SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA



ITEM: 3.16 (ID # 9683)

MEETING DATE:

Tuesday, December 17, 2019

FROM: ECONOMIC DEVELOPMENT AGENCY (EDA):

SUBJECT: ECONOMIC DEVELOPMENT AGENCY (EDA) / WORKFORCE DEVELOPMENT DIVISION: Prison to Employment Implementation Direct Services and Supportive Services/Earn and Learn Grants Award from the California Workforce Development Board; All Districts. [\$3,210,891- 100% State General Funds]; CEQA Exempt (Clerk of the Board to File Notice of Exemption)

RECOMMENDED MOTION: That the Board of Supervisors:

- 1. Find that the project is exempt from California Environmental Quality Act (CEQA) pursuant to State CEQA Guidelines Section 15061(b)(3);
- 2. Accept the two awards of and approve the two Agreements for the Prison to Employment Implementation and Direct Services Grant, and the Supportive Services and Earn and Learn Grant (collectively, Implementation Grants) in the total aggregate amount of \$3,210,891 on behalf of the Inland Empire Regional Planning Unit, comprised of the Riverside County and San Bernardino County Workforce Development Boards;

ACTION: Policy

MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Perez, seconded by Supervisor Hewitt and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes:

Jeffries, Spiegel, Washington, Perez and Hewitt

Navs:

None

Absent:

None

Date:

December 17, 2019

XC:

EDA

Kecia R. Harper

Clerk of the Boar

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- 3. Authorize the Assistant County Executive Officer/ECD, or designee, to execute the attached Implementation Grant Agreements Nos. MO113417 and MO113457 between the California Workforce Development Board and the Riverside County Economic Development Agency, Workforce Development Division (Grant Agreements) in the total aggregate amount of \$3,210,891 for Fiscal Years 2019/2020, 2020/2021, and 2021/2022;
- 4. Authorize the Assistant County Executive Officer/ECD, or designee, to execute Sub-Recipient Agreements with the County of San Bernardino Workforce Development Division, Inland Empire United Way, Starting Over, The Prison Education Project/The Reintegration Academy, Center for Employment Opportunities, Operation New Hope, Goodwill of Southern California, and San Bernardino Community College District, subject to approval by County Counsel;
- 5. Authorize the Assistant County Executive Officer/ECD, or designee, to take all steps necessary to implement the Implementation Grants and the Grant Agreements including, but not limited to, signing subsequent essential and relevant documents, Memoranda of Understanding, and agreements, and executing any non-substantive amendments, subject to approval by County Counsel, and apply for and accept any additional funds granted by the California Workforce Development Board under the Prison to Employment Initiative, subject to Board approval of corresponding award agreements; and
- 6. Direct the Clerk of the Board to file the Notice of Exemption with the County Clerk within five working days.

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:	Ongoing Cost
COST	\$75,697	\$1,567,597	\$3,210,891	\$0
NET COUNTY COST	\$0	\$0	\$0	\$0
SOURCE OF FUNDS:	100% State Gen	eral Funds	Budget Adjust	ment No
			For Fiscal Yea	r: 2019/2020,
			2020/2021, an	rd 2021/2022

C.E.O. RECOMMENDATION: [CEO Use]

BACKGROUND:

Summary

In 2011, Governor Brown signed AB 109 to reduce the volume of inmates convicted of low-level crimes in state prisons, placing more of these individuals under the purview of county probation departments. As the impact of this legislation has taken hold, increasing attention

SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

has been placed on recidivism. Studies suggest a link between an individual's ability to find a job after release from prison and a reduced risk of returning to prison.

In July 2018, the California Legislature approved SB 856, which included allocation of state general funds to resource a Prison to Employment Initiative (Initiative). The California Workforce Development Board (CWDB) subsequently announced a series of related grants to be carried out over three State budget years. Initiative funding will be distributed via three different grant types: (1) Planning Grants; (2) Implementation and Direct Services Grants; and (3) Supportive Services and Earn and Learn Grants.

The CWDB distributes funding for these grants to Regional Planning Units. The Riverside County and San Bernardino County Workforce Development Boards comprise the Inland Empire Regional Planning Unit (IERPU). On January 8, 2019, the County of Riverside, on behalf of the IERPU, received \$190,000 for a Planning Grant. These funds are currently being used to develop plans and strategies for addressing employment-related needs of justice involved individuals throughout the two County region.

On November 15, 2018, CWDB issued a Request for Applications for the latter two types of Grants under the Initiative. Implementation and Direct Services Grants and Supportive Services and Earn and Learn Grants (collectively, Implementation Grants) allow grantees to implement strategies designed under the Planning Grant. Specifically, the Implementation Grants aim to connect formerly incarcerated and justice-involved individuals to transitional and permanent employment.

On April 19, 2019, CWDB conditionally awarded a total of \$3,210,891 in Implementation Grants to the County of Riverside, on behalf of the IERPU. Of this total amount, \$1,065,622 is for an Implementation and Direct Services Grant and \$2,145,269 is for a Supportive Services and Earn and Learn Grant. Staff recommend that the Board of Supervisors accept the grant award and approve the Grants Agreements between the County and the CWDB in the total aggregate amount of \$3,210,891 with a term from September 1, 2019 to March 31, 2022. The Grant Agreements, attached hereto, have been approved as to form by County Counsel.

