Episode Referral Process

1. If CONTRACTOR receives a new 2468 referral for a Client at any time within the same contract term (July 1 – June 30), the Client is considered as a new Episode and maximum counts for referral services will start back at (1).
2. CONTRACTOR can request a new 2468 referral from the Client's social worker for any referred Client in need of additional services that has 1) exceeded his/her maximum counts for the service, and 2) surpassed the lapse period for Extension requests.
3. New 2468 referrals must be submitted with appropriate billings for services. Copies of new 2468 referrals must also be maintained in the Client's file.

B.7 ADMINISTRATION

- A. CONTRACTOR shall assign staff to be the liaison between CONTRACTOR and DPSS.
- B. CONTRACTOR's staff liaison shall participate in JOMs as requested. Historically, JOM's are held quarterly.
- C. CONTRACTOR's staff liaison shall participate in CFTM sessions as requested and record all CFTM information in the Client's case file.
- D. CONTRACTOR shall maintain a case file for each Client in a neat and organized manner that ensures Client confidentiality and HIPAA compliance. Case files must be available for DPSS to review upon request, and must contain the following:
 - 1. Individual Files
 - a. Client's name and date of birth
 - b. Referral date and CWS referral number (if applicable)
 - c. Basic demographic data
 - d. Initial Assessment
 - e. Intake date and date services started
 - f. Dates of CFTMs attended (if applicable)
 - g. Written agreement with Client (if applicable)
 - h. Closing assessment and summary (if applicable)
 - 2. Parenting Session Files
 - a. Sign-in sheets for all sessions
 - b. Topics/agendas from each session
- E. CONTRACTOR shall offer the services during the day and Evening.
- F. CONTRACTOR shall offer English and Spanish sessions for all services.
- G. CONTRACTOR cannot bill multiple sources for the same services, i.e., CONTRACTOR may not bill Medi-Cal and DPSS for the same group/individual.

B.8 EVALUATION

- A. Program Evaluation
 - 1. DPSS-CSD will evaluate the program for efficacy of delivered services and successful accomplishment of program outcomes.
 - 2. All agreed upon Evidence-based practices or Evidence-informed practices and tools shall be used in the implementation of the contract, and modification to or elimination of the proposed Evidence-based practices or Evidence-informed practices and tools are not recommended and shall be discussed with CSD-PEU.
 - 3. In addition to the achievement of program outcome(s), DPSS will evaluate the impact/relationship of this program on Child Welfare outcomes related to child safety, permanency, and child and family well-being.
- B. Evaluation Tools
 - 1. CONTRACTOR shall use evaluation tools for internal evaluation and data-tracking purposes. Such tools include, but are not limited to, the following tools, which are attached hereto in Schedule D:
 - a. Program Outcome Tools
 - 1) Program Outcome 1: Knowledge of Effective Parenting Scale (KEPS) (Morawska A., Sanders M.R., & Winter L., 2012) working with author
 - 2) Program Outcome 2: Parenting Sense of Competence Scale (PSoC) (Gibaud-Wallston & Wandersman, 1989)

- 3) Program Outcome 3: Parenting Stress Scale (PSS) (Berry J.O. and Jones W.H., 2005)
- b. Other Tools
 - 1) Provider Form
 - 2) DPSS-CSD Client Intake Measure
 - 3) DPSS-CSD Client Exit Measure
2. CONTRACTOR is responsible for properly administering and assisting all program Clients to complete the evaluation tool(s) with guidance from DPSS-CSD PEU. CONTRACTOR shall submit completed, KEPS PSoC, PSS, Provider Form, DPSS-CSD Client Intake Forms, and Client DPSS-CSD Exit Forms to CSD-PDR (PDRreports@rivco.org) by the 20th of the month following services.
 - a. The format and method in which evaluation tools data will be submitted to CSD-PEU will be agreed upon after the contract has been awarded.
 - b. CONTRACTOR must have at minimum intermediate familiarity with Microsoft Excel and Microsoft Access applications/software.
3. Additional evaluation tools proposed by CONTRACTOR must be approved by CSD-PEU. CONTRACTOR must provide justification, literature citations, and/or references (National Registry of Evidence-based Programs and Practices (NREPP), California Evidence-Based Clearinghouse for Child Welfare (CEBC4CW), etc.) that demonstrate Evidence-based effectiveness of the proposed additional evaluation tools. CONTRACTOR must purchase and provide CSD-PEU physical copies of all proposed program evaluation tools (Examples: survey or scale, survey instructions, data collection procedures, scoring instructions, scoring CD-ROM, etc.) 60 days following the approval of Evidence-based practice(s) or evidence-informed practice(s).
4. CONTRACTOR shall use the most current version of the evaluation tools approved by CSD-PEU, but any revisions to or replacement of the evaluation tools shall not require an amendment to the Agreement.
5. All program and assessment materials, such as completed evaluation tools and data, are the sole property of DPSS. Materials that are damaged or lost must be replaced by CONTRACTOR.

B.9 REPORTING

- A. CONTRACTOR shall submit monthly reports to CSD-PDR (PDRreports@rivco.org) no later than the 20th calendar day of the month following the month in which services were delivered. Reports shall be reported by region and shall include total unduplicated counts of the following:
 1. Number of new CWS referrals received during the month
 2. Number of new walk-ins received during the month
 3. Number of active parenting classes during the month
 4. Names of Participants for each class held during the month along with Client ID number for each CWS Client
 5. Number of Participants completing the parenting education program during the month
 6. Number of Participants to drop out of parenting education program during the month
- B. CONTRACTOR shall submit quarterly reports to the CSD-PDR (PDRreports@rivco.org) designated Program Liaison no later than the 20th calendar day of the month following the quarter in which services were delivered. Reports shall be reported by region and shall include total unduplicated counts of the following:
 1. Number of new referrals received for the quarter counts to be sorted by region

2. Total number of active parenting Clients during the quarter
 3. Number of Clients to complete parenting class during the quarter
 4. Number of Clients to drop out of parenting class during the quarter
 5. Topics covered during quarter
- C. CONTRACTOR's staff will return phone calls to social workers and DPSS staff within one (1) business day and will provide written progress reports when requested by the assigned social worker or social worker supervisor within three (3) business days. Copies of progress reports must be maintained in individual Client's files.

Schedule C
Service by Geographical Zones

ZONE 1	
Western County	
City	Zip Code
Corona	92879
Corona	92880
Corona	92881
Corona	92882
Elsinore	92530
Elsinore	92531
Elsinore	92532
Homeland*	92548
March AFB	92518
Mira Loma	91752
Moreno Valley	92551
Moreno Valley	92552
Moreno Valley	92553
Moreno Valley	92554
Moreno Valley	92555
Moreno Valley	92556
Moreno Valley	92557
Norco	92860
Nuevo/Lakeview	92567
Perris*	92570
Perris	92571
Perris	92572
Riverside	92501
Riverside	92502
Riverside	92503
Riverside	92504
Riverside	92505
Riverside	92506
Riverside	92507
Riverside	92508
Riverside	92509
Romoland	92585
Sun City	92586
Sun City/ Canyon Lake/Quail Valley	92587
Wildomar	92595

ZONE 2	
Mid & Southwest County	
City	Zip Code
Anza*	92539
Banning*	92220
Beaumont/ Cherry Valley	92223
Cabazon*	92230
Calimesa	92320
Hemet*	92543
Hemet	92545
Hemet/Valle Vista*	92544
Idyllwild	92549
Menifee/Sun City	92584
Mountain Center	92561
Murrieta	92562
Murrieta	92563
San Jacinto	92581
San Jacinto	92582
San Jacinto/ Gilman Springs*	92583
Temecula	92590
Temecula	92591
Temecula	92592
Temecula	92593
Winchester	92596

* Zip codes indicated with an asterisk have been identified as having the highest incidence of child abuse allegations.

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COUNTY OF RIVERSIDE

DPSS-CSD-PEU



PARENTING EDUCATION PROGRAM

EVALUATION TOOLS

PRE-TEST

Name of Community Based Organization or Provider

Client Name: _____

Department of Public Social Services-Children's Services Division
Program Evaluation Unit
10281 Kidd Street (2nd Floor)
Riverside, California 92503

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Provider Form

FOR CBO/PROVIDER USE ONLY

Client Name: _____

Client Primary Address: _____

Date of Pre-Test Assessment: _____
Street Address City State Zip Code

Provider Name: _____

Service Type (i.e., individual counseling, parenting education, mentoring, substance abuse services). List all that apply:

Provider Client ID #: _____

CWS/CMS Client ID #: _____ - _____ - _____

Client Date of Birth: _____

Service Delivery Location: 1 Client home 2 Other (specify): _____

SW Referral Region (i.e., Desert, Metro): _____

Please mark with a in the box.

1. **Initial referral date to the program:** _____

[This is the date that the Service Provider receives the referral]

2. **Reason for requested services or referral reason to the program (please be detailed):**

3. **Primary referral source (or, how did you hear about us), select one only:**

1 <input type="checkbox"/> Adoption Assistance Program Eligibility	8 <input type="checkbox"/> Medicaid/Medi-Cal
2 <input type="checkbox"/> CBO (Community-Based Organization, including 2-1-1)	9 <input type="checkbox"/> Private Adoption Agency
3 <input type="checkbox"/> DMH (Department of Mental Health)	10 <input type="checkbox"/> School
4 <input type="checkbox"/> DOP (Department of Probation)	11 <input type="checkbox"/> Self-Referred
5 <input type="checkbox"/> DPSS Children's Services	12 <input type="checkbox"/> State Adoptions Office
6 <input type="checkbox"/> DPSS Other Services	13 <input type="checkbox"/> WIC
7 <input type="checkbox"/> DR (Differential Response)	14 <input type="checkbox"/> Other (specify): _____

CBO/Provider comments/feedback (i.e., difficulties administering the evaluation tools, client was having difficulties understanding a question in the evaluation tools, changes to the protocol, or length of time to complete evaluation tools, etc.):

Instructions:

- The CBO/Provider is responsible for implementing all evaluation tools. Please be available to sit down with the client to proctor and verify that all the evaluation tool questions are properly completed.
- The CBO/Provider is responsible for overseeing that the client has completed his/her pre-test on their specific intake date into the program. Administration changes must be reported in the comments/feedback section.
- For more instructions, please refer to the "Evaluation Tools Procedures."

Print Staff Name _____

Staff Signature: _____ Date: _____

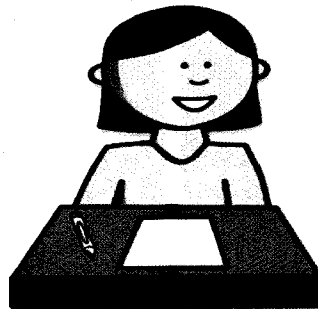
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Client Survey Instructions

Hello, we have a survey for you to take! The survey will ask questions about you, your experiences, your family, and about the program!

1. Please read each set of instructions carefully before you begin answering the questions in each section.
2. Please read each item and choose the answer that best describes you.
3. Please try to be open and honest with how you answer. Your responses will remain confidential.
4. This is not a test and is only a survey. Please just say what you know and how you really feel.
5. Please turn your cell phone on silent and close all other browsers on the computer.
6. Please ask the staff, clinician, or social services worker questions if you need help.
7. Some of the questions may seem similar to each other. Please try to answer every question even if you feel like you have answered another question that is like it.
8. We want your honest opinion and we want to learn from your experiences. The survey will not affect your program needs and services. Your opinion will be used to improve the program.
9. Please double check each response.

Do you have any questions? If not, let's begin the survey.



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12. Have you or your child received public assistance within the past 6 months?

Examples of public assistance include TANF or Welfare, Medi-Cal, Medicaid, Food stamps, Social Security benefits, Unemployment Insurance benefits, State Children's Health Insurance Program, WIC, and government subsidized child care.

1 Yes 2 No

13. What kind of public assistance has the participant and/or child received within the past 6 months? (Check all that apply.)

1 <input type="checkbox"/> CalWORKS/Welfare	8 <input type="checkbox"/> SSI
2 <input type="checkbox"/> TANF	9 <input type="checkbox"/> AAP Monies
3 <input type="checkbox"/> General Assistance	10 <input type="checkbox"/> Unemployment Insurance Benefits
4 <input type="checkbox"/> Disability Benefits	11 <input type="checkbox"/> State Children's Health Insurance Program (SCHIP)
5 <input type="checkbox"/> Medi-Cal/Medicare/Medicaid – participant	12 <input type="checkbox"/> WIC
6 <input type="checkbox"/> Medi-Cal/Medicare/Medicaid – child	13 <input type="checkbox"/> Government Subsidized Child Care
7 <input type="checkbox"/> Food Stamps	14 <input type="checkbox"/> Other (specify) _____

14. What is your total annual household income?

1 <input type="checkbox"/> Less than \$10,000	13 <input type="checkbox"/> \$65,000-\$69,999
2 <input type="checkbox"/> \$10,000-\$14,999	14 <input type="checkbox"/> \$70,000-\$74,999
3 <input type="checkbox"/> \$15,000-\$19,999	15 <input type="checkbox"/> \$75,000-\$79,999
4 <input type="checkbox"/> \$20,000-\$24,999	16 <input type="checkbox"/> \$80,000-\$84,999
5 <input type="checkbox"/> \$25,000-\$29,999	17 <input type="checkbox"/> \$85,000-\$89,999
6 <input type="checkbox"/> \$30,000-\$34,999	18 <input type="checkbox"/> \$90,000-\$94,999
7 <input type="checkbox"/> \$35,000-\$39,999	19 <input type="checkbox"/> \$95,000-\$99,999
8 <input type="checkbox"/> \$40,000-\$44,999	20 <input type="checkbox"/> \$100,000-\$104,999
9 <input type="checkbox"/> \$45,000-\$49,999	21 <input type="checkbox"/> \$105,000-\$109,999
10 <input type="checkbox"/> \$50,000-\$54,999	22 <input type="checkbox"/> \$110,000-\$114,999
11 <input type="checkbox"/> \$55,000-\$59,999	23 <input type="checkbox"/> \$115,000-\$119,999
12 <input type="checkbox"/> \$60,000-\$64,999	24 <input type="checkbox"/> \$120,000 or more

15. Number of children under the age of 18 in your household? _____

16. What is your relationship to the children in your household?

1 <input type="checkbox"/> Biological Parent	5 <input type="checkbox"/> Grandparent
2 <input type="checkbox"/> Adoptive Parent	6 <input type="checkbox"/> Relative
3 <input type="checkbox"/> Step Parent	7 <input type="checkbox"/> Non-Related Caregiver
4 <input type="checkbox"/> Foster Parent	

17. What type of living/custody arrangement do you have with your child (please check only one)?

1 <input type="checkbox"/> My spouse and child both live in the same residence as I do.	4 <input type="checkbox"/> I have visitation rights (child lives with the other parent most of the time).
2 <input type="checkbox"/> I have joint custody (child lives with both parents)	5 <input type="checkbox"/> I have bird's nest custody (child lives in the same residence at all times, the parents move in and out)
3 <input type="checkbox"/> I have sole custody (child lives with me most of the time).	6 <input type="checkbox"/> Other _____

Client Name: _____ Client Date of Birth: _____

The Knowledge of Effective Parenting Scale (KEPS)

Morawska, A., Sanders, M. R., & Winter, L. (2005)

*Please read each of the following questions carefully and circle the response which you consider would be the most effective action for a parent to take. Please **CIRCLE** only one response for each question.*

1. To ensure that a toddler is safe and secure, which of the following would be the least effective strategy for a parent to take:
 - a. Make sure they know where their child is and what they are doing at all times.
 - b. Install child safety devices, such as power point covers.
 - c. Show them exactly what they can and cannot touch.
 - d. Put away precious, fragile items out of reach.

2. An environment which facilitates children's independent play is one where:
 - a. There are lots of fun and interesting things to do.
 - b. The parent sets up a number of structured activities.
 - c. Parents spend a lot of time playing with children.
 - d. Children are expected to play independently.

3. When disciplining a child it is important that a parent:
 - a. Is consistent in their reaction to their child's misbehavior.
 - b. Makes sure their child feels a bit of pain or discomfort so they will remember what they have done wrong.
 - c. Speaks firmly to their child so they know who is the boss and that they mean business.
 - d. Encourages their child to express their negative or angry feelings openly.

4. When a child approaches a busy parent to speak or show them something it is best that a parent:
 - a. Says, "Mommy is busy, go and ask daddy."
 - b. Tells the child to wait.
 - c. Spends at least 30 minutes a day in activities of the child's choice.
 - d. Gives the child their full attention, giving them the help they need briefly and encourages them to continue with their interest of the moment.

5. Parenting is less stressful when:
 - a. The parent strives to be a better parent than their own parents.
 - b. A parent expects that children will sometimes break rules and not do as they are asked.
 - c. There are too many rules in life, let children be children.
 - d. A parent expects that their child should always do as they are told.

6. To make a success of being a parent a parent should:
 - a. Take a stress management or relaxation class.
 - b. Spend all of their free time with their children.
 - c. Be less reliant or dependent on friends to help out.
 - d. Take care of their own needs and take an occasional break from children.

Client Name: _____ Client Date of Birth: _____

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7. All children are born with a certain temperament, which is partly inherited from their parents. This means that:
- There is nothing a parent can do to change their child's behavior – that's just the way the child is.
 - Either parent might be responsible for the problems with their child – if they were the same as a child.
 - If a child has a difficult temperament it makes the parents' job harder, but how their child is raised matters.
 - Temperament doesn't matter, because the environment is the thing that really makes a difference.
8. During a shopping trip in the grocery store Jacob asks his mother to buy him a toy. She says not today. He protests, pleading with her to buy the toy. She says no again and he starts to cry then screams loudly throwing himself on the floor. Jacob is more likely to throw a tantrum in the future when shopping with his mother if she:
- Buys the toy for him, and says "just this once."
 - Tells him to stop the noise.
 - Ignores the behavior completely.
 - Reminds him of the rules about not buying anything today and refuses to give into his demands.
9. A father asks his child to turn off the TV and get ready for a bath. She loudly refuses saying "No. These are my favorite ads". He asks her again with a raised voice. She puts her hands over her ears. The father then gets annoyed, shouts loudly at her, and threatens to ban her from watching TV for the rest of the week. She then does as she is told but with a sour face. What lesson is the father likely to learn in this situation?
- TV inevitably creates conflict with children.
 - It is necessary to yell at and threaten children before they cooperate.
 - When children don't do as they're told, they are just asserting their independence.
 - Don't create a scene, just let them continue watching TV.
10. An 11-year-old girl tends to yell and shout at her younger sister, in order to get what she wants. She is most likely to have learned this by:
- Seeing characters from her favorite TV show yelling at each other.
 - Listening to loud music, which may have affected her hearing.
 - Listening to her parents raise their voices at her, when she does not do her chores or do as she's told.
 - It's probably just part of her nature.
11. A child is jumping on the couch. Her mother wants her to stop. Which approach would be most effective?
- Telling her to stop jumping on the couch and to jump outside if she would like to jump.
 - Saying "Sarah, don't be so silly".
 - Explaining to her again, why jumping on the couch is dangerous.
 - Asking her to explain why she wants to wreck the couch.

Client Name: _____ Client Date of Birth: _____

12. A 3-year-old and 2-year-old have made a mess with all of their toys. There are toys everywhere. What would be the most effective approach for their father to take?
- Tell them to stop playing and pack away all the toys.
 - Send them to time out for making a mess.
 - Set them up in another activity and then he cleans the mess up himself.
 - Help them get started by asking them to pick up one thing each.
13. If parents disagree about something it is better for their children if they:
- Try to keep the peace and avoid having any form of disagreement in front of them.
 - Keep calm but show them that disagreements are OK and can be resolved.
 - Ask their child what they think about the disagreement.
 - Tell each other exactly what they think even if they are really angry because children have to learn how to cope with conflict.
14. It is Saturday morning and a mother is ironing. Her 4-year-old son comes up to show her something. What is the best way for her to respond:
- Tell him she is busy and not to interrupt.
 - Ignore his interrupting.
 - Stop what she is doing, give him her attention, and then continue ironing.
 - Tell him that she will look when she has finished the ironing.
15. A father is on his way home after picking up his two children from school. He's previously had the problem of being distracted by the children arguing noisily in the backseat. To prevent this from occurring it would be more effective for him to:
- Turn the radio/CD up loud to drown out the sound of the children in the backseat.
 - Be prepared to keep telling them to keep the noise down, because he can't concentrate.
 - Tell them something interesting about his day, and ask them to tell him something that happened at school today.
 - Just accept that driving with children can be difficult.
16. When children receive lots of hugs and cuddles from parents they:
- Become needy and dependent.
 - Feel loved, secure and wanted.
 - Find it harder to separate from their parents.
 - Are more likely to become interested in the opposite sex early.
17. Damian, a 7 year old, has just made a tall building out of wooden blocks, while his mother was on the phone. What would be the best way for her to show her interest and approval to encourage this behavior?
- Say "That looks interesting. Haven't you done well."
 - Say nothing. Praising children makes them self-centered.
 - Just watch him for a while and wait until he says something about his creation.
 - Say "Thank you for playing by yourself while I was on the telephone. Tell me about what you've made."