As a condition of the award, the IERPU is required to identify service providers to assist with implementing grant activities. The Riverside County Economic Development Agency released a competitive Request for Proposals that resulted in 13 submissions. The table below identifies the seven respondents that meet program requirements and are recommended for funding:

Organization	Recommended

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	Funding
	Amount
Operation New Hope	\$114,660
Inland Empire United Way	\$44,000
Starting Over	\$198,000
Goodwill of Southern California	\$289,980
The Prison Education Project/The Reintegration Academy	\$180,000
Center for Employment Opportunities	\$389,320
San Bernardino Community College District	\$343,980
Total	\$1,559,940

Staff recommends that the Board approve the above listed agencies as sub-recipients of Implementation Grants funding and authorize the Assistant County Executive Officer/ECD to execute sub-recipient agreements with each agency, subject to County Counsel approval as to form.

Because CWDB has awarded Implementation Grants funding to Riverside County on behalf of the IERPU, it is necessary to memorialize the transfer of funds to San Bernardino County Workforce Development Division for grant activities under their purview. Staff recommends that the Board authorize the Assistant County Executive Officer/ECD to execute a subrecipient agreement with San Bernardino County, subject to County Counsel approval as to form.

In future years, the CWDB may release additional funds under the Initiative. Staff recommends that the Board authorize the Assistant County Executive Officer/ECD, to receive and administer these funds, subject to County Counsel approval of any corresponding grant agreements.

Pursuant to the California Environmental Quality Act (CEQA), the funded award was reviewed and determined to be categorically exempt from CEQA under State CEQA Guidelines Section 15061(b) (3), General Rule or "Common Sense" exemption. There is no possibility that the proposed program may have a significant effect on the environment, as grant activities will have purely financial and social-welfare benefits and will not lead to any direct or reasonably indirect physical environmental impacts. A Notice of Exemption will be filed by staff with the County Clerk within 5 days of the approval of the award.

Impact on Residents and Businesses

Implementing activities under the Initiative will strengthen linkages between the workforce and corrections systems. In turn, processes by which formerly incarcerated and justice-

SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

involved individuals re-enter society and the labor force will be improved, advancing the overall goal of reducing recidivism.

Additional Fiscal Information

In fiscal years 2019/2020, 2020/2021 and 2021/2022 combined, there will be a total of \$3,210,891 in costs, all of which will be paid for with Implementation Grants funding derived from State General Funds. No budget adjustment is required. Current fiscal year estimated cost will be \$875,697 for 9 months of program implementation, the second fiscal year estimated cost will be \$1,167,597 for 12 months of program implementation, and the final fiscal year estimated cost will be \$1,167,597 for 12 months of program implementation.

<u>Attachments</u>

- M0113457 Implementation and Direct Services Grant Agreement
- M0113417 Supportive Services and Earn and Learn Grant Agreement
- California Certifications
- Notice of Exemption

Gregory J. Priamos, Director County Counsel 12/6/2

Dist. 1/15/2020 WX

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES PURCHASING AUTHORITY NUMBER (If Applicable) AGREEMENT NUMBER STANDARD AGREEMENT M0113457 EDD-7100 STD 213 (Rev. 03/2019) 1. This Agreement is entered into between the Contracting Agency and the Contractor named below: CONTRACTING AGENCY NAME California Workforce Development Board (CWDB) **CONTRACTOR NAME** Riverside County Economic Development Agency 2. The term of this Agreement is: START DATE September 1, 2019 or upon final approval THROUGH END DATE March 31, 2022 3. The maximum amount of this Agreement is: \$1,065,622.00 (One Million Sixty-Five Thousand Six Hundred Twenty-Two Dollars and No Cents) 4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement. **EXHIBITS** TITLE **PAGES** Exhibit A Scope of Work Attachment A-1 Workplan 10 Exhibit B **Budget Detail and Payment Provisions** 3 Attachment B-1 **Budget Summary** Attachment B-2 **Budget Narrative** 2 Exhibit C* General Terms and Conditions GTC 04/2017 Exhibit D Special Terms and Conditions **Exhibit E** Contractor's Response - CWDB Request for Application (RFA) No. 84049 31 Exhibit F** CWDB RFA No. 84049 **Items shown with a double asterisk (**), are hereby incorporated by reference and made part of this agreement as if attached hereto. The document can be viewed in the contract file M0113457. Items shown with an asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto. These documents can be viewed at https://www.dgs.ca.gov/OLS/Resources IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO. CONTRACTOR CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.) Riverside County Economic Development Agency **CONTRACTOR BUSINESS ADDRESS** CITY STATE ZIP 1325 Spruce Street Riverside CA 92507 PRINTED NAME OF PERSON SIGNING TITLE Carrie Harmon Director CONTRACTOR AUTHORIZED SIGNATURE DATE SIGNED

BY AMBUTE DHILLON DATE

12.17.19 3.16

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES AGREEMENT NUMBER PURCHASING AUTHORITY NUMBER (If Applicable) **STANDARD AGREEMENT** M01133 EDD-7100 STD 213 (Rev. 03/2019) STATE OF CALIFORNIA CONTRACTING AGENCY NAME California Workforce Development Board CONTRACTING AGENCY ADDRESS CITY STATE ZIP 800 Capitol Mall, Suite 1022, MIC 45 Sacramento CA 95814 PRINTED NAME OF PERSON SIGNING TITLE Laura Caputo Deputy Director CONTRACTING AGENCY AUTHORIZED SIGNATURE DATE SIGNED CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL EXEMPTION (If Applicable) SCM Vol. 1, 4.06

SCOPE OF WORK

1. This Agreement is entered into by and between the California Workforce Development Board, hereinafter referred to as CWDB, and the Riverside County Economic Development Agency, hereinafter referred to as the Contractor, for the purposes of advancing the CWDB's Strategic Plan goals, specifically the Prison to Employment Initiative. The goals and tasks are identified in Attachment A-1, Workplan, and further defined in Exhibit E, Contractor's Response to the CWDB Request for Application (RFA) No. 84049.

The Contractor will comply with the terms and conditions set forward in Exhibit A, Scope of Work, Attachment A-1, Workplan, Exhibit B, Budget Detail and Payment Provisions, Attachment B-1, Budget Summary, Attachment B-2, Budget Narrative, Exhibit C, General Terms and Conditions, Exhibit D, Special Terms and Conditions, Exhibit E, Contractor's Response to CWDB RFA No. 84049, and Exhibit F, CWDB RFA No. 84049, which, by this reference, are made part of the Agreement.

2. The project representatives during the term of this agreement will be:

State Agency

California Workforce Development Board

Attn: Cindy Harrington 800 Capitol Mall, Suite 1022 Sacramento, CA 95814

Phone: (916) 651-7526

E-Mail: Cindy.harrington@cwdb.ca.gov

Contractor

Riverside County Economic Development Agency

Attn: Carrie Harmon 1325 Spruce Street Riverside, CA 92507 Phone: (951) 955-0464

E-Mail: charmon@rivco.org

3. Changes to the contract representatives will not require an amendment to the contract.



Lead Applicant: Riverside County Economic Development Agency, Workforce Development Division

	Estimated Dates
Quarter 1 (July - Sept 2019)	
Finalize contract and exhibits with the state	By July 2019
Begin planning process for issuing RFP to service providers	By July 2019
Customer centered design process, to inform RFP requirements	August 2019
Determine data collection and reporting processes	August 2019
Issue RFP for service providers	August 2019
Conduct procurement process	August - September 2019
Make funding decisions	By September 30, 2019
Conduct recruitments for new staff case management/liaison positions	July - September 2019
P2E Council meeting	July - September 2019
Quarter 2 (Oct - Dec 2019)	
Contracting process	Oct-Dec 2019
P2E Council meeting	Oct-Dec 2019
Staff trainings (criminogenic assessment tools; Workin It Out)	Oct-Dec 2019
Resource Guide development (to be launched at kick off event)	Oct-Dec 2019
Planning for kick off event for community	Oct-Dec 2019
Quarter 3 (Jan - March 2020)	
Service provider contracts begin (2 year term). Direct services begin.	1/1/2020
Reentry liaisons connecting to businesses; job development	Jan-Mar 2020
Additional case managers work with FI customers and connect them to services	Jan-Mar 2020
P2E Council meeting	Jan-Mar 2020
Kick off event for community	January or February 2020
Quarter 4 (April - June 2020)	
Clients access direct/supportive services and earn and learn opportunties	April-June 2020
P2E Council meeting	April-June 2021
W	
Quarter 5 (July - Sept 2020)	
Clients access direct/supportive services and earn and learn opportunties	July - Sept 2020
P2E Council meeting	July - Sept 2021
Outsides C (Oct. Dec 2000)	
Quarter 6 (Oct - Dec 2020)	Oet Dec 2020
Clients access direct/supportive services and earn and learn opportunties	Oct - Dec 2020
Review contractor performance and make determination for 2021 funding	Oct - Dec 2020
P2E Council meeting Outstor 7 (Ion March 2021)	Oct - Dec 2021
Quarter 7 (Jan - March 2021)	Ion Mar 2024
Clients access direct/supportive services and earn and learn opportunties	Jan - Mar 2021
Explore resources for sustainability P2E Council meeting	Jan - Mar 2021 Jan - Mar 2022
- ZE Council intetting	Jan - Mar 2022

Clients access direct/supportive services and earn and learn opportunties	April - June 2021
P2E Council meeting	April - June 2021
Quarter 9 (July - Sept 2021)	
Clients access direct/supportive services and earn and learn opportunties	July - Sept 2021
Explore resources for sustainability	July - Sept 2021
P2E Council meeting	July - Sept 2022
Quarter 10 (Oct - Dec 2021)	
Clients access direct/supportive services and earn and learn opportunties	Oct - Dec 2021
Explore resources for sustainability	Oct - Dec 2021
P2E Council meeting	Oct - Dec 2022
Closing event show casing best practices learned over course of grant	Oct - Dec 2022
Quarter 11 (Jan - March 2022)	
Grant wrap up and final reporting	Jan - Mar 2022
Explore resources for sustainability	Jan - Mar 2022
P2E Council meeting	Jan - Mar 2023