Client Name: _____ Client Date of Birth: _____

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12. A 3-year-old and 2-year-old have made a mess with all of their toys. There are toys everywhere. What would be the most effective approach for their father to take?
- Tell them to stop playing and pack away all the toys.
 - Send them to time out for making a mess.
 - Set them up in another activity and then he cleans the mess up himself.
 - Help them get started by asking them to pick up one thing each.
13. If parents disagree about something it is better for their children if they:
- Try to keep the peace and avoid having any form of disagreement in front of them.
 - Keep calm but show them that disagreements are OK and can be resolved.
 - Ask their child what they think about the disagreement.
 - Tell each other exactly what they think even if they are really angry because children have to learn how to cope with conflict.
14. It is Saturday morning and a mother is ironing. Her 4-year-old son comes up to show her something. What is the best way for her to respond:
- Tell him she is busy and not to interrupt.
 - Ignore his interrupting.
 - Stop what she is doing, give him her attention, and then continue ironing.
 - Tell him that she will look when she has finished the ironing.
15. A father is on his way home after picking up his two children from school. He's previously had the problem of being distracted by the children arguing noisily in the backseat. To prevent this from occurring it would be more effective for him to:
- Turn the radio/CD up loud to drown out the sound of the children in the backseat.
 - Be prepared to keep telling them to keep the noise down, because he can't concentrate.
 - Tell them something interesting about his day, and ask them to tell him something that happened at school today.
 - Just accept that driving with children can be difficult.
16. When children receive lots of hugs and cuddles from parents they:
- Become needy and dependent.
 - Feel loved, secure and wanted.
 - Find it harder to separate from their parents.
 - Are more likely to become interested in the opposite sex early.
17. Damian, a 7 year old, has just made a tall building out of wooden blocks, while his mother was on the phone. What would be the best way for her to show her interest and approval to encourage this behavior?
- Say "That looks interesting. Haven't you done well."
 - Say nothing. Praising children makes them self-centered.
 - Just watch him for a while and wait until he says something about his creation.
 - Say "Thank you for playing by yourself while I was on the telephone. Tell me about what you've made."

Client Name: _____ Client Date of Birth: _____

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23. A 6-year-old child has refused to put her toys away when her mother asked her to, and when she repeated the instruction, the child started screaming and throwing the toys around the room. What should the mother do?
- Give her a smack on the bottom, and let her know that there will be no dessert tonight.
 - Pack the toys away herself, but let her child know that she will not be able to play with them for the rest of the week.
 - Give the child a cuddle to help settle her down and then assist with packing the toys away.
 - Take her child to time out and wait until she (the child) has calmed down before letting her out and restating the instruction to put the toys away.
24. A father has put his child to bed; she's been to the bathroom, had some water and a story, and he has said goodnight. The child later comes down the hall and says, "I'm not sleepy. I want to play with my toys." What would be the most effective approach for the father to take in this situation?
- Let his child get her toys and stay up a little longer.
 - Remind her of the bedtime rules and take her back to bed.
 - Ignore her and carry on with what he was doing.
 - Go with her back to her bed and stay to soothe and calm her to sleep.
25. It's 30 minutes before dinnertime, and a child asks his mother for a cookie. She says, "Dinner will be ready soon. You need to wait." The child becomes upset and starts to cry. She says "no" again. The crying continues and the child throws himself on the floor screaming. What should the mother do?
- Get down on her child's eye level, and say "Darling, you must be very upset."
 - Get her child's attention, tell him to stop screaming, and remind him of the ground rule (about no sweets before dinner). Ignore further protests and don't give a cookie.
 - Say "You can have just one, but don't ask for any more." Then give the child a cookie.
 - Use this as an opportunity to talk to her child about eating too much sugar and the dangers of getting fat, tooth decay, and spoiling his appetite for dinner.
26. A three-year-old pulls the kitten's tail for the third time in the morning. What would be the most effective way for the parent to respond?
- Threaten to take the kitten back to the store it came from.
 - Ignore the behavior and hope that the kitten will teach the child a lesson.
 - Get their child's attention. Tell them to stop pulling the kitten's tail, and show them how to stroke the kitten gently.
 - Explain to their child why it's important not to hurt animals.
27. A 2-and-a-half-year-old child approaches her father to show him a picture she painted of an animal. In this situation, what can the father say to his child to help her learn new things?
- "Yes, that's a horse. It's a great horse you've drawn!"
 - "Can you draw another animal for daddy?"
 - That's just like the horses we saw on the weekend. Can you remember that?"
 - "That's a great horse. What kind of noise do horses make? And what do horses eat?"

Client Name: _____ Client Date of Birth: _____

28. A 4-year-old son has hit his younger brother while they were playing together. His mother asks him to stop hitting his brother, and when he continues to hit, she takes him to time out. While he is in time-out she should:
- Wait for a pause in his complaints and remind him that he needs to be quiet before he can come out.
 - Wait for 30 seconds then go to him and help him calm down.
 - Allow him to come out and let him know that he won't be allowed to watch TV tonight.
 - Ignore any complaints and wait until he has been quiet for a set time before letting him out.

Parenting Sense of Competence Scale (PSoC)

Gibaud-Wallston & Wandersman (1978)

Please CIRCLE the extent to which you agree or disagree with each of the following statements at the moment.

Statement	Strongly Disagree	Somewhat Disagree	Disagree	Agree	Somewhat Agree	Strongly Agree
1. The problems of taking care of a child are easy to solve once you know how your actions affect your child, an understanding I have acquired.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
2. Even though being a parent could be rewarding, I am frustrated now while my child is at his / her present age.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
3. I go to bed the same way I wake up in the morning, feeling I have not accomplished a whole lot.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
4. I do not know why it is, but sometimes when I'm supposed to be in control, I feel more like the one being manipulated.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
5. My mother was better prepared to be a good mother than I am.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
6. I would make a fine model for a new mother to follow in order to learn what she would need to know in order to be a good parent.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
7. Being a parent is manageable, and any problems are easily solved.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
8. A difficult problem in being a parent is not knowing whether you're doing a good job or a bad one.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
9. Sometimes I feel like I'm not getting anything done.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
10. I meet by own personal expectations for expertise in caring for my child.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree

Client Name: _____ Client Date of Birth: _____

Statement	Strongly Disagree	Somewhat Disagree	Disagree	Agree	Somewhat Agree	Strongly Agree
11. If anyone can find the answer to what is troubling my child, I am the one.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
12. My talents and interests are in other areas, not being a parent.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
13. Considering how long I've been a mother, I feel thoroughly familiar with this role.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
14. If being a mother of a child were only more interesting, I would be motivated to do a better job as a parent.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
15. I honestly believe I have all the skills necessary to be a good mother to my child	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
16. Being a parent makes me tense and anxious.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
17. Being a good mother is a reward in itself	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree

Parental Stress Scale (PSS)

Berry J.O. and Jones W.H. (1995)

*The following statements describe feelings and perceptions about the experience of being a parent. Think of each of the items in terms of how your relationship with your child or children typically is. Please **CIRCLE** the degree to which you agree or disagree with the following statements at the moment.*

Statement	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree
1. I am happy in my role as a parent	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
2. There is little or nothing I wouldn't do for my child(ren) if it was necessary.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
3. Caring for my child(ren) sometimes takes more time and energy than I have to give.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
4. I sometimes worry whether I am doing enough for my child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
5. I feel close to my child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
6. I enjoy spending time with my child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree

Client Name: _____ Client Date of Birth: _____

Statement	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree
7. My child(ren) is an important source of affection for me.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
8. Having child(ren) gives me a more certain and optimistic view for the future.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
9. The major source of stress in my life is my child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
10. Having child(ren) leaves little time and flexibility in my life.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
11. Having child(ren) has been a financial burden.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
12. It is difficult to balance different responsibilities because of my child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
13. The behavior of my child(ren) is often embarrassing or stressful to me.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
14. If I had it to do over again, I might decide not to have child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
15. I feel overwhelmed by the responsibility of being a parent.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
16. Having child(ren) has meant having too few choices and too little control over my life.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
17. I am satisfied as a parent	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree



Client Name: _____ Client Date of Birth: _____

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COUNTY OF RIVERSIDE

DPSS-CSD-PEU



PARENTING EDUCATION PROGRAM

EVALUATION TOOLS

POST-TEST

Name of Community Based Organization or Provider

Client Name: _____

Department of Public Social Services-Children's Services Division
Program Evaluation Unit
10281 Kidd Street (2nd Floor)
Riverside, California 92503

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Provider Form

FOR CBO/PROVIDER USE ONLY

Client Name: _____

Client Primary Address: _____
Street Address City State Zip Code

Date of Post-Test Assessment: _____

Provider Name: _____

Service Type (i.e., individual counseling, parenting education, mentoring, substance abuse services). List all that apply: _____

Provider Client ID #: _____

CWS/CMS Client ID #: _____ - _____ - _____

Client Date of Birth: _____

Service Delivery Location: Client home Other (specify): _____

SW Referral Region (i.e., Desert, Metro): _____

Please mark with a in the box.

1. Exit date from the service: _____

Why did the client exit the service?

- 1 Client completed services
- 2 Client no longer feels the need to continue services
- 3 Client is dissatisfied with the services provided
- 4 Client is moving
- 5 Loss of contact with the client
- 6 CBO/Provider or DPSS referred client to another service – Please explain: _____
- 7 CBO/Provider released client from the service – Please explain: _____
- 8 Death
- 9 Incarceration
- 10 Other – Please explain: _____

CBO/Provider comments/feedback (i.e., difficulties administering the evaluation tools, client was having difficulties understanding a question in the evaluation tools, changes to the protocol, or length of time to complete evaluation tools etc.):

Instructions:

- The CBO/Provider is responsible for implementing all evaluation tools. Please be available to sit down with the client to proctor and verify that all the evaluation tool questions are properly completed.
- The CBO/Provider is responsible for overseeing that the clients complete his/her post-test on their specific exit date from the program. Administration changes must be reported in the comments/feedback section.
- For more instructions, please refer to the "Evaluation Tool Procedures."

Print Staff Name _____

Staff Signature: _____ Date: _____

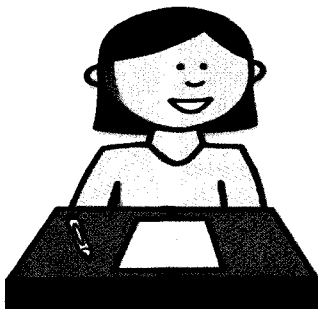
PE_20180321_ETPost_V5-2

Client Survey Instructions

Hello, we have a survey for you to take! The survey will ask questions about you, your experiences, your family, and about the program!

1. Please read each set of instructions carefully before you begin answering the questions in each section.
2. Please read each item and choose the answer that best describes you.
3. Please try to be open and honest with how you answer. Your responses will remain confidential.
4. This is not a test and is only a survey. Please just say what you know and how you really feel.
5. Please turn your cell phone on silent and close all other browsers on the computer.
6. Please ask the staff, clinician, or social services worker questions if you need help.
7. Some of the questions may seem similar to each other. Please try to answer every question even if you feel like you have answered another question that is like it.
8. We want your honest opinion and we want to learn from your experiences. The survey will not affect your program needs and services. Your opinion will be used to improve the program.
9. Please double check each response.

Do you have any questions? If not, let's begin the survey.



The Knowledge of Effective Parenting Scale (KEPS)

Morawska, A., Sanders, M. R., & Winter, L. (2005)

*Please read each of the following questions carefully and circle the response which you consider would be the most effective action for a parent to take. Please **CIRCLE** only one response for each question.*

1. To ensure that a toddler is safe and secure, which of the following would be the least effective strategy for a parent to take:
 - a. Make sure they know where their child is and what they are doing at all times.
 - b. Install child safety devices, such as power point covers.
 - c. Show them exactly what they can and cannot touch.
 - d. Put away precious, fragile items out of reach.

2. An environment which facilitates children's independent play is one where:
 - a. There are lots of fun and interesting things to do.
 - b. The parent sets up a number of structured activities.
 - c. Parents spend a lot of time playing with children.
 - d. Children are expected to play independently.

3. When disciplining a child it is important that a parent:
 - a. Is consistent in their reaction to their child's misbehavior.
 - b. Makes sure their child feels a bit of pain or discomfort so they will remember what they have done wrong.
 - c. Speaks firmly to their child so they know who is the boss and that they mean business.
 - d. Encourages their child to express their negative or angry feelings openly.

4. When a child approaches a busy parent to speak or show them something it is best that a parent:
 - a. Says, "Mommy is busy, go and ask daddy."
 - b. Tells the child to wait.
 - c. Spends at least 30 minutes a day in activities of the child's choice.
 - d. Gives the child their full attention, giving them the help they need briefly and encourages them to continue with their interest of the moment.

5. Parenting is less stressful when:
 - a. The parent strives to be a better parent than their own parents.
 - b. A parent expects that children will sometimes break rules and not do as they are asked.
 - c. There are too many rules in life, let children be children.
 - d. A parent expects that their child should always do as they are told.

6. To make a success of being a parent a parent should:
 - a. Take a stress management or relaxation class.
 - b. Spend all of their free time with their children.
 - c. Be less reliant or dependent on friends to help out.
 - d. Take care of their own needs and take an occasional break from children.

Client Name: _____ Client Date of Birth: _____

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7. All children are born with a certain temperament, which is partly inherited from their parents. This means that:
- There is nothing a parent can do to change their child's behavior – that's just the way the child is.
 - Either parent might be responsible for the problems with their child – if they were the same as a child.
 - If a child has a difficult temperament it makes the parents' job harder, but how their child is raised matters.
 - Temperament doesn't matter, because the environment is the thing that really makes a difference.
8. During a shopping trip in the grocery store Jacob asks his mother to buy him a toy. She says not today. He protests, pleading with her to buy the toy. She says no again and he starts to cry then screams loudly throwing himself on the floor. Jacob is more likely to throw a tantrum in the future when shopping with his mother if she:
- Buys the toy for him, and says "just this once."
 - Tells him to stop the noise.
 - Ignores the behavior completely.
 - Reminds him of the rules about not buying anything today and refuses to give into his demands.
9. A father asks his child to turn off the TV and get ready for a bath. She loudly refuses saying "No. These are my favorite ads". He asks her again with a raised voice. She puts her hands over her ears. The father then gets annoyed, shouts loudly at her, and threatens to ban her from watching TV for the rest of the week. She then does as she is told but with a sour face. What lesson is the father likely to learn in this situation?
- TV inevitably creates conflict with children.
 - It is necessary to yell at and threaten children before they cooperate.
 - When children don't do as they're told, they are just asserting their independence.
 - Don't create a scene, just let them continue watching TV.
10. An 11-year-old girl tends to yell and shout at her younger sister, in order to get what she wants. She is most likely to have learned this by:
- Seeing characters from her favorite TV show yelling at each other.
 - Listening to loud music, which may have affected her hearing.
 - Listening to her parents raise their voices at her, when she does not do her chores or do as she's told.
 - It's probably just part of her nature.
11. A child is jumping on the couch. Her mother wants her to stop. Which approach would be most effective?
- Telling her to stop jumping on the couch and to jump outside if she would like to jump.
 - Saying "Sarah, don't be so silly".
 - Explaining to her again, why jumping on the couch is dangerous.
 - Asking her to explain why she wants to wreck the couch.

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12. A 3-year-old and 2-year-old have made a mess with all of their toys. There are toys everywhere. What would be the most effective approach for their father to take?
- Tell them to stop playing and pack away all the toys.
 - Send them to time out for making a mess.
 - Set them up in another activity and then he cleans the mess up himself.
 - Help them get started by asking them to pick up one thing each.
13. If parents disagree about something it is better for their children if they:
- Try to keep the peace and avoid having any form of disagreement in front of them.
 - Keep calm but show them that disagreements are OK and can be resolved.
 - Ask their child what they think about the disagreement.
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14. It is Saturday morning and a mother is ironing. Her 4-year-old son comes up to show her something. What is the best way for her to respond:
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 - Tell him that she will look when she has finished the ironing.
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 - Say "Thank you for playing by yourself while I was on the telephone. Tell me about what you've made."

Client Name: _____ Client Date of Birth: _____

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18. A 10-year-old has been struggling with following the rules and doing as the coach asks at football training. His father has discussed this with him and come up with a plan to deal with the problem. At the next training session the father notices his son is doing a good job of following the rules and sticking to the plan they agreed upon. What is the best way for the father to show he is pleased?
- Buy his son a new toy for being so good.
 - Let his son know what a great job he's doing, and how proud he is of him, in front of the other children.
 - Give him a smile and thumbs up when his son looks in his direction.
 - Tell the parent sitting next to him about how great his son's behavior is.
19. If a parent uses a reward system such as a good behavior or "smiley" faces chart, to encourage desired behavior, it will work best when:
- The parent combines stickers with praise or some other form of positive attention.
 - Stickers are consistently removed for misbehavior.
 - Stickers are given rarely to start with, then more often once a child learns a new behavior.
 - Stickers are only given when the child asks for them.
20. A mother is about to take her two children Carmel (5 years) and Steven (8 years) round to visit her sister at her home. She wants them to remember some simple rules about going visiting. What should she say to most effectively introduce visiting rules?
- "Now listen here you kids. You were really naughty last time we visited Alice's place. So today be on your best behavior. Alright?"
 - "I want you to remember three things when we go visiting today: "Do as you are told. Use an inside voice (no shouting), and come with me straight away when I say it's time to leave. Is that fair? So what do you have to remember?"
 - "Carmel and Steven, I want to talk to you about what's going to happen today. We are going to visit Aunt Alice and I want you to remember your manners. OK?"
 - "Are you ready? It's time to go."
21. A father wants his child to come to the dinner table. What should he say?
- "Your dinner's ready".
 - "It's time for dinner. Go and wash your hands please. Then come up to the table."
 - "Stop playing with your toys. It's dinner time."
 - "Why are you going so slowly? I said your dinner's ready."
22. A mother is busy making dinner after getting home from work and picking up her 4-year-old from preschool. While playing, the child makes silly noises. What would be the most effective approach for the mother to take?
- Make the same noise to show her daughter how silly she sounds.
 - Send her daughter to her room until dinner is ready.
 - Ignore the noises and praise her when she is playing appropriately.
 - While continuing to make dinner, explain to her child that it is rude to make noises, and that she would like her to play quietly.

Client Name: _____ Client Date of Birth: _____

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23. A 6-year-old child has refused to put her toys away when her mother asked her to, and when she repeated the instruction, the child started screaming and throwing the toys around the room. What should the mother do?
- Give her a smack on the bottom, and let her know that there will be no dessert tonight.
 - Pack the toys away herself, but let her child know that she will not be able to play with them for the rest of the week.
 - Give the child a cuddle to help settle her down and then assist with packing the toys away.
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- Let his child get her toys and stay up a little longer.
 - Remind her of the bedtime rules and take her back to bed.
 - Ignore her and carry on with what he was doing.
 - Go with her back to her bed and stay to soothe and calm her to sleep.
25. It's 30 minutes before dinnertime, and a child asks his mother for a cookie. She says, "Dinner will be ready soon. You need to wait." The child becomes upset and starts to cry. She says "no" again. The crying continues and the child throws himself on the floor screaming. What should the mother do?
- Get down on her child's eye level, and say "Darling, you must be very upset."
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Client Name: _____ Client Date of Birth: _____

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Gibaud-Wallston & Wandersman (1978)

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1. The problems of taking care of a child are easy to solve once you know how your actions affect your child, an understanding I have acquired.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
2. Even though being a parent could be rewarding, I am frustrated now while my child is at his / her present age.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
3. I go to bed the same way I wake up in the morning, feeling I have not accomplished a whole lot.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
4. I do not know why it is, but sometimes when I'm supposed to be in control, I feel more like the one being manipulated.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
5. My mother was better prepared to be a good mother than I am.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
6. I would make a fine model for a new mother to follow in order to learn what she would need to know in order to be a good parent.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
7. Being a parent is manageable, and any problems are easily solved.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
8. A difficult problem in being a parent is not knowing whether you're doing a good job or a bad one.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
9. Sometimes I feel like I'm not getting anything done.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
10. I meet by own personal expectations for expertise in caring for my child.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree

Client Name: _____ Client Date of Birth: _____

Statement	Strongly Disagree	Somewhat Disagree	Disagree	Agree	Somewhat Agree	Strongly Agree
11. If anyone can find the answer to what is troubling my child, I am the one.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
12. My talents and interests are in other areas, not being a parent.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
13. Considering how long I've been a mother, I feel thoroughly familiar with this role.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
14. If being a mother of a child were only more interesting, I would be motivated to do a better job as a parent.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
15. I honestly believe I have all the skills necessary to be a good mother to my child	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
16. Being a parent makes me tense and anxious.	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree
17. Being a good mother is a reward in itself	1 Strongly Disagree	2 Somewhat Disagree	3 Disagree	4 Agree	5 Somewhat Agree	6 Strongly Agree

Parental Stress Scale (PSS)

Berry J.O. and Jones W.H. (1995)

*The following statements describe feelings and perceptions about the experience of being a parent. Think of each of the items in terms of how your relationship with your child or children typically is. Please **CIRCLE** the degree to which you agree or disagree with the following statements at the moment.*

Statement	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree
1. I am happy in my role as a parent	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
2. There is little or nothing I wouldn't do for my child(ren) if it was necessary.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
3. Caring for my child(ren) sometimes takes more time and energy than I have to give.	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
4. I sometimes worry whether I am doing enough for my child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
5. I feel close to my child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree
6. I enjoy spending time with my child(ren).	1 Strongly Disagree	2 Disagree	3 Undecided	4 Agree	5 Strongly Agree

Client Name: _____ Client Date of Birth: _____

Statement	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree
7. My child(ren) is an important source of affection for me.	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
8. Having child(ren) gives me a more certain and optimistic view for the future.	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
9. The major source of stress in my life is my child(ren).	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
10. Having child(ren) leaves little time and flexibility in my life.	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
11. Having child(ren) has been a financial burden.	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
12. It is difficult to balance different responsibilities because of my child(ren).	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
13. The behavior of my child(ren) is often embarrassing or stressful to me.	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
14. If I had it to do over again, I might decide not to have child(ren).	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
15. I feel overwhelmed by the responsibility of being a parent.	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
16. Having child(ren) has meant having too few choices and too little control over my life.	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree
17. I am satisfied as a parent	1Strongly Disagree	2Disagree	3Undecided	4Agree	5Strongly Agree



Client Name: _____ Client Date of Birth: _____

Client Exit Measure

Provider Name: _____ Today's Date: _____ Service Type _____

Instructions: For each question, please the box that best describes your response. Thank you!