Applicant Name	: Riverside County Ecor	Applicant Name: Riverside County Economic Development Agency, Workforce Development Division	elopment Divisio	u	
Organization Type	Name of Partner	Role and Responsibilities	Cash/In-Kind Match Amt (# Applicable)	Description of Fund Source (Cash (or) In-Kind)	Sustainability Partner (Y/N)
		Required Partners	ers		
Local	Riverside County Workforce Development Board	Fiscal lead for P2E effort. Coordination with SBC WDB for successful deployment of P2E funds. Staff at each AJCC/WDC to provide comprehensive case management services to eligible participants.		WIOA Adult and Dislocated Worker Formula Funds	Yes
Boards in Region	San Bernardino County Workforce Development Board	Coordination with RC WDB for successful deployment of P2E funds. Staff at each AJCC/WDC to provide comprehensive case management services to eligible participants.		WIOA Adult and Dislocated Worker Formula Funds	Yes
Department of	California State San Bernardino Reentry Initiative (CSRI)	Services for parolees include: DV intervention, anger management, substance abuse counseling, GED, employment services, transitional housing and parenting. Four locations - Victorville, San Bernardino, Moreno Valley and Indio.			
	Division of Adult Parole Operations	DAPO will ensure parolees (Justice Involved) are referred to and have relevant information available to progress through training, educational and job opportunities.			MOU
Community	211 United Way	Planning partner; referrals to community and health information 24 hours a day, 7-days a week and in many languages. This service has listed prison reentry as a priority concern and have assigned two reentry specialists to assist callers			

Prison to Employment Implementation Direct Services Grant and Supportive Services Earn and Learn Grant

Partner Roles Responsibilities

Yes (MOU with RC) WIOA and Non-WIOA Non-WIOA Non-WIOA Other Partners employment services, co-case management ready mixed concrete, and industrial mineral services. Current Forward Focus grantee to post-training intensive service management, Logistics/Transportation sector employment Personnel to provide recruitment, pre- and services (focus on justice involved youth) Employment opportunities for aggregate, operations. Member companies operate Employment and supportive services for provide transitional employment to FI. Planning partner; referral of clients to Planning partner; referral of clients to Planning partner; referral of clients to Youth program and training provider Construction sector employment Construction sector employment Construction sector employment about 500 plants in California. Job Corps partner nland Empire Job Corps Job Corps partner eligible clients opportunities opportunities opportunities opportunities services. Association (CALCIMA) Organizations / Center for Employment and Industrial Materials California Construction Desert Valley Builders Org / Other Non-Goodwill Industries of Operation New Hope Dept of Rehabilitation California Family Life Opportunities (CEO) Chugach Education Association (DVBA) Southern California EDD Local Wagner Building Industry Association (BIA) Balfour Beatty Services, Inc. Construction McLane Centers Peyser State Agency Faith Based Employers / Community Champions **Partners** Industry **Profits** Based

			-		
	Distribution Management Association	Distribution Management Employment opportunities Association	*		
The same of the same of					
	College of the Desert (COD)	Healthcare, culinary, welding and solar technology training. AJCC system partner			Yes (MOU with RC)
	Mt. San Jacinto Community College (MSJC)	Education & Training, AJCC system partner			Yes (MOU with RC)
	Riverside Community College District	Referrals, support for veterans, and training in logistics, pharmacy technician, solar photovoltaic, phlebotomy			Yes (MOU with RC)
	San Bernardino Community College District	Education & Training; AJCC system partner			
	Crafton Hills College	Education & Training; AJCC system partner			
	Chaffey College	Education & Training; AJCC system partner			
Education – Universities.	Norco College	Education & Training; AJCC system partner			
Colleges	Deputy Sector Navigators – Global Trade & Logistics - Advanced Transportation - Healthcare	Apprenticeships, career pathway initiatives, connection to industry			
	University of California, Riverside Extension	Training Provider for healthcare sector initiatives, Can customize training to meet industry need			
	Victor Valley College	Education & Training, AJCC system partner		14	

		Content expect norther	
	University of California, Riverside, Justice Prestley Center		
	Palo Verde College	Education & Training; AJCC system partner	
2	San Bernardino City School District	System Adult Ed Partner – San Bernardino County AJCC System MOU	
	Beaumont Unified School District	AJCC System MOU	
	Coachella Valley Unified School District	AJCC System Partner – Riverside County AJCC System MOU	7
	Corona-Norco Unified School District	AJCC System MOU	
	Jurupa Unified School District	AJCC System Partner – Riverside County AJCC System MOU	
	Lake Elsinore Unified	AJCC System Partner – Riverside County AJCC System MOU	
	School District	AJCC System Partner – Riverside County AJCC System MOU	
	Moreno Valley Unified	AJCC System MOU AJCC System MOU	
	School District	AJCC System Partner – Riverside County AJCC System MOU	
Education K-12		AJCC System Partner – Riverside County AJCC System MOU	
	Riverside County Office of Education	AJCC System MOU AJCC System MOU	
	Chaffey Adult School	AJCC System Partner – San Bernadino County AJCC System MOU	
	Chino Valley Adult School	AJCC System Partner ~ San Bernadino County AJCC System MOU	

	Apple Valley Adult School	AJCC System Partner – San Bernadino County AJCC System MOU
	ia Adult School	AJCC System Partner – San Bernadino County AJCC System MOU
	Fontana Adult School	AJCC System Partner – San Bernadino County AJCC System MOU
	Redlands Adult School	AJCC System Partner – San Bernadino County AJCC System MOU
	Yucaipa Adult School	AJCC System Partner – San Bernadino County AJCC System MOU
	Snowline Adult School	AJCC System Partner – San Bernadino County AJCC System MOU
	Colton, Redland, Yucaipa ROP	Training Provider for healthcare sector initiatives, advisory council partner, Local Partnership Agreement partner
	San Bernardino County Office of Education	AJCC System CTE Partner – San Bernardino County AJCC System MOU
	Alta Vista Innovation H.S. dba Learn4Life	North Western Education Assmt AJCC System Partner – Riverside County AJCC System MOU
	Alta Vista South Public Charter dba Learn4Life	AJCC System Partner – Riverside County AJCC System MOU
Other	El Sol Neighborhood Education Center	AJCC System and MOU Partner - Riverside and San Bernadino Counties
Institutions	Antelope Valley Learning Academy dba Learn4Life	AJCC System Partner – Riverside County AJCC System MOU
(e.g. NOr, OLE)	Diego Hills Central Public dba Learn4Life	AJCC System Partner – Riverside County AJCC System MOU
	Innovation High dba	AJCC System Partner – Riverside County AJCC System MOU
	Vista Norte Public Charter dba Learn4Life	AJCC System Partner – Riverside County AJCC System MOU
	Riverside County Probation Department	Planning partner; client referrals; coordination of service delivery

	Riverside County Sheriff Department	Riverside County Sheriff Planning partner; client referrals; Department coordination of service delivery	Yes (MOU with RC)
	San Bernardino County Probation Department	Planning partner; client referrals; coordination of service delivery	
	Riverside County Dept of Public Social Services	Planning partner; client referrals; coordination of service delivery	
	SB County Transitional Assistance	Planning partner; client referrals; coordination of service delivery	
	ithority of the liverside	AJCC System Partner – Riverside County AJCC System MOU Partner in working with those with barriers to employment	
Additional	Housing Authority of SB County	AJCC System Partner – SB County AJCC System MOU Partner in working with those with barriers to employment	
County Office(s)	Riverside County District Attorneys Office	Planning partner; client referrals; Riverside County District coordination of service delivery Attorneys Office Partner in working with those with barriers to employment	
	San Bernardino County Department of Behavioral Health	Behavioral health partner	24
	Riverside County Mental Health Department	Behavioral health partner	
	Riverside University Health System	Public Health Partner	
	SB County Department of Public Health	Public Health Partner	
	Riverside County Office on Aging	Older adult partner	
	County of Riverside Community Action Partnership	Community services block grant partner	

	nent	HVAC technician, green technology training		Yes (MOU with RC)
Training Providers not	GRID Alternatives	Solar technology learn and earn opportunities	Non-WIOA	
aiready	Health Staff Training	Healthcare training, Clinical Medical Assistant certification		
	RESCARE	Youth training provider		
	County of Riverside Economic Development Agency, Economic Development Division			
Economic Development Agencies	SB County Economic Development Agency Coachella Valley Economic Partnership (CVEP)	Match participants with employers to provide OJTs or direct placement		
Others: (list)	California Indian Manpoower Consortium, Native American Partner Inc.	Native American Partner		
The second		Total Cash/In-Kind Match	\$1,200,000	

Prison to Employment Implementation Direct Services Grant Form 4: Participant Plan

Applicant Riverside County Economic Development Agency, Workforce Development Division

	Q1 Apr - Jun	Q1 Q2 Q3 Apr - Jun Jul - Sep Oct - Dec	Oct - Dec	Q4 Jan - Mar	Q4 Q5 C c Jan - Mar Apr - Jun Juli-	Sep	Q7 Oct - Dec	Q8 Jan - Mar	Q7 Q8 Q9 Q10 Oct - Dec Jan - Mar Apr - June Jul - Sep (Q10 Jul - Sep	Q11 Q12 Oct - Dec Jan - Mar	Q12 Jan - Mar		
	2019	2019	2019	2020	2020	. 020	2020	2021	2021	2021	2021	2022	Total	
1. Participants to be Serve	0	0	101	30	20	0	09	09	09	20	30	0	400	new enrollments per quarter
2. Enrollment in Training	0	0	7	23	38	38	45	45	45	37	22	0	300	75% enrolled in training
3 Completion of Training	0	0	S	17	66	29	34	34	33	28	16	G	225	75% completion for those enrolled in training
4. Attained Industry-Valued Certificate, Credential or Degree	0	0	2	7	13	13	15	15	15	13	7	0	100	based on vocational training
5. Placement in Postsecondary Education	0	0	0	10	10	10	10	10	10	10	10	0	80	
6. Placement in State-Approved Apprenticeship	0	0	0	0	0	0	0	0	0	0	0	0	0	
														67% of those enrolled in training
														enter some form of employment
7. Placement in Industry Sector Employment													100	(Row 11 + 16)
Industry Type: Manufacturing	0	0	0	2	3	2	3	2	3	2	3	0	20	
Industry Type: Logistics/Transportation	0	0	0	2	3	2	3	2	3	2	3	0	20	
Industry Type: Construction/Trades	0	0	0	2	2	5	5	2	5	2	5	0	40	
Industry Type: Other	0	0	0	2	3	2	3	2	3	2	3	0	20	
8. Placement in Temporary/Other Employment	0	0	0	2	7	13	13	15	15	15	13	1 2	0	
9. Retention in Employment	0	0											140	70% of Row 11 + 16