Question	Never	Rarely	Some times	Often	Always
1. I looked forward to coming to class/session/program.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
2. I was bored in class/session/program.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
3. I put a lot of effort into class/session/program.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
4. This class/session/program was an excellent learning experience.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
5. I learned nothing.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
6. I thought that my friends and family should come to this class/session/program.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
7. My clinician/instructor was clear and understandable.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
8. My clinician/instructor was on time to appointments.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
9. My clinician/instructor was good at explaining the class material.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
10. My clinician/instructor speaks with me about my personal goals and thoughts about treatment.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
11. My clinician/instructor and I are open with one another.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
12. My clinician/instructor and I share a trusting relationship.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
13. I believe my clinician/instructor withholds the truth from me.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
14. My clinician/instructor and I share an honest relationship.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
15. My clinician/instructor and I work towards mutually agreed upon goals.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
16. My clinician/instructor is stern with me when I speak about things that are important to me and my situation.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
17. My clinician/instructor and I have established an understanding of the kind of changes that would be good for me.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
18. My clinician/instructor is impatient with me.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
19. My clinician/instructor seems to like me regardless of what I do or say.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
20. My clinician/instructor and I agree on what is important for me to work on.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
21. I believe my clinician/instructor has an understanding of what my experiences have meant to me.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
22. Overall, I was satisfied with the class/service/program.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>

Client Name: _____ Client Date of Birth: _____

ATTACHMENT I

HIPAA Business Associate Agreement
Addendum to Contract
Between the County of Riverside and MFI Recovery Center

This HIPAA Business Associate Agreement (the "Addendum") supplements, and is made part of the Agreement for Out-of-Home Parenting Education Services, CS-03981 (the "Underlying Agreement") between the County of Riverside ("County") and MFI Recovery Center ("Contractor") and shall be effective as of the date the Underlying Agreement is approved by both Parties (the "Effective Date").

RECITALS

WHEREAS, County and Contractor entered into the Underlying Agreement pursuant to which the Contractor provides services to County, and in conjunction with the provision of such services certain protected health information ("PHI") and/or certain electronic protected health information ("ePHI") may be created by or made available to Contractor for the purposes of carrying out its obligations under the Underlying Agreement; and,

WHEREAS, the provisions of the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), Public Law 104-191 enacted August 21, 1996, and the Health Information Technology for Economic and Clinical Health Act ("HITECH") of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 enacted February 17, 2009, and the laws and regulations promulgated subsequent thereto, as may be amended from time to time, are applicable to the protection of any use or disclosure of PHI and/or ePHI pursuant to the Underlying Agreement; and,

WHEREAS, County is a covered entity, as defined in the Privacy Rule; and,

WHEREAS, to the extent County discloses PHI and/or ePHI to Contractor or Contractor creates, receives, maintains, transmits, or has access to PHI and/or ePHI of County, Contractor is a business associate, as defined in the Privacy Rule; and,

WHEREAS, pursuant to 42 USC §17931 and §17934, certain provisions of the Security Rule and Privacy Rule apply to a business associate of a covered entity in the same manner that they apply to the covered entity, the additional security and privacy requirements of HITECH are applicable to business associates and must be incorporated into the business associate agreement, and a business associate is liable for civil and criminal penalties for failure to comply with these security and/or privacy provisions; and,

WHEREAS, the parties mutually agree that any use or disclosure of PHI and/or ePHI must be in compliance with the Privacy Rule, Security Rule, HIPAA, HITECH and any other applicable law; and,

WHEREAS, the parties intend to enter into this Addendum to address the requirements and obligations set forth in the Privacy Rule, Security Rule, HITECH and HIPAA as they apply to Contractor as a business associate of County, including the establishment of permitted and required uses and disclosures of PHI and/or ePHI created or received by Contractor during the course of performing functions, services and activities on behalf of County, and appropriate limitations and conditions on such uses and disclosures;

NOW, THEREFORE, in consideration of the mutual promises and covenants contained herein, the parties agree as follows:

1. **Definitions.** Terms used, but not otherwise defined, in this Addendum shall have the same meaning as those terms in HITECH, HIPAA, Security Rule and/or Privacy Rule, as may be amended from time to time.
 - A. "Breach" when used in connection with PHI means the acquisition, access, use or disclosure of PHI in a manner not permitted under subpart E of the Privacy Rule which compromises the security or privacy of the PHI, and shall have the meaning given such term in 45 CFR §164.402.
 - (1) Except as provided below in Paragraph (2) of this definition, acquisition, access, use, or disclosure of PHI in a manner not permitted by subpart E of the Privacy Rule is presumed to be a breach unless Contractor demonstrates that there is a low probability that the PHI has been compromised based on a risk assessment of at least the following four factors:
 - (a) The nature and extent of the PHI involved, including the types of identifiers and the likelihood of re-identification;
 - (b) The unauthorized person who used the PHI or to whom the disclosure was made;
 - (c) Whether the PHI was actually acquired or viewed; and
 - (d) The extent to which the risk to the PHI has been mitigated.
 - (2) Breach excludes:
 - (a) Any unintentional acquisition, access or use of PHI by a workforce member or person acting under the authority of a covered entity or business associate, if such acquisition, access or use was made in good faith and within the scope of authority and does not result in further use or disclosure in a manner not permitted under subpart E of the Privacy Rule.
 - (b) Any inadvertent disclosure by a person who is authorized to access PHI at a covered entity or business associate to another person authorized to access PHI at the same covered entity, business associate, or organized health care arrangement in which County participates, and the information received as a result of such disclosure is not further used or disclosed in a manner not permitted by subpart E of the Privacy Rule.
 - (c) A disclosure of PHI where a covered entity or business associate has a good faith belief that an unauthorized person to whom the disclosure was made would not reasonably have been able to retain such information.
 - B. "Business associate" has the meaning given such term in 45 CFR §164.501, including but not limited to a subcontractor that creates, receives, maintains, transmits or accesses PHI on behalf of the business associate.
 - C. "Data aggregation" has the meaning given such term in 45 CFR §164.501.

- D. "Designated record set" as defined in 45 CFR §164.501 means a group of records maintained by or for a covered entity that may include: the medical records and billing records about individuals maintained by or for a covered health care provider; the enrollment, payment, claims adjudication, and case or medical management record systems maintained by or for a health plan; or, used, in whole or in part, by or for the covered entity to make decisions about individuals.
- E. "Electronic protected health information" ("ePHI") as defined in 45 CFR §160.103 means protected health information transmitted by or maintained in electronic media.
- F. "Electronic health record" means an electronic record of health-related information on an individual that is created, gathered, managed, and consulted by authorized health care clinicians and staff, and shall have the meaning given such term in 42 USC §17921(5).
- G. "Health care operations" has the meaning given such term in 45 CFR §164.501.
- H. "Individual" as defined in 45 CFR §160.103 means the person who is the subject of protected health information.
- I. "Person" as defined in 45 CFR §160.103 means a natural person, trust or estate, partnership, corporation, professional association or corporation, or other entity, public or private.
- J. "Privacy Rule" means the HIPAA regulations codified at 45 CFR Parts 160 and 164, Subparts A and E.
- K. "Protected health information" ("PHI") has the meaning given such term in 45 CFR §160.103, which includes ePHI.
- L. "Required by law" has the meaning given such term in 45 CFR §164.103.
- M. "Secretary" means the Secretary of the U.S. Department of Health and Human Services ("HHS").
- N. "Security incident" as defined in 45 CFR §164.304 means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with system operations in an information system.
- O. "Security Rule" means the HIPAA Regulations codified at 45 CFR Parts 160 and 164, Subparts A and C.
- P. "Subcontractor" as defined in 45 CFR §160.103 means a person to whom a business associate delegates a function, activity, or service, other than in the capacity of a member of the workforce of such business associate.
- Q. "Unsecured protected health information" and "unsecured PHI" as defined in 45 CFR §164.402 means PHI not rendered unusable, unreadable, or indecipherable to unauthorized persons through use of a technology or methodology specified by the Secretary in the guidance issued under 42 USC §17932(h)(2).

2. Scope of Use and Disclosure by Contractor of County's PHI and/or ePHI.

- A. Except as otherwise provided in this Addendum, Contractor may use, disclose, or access PHI and/or ePHI as necessary to perform any and all obligations of Contractor under the Underlying Agreement or to perform functions, activities or services for, or on behalf of, County as specified in this Addendum, if such use or disclosure does not violate HIPAA, HITECH, the Privacy Rule and/or Security Rule.
- B. Unless otherwise limited herein, in addition to any other uses and/or disclosures permitted or authorized by this Addendum or required by law, in accordance with 45 CFR §164.504(e)(2), Contractor may:
- (1) Use PHI and/or ePHI if necessary for Contractor's proper management and administration and to carry out its legal responsibilities; and,
 - (2) Disclose PHI and/or ePHI for the purpose of Contractor's proper management and administration or to carry out its legal responsibilities, only if:
 - (a) The disclosure is required by law; or,
 - (b) Contractor obtains reasonable assurances, in writing, from the person to whom Contractor will disclose such PHI and/or ePHI that the person will:
 - (i) Hold such PHI and/or ePHI in confidence and use or further disclose it only for the purpose for which Contractor disclosed it to the person, or as required by law; and,
 - (ii) Notify Contractor of any instances of which it becomes aware in which the confidentiality of the information has been breached; and,
 - (3) Use PHI to provide data aggregation services relating to the health care operations of County pursuant to the Underlying Agreement or as requested by County; and,
 - (4) De-identify all PHI and/or ePHI of County received by Contractor under this Addendum provided that the de-identification conforms to the requirements of the Privacy Rule and/or Security Rule and does not preclude timely payment and/or claims processing and receipt.
- C. Notwithstanding the foregoing, in any instance where applicable state and/or federal laws and/or regulations are more stringent in their requirements than the provisions of HIPAA, including, but not limited to, prohibiting disclosure of mental health and/or substance abuse records, the applicable state and/or federal laws and/or regulations shall control the disclosure of records.

3. Prohibited Uses and Disclosures.

- A. Contractor may neither use, disclose, nor access PHI and/or ePHI in a manner not authorized by the Underlying Agreement or this Addendum without patient authorization or de-identification of the PHI and/or ePHI and as authorized in writing from County.
- B. Contractor may neither use, disclose, nor access PHI and/or ePHI it receives from County or from another business associate of County, except as permitted or required by this Addendum, or as required by law.

- C. Contractor agrees not to make any disclosure of PHI and/or ePHI that County would be prohibited from making.
- D. Contractor shall not use or disclose PHI for any purpose prohibited by the Privacy Rule, Security Rule, HIPAA and/or HITECH, including, but not limited to 42 USC §17935 and §17936. Contractor agrees:
 - (1) Not to use or disclose PHI for fundraising, unless pursuant to the Underlying Agreement and only if permitted by and in compliance with the requirements of 45 CFR §164.514(f) or 45 CFR §164.508;
 - (2) Not to use or disclose PHI for marketing, as defined in 45 CFR §164.501, unless pursuant to the Underlying Agreement and only if permitted by and in compliance with the requirements of 45 CFR §164.508(a)(3);
 - (3) Not to disclose PHI, except as otherwise required by law, to a health plan for purposes of carrying out payment or health care operations, if the individual has requested this restriction pursuant to 42 USC §17935(a) and 45 CFR §164.522, and has paid out of pocket in full for the health care item or service to which the PHI solely relates; and,
 - (4) Not to receive, directly or indirectly, remuneration in exchange for PHI, or engage in any act that would constitute a sale of PHI, as defined in 45 CFR §164.502(a)(5)(ii), unless permitted by the Underlying Agreement and in compliance with the requirements of a valid authorization under 45 CFR §164.508(a)(4). This prohibition shall not apply to payment by County to Contractor for services provided pursuant to the Underlying Agreement.

4. **Obligations of County.**

- A. County agrees to make its best efforts to notify Contractor promptly in writing of any restrictions on the use or disclosure of PHI and/or ePHI agreed to by County that may affect Contractor's ability to perform its obligations under the Underlying Agreement, or this Addendum.
- B. County agrees to make its best efforts to promptly notify Contractor in writing of any changes in, or revocation of, permission by any individual to use or disclose PHI and/or ePHI, if such changes or revocation may affect Contractor's ability to perform its obligations under the Underlying Agreement, or this Addendum.
- C. County agrees to make its best efforts to promptly notify Contractor in writing of any known limitation(s) in its notice of privacy practices to the extent that such limitation may affect Contractor's use or disclosure of PHI and/or ePHI.
- D. County agrees not to request Contractor to use or disclose PHI and/or ePHI in any manner that would not be permissible under HITECH, HIPAA, the Privacy Rule, and/or Security Rule.
- E. County agrees to obtain any authorizations necessary for the use or disclosure of PHI and/or ePHI, so that Contractor can perform its obligations under this Addendum and/or Underlying Agreement.

5. **Obligations of Contractor.** In connection with the use or disclosure of PHI and/or ePHI, Contractor agrees to:

- A. Use or disclose PHI only if such use or disclosure complies with each applicable requirement of 45 CFR §164.504(e). Contractor shall also comply with the additional privacy requirements that are applicable to covered entities in HITECH, as may be amended from time to time.
- B. Not use or further disclose PHI and/or ePHI other than as permitted or required by this Addendum or as required by law. Contractor shall promptly notify County if Contractor is required by law to disclose PHI and/or ePHI.
- C. Use appropriate safeguards and comply, where applicable, with the Security Rule with respect to ePHI, to prevent use or disclosure of PHI and/or ePHI other than as provided for by this Addendum.
- D. Mitigate, to the extent practicable, any harmful effect that is known to Contractor of a use or disclosure of PHI and/or ePHI by Contractor in violation of this Addendum.
- E. Report to County any use or disclosure of PHI and/or ePHI not provided for by this Addendum or otherwise in violation of HITECH, HIPAA, the Privacy Rule, and/or Security Rule of which Contractor becomes aware, including breaches of unsecured PHI as required by 45 CFR §164.410.
- F. In accordance with 45 CFR §164.502(e)(1)(ii), require that any subcontractors that create, receive, maintain, transmit or access PHI on behalf of the Contractor agree through contract to the same restrictions and conditions that apply to Contractor with respect to such PHI and/or ePHI, including the restrictions and conditions pursuant to this Addendum.
- G. Make available to County or the Secretary, in the time and manner designated by County or Secretary, Contractor's internal practices, books and records relating to the use, disclosure and privacy protection of PHI received from County, or created or received by Contractor on behalf of County, for purposes of determining, investigating or auditing Contractor's and/or County's compliance with the Privacy Rule.
- H. Request, use or disclose only the minimum amount of PHI necessary to accomplish the intended purpose of the request, use or disclosure in accordance with 42 USC §17935(b) and 45 CFR §164.502(b)(1).
- I. Comply with requirements of satisfactory assurances under 45 CFR §164.512 relating to notice or qualified protective order in response to a third party's subpoena, discovery request, or other lawful process for the disclosure of PHI, which Contractor shall promptly notify County upon Contractor's receipt of such request from a third party.
- J. Not require an individual to provide patient authorization for use or disclosure of PHI as a condition for treatment, payment, enrollment in any health plan (including the health plan administered by County), or eligibility of benefits, unless otherwise excepted under 45 CFR §164.508(b)(4) and authorized in writing by County.
- K. Use appropriate administrative, technical and physical safeguards to prevent inappropriate use, disclosure, or access of PHI and/or ePHI.

- L. Obtain and maintain knowledge of applicable laws and regulations related to HIPAA and HITECH, as may be amended from time to time.
 - M. Comply with the requirements of the Privacy Rule that apply to the County to the extent Contractor is to carry out County's obligations under the Privacy Rule.
 - N. Take reasonable steps to cure or end any pattern of activity or practice of its subcontractor of which Contractor becomes aware that constitute a material breach or violation of the subcontractor's obligations under the business associate contract with Contractor, and if such steps are unsuccessful, Contractor agrees to terminate its contract with the subcontractor if feasible.
6. **Access to PHI, Amendment and Disclosure Accounting.** Contractor agrees to:
- A. **Access to PHI, including ePHI.** Provide access to PHI, including ePHI if maintained electronically, in a designated record set to County or an individual as directed by County, within five (5) days of request from County, to satisfy the requirements of 45 CFR §164.524.
 - B. **Amendment of PHI.** Make PHI available for amendment and incorporate amendments to PHI in a designated record set County directs or agrees to at the request of an individual, within fifteen (15) days of receiving a written request from County, in accordance with 45 CFR §164.526.
 - C. **Accounting of disclosures of PHI and electronic health record.** Assist County to fulfill its obligations to provide accounting of disclosures of PHI under 45 CFR §164.528 and, where applicable, electronic health records under 42 USC §17935(c) if Contractor uses or maintains electronic health records. Contractor shall:
 - (1) Document such disclosures of PHI and/or electronic health records, and information related to such disclosures, as would be required for County to respond to a request by an individual for an accounting of disclosures of PHI and/or electronic health record in accordance with 45 CFR §164.528.
 - (2) Within fifteen (15) days of receiving a written request from County, provide to County or any individual as directed by County information collected in accordance with this section to permit County to respond to a request by an individual for an accounting of disclosures of PHI and/or electronic health record.
 - (3) Make available for County information required by this Section 6.C for six (6) years preceding the individual's request for accounting of disclosures of PHI, and for three (3) years preceding the individual's request for accounting of disclosures of electronic health record.
7. **Security of ePHI.** In the event County discloses ePHI to Contractor or Contractor needs to create, receive, maintain, transmit or have access to County ePHI, in accordance with 42 USC §17931 and 45 CFR §164.314(a)(2)(i), and §164.306, Contractor shall:
- A. Comply with the applicable requirements of the Security Rule, and implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of ePHI that Contractor creates, receives, maintains, or transmits on behalf of County in accordance with 45 CFR §164.308, §164.310, and §164.312;

- B. Comply with each of the requirements of 45 CFR §164.316 relating to the implementation of policies, procedures and documentation requirements with respect to ePHI;
 - C. Protect against any reasonably anticipated threats or hazards to the security or integrity of ePHI;
 - D. Protect against any reasonably anticipated uses or disclosures of ePHI that are not permitted or required under the Privacy Rule;
 - E. Ensure compliance with the Security Rule by Contractor's workforce;
 - F. In accordance with 45 CFR §164.308(b)(2), require that any subcontractors that create, receive, maintain, transmit, or access ePHI on behalf of Contractor agree through contract to the same restrictions and requirements contained in this Addendum and comply with the applicable requirements of the Security Rule;
 - G. Report to County any security incident of which Contractor becomes aware, including breaches of unsecured PHI as required by 45 CFR §164.410; and,
 - H. Comply with any additional security requirements that are applicable to covered entities in Title 42 (Public Health and Welfare) of the United States Code, as may be amended from time to time, including but not limited to HITECH.
8. **Breach of Unsecured PHI.** In the case of breach of unsecured PHI, Contractor shall comply with the applicable provisions of 42 USC §17932 and 45 CFR Part 164, Subpart D, including but not limited to 45 CFR §164.410.
- A. **Discovery and notification.** Following the discovery of a breach of unsecured PHI, Contractor shall notify County in writing of such breach without unreasonable delay and in no case later than 60 calendar days after discovery of a breach, except as provided in 45 CFR §164.412.
 - (1) **Breaches treated as discovered.** A breach is treated as discovered by Contractor as of the first day on which such breach is known to Contractor or, by exercising reasonable diligence, would have been known to Contractor, which includes any person, other than the person committing the breach, who is an employee, officer, or other agent of Contractor (determined in accordance with the federal common law of agency).
 - (2) **Content of notification.** The written notification to County relating to breach of unsecured PHI shall include, to the extent possible, the following information if known (or can be reasonably obtained) by Contractor:
 - (a) The identification of each individual whose unsecured PHI has been, or is reasonably believed by Contractor to have been accessed, acquired, used or disclosed during the breach;
 - (b) A brief description of what happened, including the date of the breach and the date of the discovery of the breach, if known;
 - (c) A description of the types of unsecured PHI involved in the breach, such as whether full name, social security number, date of birth, home address, account number, diagnosis, disability code, or other types of information were involved;

- (d) Any steps individuals should take to protect themselves from potential harm resulting from the breach;
 - (e) A brief description of what Contractor is doing to investigate the breach, to mitigate harm to individuals, and to protect against any further breaches; and,
 - (f) Contact procedures for individuals to ask questions or learn additional information, which shall include a toll-free telephone number, an e-mail address, web site, or postal address.
- B. Cooperation.** With respect to any breach of unsecured PHI reported by Contractor, Contractor shall cooperate with County and shall provide County with any information requested by County to enable County to fulfill in a timely manner its own reporting and notification obligations, including but not limited to providing notice to individuals, prominent media outlets and the Secretary in accordance with 42 USC §17932 and 45 CFR §164.404, §164.406 and §164.408.
- C. Breach log.** To the extent breach of unsecured PHI involves less than 500 individuals, Contractor shall maintain a log or other documentation of such breaches and provide such log or other documentation on an annual basis to County not later than fifteen (15) days after the end of each calendar year for submission to the Secretary.
- D. Delay of notification authorized by law enforcement.** If Contractor delays notification of breach of unsecured PHI pursuant to a law enforcement official's statement that required notification, notice or posting would impede a criminal investigation or cause damage to national security, Contractor shall maintain documentation sufficient to demonstrate its compliance with the requirements of 45 CFR §164.412.
- E. Payment of costs.** With respect to any breach of unsecured PHI caused solely by the Contractor's failure to comply with one or more of its obligations under this Addendum and/or the provisions of HITECH, HIPAA, the Privacy Rule or the Security Rule, Contractor agrees to pay any and all costs associated with providing all legally required notifications to individuals, media outlets, and the Secretary. This provision shall not be construed to limit or diminish Contractor's obligations to indemnify, defend and hold harmless County under Section 9 of this Addendum.
- F. Documentation.** Pursuant to 45 CFR §164.414(b), in the event Contractor's use or disclosure of PHI and/or ePHI violates the Privacy Rule, Contractor shall maintain documentation sufficient to demonstrate that all notifications were made by Contractor as required by 45 CFR Part 164, Subpart D, or that such use or disclosure did not constitute a breach, including Contractor's completed risk assessment and investigation documentation.
- G. Additional State Reporting Requirements.** The parties agree that this Section 8.G applies only if and/or when County, in its capacity as a licensed clinic, health facility, home health agency, or hospice, is required to report unlawful or unauthorized access, use, or disclosure of medical information under the more stringent requirements of California Health & Safety Code §1280.15. For purposes of this Section 8.G, "unauthorized" has the meaning given such term in California Health & Safety Code §1280.15(j)(2).
- (1) Contractor agrees to assist County to fulfill its reporting obligations to affected patients and to the California Department of Public Health ("CDPH") in a timely manner under the California Health & Safety Code §1280.15.