Organization Type	Name of Partner	Role and Responsibilities	Cash/In-Kind Match Amt (If Applicable)	Description of Fund Source (Cash (or) In-Kind)	Sustainability Partner (Y/N)
		Required Partners	315		
Local	Riverside County Workforce Development Board	Fiscal lead for P2E effort. Coordination with SBC WDB for successful deployment of P2E funds. Staff at each AJCC/WDC to provide comprehensive case management services to eligible participants.		WIOA Adult and Dislocated Worker Formula Funds	Yes
Boards in Region	San Bernardino County Workforce Development Board	Coordination with RC WDB for successful deployment of P2E funds. Staff at each AJCC/WDC to provide comprehensive case management services to eligible participants.		WIOA Adult and Dislocated Worker Formula Funds	Yes
				3.	
Department of	California State San Bernardino Reentry Initiative (CSRI)	Services for parolees include: DV intervention, anger management, substance abuse counseling, GED, employment services, transitional housing and parenting. Four locations - Victorville, San Bernardino, Moreno Valley and Indio.			
	Division of Adult Parole Operations	DAPO will ensure parolees (Justice Involved) are referred to and have relevant information available to progress through training, educational and job opportunities.			MOU
					3.5
	211 United Way	Planning partner; referrals to community and health information 24 hours a day, 7-days a week and in many languages. This service has listed prison reentry as a priority concern and have assigned two reentry specialists to			

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Rased		Planning partner; referral of clients to) sə _A	Yes (MOU with RC)
Organizations / Faith Based	Center for Employment Opportunities (CEO)	services. Current Forward Focus grantee to provide transitional employment to FI.		7
Org / Other Non- Profits	Org / Other Non Goodwill Industries of Profits Southern California	Planning partner, referral of clients to services.		
	Operation New Hope	Planning partner; referral of clients to services (focus on justice involved youth).		
	California Family Life Centers	Youth program and training provider		
		Other Partners		
	EDD Local Wagner Peyser	Personnel to provide recruitment, pre- and post-training intensive service management, employment services, co-case management	WIOA and Non-WIOA	
State Agency	Dept of Rehabilitation	Employment and supportive services for eligible clients		
Partners	Chugach Education Services, Inc.	Job Corps partner		
	Inland Empire Job Corps Job Corp	Job Corps partner		
	Balfour Beatty Construction	Construction sector employment opportunities	Non-WIOA	
	McLane	Logistics/Transportation sector employment opportunities	Non-WIOA	
	Building Industry Association (BIA)	Construction sector employment opportunities		i
Employers / Industry Champions	California Construction and Industrial Materials Association (CALCIMA)	Employment opportunities for aggregate, ready mixed concrete, and industrial mineral operations. Member companies operate about 500 plants in California.		
	Desert Valley Builders Association (DVBA)	Construction sector employment opportunities		

	Yes (MOU with RC)	Yes (MOU with RC)	Yes (MOU with RC)							
			-				6.	c		
Distribution Management Employment opportunities Association	Healthcare, culinary, welding and solar technology training. AJCC system partner	Education & Training; AJCC system partner	Referrals, support for veterans, and training in logistics, pharmacy technician, solar photovoltaic, phlebotomy	Education & Training; AJCC system partner	Education & Training; AJCC system partner	Education & Training; AJCC system partner	Education & Training; AJCC system partner	Apprenticeships, career pathway initiatives, connection to industry	Training Provider for healthcare sector initiatives, Can customize training to meet industry need	Education & Training; AJCC system partner
Distribution Management Association	College of the Desert (COD)	Mt. San Jacinto Community College (MSJC)	Riverside Community College District	San Bernardino Community College District	Crafton Hills College	Chaffey College	Norco College	Deputy Sector Navigators – Global Trade & Logistics - Advanced Transportation - Healthcare	University of California, Riverside Extension	Victor Valley College
							Education – Universities.			