- (2) Contractor agrees to report to County any unlawful or unauthorized access, use, or disclosure of patient's medical information without unreasonable delay and no later than two (2) business days after Contractor detects such incident. Contractor further agrees such report shall be made in writing, and shall include substantially the same types of information listed above in Section 8.A.2 (Content of Notification) as applicable to the unlawful or unauthorized access, use, or disclosure as defined above in this section, understanding and acknowledging that the term "breach" as used in Section 8.A.2 does not apply to California Health & Safety Code §1280.15.

9. **Hold Harmless/Indemnification.**

- A. Contractor agrees to indemnify and hold harmless County, all Agencies, Districts, Special Districts and Departments of County, their respective directors, officers, Board of Supervisors, elected and appointed officials, employees, agents and representatives from any liability whatsoever, based or asserted upon any services of Contractor, its officers, employees, subcontractors, agents or representatives arising out of or in any way relating to this Addendum, including but not limited to property damage, bodily injury, death, or any other element of any kind or nature whatsoever arising from the performance of Contractor, its officers, agents, employees, subcontractors, agents or representatives from this Addendum. Contractor shall defend, at its sole expense, all costs and fees, including but not limited to attorney fees, cost of investigation, defense and settlements or awards, of County, all Agencies, Districts, Special Districts and Departments of County, their respective directors, officers, Board of Supervisors, elected and appointed officials, employees, agents or representatives in any claim or action based upon such alleged acts or omissions.
- B. With respect to any action or claim subject to indemnification herein by Contractor, Contractor shall, at their sole cost, have the right to use counsel of their choice, subject to the approval of County, which shall not be unreasonably withheld, and shall have the right to adjust, settle, or compromise any such action or claim without the prior consent of County; provided, however, that any such adjustment, settlement or compromise in no manner whatsoever limits or circumscribes Contractor's indemnification to County as set forth herein. Contractor's obligation to defend, indemnify and hold harmless County shall be subject to County having given Contractor written notice within a reasonable period of time of the claim or of the commencement of the related action, as the case may be, and information and reasonable assistance, at Contractor's expense, for the defense or settlement thereof. Contractor's obligation hereunder shall be satisfied when Contractor has provided to County the appropriate form of dismissal relieving County from any liability for the action or claim involved.
- C. The specified insurance limits required in the Underlying Agreement of this Addendum shall in no way limit or circumscribe Contractor's obligations to indemnify and hold harmless County herein from third party claims arising from issues of this Addendum.
- D. In the event there is conflict between this clause and California Civil Code §2782, this clause shall be interpreted to comply with Civil Code §2782. Such interpretation shall not relieve the Contractor from indemnifying County to the fullest extent allowed by law.
- E. In the event there is a conflict between this indemnification clause and an indemnification clause contained in the Underlying Agreement of this Addendum, this indemnification shall only apply to the subject issues included within this Addendum.

10. **Term.** This Addendum shall commence upon the Effective Date and shall terminate when all PHI and/or ePHI provided by County to Contractor, or created or received by Contractor on behalf of County, is destroyed or returned to County, or, if it is infeasible to return or destroy PHI and/ePHI, protections are extended to such information, in accordance with section 11.B of this Addendum.

11. **Termination.**

A. **Termination for Breach of Contract.** A breach of any provision of this Addendum by either party shall constitute a material breach of the Underlying Agreement and will provide grounds for terminating this Addendum and the Underlying Agreement with or without an opportunity to cure the breach, notwithstanding any provision in the Underlying Agreement to the contrary. Either party, upon written notice to the other party describing the breach, may take any of the following actions:

- (1) Terminate the Underlying Agreement and this Addendum, effective immediately, if the other party breaches a material provision of this Addendum.
- (2) Provide the other party with an opportunity to cure the alleged material breach and in the event the other party fails to cure the breach to the satisfaction of the non-breaching party in a timely manner, the non-breaching party has the right to immediately terminate the Underlying Agreement and this Addendum.
- (3) If termination of the Underlying Agreement is not feasible, the breaching party, upon the request of the non-breaching party, shall implement, at its own expense, a plan to cure the breach and report regularly on its compliance with such plan to the non-breaching party.

B. **Effect of Termination.**

- (1) Upon termination of this Addendum, for any reason, Contractor shall return or, if agreed to in writing by County, destroy all PHI and/or ePHI received from County, or created or received by the Contractor on behalf of County, and, in the event of destruction, Contractor shall certify such destruction, in writing, to County. This provision shall apply to all PHI and/or ePHI which are in the possession of subcontractors or agents of Contractor. Contractor shall retain no copies of PHI and/or ePHI, except as provided below in paragraph (2) of this section.
- (2) In the event that Contractor determines that returning or destroying the PHI and/or ePHI is not feasible, Contractor shall provide written notification to County of the conditions that make such return or destruction not feasible. Upon determination by Contractor that return or destruction of PHI and/or ePHI is not feasible, Contractor shall extend the protections of this Addendum to such PHI and/or ePHI and limit further uses and disclosures of such PHI and/or ePHI to those purposes which make the return or destruction not feasible, for so long as Contractor maintains such PHI and/or ePHI.

12. **General Provisions.**

A. **Retention Period.** Whenever Contractor is required to document or maintain documentation pursuant to the terms of this Addendum, Contractor shall retain such documentation for 6 years from the date of its creation or as otherwise prescribed by law, whichever is later.

- B. **Amendment.** The parties agree to take such action as is necessary to amend this Addendum from time to time as is necessary for County to comply with HITECH, the Privacy Rule, Security Rule, and HIPAA generally.
- C. **Survival.** The obligations of Contractor under Sections 3, 5, 6, 7, 8, 9, 11.B and 12.A of this Addendum shall survive the termination or expiration of this Addendum.
- D. **Regulatory and Statutory References.** A reference in this Addendum to a section in HITECH, HIPAA, the Privacy Rule and/or Security Rule means the section(s) as in effect or as amended.
- E. **Conflicts.** The provisions of this Addendum shall prevail over any provisions in the Underlying Agreement that conflict or appear inconsistent with any provision in this Addendum.
- F. **Interpretation of Addendum.**
 - (1) This Addendum shall be construed to be part of the Underlying Agreement as one document. The purpose is to supplement the Underlying Agreement to include the requirements of the Privacy Rule, Security Rule, HIPAA and HITECH.
 - (2) Any ambiguity between this Addendum and the Underlying Agreement shall be resolved to permit County to comply with the Privacy Rule, Security Rule, HIPAA and HITECH generally.
- G. **Notices to County.** All notifications required to be given by Contractor to County pursuant to the terms of this Addendum shall be made in writing and delivered to the County both by fax and to both of the addresses listed below by either registered or certified mail return receipt requested or guaranteed overnight mail with tracing capability, or at such other address as County may hereafter designate. All notices to County provided by Contractor pursuant to this Section shall be deemed given or made when received by County.

----- **TO BE COMPLETED BY COUNTY PERSONNEL ONLY** -----

County HIPAA Privacy Officer: HIPAA Privacy Manager

County HIPAA Privacy Officer Address: P.O. Box 1569
Riverside, CA 92502

County HIPAA Privacy Officer Fax Number: (951) 955-HIPAA or (951) 955-4472

County of Riverside BAA 09/2013

ATTACHMENT II
PII Privacy and Security Standards

I. PHYSICAL SECURITY

The CONTRACTOR shall ensure PII is used and stored in an area that is physically safe from access by unauthorized persons at all times. The CONTRACTOR agrees to safeguard PII from loss, theft, or inadvertent disclosure and, therefore, agrees to:

- A. Secure all areas of the CONTRACTOR facilities where staff assist in the administration of their program and use, disclose, or store PII.
- B. These areas shall be restricted to only allow access to authorized individuals by using one or more of the following:
 1. Properly coded key cards
 2. Authorized door keys
 3. Official identification
- C. Issue identification badges to CONTRACTOR staff.
- D. Require CONTRACTOR staff to wear these badges where PII is used, disclosed, or stored.
- E. Ensure each physical location, where PII is used, disclosed, or stored, has procedures and controls that ensure an individual who is terminated from access to the facility is promptly escorted from the facility by an authorized employee and access is revoked.
- F. Ensure there are security guards or a monitored alarm system at all times at the CONTRACTOR facilities and leased facilities where five hundred (500) or more individually identifiable records of PII is used, disclosed, or stored. Video surveillance systems are recommended.
- G. Ensure data centers with servers, data storage devices, and/or critical network infrastructure involved in the use, storage, and/or processing of PII have perimeter security and physical access controls that limit access to only authorized staff. Visitors to the data center area must be escorted at all times by authorized staff.
- H. Store paper records with PII in locked spaces, such as locked file cabinets, locked file rooms, locked desks, or locked offices in facilities which are multi-use meaning that there are COUNTY and non-COUNTY functions in one building in work areas that are not securely segregated from each other. It is recommended that all PII be locked up when unattended at any time, not just within multi-use facilities.
- I. Use all reasonable measures to prevent non-authorized personnel and visitors from having access to, control of, or viewing PII.

II. TECHNICAL SECURITY CONTROLS

- A. Workstation/Laptop Encryption. All workstations and laptops, which use, store and/or process PII, must be encrypted using a FIPS 140-2 certified algorithm 128 bit or higher, such as Advanced Encryption Standard (AES). The encryption solution must be full disk. It is encouraged, when available and when feasible, that the encryption be 256 bit.

- B. **Server Security.** Servers containing unencrypted PII must have sufficient administrative, physical, and technical controls in place to protect that data, based upon a risk assessment/system security review. It is recommended to follow the guidelines documented in the latest revision of the National Institute of Standards and Technology (NIST) Special Publication (SP) 800-53, Security and Privacy Controls for Federal Information Systems and Organizations.
- C. **Minimum Necessary.** Only the minimum necessary amount of PII required to perform required business functions may be accessed, copied, downloaded, or exported.
- D. **Mobile Device and Removable Media.** All electronic files, which contain PII data, must be encrypted when stored on any mobile device or removable media (i.e. USB drives, CD/DVD, smartphones, tablets, backup tapes etc.). Encryption must be a FIPS 140-2 certified algorithm 128 bit or higher, such as AES. It is encouraged, when available and when feasible, that the encryption be 256 bit.
- E. **Antivirus Software.** All workstations, laptops and other systems, which process and/or store PII, must install and actively use an antivirus software solution. Antivirus software should have automatic updates for definitions scheduled at least daily.
- F. **Patch Management.**
1. All workstations, laptops and other systems, which process and/or store PII, must have critical security patches applied, with system reboot if necessary.
 2. There must be a documented patch management process that determines installation timeframe based on risk assessment and vendor recommendations.
 3. At a maximum, all applicable patches deemed as critical must be installed within thirty (30) days of vendor release. It is recommended that critical patches which are high risk be installed within seven (7) days.
 4. Applications and systems that cannot be patched within this time frame, due to significant operational reasons, must have compensatory controls implemented to minimize risk.
- G. **User IDs and Password Controls.**
1. All users must be issued a unique user name for accessing PII.
 2. Username must be promptly disabled, deleted, or the password changed upon the transfer or termination of an employee within twenty-four (24) hours. Note: Twenty-four (24) hours is defined as one (1) working day.
 3. Passwords are not to be shared.
 4. Passwords must be at least eight (8) characters.
 5. Passwords must be a non-dictionary word.
 6. Passwords must not be stored in readable format on the computer or server.
 7. Passwords must be changed every ninety (90) days or less. It is recommended that passwords be required to be changed every sixty (60) days or less.
 8. Passwords must be changed if revealed or compromised.
 9. Passwords must be composed of characters from at least three (3) of the following four (4) groups from the standard keyboard:
 - a. Upper case letters (A-Z)
 - b. Lower case letters (a-z)
 - c. Arabic numerals (0-9)
 - d. Special characters (!,@,#, etc.)

- H. Data Destruction. When no longer needed, all PII must be cleared, purged, or destroyed consistent with NIST SP 800-88, Guidelines for Media Sanitization, such that the PII cannot be retrieved.
- I. System Timeout. The systems providing access to PII must provide an automatic timeout, requiring re-authentication of the user session after no more than twenty (20) minutes of inactivity.
- J. Warning Banners. The systems providing access to PII must display a warning banner stating, at a minimum:
 - 1. Data is confidential;
 - 2. Systems are logged;
 - 3. System use is for business purposes only, by authorized users; and
 - 4. Users shall log off the system immediately if they do not agree with these requirements.
- K. System Logging.
 - 1. The systems which provide access to PII must maintain an automated audit trail that can identify the user or system process which initiates a request for PII, or alters PII.
 - 2. The audit trail shall:
 - a. Be date and time stamped;
 - b. Log both successful and failed accesses;
 - c. Be read-access only; and
 - d. Be restricted to authorized users.
 - 3. If PII is stored in a database, database logging functionality shall be enabled.
 - 4. Audit trail data shall be archived for at least three (3) years from the occurrence.
- L. Access Controls. The system providing access to PII shall use role-based access controls for all user authentications, enforcing the principle of least privilege.
- M. Transmission Encryption.
 - 1. All data transmissions of PII outside of a secure internal network must be encrypted using a Federal Information Processing Standard (FIPS) 140-2 certified algorithm that is 128 bit or higher, such as Advanced Encryption Standard (AES) or Transport Layer Security (TLS). It is encouraged, when available and when feasible, that 256 bit encryption be used.
 - 2. Encryption can be end to end at the network level, or the data files containing PII can be encrypted.
 - 3. This requirement pertains to any type of PII in motion such as website access, file transfer, and email.
- N. Intrusion Prevention. All systems involved in accessing, storing, transporting, and protecting PII, which are accessible through the Internet, must be protected by an intrusion detection and prevention solution.

III. AUDIT CONTROLS

- A. System Security Review.
 - 1. The CONTRACTOR must ensure audit control mechanisms are in place.
 - 2. All systems processing and/or storing PII must have at least an annual system risk assessment/security review that ensures administrative, physical, and technical controls are functioning effectively and provide an adequate level of protection.
 - 3. Reviews should include vulnerability scanning tools.

- B. Log Reviews. All systems processing and/or storing PII must have a process or automated procedure in place to review system logs for unauthorized access.
- C. Change Control. All systems processing and/or storing PII must have a documented change control process that ensures separation of duties and protects the confidentiality, integrity and availability of data.

IV. BUSINESS CONTINUITY / DISASTER RECOVERY CONTROLS

- A. Emergency Mode Operation Plan. The CONTRACTOR must establish a documented plan to enable continuation of critical business processes and protection of the security of PII kept in an electronic format in the event of an emergency. Emergency means any circumstance or situation that causes normal computer operations to become unavailable for use in performing the work required under this Agreement for more than twenty-four (24) hours.
- B. Data Centers. Data centers with servers, data storage devices, and critical network infrastructure involved in the use, storage and/or processing of PII, must include environmental protection such as cooling, power, and fire prevention, detection, and suppression.
- C. Data Backup and Recovery Plan.
 - 1. The CONTRACTOR shall have established documented procedures to backup PII to maintain retrievable exact copies of PII.
 - 2. The documented backup procedures shall contain a schedule which includes incremental and full backups.
 - 3. The procedures shall include storing backups offsite.
 - 4. The procedures shall ensure an inventory of backup media.
 - 5. The CONTRACTOR shall have established documented procedures to recover PII data.
 - 6. The documented recovery procedures shall include an estimate of the amount of time needed to restore the PII data.

V. PAPER DOCUMENT CONTROLS

- A. Supervision of Data. The PII in paper form shall not be left unattended at any time, unless it is locked in a file cabinet, file room, desk or office. Unattended means that information may be observed by an individual not authorized to access the information.
- B. Data in Vehicles. The CONTRACTOR shall have policies that include, based on applicable risk factors, a description of the circumstances under which staff can transport PII, as well as the physical security requirements during transport. A CONTRACTOR that chooses to permit its staff to leave records unattended in vehicles must include provisions in its policies to ensure the PII is stored in a non-visible area such as a trunk, that the vehicle is locked, and under no circumstances permit PII be left unattended in a vehicle overnight or for other extended periods of time.
- C. Public Modes of Transportation. The PII in paper form shall not be left unattended at any time in airplanes, buses, trains, etc., including baggage areas. This should be included in training due to the nature of the risk.
- D. Escorting Visitors. Visitors to areas where PII is contained shall be escorted, and PII shall be kept out of sight while visitors are in the area.

- E. Confidential Destruction. PII must be disposed of through confidential means, such as cross cut shredding or pulverizing.
- F. Removal of Data. The PII must not be removed from the premises except for identified routine business purposes or with express written permission of the COUNTY.
- G. Faxing.
 - 1. Faxes containing PII shall not be left unattended and fax machines shall be in secure areas.
 - 2. Faxes shall contain a confidentiality statement notifying persons receiving faxes in error to destroy them and notify the sender.
 - 3. Fax numbers shall be verified with the intended recipient before sending the fax.
- H. Mailing.
 - 1. Mailings containing PII shall be sealed and secured from damage or inappropriate viewing of PII to the extent possible.
 - 2. Mailings that include five hundred (500) or more individually identifiable records containing PII in a single package shall be sent using a tracked mailing method that includes verification of delivery and receipt, unless the CONTRACTOR obtains prior written permission from the COUNTY to use another method.

VI. NOTIFICATION AND INVESTIGATION OF BREACHES AND SECURITY INCIDENTS

During the term of this Agreement, the CONTRACTOR agrees to implement reasonable systems for the discovery and prompt reporting of any Breach or Security Incident, and to take the following steps:

The CONTRACTOR shall immediately notify the COUNTY when it discovers that there may have been a breach in security which has or may have resulted in compromise to confidential data. For purposes of this section, immediately is defined as within two hours of discovery. The COUNTY contact for such notification is as follows:

Breaches should be referred to:

Civil Rights Coordinator
Riverside County Department of Public Social Services
7894 Mission Grove Parkway, Suite 100
Riverside, CA 92508
(951) 358-6841

ATTACHMENT III
Assurance of Compliance

**ASSURANCE OF COMPLIANCE WITH
THE RIVERSIDE COUNTY DEPARTMENT OF PUBLIC SOCIAL SERVICES
NONDISCRIMINATION IN STATE AND FEDERALLY ASSISTED PROGRAMS**

MFI Recovery Center
NAME OF ORGANIZATION

HEREBY AGREES THAT it will comply with Title VI and VII of the Civil Rights Act of 1964 as amended; Section 504 of the Rehabilitation Act of 1973 as amended; the Age Discrimination Act of 1975 as amended; the Food Stamp Act of 1977, as amended and in particular section 272.6; Title II of the Americans with Disabilities Act of 1990; California Civil Code section 51 et seq., as amended; California Government Code section 11135-11139.5, as amended; California Government Code section 12940 (c), (h) (1), (i), and (j); California Government Code section 4450; Title 22, California Code of Regulations section 98000 – 98413; Title 24 of the California Code of Regulations, Section 3105A(e); the Dymally-Alatorre Bilingual Services Act (California Government Code section 7290-7299.8); Section 1808 of the Removal of Barriers to Interethnic Adoption Act of 1996; and other applicable federal and state laws, as well as their implementing regulations [including 45 Code of Federal Regulations (CFR) Parts 80, 84, and 91, 7 CFR Part 15, and 28 CFR Part 42], by ensuring that employment practices and the administration of public assistance and social services programs are nondiscriminatory, to the effect that no person shall because of ethnic group identification, age, sex, color, disability, medical condition, national origin, race, ancestry, marital status, religion, religious creed or political belief be excluded from participation in or be denied the benefits of, or be otherwise subject to discrimination under any program or activity receiving federal or state financial assistance; and HEREBY GIVE ASSURANCE THAT it will immediately take any measures necessary to effectuate this Agreement.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all federal and state assistance; and THE VENDOR/RECIPIENT HEREBY GIVES ASSURANCE THAT administrative methods/procedures which have the effect of subjecting individuals to discrimination or defeating the objectives of the California Department of Social Services (CDSS) Manual of Policies and Procedures (MPP) Chapter 21, will be prohibited.

BY ACCEPTING THIS ASSURANCE, the vendor/recipient agrees to compile data, maintain records and submit reports as required, to permit effective enforcement of the aforementioned laws, rules and regulations and permit authorized CDSS and/or federal government personnel, during normal working hours, to review such records, books and accounts as needed to ascertain compliance. If there are any violations of this assurance, CDSS shall have the right to invoke fiscal sanctions or other legal remedies in accordance with Welfare and Institutions Code section 10605, or Government Code section 11135-11139.5, or any other laws, or the issue may be referred to the appropriate federal agency for further compliance action and enforcement of this assurance.

THIS ASSURANCE is binding on the vendor/recipient directly or through contract, license, or other provider services, as long as it receives federal or state assistance.

6-11-18
Date


Director's Signature

5870 Arlington Ave Riverside Ca 92504
Address of Vendor/Recipient
(08/13/01)

CR50-Vendor Assurance of Compliance

ATTACHMENT IV
DPSS 2076A, DPSS 2076B & Instructions

COUNTY OF RIVERSIDE
DEPARTMENT OF PUBLIC SOCIAL SERVICES

CONTRACTOR PAYMENT REQUEST

To: Riverside County
Department of Public Social Services
Attn: Management Reporting Unit
4060 County Circle Drive
Riverside, CA 92503

From: MFI Recovery Center
Remit to Name
Address
Contractor Name
Contract Number

Total amount requested _____ for the period of _____ 20 _____

Select Payment Type(s) Below:

- Advance Payment \$ _____
(If allowed by Contract/MOU)
- Actual Payment \$ _____
(Same amount as 2076B if needed)
- Unit of Service Payment \$ _____ # of Units) X _____ (\$) _____
_____ # of Units) X (\$) _____ # of Units) X _____ (\$) _____
_____ # of Units) X (\$) _____ # of Units) X _____ (\$) _____

Any questions regarding this request should be directed to: _____
Name Phone Number

I hereby certify under penalty of perjury that to the best of my knowledge the above is true and correct

Authorized Signature Title Date

FOR DPSS USE ONLY (DO NOT WRITE BELOW THIS LINE)

Business Unit (5)
Account (6)
Fund (5)
Dept ID (10)
Program (5)
Class (10)
Project/Grant (15)

Purchase Order # (10) Invoice #
Amount Authorized
If amount authorized is different from amount request, please explain:

Program (if applicable) Date
Management Reporting Unit Date
Contracts Administration Unit Date

DEPARTMENT OF PUBLIC SOCIAL SERVICES FORMS

Mailing Instructions: When completed, these forms will summarize all of your claims for payment. Your Claims Packet will include DPSS 2076A, 2076B (if required), invoices, payroll verification, and copies of canceled checks attached, receipts, bank statements, sign-in sheets, daily logs, mileage logs, and other back-up documentation needed to comply with Contract/MOU.

Mail Claims Packet to address shown on upper left corner of DPSS 2076A.

[see method, time, and schedule/condition of payments).

(Please type or print information on all DPSS Forms.)

DPSS 2076A

CONTRACTOR PAYMENT REQUEST

"Remit to Name"

The legal name of your agency.

"Address"

The remit to address used when this contract was established for your agency. All address changes must be submitted for processing prior to use.

"Contractor Name"

Business name, if different than legal name (if not leave blank).

"Contract Number"

Can be found on the first page of your contract.

"Amount Requested"

Fill in the total amount and billing period you are requesting payment for.

"Payment Type"

Check the box and enter the dollar amount for the type(s) of payment(s) you are requesting payment for.

"Any questions regarding..."

Fill in the name and phone number of the person to be contacted should any questions arise regarding your request for payment.

"Authorized Signature, Title, and Date (Contractor's)"

Self-explanatory (required). Original Signature needed for payment.

EVERYTHING BELOW THE THICK SOLID LINE IS FOR DPSS USE ONLY AND SHOULD BE LEFT BLANK.

**County of Riverside Department of Public Social Services
Contracts Administration Unit
10281 Kidd Street
Riverside, CA 92503**

and

**Family Service Association
Counseling and Anger Management Services
CS-03973**



JUL 17 2018 3.32

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This Agreement is made and entered into this ____ day of _____, 2018, by and between Family Service Association, a California nonprofit corporation (herein referred to as "CONTRACTOR"), and the County of Riverside, a political subdivision of the State of California, on behalf of its Department of Public Social Services (herein referred to as "COUNTY"). The parties agree as follows:

1. DEFINITIONS

- A. "Agreement" refers to the terms and conditions, schedules, and attachments included herein.
- B. "Anger Management" is defined as the process of learning to increase the positive aspects/functions of anger and decrease the negative functions. The goals are to communicate feelings, problem solve, take control of a situation, and to avoid unnecessarily defending oneself or becoming aggressive. Anger Management groups do not address the attitudinal beliefs (i.e. entitlement, use of power and control tactics, etc.) that are an integral part of the cycle of domestic violence. Anger Management programs are designed to educate people who have a global problem with anger.
- C. "Attempt to contact" is defined as when the CONTRACTOR calls and leaves a message for a Client on voicemail or with another resident of the Client's home or preferred phone number.
- D. "Board of Supervisors" refers to the County of Riverside's Board of Supervisors.
- E. "CAPIT" refers to the Child Abuse Prevention, Intervention, and Treatment funding allocation.
- F. "CAU" refers to the Riverside County Department of Public Social Services Contracts Administration Unit.
- G. "Client" or "Participant" refers to a person receiving services under this Agreement.
- H. "Contact" is defined as speaking directly with the Client.
- I. "CONTRACTOR" refers to Family Service Association or "FSA" including its employees, agents, representatives, Subcontractors, and suppliers.
- J. "Counseling Services" refers to services designed to prevent the occurrence or reoccurrence of child maltreatment or domestic violence events, to prevent the unnecessary separation of children from their families, and to ensure permanency by maintaining or reuniting children with their parents, adoptive parents, kinship providers, or legal guardians.
- K. "CSD-PEU" refers to the Department of Public Social Services and its Children's Services Division Program Evaluation Unit.
- L. "DPSS" or "COUNTY" refers to the County of Riverside and its Department of Public Social Services, which has administrative responsibility for this Agreement. DPSS and COUNTY are used interchangeably in this Agreement.
- M. "DPSS-CSD" refers to the Department of Public Social Services and its Children's Services Division.
- N. "Episode" is defined as a new incident that occurs and requires a Client re-enroll or repeat services with the provider due to relapse or extended disruption in treatment, lasting more than 60 days, during the course of treatment/rehabilitation.

- O. "Evening" is defined as after 6 pm.
- P. "Evidence-based" is defined as a practice consistent with the best research available, utilized with sound clinical judgment and experience, and aligned with the goals and values of the Client being served.
- Q. "Evidence-informed" is defined as a model that incorporates best available research evidence; Client's needs, values, and preferences; practitioner wisdom; and theory into the clinical decision-making process filtered through the lens of Client, agency, and community culture.
- R. "Extension" is defined as additional session with the provider beyond the maximum count for the service type; necessary to meet the Client's needs or achieve maximal results.
- S. "HIPAA" refers to the Health Insurance Portability Accountability Act.
- T. "JOM" refers to "Joint Operational Meetings" and are held between CONTRACTOR and DPSS.
- U. "Licensed Clinical Therapist" refers to a Licensed Marriage and Family Therapist, Licensed Clinical Social Worker, Licensed Educational Psychologist, or Licensed Psychologist in accordance with the guidelines of the State of California Board of Behavioral Sciences (BBS) or Board of Psychology (BOP). Credentials must be current, active and in clear status with the BBS or BOP for the entire duration of the Agreement.
- V. "Make-up Class" refers to class in which a Participant in a Group Session or Class who is registered in one course and attends a different course to make up a session missed in their registered course.
- W. "Prevention Services" refers to community based and prevention focused programs and activities to strengthen and support families to prevent child abuse and neglect.
- X. "Qualified Interpreter" is defined as someone who is fluent in English and in the necessary second language, can accurately speak, read and readily interpret the necessary second language and/or accurately sign and read sign language. A Qualified Interpreter must be able to translate linguistically appropriate terminology necessary to convey information such as symptoms or instructions to the Client in both languages.
- Y. "Registered Intern" refers to a pre-licensed registered Marriage and Family Therapy Intern or Clinical Social Work Associate in accordance with BBS guidelines. Credentials of all facilitators must be current, active, and in clear status with the BBS or BOP for the entire duration of the Agreement.
- Z. "Subcontract" refers to any contract, purchase order, or other purchase agreement, including modifications and change orders to the foregoing, entered into by the CONTRACTOR with a Subcontractor to furnish supplies, materials, equipment, and services for the performance of any of the terms and conditions contained in this Agreement.
- AA. "Subcontractor" means any supplier, vendor, or firm that furnishes supplies, materials, equipment, or services to or for the CONTRACTOR or another subcontractor.

BB. "CFTM" shall refer to Children and Family Team Meetings which is a team-based approach that recognizes the family members strengths and needs, develops a child and family-centered case plan with behaviorally specific strategies to meet the identified goal and achieve positive outcomes for safety, permanency, and well-being.

CC. "Zone" refers to each of the three geographic areas in Riverside County identified by the Riverside County Needs Assessment.

2. DESCRIPTION OF SERVICES

CONTRACTOR shall provide all services at the prices stated in Schedule A - Payment Provisions, and as outlined and specified in Schedule B - Scope of Services, Schedule C, - Unit of Service Budget, Schedule D - Service by Geographical Zone, and Attachment I - HIPAA Business Associate Agreement, Attachment II - PII Privacy and Security Standards, Attachment III - Assurance of Compliance, and Attachment IV - DPSS 2076A, DPSS 2076B & Instructions.

3. PERIOD OF PERFORMANCE

This Agreement shall be effective upon execution and continue through June 30, 2023, unless terminated earlier. CONTRACTOR shall commence performance upon the effective date and shall diligently and continuously perform thereafter.

4. COMPENSATION

COUNTY shall pay CONTRACTOR for services performed, products provided, or expenses incurred in accordance with Schedule A, Payment Provisions. COUNTY is not responsible for any fees or costs incurred above or beyond the contracted amount and shall have no obligation to purchase any specified amount of services or product. Unless otherwise specifically stated in Schedule A, COUNTY shall not be responsible for payment of any of CONTRACTOR's expenses related to this Agreement. At the expiration of the term of this Agreement, or upon termination prior to the expiration of the Agreement, any funds paid to CONTRACTOR, but not used for purposes of this Agreement shall revert to COUNTY within thirty (30) calendar days of the expiration or termination.

5. AVAILABILITY OF FUNDS/NON-APPROPRIATION OF FUNDS

The obligation of COUNTY for payment under this Agreement beyond the current fiscal year is contingent upon and limited by the availability of COUNTY funding from which payment can be made. There shall be no legal liability for payment on the part of COUNTY beyond June 30 of each year unless funds are made available for such payment by the COUNTY Board of Supervisors. In the event such funds are not forthcoming for any reason, COUNTY shall immediately notify CONTRACTOR in writing and this Agreement shall be deemed terminated and be of no further force or effect. COUNTY shall make all payments to CONTRACTOR that were properly earned prior to the unavailability of funding.

6. TERMINATION

A. COUNTY may terminate this Agreement without cause upon giving thirty (30) calendar days written notice served on CONTRACTOR stating the extent and effective date of termination.

B. COUNTY may, upon five (5) calendar days written notice, terminate this Agreement for CONTRACTOR's default, if CONTRACTOR refuses or fails to comply with the terms of this Agreement, or fails to make progress that may endanger performance and does not immediately cure such failure. In the event of such termination, COUNTY may proceed with the work in any manner deemed proper by COUNTY.

C. After receipt of the notice of termination, CONTRACTOR shall:

- (1) Stop all work under this Agreement on the date specified in the notice of termination;
- (2) Transfer to COUNTY and deliver in the manner directed by COUNTY any materials, reports or other products, which, if the Agreement had been completed or continued, would be required to be furnished to COUNTY.

- D. After termination, COUNTY shall make payment only for CONTRACTOR's performance up to the date of termination in accordance with this Agreement.
- E. CONTRACTOR's rights under this Agreement shall terminate (except for fees accrued prior to the date of termination) upon dishonestly or willful and material breach of this Agreement by CONTRACTOR; or in the event of CONTRACTOR's unwillingness or inability, for any reason whatsoever, to perform the terms of this Agreement. In such an event, CONTRACTOR shall not be entitled to any further compensation under this Agreement.
- F. The rights and remedies of COUNTY provided in this section shall not be exclusive and are in addition to any other rights or remedies provided by law or this Agreement.

7. REQUEST FOR WAIVER AND WAIVER OF BREACH

Waiver of any provision of this Agreement must be in writing and signed by authorized representatives of the parties. No waiver or breach of any provision of the terms and conditions herein shall be deemed, for any purpose, to be a waiver or a breach of any other provision hereof, or of a continuing or subsequent waiver or breach. Failure of COUNTY to require exact, full compliance with any term of this Agreement shall not be construed as making any changes to the terms of this Agreement and does not prevent COUNTY from enforcing the terms of this Agreement.

8. TRANSITION PERIOD

CONTRACTOR recognizes that the services under this Agreement are vital to COUNTY and must be continued without interruption and that, upon expiration, COUNTY or another contractor may continue the services outlined herein. CONTRACTOR agrees to exercise its best efforts and cooperation to effect an orderly and efficient transition of Clients or services to a successor.

9. CONDUCT OF CONTRACTOR/ CONFLICT OF INTEREST

A. CONTRACTOR covenants that it presently has no interest, including but not limited to, other projects or contracts, and shall not acquire any such interest, direct or indirect, which would conflict in any manner or degree with CONTRACTOR's performance under this Agreement. CONTRACTOR further covenants that no person or Subcontractor having any such interest shall be employed or retained by CONTRACTOR under this Agreement. CONTRACTOR agrees to inform the COUNTY of all CONTRACTOR's interest, if any, which are or may be perceived as incompatible with COUNTY's interests.

B. CONTRACTOR shall not, under any circumstances which could be perceived as an attempt to influence the recipient in the conduct of his/her duties, accept any gratuity or special favor from individuals or firms with whom CONTRACTOR is doing business or proposing to do business, in fulfilling this Agreement.

10. RECORDS, INSPECTIONS, AND AUDITS

A. All performance, including services, workmanship, materials, facilities or equipment utilized in the performance of this Agreement, shall be subject to inspection and test by COUNTY or any other regulatory agencies at all times. This may include, but is not limited to, monitoring or inspecting CONTRACTOR performance through any combination of on-site visits,

inspections, evaluations, and CONTRACTOR self-monitoring. CONTRACTOR shall cooperate with any inspector or COUNTY representative reviewing compliance with this Agreement and permit access to all necessary locations, equipment, materials, or other requested items.

- B. CONTRACTOR shall maintain auditable books, records, documents, and other evidence relating to costs and expenses to this Agreement. CONTRACTOR shall maintain these records for at least three (3) years after final payment has been made or until pending COUNTY, state, and federal audits are completed, whichever is later.
- C. Any authorized COUNTY, state or the federal representative shall have access to all books, documents, papers, electronic data and other records they determine are necessary to perform an audit, evaluation, inspection, review, assessment, or examination. These representatives are authorized to obtain excerpts, transcripts and copies as they deem necessary and shall have the same right to monitor or inspect the work or services as COUNTY.
- D. If CONTRACTOR disagrees with an audit, CONTRACTOR may employ a Certified Public Accountant (CPA) to prepare and file with COUNTY its own certified financial and compliance audit. CONTRACTOR shall not be reimbursed by COUNTY for such an audit regardless of the audit outcome.
- E. CONTRACTOR shall establish sufficient procedures to self-monitor the quality of services/products under this Agreement and shall permit COUNTY or other inspector to assess and evaluate CONTRACTOR's performance at any time, upon reasonable notice to the CONTRACTOR.

11. CONFIDENTIALITY

- A. As required by applicable law, COUNTY and CONTRACTOR shall maintain the privacy and confidentiality of all information and records, regardless of format, received pursuant to the Agreement ("confidential information"). Confidential information includes, but is not limited to, unpublished or sensitive technological or scientific information; medical, personnel, or security records; material requirements or pricing/purchasing actions; COUNTY information or data which is not subject to public disclosure; COUNTY operational procedures; and knowledge of CONTRACTORS, Subcontractors or suppliers in advance of official announcement. CONTRACTOR shall ensure that no person will publish, disclose, use or cause to be disclosed such confidential information pertaining to any applicant or recipient of services. CONTRACTOR shall keep all confidential information received from COUNTY in the strictest confidence. CONTRACTOR shall comply with Welfare and Institutions Code Section 10850.
- B. CONTRACTOR shall take special precautions, including but not limited to, sufficient training of CONTRACTOR's staff before they begin work, to protect such confidential information from loss or unauthorized use, access, disclosure, modification or destruction.
- C. CONTRACTOR shall ensure case records or personal information is kept confidential when it identifies an individual by name, address, or other specific information. CONTRACTOR shall not use such information for any purpose other than carrying out CONTRACTOR's obligations under this Agreement.
- D. CONTRACTOR shall promptly transmit to COUNTY all third party requests for disclosure of confidential information. CONTRACTOR shall not disclose such information to anyone other

than COUNTY except when disclosure is specifically permitted by this Agreement or as authorized in writing in advance by COUNTY.

12. HEALTH INSURANCE PORTABILITY ACCOUNTABILITY ACT

CONTRACTOR is subject to and shall operate in compliance with all relevant requirements contained in the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-191, enacted August 21, 1996, and the related laws and regulations promulgated subsequent thereto. The parties agree to the terms and conditions the HIPAA Business Associated attached as Attachment I.

13. PERSONALLY IDENTIFIABLE INFORMATION

A. Personally Identifiable Information (PII) refers to personally identifiable information that can be used alone or in conjunction with any other reasonably available information, to identify a specific individual. PII includes, but is not limited to, an individual's name, social security number, driver's license number, identification number, biometric records, date of birth, place of birth, or mother's maiden name. The PII may be electronic, paper, verbal, or recorded. PII may collected performing administrative functions on behalf of programs, such as determining eligibility for, or enrollment in, and collecting PII for such purposes, to the extent such activities are authorized by law.

B. CONTRACTOR may use or disclose PII only to perform functions, activities or services directly related to the administration of programs in accordance with Welfare and Institutions Code sections 10850 and 14100.2, 42 Code of Federal Regulations (CFR) section 431.300 et. seq., and 45 CFR 205.50 et. seq., or as required by law. Disclosures which are required by law, such as a court order, or which are made with the explicit written authorization of the Client, are allowable. Any other use or disclosure of PII requires the express approval in writing by COUNTY. CONTRACTOR shall not duplicate, disseminate or disclose PII except as allowed in this Agreement.

C. CONTRACTOR agrees to the PII Privacy and Security Standards attached as Attachment II. When applicable, CONTRACTOR shall incorporate the relevant provisions of Attachment II into each Subcontract or sub-award to Subcontractors.

14. HOLD HARMLESS/INDEMNIFICATION

CONTRACTOR agrees to indemnify and hold harmless COUNTY, its departments, agencies, and districts (including their officers, employees and agents) (collectively "COUNTY Indemnitees"), from any liability, damage, claim or action based upon or related to any services or work of CONTRACTOR (including its officers, employees, agents, Subcontractors or suppliers) arising out of or in any way relating to this Agreement, including but not limited to property damage, bodily injury or death. CONTRACTOR shall, at its sole expense and cost including but not limited to, attorney fees, cost of investigation, defense, and settlements or awards, defend COUNTY Indemnitees in any such claim or action. CONTRACTOR shall, at its sole cost, have the right to use counsel of its choice, subject to the approval of COUNTY which shall not be unreasonably withheld; and shall have the right to adjust, settle, or compromise any such claim or action so long as that does not compromise CONTRACTOR's indemnification obligation. CONTRACTOR's obligation hereunder shall be satisfied when CONTRACTOR has provided COUNTY the appropriate form of dismissal relieving COUNTY from any liability for the action or claim made. The insurance requirements stated in this Agreement shall in no way limit or circumscribe CONTRACTOR's obligations to indemnify and hold COUNTY harmless.

15. INSURANCE

- A. Without limiting or diminishing CONTRACTOR's obligation to indemnify or hold COUNTY harmless, CONTRACTOR shall procure and maintain or cause to be maintained, at its sole cost and expense, the following insurance coverages during the term of this Agreement. As respects to the insurance section only, COUNTY herein refers to the County of Riverside, its agencies, districts, special districts, and departments, their respective directors, officers, Board of Supervisors, employees, elected or appointed officials, agents, or representatives as Additional Insureds.
- B. Any insurance carrier providing insurance coverage hereunder shall be admitted to the State of California and have an AM BEST rating of not less than A: VIII (A:8) unless such requirements are waived, in writing, by the COUNTY Risk Manager. If the COUNTY's Risk Manager waives a requirement for a particular insurer such waiver is only valid for that specific insurer and only for one policy term.
- C. CONTRACTOR's insurance carrier(s) must declare its insurance self-insured retentions. If such self-insured retentions exceed \$500,000 per occurrence such retentions shall have the prior written consent of the COUNTY Risk Manager before the commencement of operations under this Agreement. Upon notification of self-insured retention unacceptable to COUNTY, and at the election of the COUNTY's Risk Manager, CONTRACTOR's carriers shall either; 1) reduce or eliminate such self-insured retention as respects to this Agreement with COUNTY, or 2) procure a bond which guarantees payment of losses and related investigations, claims administration, and defense costs and expenses.
- D. CONTRACTOR shall cause CONTRACTOR's insurance carrier(s) to furnish the COUNTY with either 1) a properly executed original certificate(s) of insurance and certified original copies of endorsements effecting coverage as required herein, or 2) if requested to do so orally or in writing by the COUNTY Risk Manager, provide original certified copies of policies, including all endorsements and all attachments thereto, showing such insurance is in full force and effect. Further, said certificate(s) and policies of insurance shall contain the covenant of the insurance carrier(s) that thirty (30) calendar days written notice shall be given to the COUNTY prior to any material modification, cancellation, expiration or reduction in coverage of such insurance. In the event of a material modification, cancellation, expiration, or reduction in coverage, this Agreement shall terminate forthwith, unless the COUNTY receives, prior to such effective date, another properly executed original certificate of insurance and original copies of endorsements or certified original policies, including all endorsements and attachments thereto evidencing coverages set forth herein and the insurance required herein is in full force and effect. CONTRACTOR shall not commence operations until the COUNTY has been furnished original certificate(s) of insurance and certified original copies of endorsements and if requested, certified original policies of insurance including all endorsements and any and all other attachments as required in this section. An individual authorized by the insurance carrier to do so on its behalf shall sign the original endorsements for each policy and the certificate of insurance.
- E. It is understood and agreed to by the parties hereto that CONTRACTOR's insurance shall be construed as primary insurance, and COUNTY's insurance and/or deductibles and/or self-insured retentions or self-insured programs shall not be construed as contributory.
- F. If, during the term of this Agreement or any extension thereof, there is a material change in the scope of services, or there is a material change in the equipment to be used in the performance of the scope of work which will add additional exposures (such as the use of aircraft, watercraft, cranes, etc.), or the term of this Agreement, including any extensions thereof, exceeds five (5) years, the COUNTY reserves the right to adjust the types of

insurance required under this Agreement and the monetary limits of liability for the insurance coverages currently required herein if, in the COUNTY Risk Manager's reasonable judgment, the amount or type of insurance carried by the CONTRACTOR has become inadequate.