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Riverside County Office AJCC System Partner – Riverside County of Education AJCC System MOU Chaffey Adult School County AJCC System MOU Chino Valley Adult AJCC System MOU School County AJCC System MOU Apple Valley Adult AJCC System Partner – San Bernadino School County AJCC System MOU Apple Valley Adult AJCC System MOU School County AJCC System MOU Apple Valley Adult AJCC System MOU County AJCC System MOU County AJCC System MOU County AJCC System MOU County AJCC System MOU

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		AJCC System Partner – San Bernadino County AJCC System MOU	
		AJCC System Partner – San Bernadino County AJCC System MOU	
· · · · · · · · · · · · · · · · · · ·		AJCC System Partner – San Bernadino County AJCC System MOU	
		Training Provider for healthcare sector initiatives, advisory council partner, Local Partnership Agreement partner	
		AJCC System CTE Partner – San Bernardino County AJCC System MOU	

		North Western Education Assmt AJCC System Partner – Riverside County AJCC System MOU	
		AJCC System Partner – Riverside County AJCC System MOU	
	rhood /	AJCC System and MOU Partner - Riverside and San Bernadino Counties	
	/ Learning / earn4Life	Antelope Valley Learning AJCC System Partner – Riverside County Academy dba Learn4Life AJCC System MOU	
(e.g. ROP, CTE) Diego Hills Central Public dba Learn4Life	-ife	AJCC System Partner – Riverside County AJCC System MOU	
Innovation High dba		AJCC System Partner – Riverside County AJCC System MOU	
Vista Norte Public Charter dba Learn4Life	4Life	AJCC System Partner – Riverside County AJCC System MOU	
	П		
Riverside County Probation Department		Planning partner; client referrals; coordination of service delivery	
Riverside County Sheriff Department	_	ý:	Yes (MOU with RC)
San Bernardino County Probation Department		Planning partner; client referrals; coordination of service delivery	

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क				Planning partner; client referrals; coordination of service delivery Planning partner; client referrals; coordination of service delivery AJCC System Partner – Riverside County AJCC System MOU Partner in working with those with barriers to employment AJCC System Partner – SB County AJCC System MOU Partner in working with those with barriers to employment Planning partner; client referrals; coordination of service delivery Partner in working with those with barriers to employment Behavioral health partner Public Health Partner Older adult partner Community services block grant partner	Riverside County Dept or Public Social Services SB County Transitional Assistance Housing Authority of the County of Riverside County of Riverside Riverside County District Attorneys Office San Bernardino County Department of Behavioral Health Riverside County Mental Health Department of Behavioral Health Riverside County Office on Aging County of Riverside Community Action Partnership
nty Transitional Planning partner; client referrals; coordination of service delivery The Transitional Planning partner; client referrals; coordination of service delivery AucC System MOU Partner in working with those with barriers to employment AuCC System Partner – SB County AuCC I Authority of SB System MOU Partner in working with those with barriers to employment employment Partner in working with those with barriers to employ the partner in working with those with barr) Yes (HVAC technician, green technology training	Center for Employment and Training (CET)
nty Transitional nce of Riverside of Riverside Authority of SB Authority Office are County Office System Authority Office g of Riverside nity Action ship	Yes (MOU with RC)			HVAC technician, green technology training	Center for Employment
nty Transitional nce of Riverside of Riverside Authority of the of Riverside rhardino County nent of and Heal:h le County Mental Department c Health le University System nty Department c Health of Riverside of Riverside nity Action ship	Yes (HVAC technician green technology training	Center for Employment
nty Transitional nce of Riverside of Riverside southority of SB Authority Mental Sopartment be County Mental Department c Health c Health le University System nty Department c Health le County Office g of Riverside nity Action ship					
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nty Transitional nce I Authority of the of Riverside I Authority of SB I Authority of SB Authority of SB I Autho					on Aging
iocial Services Ity Transitional It Authority of the of Riverside Authority of SB County District Soffice Transino County Transino Coun				Older adult partner	Riverside County Office
nty Transitional nce of Riverside of Riverside of Riverside Authority of the county District soffice nardino County nent of nard Heal:h le County Mental Department le University System nty Department nty Department		·			of Public Health
nty Transitional nce of Riverside of Riverside of Riverside of Riverside of Authority of SB office nardino County nent of nral Heal:h le County Mental Department le University System					SB County Department
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nty Transitional nce Authority of the of Riverside Authority of SB Authority of SB Recounty District rs Office				Behavioral health partner	San Bernardino County
ocial Services hy Transitional nce I Authority of the of Riverside Authority of SB Recounty District so Office				employment	`
ocial Services nty Transitional nce I Authority of the of Riverside Authority of SB				Partner in working with those with barriers to	Attorneys Office
ocial Services nty Transitional nce Authority of the of Riverside Authority of SB				coordination of service delivery	Riverside County District
ocial Services nty Transitional nce Authority of the of Riverside				Planning partner; client referrals;	
nty Transitional nce I Authority of the of Riverside				employment	
al Services Transitional Ithority of the Riverside				Partner in working with those with barriers to	County
al Services Transitional Ithority of the Riverside				System MOU	Housing Authority of SB
al Services Transitional Ithority of the Riverside				AJCC System Partner - SB County AJCC	
al Services Transitional Ithority of the Riverside				employment	
al Services Transitional Ithority of the			_	Partner in working with those with barriers to	County of Riverside
al Services Transitional				AJCC System MOU	Housing Authority of the
al Services Transitional				A ICC System Partner - Piverside County	
				Planning partner; client referrals; coordination of service delivery	SB County Transitional Assistance
				coordination of service delivery	Public Social Services