- G. CONTRACTOR shall pass down the insurance obligations contained herein to all tiers of Subcontractors working under this Agreement.
- H. The insurance requirements contained in this Agreement may be met with a program of self-insurance acceptable to COUNTY.
- I. CONTRACTOR agrees to notify COUNTY of any claim by a third party or any incident or event that may give rise to a claim arising from the performance of this Agreement.

16. **WORKER'S COMPENSATION**

If CONTRACTOR has employees as defined by the State of California, CONTRACTOR shall maintain statutory Worker's Compensation Insurance (Coverage A) as prescribed by the laws of the State of California. Policy shall include Employers' Liability (Coverage B) including Occupational Disease with limits not less than \$1,000,000 per person per accident. The policy shall be endorsed to waive subrogation in favor of the County of Riverside, and, if applicable, to provide a Borrowed Servant/Alternate Employer Endorsement.

17. **VEHICLE LIABILITY**

If vehicles or mobile equipment are used in the performance of the obligations under this Agreement, then CONTRACTOR shall maintain liability insurance for all owned, non-owned or hired vehicles so used in an amount not less than \$1,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this Agreement or be no less than two (2) times the occurrence limit. Policy shall name COUNTY as Additional Insured.

18. **COMMERCIAL GENERAL LIABILITY**

Commercial General Liability insurance coverage, including but not limited to, premises liability, contractual liability, products and completed operations liability, personal and advertising injury, and cross liability coverage, covering claims which may arise from or out of CONTRACTOR's performance of its obligations hereunder. Policy shall name the COUNTY as Additional Insured. Policy's limit of liability shall not be less than \$1,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this Agreement or be no less than two (2) times the occurrence limit.

19. **PROFESSIONAL LIABILITY**

If, at any time during the duration of this Agreement and any renewal or extension thereof, the CONTRACTOR, its employees, agents or Subcontractors provide professional counseling for issues of medical diagnosis, medical treatment, mental health, dispute resolution or any other services for which it is the usual and customary practice to maintain Professional Liability Insurance, the CONTRACTOR shall procure and maintain Professional Liability Insurance (Errors & Omissions), providing coverage for performance of work included within this Agreement, with a limit of liability of not less than \$1,000,000 per occurrence and \$2,000,000 annual aggregate. If CONTRACTOR's Professional Liability Insurance is written on a claims made basis rather than an occurrence basis, such insurance shall continue through the term of this Agreement. Upon termination of this Agreement or the expiration or cancellation of the claims made insurance policy CONTRACTOR shall purchase at his sole expense either 1) an Extended Reporting Endorsement (also known as Tail Coverage); or, 2) Prior Dates Coverage from a new insurer with a retroactive date back to the date of, or prior to, the inception of this Agreement; or, 3) demonstrate through

Certificates of Insurance that CONTRACTOR has maintained continuous coverage with the same or original insurer. Coverage provided under items 1), 2) or 3) will continue for a period of five (5) years beyond the termination of this Agreement.

20. CYBER LIABILITY

CONTRACTOR shall procure and maintain for the duration of the Agreement cyber liability insurance against claims for injuries to person or damages to property which may arise from or in connection with the performance of the work hereunder by CONTRACTOR, its agents, representatives, or employees. CONTRACTOR shall procure and maintain for the duration of the Agreement cyber liability insurance for claims arising out of their services including, but not limited to loss, damage, theft or other misuse of data, infringement of intellectual property, invasion of privacy and breach of data.

CONTRACTOR shall procure and maintain cyber liability insurance, with limits not less than \$2,000,000 per occurrence or claim, \$2,000,000 aggregate. Coverage shall be sufficiently broad to respond to the duties and obligations as is undertaken by CONTRACTOR in this Agreement and shall include, but is not limited to, claims involving infringement of intellectual property, including but not limited to infringement of copyright, trademark, trade dress, invasion of privacy violations, information theft, damage to or destruction of electronic information, release of private information, alteration of electronic information, extortion and network security. The policy shall provide coverage for breach response costs as well as regulatory fines and penalties as well as credit monitoring expenses with limits sufficient to respond to these obligations.

If CONTRACTOR maintains broader coverage and/or higher limits than the minimums shown above, COUNTY requires and shall be entitled to the broader coverage and/or higher limits maintained by CONTRACTOR. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to COUNTY.

21. INDEPENDENT CONTRACTOR

It is agreed that CONTRACTOR is an independent CONTRACTOR and that no relationship of employer-employee exists between the parties. CONTRACTOR and its employees shall not be entitled to any benefits payable to employees of COUNTY, including but not limited to, workers' compensation, retirement, or health benefits. COUNTY shall not be required to make any deductions for CONTRACTOR employees from the compensation payable to CONTRACTOR under this Agreement. CONTRACTOR agrees to hold COUNTY harmless from any and all claims that may be made against COUNTY based upon any contention by any person or other party that an employer-employee relationship exists by reason of this Agreement. CONTRACTOR agrees to indemnify and defend, at its sole expense and cost, including but not limited, to attorney fees, cost of investigation, defense and settlements, or awards, COUNTY, its officers, agents, and employees in any legal action based upon such alleged existence of an employer-employee relationship by reason of this Agreement.

22. USE BY POLITICAL ENTITIES

CONTRACTOR agrees to extend the same pricing, terms, and conditions as stated in this Agreement to each and every political entity, special district, and related non-profit entity in Riverside County, and to every political entity located in the State of California. It is understood that other entities shall make purchases in their own name, make direct payment, and be liable directly to CONTRACTOR; and COUNTY shall in no way be responsible to CONTRACTOR for other entities' purchases.

23. LICENSES AND PERMITS

If applicable, CONTRACTOR shall be licensed and have all permits as required by Federal, State, COUNTY, or other regulatory authorities at the time the proposal is submitted to COUNTY and throughout the term of this Agreement. CONTRACTOR warrants that it has all necessary permits, approvals, certificates, waivers, and exceptions necessary for performance of this Agreement.

24. NO DEBARMENT OR SUSPENSION

CONTRACTOR certifies that it is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by a federal department or agency; has not within a three-year period preceding this Agreement been convicted of or had a civil judgment rendered against it for the commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction; violation of federal or state anti-trust status; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; is not presently indicted or otherwise criminally or civilly charged by a government entity (federal, state or local) with commission of any of the offenses enumerated herein; and has not within a three-year period preceding this Agreement had one or more public transactions (federal, state or local) terminated for cause or default.

25. COMPLIANCE WITH RULES, REGULATIONS, AND DIRECTIVES

CONTRACTOR shall comply with all rules, regulations, requirements and directives of the California Department of Social Services, other applicable State or Federal agencies, funding sources and other governing regulatory authorities which impose duties and regulations upon COUNTY related to this Agreement. These shall be equally applicable to and binding upon CONTRACTOR to the same extent as they are upon COUNTY.

26. EMPLOYMENT PRACTICES

A. CONTRACTOR shall comply with all federal and state statutes and regulations in the hiring of its employees.

B. CONTRACTOR shall not discriminate in its recruiting, hiring, promoting, demoting, or terminating practices on the basis of race, religious creed, color, national origin, ancestry, physical handicap, medical condition, marital status, age, or sex in the performance of this Agreement and, if applicable, with the provisions of the Fair Employment and Housing Act (FEHA) and the Federal Civil Rights Act of 1964 (P. L. 88-352).

C. In the provision of benefits, CONTRACTOR shall certify and comply with Public Contract Code 10295.3 and not discriminate between employees with spouses and employees with domestic partners, or discriminate between the domestic partners and spouses of those employees. For the purpose of this section, "domestic partner" means one of two persons who have filed a declaration of domestic partnership with the Secretary of State pursuant to Division 2.5 (commencing with Section 297) of the Family Code.

D. By signing this Agreement or accepting funds under this Agreement, CONTRACTOR shall comply with Executive Order 11246 of September 24, 1965, entitled "Equal Employment Opportunity," as amended by Department of Labor regulations (41 CFR Chapter 60).

E. CONTRACTOR shall provide required data and certification to COUNTY in order to comply with child support enforcement requirements. The documentation will be provided within ten (10) days of notification of award of this Agreement when required by the Employment Development Department. Failure to submit the documentation or failure to comply with all

federal and state reporting requirement for child support enforcement shall constitute a material breach of this Agreement.

27. PERSONNEL

A. Upon request by COUNTY, CONTRACTOR agrees to make available to COUNTY a current list of personnel that are providing services under this Agreement who have contact with children or adult Clients. The list shall include:

- (1) All staff who work full or part-time positions by title, including volunteer positions;
- (2) A brief description of the functions of each position and hours each position worked;
- (3) The professional degree, if applicable and experience required for each position.

B. COUNTY has the sole discretion to approve or not approve any person on the CONTRACTOR's list that has been convicted of any crimes involving sex, drugs or violence, or who is known to have a substantiated report of child abuse, as defined in Penal Code Section 11165.12, who occupies positions with supervisory or disciplinary power over minors, or who occupies supervisory or teaching positions over adult Clients. COUNTY shall notify CONTRACTOR in writing of any person not approved, but to protect Client confidentiality, may not be able to disclose the reason(s) for non-approval. Upon notification, CONTRACTOR shall immediately remove that person from providing services under this Agreement.

C. Background Checks

CONTRACTOR shall conduct criminal background records checks on all individuals providing services under this Agreement. Prior to these individuals providing services to Clients, CONTRACTOR shall have received a criminal records clearance from the State of California Department of Justice (DOJ). A signed certification of such clearance shall be retained in each individual's personnel file. The use of criminal records for the purposes of employment decisions must comply with the Office of Federal Contract Compliance Programs Directive 2013-02 "Complying with Nondiscrimination Provisions: Criminal Record Restrictions and Discrimination Based on Race and National Origin" and California Government Code § 12952.

28. LOBBYING

A. CONTRACTOR shall ensure no federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, continuation, renewal, amendment, or modification of any federal contract, grant loan or cooperative agreement.

B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with such federal contract, grant, loan, or cooperative agreement, CONTRACTOR shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

C. CONTRACTOR shall require that the language of this certification be included in the award document for sub-awards at all tiers, including Subcontracts, sub-grants, and contract under grants, loans, and cooperative agreements, and that all sub-recipients shall certify and disclose accordingly.

29. ADVERSE GOVERNMENT ACTION

In the event any action of any department, branch or bureau of the federal, state, or local government has a material adverse effect on either party in the performance of their obligations hereunder, then that party shall notify the other of the nature of this action, including in the notice a copy of the adverse action. The parties shall meet within thirty (30) calendar days and shall, in good faith, attempt to negotiate a modification to this Agreement that minimizes the adverse effect. Notwithstanding the provisions herein, if the parties fail to reach a negotiated modification concerning the adverse action, then the affected party may terminate this Agreement by giving at least one hundred eighty (180) calendar days' notice or may terminate sooner if agreed to by both parties.

30. SUBCONTRACTS

A. CONTRACTOR shall not enter into any Subcontract with any Subcontractor who:

- (1) Is presently debarred, suspended, proposed for debarment or suspension, or declared ineligible or voluntarily excluded from covered transactions by a federal department or agency;
- (2) Has within a three-year period preceding this Agreement been convicted of or had a civil judgment rendered against them for the commission of fraud; a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction; violation of federal or state anti-trust status; commission of embezzlement, theft, forgery, bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- (3) Is presently indicted or otherwise criminally or civilly charged by a government entity (federal, state or local) with commission of any of the offenses enumerated in the paragraph above; and
- (4) Has within a three-year period preceding this Agreement had one or more public transactions (federal, state or local) terminated for cause or default.

B. CONTRACTOR shall be fully responsible for the acts or omissions of its Subcontractors and the Subcontractors' employees.

C. CONTRACTOR shall insert clauses in all Subcontracts to bind its Subcontractors to the terms and conditions of this Agreement.

D. Nothing contained in this Agreement shall create a contractual relationship between any Subcontractor or supplier of CONTRACTOR and COUNTY.

31. SUPPLANTATION

CONTRACTOR shall not supplant any federal, state or COUNTY funds intended for the purpose of this Agreement with any funds made available under any other agreement. CONTRACTOR shall not claim reimbursement from COUNTY for any sums which have been paid by another source of revenue. CONTRACTOR agrees that it will not use funds received pursuant to this Agreement, either directly or indirectly, as a contribution or compensation for purposes of obtaining state funds under any state program or COUNTY funds under any COUNTY programs without prior approval of COUNTY.

32. ASSIGNMENT

CONTRACTOR shall not assign or transfer any interest in this Agreement without the prior written consent of COUNTY. Any attempt to assign or transfer any interest without written consent of COUNTY shall be deemed void and of no force or effect.

33. FORCE MAJEURE

If either party is unable to comply with any provision of this Agreement due to causes beyond its reasonable control and which could not have been reasonably anticipated, such as acts of God, acts of war, civil disorders, or other similar acts, such party shall not be held liable for such failure to comply.

34. GOVERNING LAW

This Agreement shall be governed by the laws of the State of California. Any legal action related to the interpretation or performance of this Agreement shall be filed only in the Superior Court for the State of California or the U.S. District Court located in Riverside, California.

35. DISPUTES

A. The parties shall attempt to resolve any disputes amicably at the working level. If that is not successful, the dispute shall be referred to the senior management of the parties. Any dispute relating to this Agreement which is not resolved by the parties shall be decided by COUNTY's Compliance Contract Officer who shall furnish the decision in writing. The decision of COUNTY's Compliance Contract Officer shall be final and conclusive unless determined by a court to have been fraudulent, capricious, arbitrary, or so grossly erroneous as necessarily to imply bad faith. CONTRACTOR shall proceed diligently with the performance of this Agreement pending resolution of a dispute.

B. Prior to the filing of any legal action related to this Agreement, the parties shall be obligated to attend a mediation session in Riverside County before a neutral third party mediator. A second mediation session shall be required if the first session is not successful. The parties shall share the cost of the mediations.

36. ADMINISTRATIVE/CONTRACT LIAISON

Each party shall designate a liaison that will be the primary point of contact regarding this Agreement.

37. CIVIL RIGHTS COMPLIANCE

A. Assurance of Compliance

CONTRACTOR shall complete the "Vendor Assurance of Compliance with Riverside County Department of Public Social Services Non-Discrimination in State and Federally Assisted Programs," attached as Attachment III. CONTRACTOR will sign and date Attachment III and return it to COUNTY along with the executed Agreement. CONTRACTOR shall ensure that the administration of public assistance and social service programs are non-discriminatory. To the effect that no person shall because of ethnic group identification, age, sex, color, disability, medical condition, national origin, race, ancestry, marital status, religion, religious creed or political belief be excluded from participation in or be denied the benefits of, or be otherwise subject to discrimination under any program or activity receiving federal or state financial assistance.

B. Client Complaints

CONTRACTOR shall further establish and maintain written referral procedures under which any person, applying for or receiving services hereunder, may seek resolution from Riverside County DPSS Civil Rights Coordinator of a complaint with respect to any alleged

discrimination in the provision of services by CONTRACTOR's personnel. CONTRACTOR must distribute to social service Clients that apply for and receive services, "Your Rights Under California Welfare Programs" brochure (Publication 13). For a copy of this brochure, visit the following website at:

<http://www.dss.cahwnet.gov/cdssweb/entres/forms/English/pub13.pdf>

Civil Rights Complaints should be referred to:

Civil Rights Coordinator
Riverside County Department of Public Social Services
7894 Mission Grove Parkway, Suite 100
Riverside, CA 92508
(951) 358-6841

C. Services, Benefits and Facilities

CONTRACTOR shall not discriminate in the provision of services, the allocation of benefits, or in the accommodation in facilities on the basis of color, race, religion, national origin, sex, age, sexual preference, physical or mental handicap in accordance with Title VI of the Civil Rights Act of 1964, 42 U.S.C. Section 2000d and all other pertinent rules and regulations promulgated pursuant thereto, and as otherwise provided by State law and regulations, as all may now exist or be hereafter amended or changed. For the purpose of this Section, discrimination means denying a Participant or potential Participant any service, benefit, or accommodation that would be provided to another and includes, but is not limited to, the following:

- (1) Denying a Participant any service or benefit or availability of a facility.
- (2) Providing any service or benefit to a Participant which is different, or is provided in a different manner, or at a different time or place from that provided to other Participants on the basis of race, color, creed or national origin.
- (3) Restricting a Participant in any way in the enjoyment of any advantage or privilege enjoyed by others receiving any service or benefit. Treating a Participant differently from others in satisfying any admission requirement or condition, or eligibility requirement or condition, which individuals must meet in order to be provided any service or benefit.

D. Cultural Competency

CONTRACTOR shall cause to be available bilingual professional staff or Qualified Interpreter to ensure adequate communication between Clients and staff. Any individual with limited English language capability or other communicative barriers shall have equal access to services. For the purpose of this Section, a Qualified Interpreter is defined as someone who is fluent in English and in the necessary second language, can accurately speak, read and readily interpret the necessary second language and/or accurately sign and read sign language. A Qualified Interpreter must be able to translate in linguistically appropriate terminology necessary to convey information such as symptoms or instructions to the Client in both languages.

38. NOTICES

All notices, claims, correspondence, or statements authorized or required by this Agreement shall be deemed effective three (3) business days after they are made in writing and deposited in the United States mail addressed as follows:

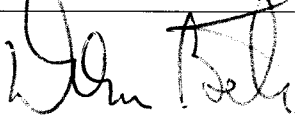
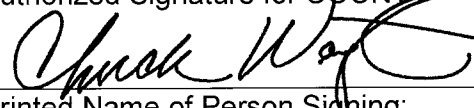
COUNTY:
 Department of Public Social Services
 Contracts Administration Unit
 P.O. Box 7789
 Riverside, CA 92513

Invoices and other financial documents:
 Department of Public Social Services
 Fiscal/Management Reporting Unit
 4060 County Circle Drive
 Riverside, CA 92503

CONTRACTOR:
 Family Service Association
 President CEO
 21250 Box Springs Road Ste 212
 Moreno Valley, CA 92257

CONTRACTOR "Remit To" address:
 Family Service Association
 President CEO
 21250 Box Springs Road Ste 212
 Moreno Valley, CA 92257

- 39. **SIGNED IN COUNTERPARTS**
 This Agreement may be executed in any number of counterparts, each of which when executed shall constitute a duplicate original, but all counterparts together shall constitute a single agreement.
- 40. **MODIFICATION OF TERMS**
 This Agreement may be modified only by a written amendment signed by authorized representatives of both parties. Requests to modify fiscal provisions shall be submitted no later than April 1.
- 41. **ENTIRE AGREEMENT**
 This Agreement constitutes the entire agreement between the parties with respect to the subject matter hereof. All prior or contemporaneous agreements of any kind or nature relating to the same subject matter shall be of no force or effect.

Authorized Signature for Family Service Association 	Authorized Signature for COUNTY 
Printed Name of Person Signing: Dom Betro	Printed Name of Person Signing: Chuck Washington
Title: President, CEO	Title: Chairman, Board of Supervisors
Date Signed: 6-28-18	Date Signed: JUL 17 2018

ATTEST:
 KEONIA HARPER-IHEM, Clerk
 BY: 
 DEPUTY

FORM APPROVED COUNTY COUNSEL
 BY: 
 DANIELLE O. MALAND DATE 7/17/18

Schedule A
Payment Provisions

A.1 MAXIMUM AMOUNTS – ANNUAL AND AGGREGATE TOTALS

The total annual payments to CONTRACTOR shall not exceed:

FISCAL YEAR PERIOD	ANNUAL PAYMENT
Upon execution through June 30, 2019	\$206,465.00
July 1, 2019 through June 30, 2020	\$206,465.00
July 1, 2020 through June 30, 2021	\$206,465.00
July 1, 2021 through June 30, 2022	\$206,465.00
July 1, 2022 through June 30, 2023	\$206,465.00
Total	\$1,032,325.00

A.2 UNIT OF SERVICE

DPSS will pay the CONTRACTOR for services performed under this Agreement according to the Unit of Service Budget in **Schedule C**, attached hereto and incorporated herein by this reference.

A.3 METHOD, TIME, AND CONDITIONS OF PAYMENT

A. CONTRACTOR will be paid the actual amount of each approved monthly invoice. COUNTY may delay payment if the required supporting documentation is not provided or other requirements are not met. Refer to **Schedule C** for supporting documentation requirements.

1. CONTRACTOR shall maintain the following documents and make them available to the COUNTY upon request:

a. Clinical notes and other documents as specified by DPSS-CSD.

B. All payment claims shall be submitted on a monthly basis no later than 30 days after the end of each month in which the services were provided. Each payment claiming period shall consist of a calendar month. All complete claims submitted in a timely manner shall be processed within forty-five (45) calendar days.

C. As applicable for payment requests, CONTRACTOR shall submit completed DPSS Forms 2076A, 2076B (Attachment IV).

D. CONTRACTOR invoice estimates for May and June are due no later than June 5. Actual CONTRACTOR invoices for May and June are due no later than July 30.

E. CONTRACTOR shall bill/invoice all other sources including Medi-Cal for all claimable expenses prior to submitting invoice to DPSS.

A.4 CASH / IN-KIND MATCH

Of the total annual maximum reimbursement amount, \$260,000.00 is funded by CAPIT funding. The CONTRACTOR shall provide up to \$26,000.00 as a cash and/or in-kind match to meet the funding source's requirement of a 10% cash and/or in-kind match of total CAPIT funds used. DPSS will inform the CONTRACTOR in writing when CAPIT funding is utilized to ensure appropriate match levels. The match is to be reported to the COUNTY using DPSS Form 2076B

and Instructions (Attachment IV). Any funding from the California Department of Social Services cannot be used as a match.

A.5 FINANCIAL RESOURCES

During the term of this Agreement, CONTRACTOR shall maintain sufficient financial resources necessary to fully perform its obligations. CONTRACTOR confirms there has been no material financial change in CONTRACTOR (including any parent company) since its last financial statement that has resulted in a negative impact to its financial condition.

A.6 DISALLOWANCE

If CONTRACTOR receives payment under this Agreement which is later disallowed by COUNTY for nonconformance with the Agreement, CONTRACTOR shall promptly refund the disallowed amount to COUNTY, or, at its option, COUNTY may offset the amount disallowed from any payment due to CONTRACTOR.

B.1 BACKGROUND

The Counseling and Anger Management Program is intended to provide Evidence-base or Evidence-informed treatment for parents/caregivers, children, and youth who are at risk and/or have been reported for maltreatment. Trained counseling and Anger Management professionals provide parents/caregivers, children, and youth with information, skills, and principles essential for managing mental health and/or anger problems. Successful implementation of the counseling and/or Anger Management treatment is intended to improve Child Welfare outcomes related to child safety, permanency, and child and family well-being.

B.2 GOAL

The primary goal of the COUNTY's counseling and Anger Management programs is to reduce entry/re-entry into the Child Welfare System and increase re-unification by equipping parents/caregivers with the knowledge and skills necessary to increase their capacity to effectively parent their children.

B.3 TARGET POPULATION

The target population for the Counseling and Anger Management Program is DPSS referred and/or self referred parents/caregivers who have been identified as needing counseling and/or Anger Management treatment in order to provide for a safe environment for their children, as well as children/youth from reported and/or at-risk families in need of Counseling and Anger Management.

The COUNTY is divided into three (3) separate Zones (as determined by Zip Code and population). CONTRACTOR is to provide services in Zones 2 and 3 which are listed in **Schedule D**, Service by Geographical Zone.

B.4 OBJECTIVES**A. Counseling Objectives:**

1. Provide CSD parents with therapeutic intervention designed to:
 - a. Prevent the occurrence or reoccurrence of child maltreatment;
 - b. Prevent the unnecessary separation of children from their families; and,
 - c. Ensure permanency by maintaining or reuniting children with their parents, adoptive parents, kinship providers, or legal guardians.
2. Ensure that the Counseling Services are accessible to parents and children/youth in need of the service.

B. Anger Management Objectives:

1. Provide an Evidence-based or Evidence-informed Anger Management program;
2. Guide Clients in learning to increase the positive aspects/functions of anger and decrease the negative functions;
3. Teach Clients to communicate feelings, problem solve, take control of a situation, and avoid unnecessarily defending oneself or becoming aggressive; and,
4. Ensure that the program is accessible to parents and children/youth in need of the service.

B.5 PROGRAM OUTCOME(s)

A. Counseling Program Outcomes:

1. After completion of the counseling program, 75% of participating Clients will demonstrate completion of treatment plan goals as identified in the Client's treatment plan provided by the counselor and/or selected by DPSS, administered to Clients prior to participation in the program (Pre) and subsequent to participation in the program (Post).
2. After completion of the counseling program, participating Clients will demonstrate a 7% increase in general self-efficacy to manage difficult tasks as measured by a difference in scores using an evaluation tool selected by DPSS (General Self-efficacy Scale), administered to Clients prior to participation in the program (Pre) and subsequent to participation in the program (Post).

B. Anger Management Program Outcomes:

1. After completion of the Anger Management counseling program, participating Clients will demonstrate a 20% increase in their knowledge of techniques for dealing effectively with anger as measured by a difference in scores using an evaluation tool selected by DPSS (Watt Anger Knowledge Scale), administered to Clients prior to participation in the program (Pre) and subsequent to participation in the program (Post).
2. After completion of the Anger Management counseling program, participating Clients will demonstrate a 7% increase in their confidence to regulate anger as measured by a difference in scores using an evaluation tool selected by DPSS (Modified-Novaco Anger Scale and Provocation Inventory), administered to Clients prior to participation in the program (Pre) and subsequent to participation in the program (Post).
3. After completion of the Anger Management counseling program, participating Clients will demonstrate a 8% increase in ability to effectively respond to anger provocation as measured by a difference in scores using an evaluation tool selected by DPSS (Modified-Novaco Anger Scale and Provocation Inventory), administered to Clients prior to participation in the program (Pre) and subsequent to participation in the program (Post).

B.6 PROGRAM EVALUATION

- A. DPSS-CSD evaluates programs for efficacy of delivered services and successful accomplishment of program outcomes. Thereby, all agreed upon Evidence-based practice or Evidence-informed practices and tools should be used in the implementation of the contract, and modification to or elimination of the proposed Evidence-based practices or Evidence-informed practices and tools are not recommended and need to be discussed with DPSS-PEU. In addition to the achievement of program outcome(s), DPSS will evaluate the impact/relationship of this program on Child Welfare outcomes related to child safety, permanency, child and family well-being.
- B. The results generated by the evaluation tool(s) during the first year of implementation will be used to establish a baseline for future use in determining appropriate percentage(s) of change for targeted program outcome(s). In subsequent years, changes to target program outcome percentage(s) of change may be modified based on the collected data.
- C. Evaluation Tool(s)
CSD-PEU will provide the following evaluation tools as listed below; samples of the tools can be found under Schedule E (Individual, Group, and Family Counseling) and Schedule F (Anger Management).

1. Individual, Group, and Family Counseling:

Program Outcome Tools:

- Program Outcome 1: Client's Treatment Plan Form (approved by PEU)
 - CONTRACTOR will use their own Client treatment plan form or discharge summary form and/or develop a spreadsheet that aggregates Clients treatment completion percentages based on the Client treatment plan or discharge form. More details to be determined.
- Program Outcome 2: General Self-Efficacy Scale (GSE) (Schwarzer R. and Jerusalem M., 1999)

Other Tools: Attached herein as **Schedule E**

- Provider Form
- DPSS-CSD Client Intake Measure
- DPSS-CSD Client Exit Measure

2. Anger Management:

Program Outcome Tools:

- Program Outcome 1: Watt Anger Knowledge Scale (WAKS) (Watt M., 1999)
- Program Outcome 2-3: Modified-Novaco Anger Scale and Provocation Inventory (NAS-PI) (Novaco R.W., 2003)*Will be purchased by CSD-PEU

Other Tools: Attached herein as **Schedule F**

- Provider Form
- DPSS-CSD Client Intake Measure
- DPSS-CSD Client Exit Measure

- D. Additional tools proposed must be approved by CSD-PEU. CONTRACTOR must provide documentation of justification, and literature citations and/or references (NREPP, CEBC4CW, etc.) that demonstrate Evidence-based or Evidence-informed effectiveness of the proposed additional evaluation tools. CONTRACTOR must purchase and provide CSD-PEU physical copies of all proposed program evaluation tools (Examples: survey or scale, survey instructions, data collection procedures, scoring instructions, scoring CD-ROM, etc.) 60 days following the approval of Evidence-based practice(s) or Evidence-informed practice(s).
- E. CONTRACTOR shall use the most current version of the evaluation tools approved by CSD-PEU, but any revisions to or replacement of the evaluation tools shall not require an amendment to the Agreement.

B.7 UNIT OF SERVICE REQUIREMENTS

A. CONTRACTOR shall provide the following services:

<p>Individual/Conjoint/ Family Counseling:</p>	<p>A single unit of individual/conjoint/family counseling sessions must include ALL of the following:</p> <ul style="list-style-type: none"> • Be a minimum of fifty (50) minutes of face-to-face Contact between the Licensed Clinical Therapist or Registered Intern and one Client. Conjoint and Family Counseling must include a Licensed Clinical Therapist/Registered Intern, one Client, and at least one (1) family member; AND • Is limited to a maximum of sixteen (16) sessions per Client, with Clients attending no more than one (1) session per week unless the Client is making up a missed session or is in crisis.
<p>Group Counseling:</p>	<p>A single unit of group counseling must include ALL of the following:</p> <ul style="list-style-type: none"> • Be a minimum of ninety (90) minutes of face-to-face Contact between the Licensed Clinical Therapist or Registered Intern and a group of Clients; AND • Group Client ratio is a minimum of six (6) Clients, and a maximum of fifteen (15) Clients to one (1) Clinical Therapist/Registered Intern; AND • Groups exceeding fifteen (15) Clients shall have a group facilitator (Licensed Clinical Therapist/Registered Intern) and co-facilitator (Licensed Clinical Therapist/Registered Intern). Groups with less than six (6) Clients will be pro-rated; AND • Is limited to a maximum of sixteen (16) sessions per Client, with Clients attending no more than one (1) session per week unless the Client is making up a missed session or is in crisis.
<p>Individual Anger Management:</p>	<p>A single unit of individual Anger Management must include ALL of the following:</p> <ul style="list-style-type: none"> • Be a minimum of fifty (50) minutes of face-to-face Contact between the Licensed Clinical Therapist or Registered Intern and one Client; AND • Is limited to a maximum of sixteen (16) sessions per Client, with Clients attending no more than one (1) session per week unless the Client is making up a missed session or is in crisis.
<p>Group Anger Management:</p>	<p>A single unit of group Anger Management must include ALL of the following:</p> <ul style="list-style-type: none"> • Be a minimum of ninety (90) minutes of face-to-face Contact between the Licensed Clinical Therapist or Registered Intern and a group of Clients with an additional thirty (30) minutes for case management and administrative support; AND • Group Client ratio is a minimum of six (6) Clients, and a maximum of fifteen (15) Clients to one (1) Clinical Therapist/Registered Intern; AND • Groups exceeding fifteen (15) Clients shall have a group facilitator (Licensed Clinical Therapist/Registered Intern) and co-facilitator (Licensed Clinical Therapist/Registered Intern); AND • Is limited to a maximum of sixteen (16) sessions per Client offering a different group topic weekly, with Clients attending no more than one (1) session per week unless the Client is making up a missed session or is in crisis

B. General Service Requirements

1. Evidence-based or Evidence-informed services are mandatory.
 - a. CONTRACTOR shall provide Evidence-based practice(s) or Evidence-informed practice(s). CONTRACTOR must obtain approval of Evidence-based practice(s) or Evidence-informed practice(s) by CSD-PEU thirty (30) days after the Agreement start date. CONTRACTOR must purchase and provide CSD-PEU a physical copy of all program materials and curriculums sixty (60) days following the approval of Evidence-based practice(s) or Evidence-informed practice(s).
 - b. CONTRACTOR shall utilize Evidence-based or Evidence-informed curriculum for delivery of each service as specified below:
 Individual Counseling: Cognitive - Behavioral Therapy (CBT)
 Group Counseling: Cognitive - Behavioral Therapy (CBT)
 Individual Anger Management: Cognitive - Behavioral Therapy (CBT)
 Group Anger Management: Anger Management for Substance Abuse and Mental illness Therapy
 - c. CONTRACTOR shall supply copies of curriculums and/or materials for each proposed Evidence-based or Evidence-informed service listed above. Documentation of justification, literature citations, and/or references that demonstrate Evidence-based or Evidence-informed practices must also be provided.
 - d. Anger Management programs must be offered in an open-entry setting, allowing Clients to enter classes at any point in the 16-week format.
 - e. Group Counseling and Group Anger Management programs must include educational materials, group discussion, and homework assignments to ensure Client understanding of information and address Client behavioral and relational changes.
 - f. Services will be provided to both Clients referred by Riverside County DPSS and walk-ins.
 - g. Services will be accessible to parents and children/youth in need of the service.
2. CONTRACTOR must utilize the following intake process for DPSS referrals:
 - a. CONTRACTOR must Contact or Attempt to contact the Client within two (2) business days of receipt of referral.
 - i. A minimum of two (2) attempts on two (2) separate days must be made to Contact the Client. If the CONTRACTOR cannot Contact the Client, the CONTRACTOR must notify the referring social worker that Contact cannot be made. All attempts to Contact the Client must be documented in writing and maintained in the Client file.
 - ii. If after five (5) business days from initial referral, the CONTRACTOR has not made Contact with the Client, the CONTRACTOR must notify the referring social worker via DPSS program liaison. After this point, the CONTRACTOR will not be required to make additional attempts to Contact the Client.
3. Referrals for Clients are valid for one year from date of issue and must be submitted with appropriate billings for service.
 - a. For referred Clients receiving services that will cross fiscal years, CONTRACTOR must:

- i. Submit a copy of the original referral with the first billing for service in the new fiscal year;

OR

- ii. Request a new referral for services from the Client's social worker to submit with the first billing for service in the new fiscal year.
4. Clients must have an Intake/Assessment completed within ten (10) business days of receipt of the referral or walk-in. Service delays outside of ten (10) business days must be documented, along with the reason for the delay, and maintained in the Client's file.
 5. All Clients must begin services within thirty (30) calendar days of receipt of the CWS referral or walk-in. Service delays outside of thirty (30) calendar days must be documented, along with the reason for the delay, and maintained in the Client's file.

C. Administration

1. Assign staff to be liaison between the CONTRACTOR and DPSS.
2. CONTRACTOR shall participate in Joint Operation Meetings (JOMs) as requested by DPSS.
3. CONTRACTOR shall participate in Child Family Team Meeting (CFTM) sessions as requested and record all CFTM information in the Client's case file.
4. CONTRACTOR shall maintain a case file for each Client in a neat and organized manner that ensures Client confidentiality and HIPAA compliance. Case files must be available for DPSS to review upon request, and must contain:
 - a. Individual Files:
 - i. Client's name and date of birth
 - ii. Referral date and CWS referral number (if applicable)
 - iii. Basic demographic data
 - iv. Intake/Assessment and treatment plan
 - v. Intake date and date services started
 - vi. Dates of CFTMs attended (if applicable)
 - vii. Written agreement with Client (if applicable)
 - viii. Closing assessment and summary (if applicable)
 - b. Group Files:
 - i. Sign-in sheets for all sessions
 - ii. Topics/agendas from each session
5. Services must be offered during the day and during Evenings. Evening is defined as after 6pm.
6. Provide English and Spanish speaking sessions for all services.

D. Geographic Areas

1. CONTRACTOR shall provide services in Zone 2 (Mid & Southwest County), and Zone 3 (Desert & Eastern County). The Geographical Zone sheet which shows the breakdown of each Zone is attached as Schedule C.

B.8 EXTENSION APPROVAL PROCESS

- A. Request a new 2468 for services from the Client's social worker to submit with the first billing for service in the new fiscal year.

1. Referral Clients

- a. CONTRACTOR must obtain prior email approval by the COUNTY for any referral Client in need of an Extension beyond the maximum number of services listed for each service type.
 - i. At the time of request, the Client must be currently receiving the services that the Extension is requested for. If the Client has exceeded the maximum number of services for the service type and there is a lapse in services greater than sixty (60) calendar days, a "new Episode" request must be made for the Client as detailed in Section B. NEW EPISODE APPROVAL PROCESS.
- b. Approvals can only be given by a DPSS program supervisor or above.
 - i. Requests must include the following information:
 - a. Date of request
 - b. Client's first initial and last name
 - c. Reason for Extension
 - d. Number of sessions attended to date
 - e. Number of additional sessions requested
 - f. Social Worker requested
 - g. Formal DSM IV-R or V dx should not be included
 - ii. Requests must be submitted no more than once per week via encrypted e-mail;
 - a. Requests for Clients with urgent needs may be submitted outside of the stated timeframe and will be reviewed on a case-by-case basis.
 - iii. Files containing approval requests must be password protected; and,
 - iv. File passwords must be sent to DPSS in an email separate from the original request email.
 - v. DPSS will provide response to approval requests within two (2) business days of receipt.
- c. All approvals must be documented and submitted with appropriate billings for services. Copies of approvals must also be maintained in the Client's file.

2. Walk-in Clients

- CONTRACTOR must obtain prior email approval by the COUNTY for any walk-in Client in need of an Extension beyond the maximum counts listed for each service type.
- i. At the time of request, the Client must be currently receiving the services that the Extension is requested for. If the Client has exceeded the maximum counts for the service type and there is a lapse in services greater than sixty (60) calendar days, a "new Episode" request must be made for the Client as detailed in Section B. NEW EPISODE APPROVAL PROCESS.
 - ii. Requests must include the following information:
 - a. Date of request
 - b. Client's first initial and last name
 - c. Reason for Extension
 - d. Number of sessions attended to date
 - e. Number of additional sessions requested
 - f. Clinician requested
 - g. Formal DSM IV-R or V dx should not be included
3. All approvals must be documented and submitted with appropriate billings for services. Copies of approvals must also be maintained in the Client's file.

B. NEW EPISODE APPROVAL PROCESS

1. Referral Clients

- a. If CONTRACTOR receives a new 2468 referral for a referral Client at any time within the same contract term (July 1 – June 30), the Client is considered as a new Episode and maximum counts for referral services will start back at (1).
 - i. The CONTRACTOR can request a new 2468 referral from the Client's social worker for any referral Client in need of additional services that has 1) exceeded their maximum counts for the service; and, 2) surpassed the lapse period for Extension requests.
- b. New 2468 referrals must be submitted with appropriate billings for services. Copies of new 2468 referrals must also be maintained in the Client's file.

2. Walk-in Clients

- a. CONTRACTOR must obtain prior email approval by the COUNTY for any walk-in Client in need of additional services that has 1) exceeded their maximum number of services allowed for the service; and/or, 2) surpassed the lapse period for Extension requests. If approved, the Client should be processed as a new Episode and maximum counts for the approved services will start back at (1).
- b. Approvals can only be given by a DPSS program supervisor or above.
 - i. Requests must include the following information:
 - a. Date of request
 - b. Client's first initial and last name
 - c. Reason for Extension
 - d. Number of sessions attended to date
 - e. Number of additional sessions requested
 - f. Clinician requested
 - g. Formal DSM IV-R or V dx should not be included
 - ii. Requests must be submitted no more than once per week via encrypted e-mail;
 - a. Requests for Clients with urgent needs may be submitted outside of the stated timeframe and will be reviewed on a case-by-case basis.
 - iii. Files containing approval requests must be password protected; and,
 - iv. File passwords must be sent to DPSS in an email separate from the original request email.
 - v. DPSS will provide response to approval requests within two (2) business days of receipt.
- c. All approvals must be documented and submitted with appropriate billings for services. Copies of approvals must also be maintained in the Client's file.

B.9 EVALUATION and REPORTING

CONTRACTOR shall complete the following Evaluation and Reporting Requirements:

A. Evaluation Requirements

1. CONTRACTOR is responsible for properly administering and assisting all program Clients to complete the evaluation tool(s) with guidance from DPSS-CSD PEU. The CONTRACTOR shall submit all Client's Treatment Plan Form, GSE, WAKS, NAS-PI, Provider Form, DPSS-CSD Client Intake Forms, DPSS-CSD Client Exit Forms to the Children's Services Division Program Development Region at PDRreports@rivco.org by the 20th of the month following services. The format and method in which the information will be submitted to the Program Evaluation Unit will be determined after the

contract has been awarded. CONTRACTOR must have access to and, at minimum, intermediate familiarity with Microsoft Excel and Microsoft Access applications.

2. All program and assessment materials, such as completed evaluation tools and data, are the sole property of DPSS. Materials that are damaged or lost must be replaced by the CONTRACTOR.

B. Monthly Reports

1. CONTRACTOR must submit monthly reports to the Children's Services Program Development Region at PDRreports@rivco.org no later than the 20th calendar day of the month following the month in which services were delivered. Reports must include total unduplicated counts of the following and reported by region:

Counseling

- a. Names of active counseling Clients along with Client ID number for each CWS Client
- b. Number of new referrals received during the month
- c. Number of new walk-ins during the month
- d. Number of Clients to complete individual/conjoint/family counseling during the month
- e. Number of Clients to complete group counseling during the month
- f. Number of Clients to drop out of individual/conjoint/family counseling during the month
- g. Number of Clients to drop out of group counseling during the month

Anger Management

- a. Names of active Anger Management Clients during the month along with Client ID number
- b. Number of Participants in each Anger Management class held during the month
- c. Number of new referrals received during the month
- d. Number of new walk-ins during the month
- e. Number of Clients to complete individual Anger Management during the month
- f. Number of Clients to complete group Anger Management during the month
- g. Number of Clients to drop out of individual Anger Management during the month
- h. Number of Clients to drop out of group Anger Management during the month

C. Quarterly Reports

1. CONTRACTOR must submit quarterly reports to the Development Region at PDRreports@rivco.org no later than the 20th calendar day of the month following the quarter in which services were delivered. Reports must include total unduplicated counts of the following and reported by region:

Counseling

- a. Number of new referrals received for the quarter; counts to be sorted by Region
- b. Total number of active counseling Clients during the quarter
- c. Number of Clients to complete individual/conjoint/ group counseling during the quarter
- d. Number of Clients to drop out individual/conjoint/ group counseling during the quarter

Anger Management

- a. Number of new referrals received for the quarter counts to be sorted by Region
- b. Total number of active Anger Management Clients during the quarter

- c. Number of Clients to complete Anger Management group during the quarter
- d. Number of Clients to drop out Anger Management group during the quarter

D. Other Reports

CONTRACTOR shall return phone calls to social workers and DPSS staff within one (1) business day and will provide written progress reports when requested by the assigned social worker and/or assigned social worker's supervisor within three (3) business days. Copies of progress reports must be maintained in individual Client's files.

Schedule C – Unit of Service Budget

Unit of Service	Supporting Documentation	Zone	Billing Cost per Unit of Service			
<p>Individual/Conjoint/Family Counseling:</p> <p>One (1) unit of individual/conjoint/family counseling sessions must include ALL of the following:</p> <ul style="list-style-type: none"> ○ Be a minimum of fifty (50) minutes of face-to-face contact between the Licensed Clinical Therapist or Registered Intern and one client. <i>Conjoint and Family Counseling must include a Licensed Clinical Therapist/Registered Intern, one client, and at least one (1) family member; AND</i> ○ Is limited to a maximum of sixteen (16) sessions per client, with clients attending no more than one (1) session per week unless the client is making up a missed session or is in crisis. 	<ul style="list-style-type: none"> ● Client name; ● Date(s) of service; ● Beginning and ending time of session; ● Licensed Clinical Therapist name; 					
		2	\$77.61			
		3	\$77.61			
<p>Group Counseling:</p> <p>One (1) unit of group counseling must include ALL of the following:</p> <ul style="list-style-type: none"> ○ Be a minimum of ninety (90) minutes of face-to-face contact between the Licensed Clinical Therapist or Registered Intern and a group of clients; AND ○ Group client ratio is a minimum of six (6) clients, and a maximum of fifteen (15) clients to one (1) Clinical Therapist/Registered Intern; AND ○ Groups exceeding fifteen (15) clients shall have a group facilitator (Licensed Clinical Therapist/Registered Intern) and co-facilitator (Licensed Clinical Therapist/Registered Intern. Groups with less than six (6) clients will be prorated; AND ○ Is limited to a maximum of sixteen (16) sessions per client, with clients attending no more than one (1) session per week unless the client is making up a missed session or is in crisis. 	<ul style="list-style-type: none"> ● Client name ; ● Date(s) of service; ● Beginning and ending time of session; ● Facilitator name; ● Indicate if client was referred by the County or was a self-referral (walk-in). ● If client was referred by the County a copy of initial referral for services is required. CWS funding requires the referral form for payment. 					
		2	# of Clients in group	Prorated Group Amount		
			6+	\$116.41		
			5	\$97.01		
			4	\$77.61		
			3	\$58.21		
			2	\$38.80		
		3	# of Clients in group	Prorated Group Amount		
			6+	\$116.41		
			5	\$97.01		
			4	\$77.61		
			3	\$58.21		
			2	\$38.80		
1	\$19.40					

Unit of Service	Supporting Documentation	Zone	Billing Cost per Unit of Service			
<p>Individual Anger Management:</p> <p>One (1) unit of individual anger management must include ALL of the following:</p> <ul style="list-style-type: none"> ○ Be a minimum of fifty (50) minutes of face-to-face contact between the Licensed Clinical Therapist or Registered Intern and one client; AND ○ Is limited to a maximum of sixteen (16) sessions per client, with clients attending no more than one (1) session per week unless the client is making up a missed session or is in crisis. 	<ul style="list-style-type: none"> ● Client name; ● Date(s) of service; ● Beginning and ending time of session; ● Licensed Clinical Therapist name; 					
		2	\$77.61			
		3	\$77.61			
<p>Group Anger Management:</p> <p>One (1) unit of group anger management must include ALL of the following:</p> <ul style="list-style-type: none"> ○ Be a minimum of ninety (90) minutes of face-to-face contact between the Licensed Clinical Therapist or Registered Intern and a group of clients with an additional thirty (30) minutes for case management and administrative support; AND ○ Group client ratio is a minimum of six (6) clients, and a maximum of fifteen (15) clients to one (1) Clinical Therapist/Registered Intern; AND ○ Groups exceeding fifteen (15) clients shall have a group facilitator (Licensed Clinical Therapist/Registered Intern) and co-facilitator (Licensed Clinical Therapist/Registered Intern). <p>Is limited to a maximum of sixteen (16) sessions per client offering a different group topic weekly, with clients attending no more than one (1) session per week unless the client is making up a missed session or is in crisis</p>	<ul style="list-style-type: none"> ● Client name; ● Date(s) of service; ● Beginning and ending time of session; ● Facilitator name; and, ● Indicate if client was referred by the County or was a self-referral (walk-in). ● If client was referred by the County a copy of initial referral for services is required. CWS funding requires the referral form for payment. 					
		2	# of Clients in group	Prorated Group Amount		
			6+	\$116.41		
			5	\$97.01		
			4	\$77.61		
			3	\$58.21		
			2	\$38.80		
		3	# of Clients in group	Prorated Group Amount		
			6+	\$116.41		
			5	\$97.01		
			4	\$77.61		
			3	\$58.21		
			2	\$38.80		
1	\$19.40					
<ul style="list-style-type: none"> ● Copy of client sign-in sheet for each group session. ● Documentation of appropriate approval for clients receiving permission for extensions or new episodes. 						

Schedule D – Service by Geographical Zone

ZONE 2 Mid & Southwest County	
City	Zip Code
Anza*	92539
Banning*	92220
Beaumont/ Cherry Valley	92223
Cabazon*	92230
Calimesa	92320
Hemet*	92543
Hemet	92545
Hemet/Valle Vista*	92544
Idyllwild	92549
Menifee/Sun City	92584
Mountain Center	92561
Murrieta	92562
Murrieta	92563
San Jacinto	92581
San Jacinto	92582
San Jacinto/ Gilman Springs*	92583
Temecula	92590
Temecula	92591
Temecula	92592
Temecula	92593
Winchester	92596

ZONE 3 Desert & Eastern County	
City	Zip Code
Cathedral City	92234
Cathedral City	92235
Coachella	92236
Desert Center/ Eagle Mountain	92239
Desert Hot Springs*	92240
Indian Wells	92210
Indio	92201
Indio	92202
Indio	92203
Indio Hills/DHS/ Sky Valley	92241
La Quinta	92253
Mecca/ North Shore	92254
Midland	92255
Palm Desert	92211
Palm Desert	92260
Palm Desert	92261
Palm Springs	92258
Palm Springs	92262
Palm Springs	92263
Palm Springs	92264
Rancho Mirage	92270
Ripley	92272
Thermal/Oasis/ Salton Sea	92274
Thousand Palms	92276
Whitewater	92282

* Zip codes indicated with an asterisk have been identified as having the highest incidence of child abuse allegations.

COUNSELING_20180321_ETPre_V5-2

COUNTY OF RIVERSIDE

DPSS-CSD-PEU



COUNSELING PROGRAM EVALUATION TOOLS PRE-TEST

Name of Community Based Organization or Provider

Client Name: _____

Department of Public Social Services-Children's Services Division
Program Evaluation Unit
10281 Kidd Street (2nd Floor)
Riverside, California 92503

COUNSELING_20180321_ETPre_V5-2

Provider Form

FOR CBO/PROVIDER USE ONLY

Client Name: _____

Client Primary Address: _____
Street Address City State Zip Code

Date of Pre-Test Assessment: _____

Provider Name: _____

Service Type (i.e., individual counseling, parenting education, mentoring, substance abuse services). List all that apply:

Provider Client ID #: _____

CWS/CMS Client ID #: _____

Client Date of Birth: _____

Service Delivery Location: Client home Other (specify): _____

SW Referral Region (i.e., Desert, Metro): _____

Please mark with a in the box.

1. Initial referral date to the program: _____
 [This is the date that the Service Provider receives the referral]

2. Reason for requested services or referral reason to the program (please be detailed):

3. Primary referral source (or, how did you hear about us), select one only:

<input type="checkbox"/> 1 Adoption Assistance Program Eligibility	<input type="checkbox"/> 8 Medicaid/Medi-Cal
<input type="checkbox"/> 2 CBO (Community-Based Organization, including 2-1-1)	<input type="checkbox"/> 9 Private Adoption Agency
<input type="checkbox"/> 3 DMH (Department of Mental Health)	<input type="checkbox"/> 10 School
<input type="checkbox"/> 4 DOP (Department of Probation)	<input type="checkbox"/> 11 Self-Referral
<input type="checkbox"/> 5 DPSS Children's Services	<input type="checkbox"/> 12 State Adoptions Office
<input type="checkbox"/> 6 DPSS Other Services	<input type="checkbox"/> 13 WIC
<input type="checkbox"/> 7 DR (Differential Response)	<input type="checkbox"/> 14 Other (specify): _____

CBO/Provider comments/feedback (i.e., difficulties administering the evaluation tools, client was having difficulties understanding a question in the evaluation tools, changes to the protocol, or length of time to complete evaluation tools, etc.):

Instructions:

- The CBO/Provider is responsible for implementing all evaluation tools. Please be available to sit down with the client to proctor and verify that all the evaluation tool questions are properly completed.
- The CBO/Provider is responsible for overseeing that the client has completed his/her pre-test on their specific intake date into the program. Administration changes must be reported in the comments/feedback section.
- For more instructions, please refer to the "Evaluation Tools Procedures."

Print Staff Name _____

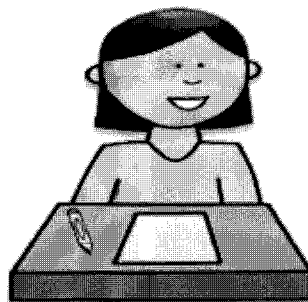
Staff Signature: _____ Date: _____

Client Survey Instructions

Hello, we have a survey for you to take! The survey will ask questions about you, your experiences, your family, and about the program!

1. Please read each set of instructions carefully before you begin answering the questions in each section.
2. Please read each item and choose the answer that best describes you.
3. Please try to be open and honest with how you answer. Your responses will remain confidential.
4. This is not a test and is only a survey. Please just say what you know and how you really feel.
5. Please turn your cell phone on silent and close all other browsers on the computer.
6. Please ask the staff, clinician, or social services worker questions if you need help.
7. Some of the questions may seem similar to each other. Please try to answer every question even if you feel like you have answered another question that is like it.
8. We want your honest opinion and we want to learn from your experiences. The survey will not affect your program needs and services. Your opinion will be used to improve the program.
9. Please double check each response.

Do you have any questions? If not, let's begin the survey.



12. Have you or your child received public assistance within the past 6 months?

Examples of public assistance include TANF or Welfare, Medi-Cal, Medicaid, Food stamps, Social Security benefits, Unemployment Insurance benefits, State Children's Health Insurance Program, WIC, and government subsidized child care.

- 1 Yes 2 No

13. What kind of public assistance has the participant and/or child received within the past 6 months? (Check all that apply.)

<input type="checkbox"/> 1 CalWORKS/Welfare	<input type="checkbox"/> 8 SSI
<input type="checkbox"/> 2 TANF	<input type="checkbox"/> 9 AAP Monies
<input type="checkbox"/> 3 General Assistance	<input type="checkbox"/> 10 Unemployment Insurance Benefits
<input type="checkbox"/> 4 Disability Benefits	<input type="checkbox"/> 11 State Children's Health Insurance Program (SCHIP)
<input type="checkbox"/> 5 Medi-Cal/Medicare/Medicaid – participant	<input type="checkbox"/> 12 WIC
<input type="checkbox"/> 6 Medi-Cal/Medicare/Medicaid – child	<input type="checkbox"/> 13 Government Subsidized Child Care
<input type="checkbox"/> 7 Food Stamps	<input type="checkbox"/> 14 Other (specify) _____

14. What is your total annual household income?

<input type="checkbox"/> 1 Less than \$10,000	<input type="checkbox"/> 13 \$65,000-\$69,999
<input type="checkbox"/> 2 \$10,000-\$14,999	<input type="checkbox"/> 14 \$70,000-\$74,999
<input type="checkbox"/> 3 \$15,000-\$19,999	<input type="checkbox"/> 15 \$75,000-\$79,999
<input type="checkbox"/> 4 \$20,000-\$24,999	<input type="checkbox"/> 16 \$80,000-\$84,999
<input type="checkbox"/> 5 \$25,000-\$29,999	<input type="checkbox"/> 17 \$85,000-\$89,999
<input type="checkbox"/> 6 \$30,000-\$34,999	<input type="checkbox"/> 18 \$90,000-\$94,999
<input type="checkbox"/> 7 \$35,000-\$39,999	<input type="checkbox"/> 19 \$95,000-\$99,999
<input type="checkbox"/> 8 \$40,000-\$44,999	<input type="checkbox"/> 20 \$100,000-\$104,999
<input type="checkbox"/> 9 \$45,000-\$49,999	<input type="checkbox"/> 21 \$105,000-\$109,999
<input type="checkbox"/> 10 \$50,000-\$54,999	<input type="checkbox"/> 22 \$110,000-\$114,999
<input type="checkbox"/> 11 \$55,000-\$59,999	<input type="checkbox"/> 23 \$115,000-\$119,999
<input type="checkbox"/> 12 \$60,000-\$64,999	<input type="checkbox"/> 24 \$120,000 or more

15. Number of children under the age of 18 in your household? _____

16. What is your relationship to the children in your household?

<input type="checkbox"/> 1 Biological Parent	<input type="checkbox"/> 5 Grandparent
<input type="checkbox"/> 2 Adoptive Parent	<input type="checkbox"/> 6 Relative
<input type="checkbox"/> 3 Step Parent	<input type="checkbox"/> 7 Non-Related Caregiver
<input type="checkbox"/> 4 Foster Parent	

17. What type of living/custody arrangement do you have with your child (please check only one)?

<input type="checkbox"/> 1 My spouse and child both live in the same residence as I do.	<input type="checkbox"/> 4 I have visitation rights (child lives with the other parent most of the time).
<input type="checkbox"/> 2 I have joint custody (child lives with both parents)	<input type="checkbox"/> 5 I have bird's nest custody (child lives in the same residence at all times, the parents move in and out)
<input type="checkbox"/> 3 I have sole custody (child lives with me most of the time).	<input type="checkbox"/> 6 Other _____

Client Name: _____ Client Date of Birth: _____

General Self-efficacy Scale (GSE)

Schwarzer R., and Jerusalem M. (1978)

Please mark with an in the box to rate that best describes how you feel at the moment.

Statements	Not at all true	Hardly true	Moderately true	Exactly true
1. I can always manage to solve difficult problems if I try hard enough	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
2. If someone opposes me, I can find the means and ways to get what I want.	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
3. It is easy for me to stick to my aims and accomplish my goals.	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
4. I am confident that I could deal efficiently with unexpected events.	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
5. Thanks to my resourcefulness, I know how to handle unforeseen situations.	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
6. I can solve most problems if I invest the necessary effort.	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
7. I can remain calm when facing difficulties because I can rely on my coping abilities.	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
8. When I am confronted with a problem, I can usually find several solutions.	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
9. If I am in trouble, I can usually think of a solution	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true
10. I can usually handle whatever comes my way.	<input type="checkbox"/> 1Not at all true	<input type="checkbox"/> 2Hardly true	<input type="checkbox"/> 3Moderately true	<input type="checkbox"/> 4Exactly true



Client Name: _____ Client Date of Birth: _____

COUNSELING_20180321_ETPost_V5-2

COUNTY OF RIVERSIDE

DPSS-CSD-PEU



COUNSELING PROGRAM EVALUATION TOOLS POST-TEST

Name of Community Based Organization or Provider

Client Name: _____

Department of Public Social Services-Children's Services Division
Program Evaluation Unit
10281 Kidd Street (2nd Floor)
Riverside, California 92503

COUNSELING_20180321_ETPost_V5-2

Provider Form

FOR CBO/PROVIDER USE ONLY

Client Name: _____

Client Primary Address: _____
Street Address City State Zip Code

Date of Post-Test Assessment: _____

Provider Name: _____

Service Type (i.e., individual counseling, parenting education, mentoring, substance abuse services). List all that apply:

Provider Client ID #: _____

CWS/CMS Client ID #: _____ - _____ - _____

Client Date of Birth: _____

Service Delivery Location: Client home Other (specify): _____

SW Referral Region (i.e., Desert, Metro): _____

Please mark with a in the box.

1. Exit date from the service: _____

Why did the client exit the service?

- 1 Client completed services
- 2 Client no longer feels the need to continue services
- 3 Client is dissatisfied with the services provided
- 4 Client is moving
- 5 Loss of contact with the client
- 6 CBO/Provider or DPSS referred client to another service – Please explain: _____
- 7 CBO/Provider released client from the service – Please explain: _____
- 8 Death
- 9 Incarceration
- 10 Other – Please explain: _____

CBO/Provider comments/feedback (i.e., difficulties administering the evaluation tools, client was having difficulties understanding a question in the evaluation tools, changes to the protocol, or length of time to complete evaluation tools etc.):

Instructions:

- The CBO/Provider is responsible for implementing all evaluation tools. Please be available to sit down with the client to proctor and verify that all the evaluation tool questions are properly completed.
- The CBO/Provider is responsible for overseeing that the clients complete his/her post-test on their specific exit date from the program. Administration changes must be reported in the comments/feedback section.
- For more instructions, please refer to the "Evaluation Tool Procedures."

Print Staff Name _____

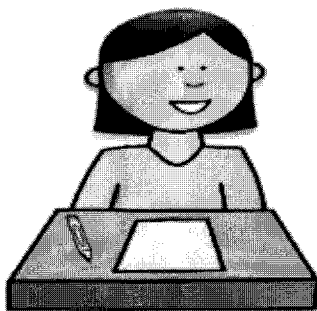
Staff Signature: _____ Date: _____

Client Survey Instructions

Hello, we have a survey for you to take! The survey will ask questions about you, your experiences, your family, and about the program!

1. Please read each set of instructions carefully before you begin answering the questions in each section.
2. Please read each item and choose the answer that best describes you.
3. Please try to be open and honest with how you answer. Your responses will remain confidential.
4. This is not a test and is only a survey. Please just say what you know and how you really feel.
5. Please turn your cell phone on silent and close all other browsers on the computer.
6. Please ask the staff, clinician, or social services worker questions if you need help.
7. Some of the questions may seem similar to each other. Please try to answer every question even if you feel like you have answered another question that is like it.
8. We want your honest opinion and we want to learn from your experiences. The survey will not affect your program needs and services. Your opinion will be used to improve the program.
9. Please double check each response.

Do you have any questions? If not, let's begin the survey.



12. Have you or your child received public assistance within the past 6 months?

Examples of public assistance include TANF or Welfare, Medi-Cal, Medicaid, Food stamps, Social Security benefits, Unemployment Insurance benefits, State Children's Health Insurance Program, WIC, and government subsidized child care.

- ₁ Yes ₂ No

13. What kind of public assistance has the participant and/or child received within the past 6 months? (Check all that apply.)

<input type="checkbox"/> ₁ CalWORKS/Welfare	<input type="checkbox"/> ₈ SSI
<input type="checkbox"/> ₂ TANF	<input type="checkbox"/> ₉ AAP Monies
<input type="checkbox"/> ₃ General Assistance	<input type="checkbox"/> ₁₀ Unemployment Insurance Benefits
<input type="checkbox"/> ₄ Disability Benefits	<input type="checkbox"/> ₁₁ State Children's Health Insurance Program (SCHIP)
<input type="checkbox"/> ₅ Medi-Cal/Medicare/Medicaid – participant	<input type="checkbox"/> ₁₂ WIC
<input type="checkbox"/> ₆ Medi-Cal/Medicare/Medicaid – child	<input type="checkbox"/> ₁₃ Government Subsidized Child Care
<input type="checkbox"/> ₇ Food Stamps	<input type="checkbox"/> ₁₄ Other (specify) _____

14. What is your total annual household income?

<input type="checkbox"/> ₁ Less than \$10,000	<input type="checkbox"/> ₁₃ \$65,000-\$69,999
<input type="checkbox"/> ₂ \$10,000-\$14,999	<input type="checkbox"/> ₁₄ \$70,000-\$74,999
<input type="checkbox"/> ₃ \$15,000-\$19,999	<input type="checkbox"/> ₁₅ \$75,000-\$79,999
<input type="checkbox"/> ₄ \$20,000-\$24,999	<input type="checkbox"/> ₁₆ \$80,000-\$84,999
<input type="checkbox"/> ₅ \$25,000-\$29,999	<input type="checkbox"/> ₁₇ \$85,000-\$89,999
<input type="checkbox"/> ₆ \$30,000-\$34,999	<input type="checkbox"/> ₁₈ \$90,000-\$94,999
<input type="checkbox"/> ₇ \$35,000-\$39,999	<input type="checkbox"/> ₁₉ \$95,000-\$99,999
<input type="checkbox"/> ₈ \$40,000-\$44,999	<input type="checkbox"/> ₂₀ \$100,000-\$104,999
<input type="checkbox"/> ₉ \$45,000-\$49,999	<input type="checkbox"/> ₂₁ \$105,000-\$109,999
<input type="checkbox"/> ₁₀ \$50,000-\$54,999	<input type="checkbox"/> ₂₂ \$110,000-\$114,999
<input type="checkbox"/> ₁₁ \$55,000-\$59,999	<input type="checkbox"/> ₂₃ \$115,000-\$119,999
<input type="checkbox"/> ₁₂ \$60,000-\$64,999	<input type="checkbox"/> ₂₄ \$120,000 or more

15. Number of children under the age of 18 in your household? _____

16. What is your relationship to the children in your household?

<input type="checkbox"/> ₁ Biological Parent	<input type="checkbox"/> ₅ Grandparent
<input type="checkbox"/> ₂ Adoptive Parent	<input type="checkbox"/> ₆ Relative
<input type="checkbox"/> ₃ Step Parent	<input type="checkbox"/> ₇ Non-Related Caregiver
<input type="checkbox"/> ₄ Foster Parent	

17. What type of living/custody arrangement do you have with your child (please check only one)?

<input type="checkbox"/> ₁ My spouse and child both live in the same residence as I do.	<input type="checkbox"/> ₄ I have visitation rights (child lives with the other parent most of the time).
<input type="checkbox"/> ₂ I have joint custody (child lives with both parents)	<input type="checkbox"/> ₅ I have bird's nest custody (child lives in the same residence at all times, the parents move in and out)
<input type="checkbox"/> ₃ I have sole custody (child lives with me most of the time).	<input type="checkbox"/> ₆ Other _____

Client Name: _____ Client Date of Birth: _____