montioned	Health Staff Training	Healthcare training, Clinical Medical		
		Assistant certification		
	RESCARE	Youth training provider		
×	County of Riverside Economic Development			
	Agency, Economic Development Division			
Economic	SB County Economic Development Agency			
	Coachella Valley	Match participants with employers to provide		
	Economic Partnership	OJTs or direct placement		
2				
Others: (list)	Others: (list) Manpoower Consortium, Native A Inc.	Native American Partner		<
2 2 2 3		Total Cash/In-Kind Match	\$650,000	

BUDGET DETAIL AND PAYMENT PROVISIONS

A. Invoicing and Payment

- 1. For services satisfactorily rendered and upon receipt and approval of the invoices, CWDB agrees to compensate the Contractor in accordance with Attachments B-1, Budget Summary and B-2, Budget Narrative. The total amount of this Agreement shall not exceed One Million Sixty-Five Thousand Six Hundred Twenty-Two Dollars and No Cents (\$1,065,622.00).
- 2. Invoices shall include the **CWDB Contract Number M0113457** and shall be submitted monthly in triplicate, in arrears to:

California Workforce Investment Board Attn: Angela Mendibles 800 Capitol Mall, Suite 1022, MIC 45 Sacramento, CA 95814

3. The Grantee is responsible for ensuring that invoices submitted to the EDD claim actual expenditures for eligible project costs under Attachments B-1 and B-2. Grantee shall, upon demand, remit to the EDD any grant funds not expended for eligible project costs or an amount equal to any grant funds expended by the Grantee in violation of the terms, provisions, conditions, or commitments of this Grant Agreement.

B. Withholding of Grant Disbursements

- The CWDB and EDD may withhold all or any portion of the grant funds provided for by this Grant Agreement in the event that that the Grantee has materially and substantially breached the terms and conditions of this Grant Agreement, including submission of required reports and data.
- The CWDB and EDD will not reimburse Grantee for costs identified as ineligible for grant funding. If grant funds have been provided for costs subsequently discovered to be ineligible, the CWDB and EDD may either withhold an equal amount from subsequent payments to the Grantee or require repayment of an equal amount to the state by the Grantee.
- 3. In the event that grant funds are withheld from the Grantee, the CWDB's Executive Director or designee shall notify the Grantee of the reasons for withholding and advise the Grantee of the time within which the Grantee may remedy the situation leading to the withholding.

C. Budget Contingency Clause

It is mutually understood between the parties that this Agreement may have been written before ascertaining the availability of congressional and legislative appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays which would occur if the Agreement were executed after that determination was made.

This Agreement is valid and enforceable only if (1) sufficient funds are made available by the State Budget Act of the appropriate State Fiscal Year(s) covered by this Agreement for the purposes of this program; and (2) sufficient funds are made available to the State by the United States Government or by the State of California for the Fiscal Year(s) covered by this Agreement for the purposes of this program. In addition, this Agreement is subject to any additional restrictions, limitations or conditions established by the United States Government and/or the State of California, or any statute enacted by the Congress and Legislature, which may affect the provisions, terms or funding of the Agreement in any manner.

The parties mutually agree that if the Congress and/or Legislature does not appropriate sufficient funds for the program, this agreement shall be amended to reflect any reduction in funds.

The CWDB has the option to terminate the agreement under the 30-day termination clause or to amend the Agreement to reflect any reduction of funds.

D. California Prompt Payment Clause

Payment will be made in accordance with, and within the time specified in, Government Code § 927, et seq.

CWDB Contract No. M0113457 CWDB/Riverside County Page 3 of 3

EXHIBIT B (Standard Agreement) Budget Detail and Payment Provisions

Agency Funding Form

100 to 10		Quanta Laure,			
	The state of the s	PZE Agency,	P2E Agency/Funding Form	The state of the s	8
RPU Partner				Participants	Funds
Breakdown	Agency	Type of Agency	Services	Served	Allocated
Fiscal Agent	Riverside County Economic Development Agency, Workforce Development Division	County	Administrative oversight, data reporting and performance	20	\$604,982
WDB	San Bernardino Workforce Development Board	WDB	2.0 FTE Job Liaison contract staff who will work across the region to engage with business, industry, and employers to provide opportunities to reentry population. \$75,940 x 2 = \$151,880	20	\$151,880
Agency	Riverside County Sheriff	County	2.0 FTE Development Specialist I who will serve justice involved population. Riverside County Sheriff: \$151,880	20	\$151,880
Agency	SB County Sheriff	County	2.0 FTE Development Specialist I who will serve justice involved population. SB County Sheriff: \$151,880	50	\$151,880
Agency	Racy Ming & Associates	Consultant	Development of reentry resource guide. \$5,000	0	\$5,000
Totals				200	\$1,065,622

ATTACHMENT B-1 (Standard Agreement) BUDGET SUMMARY

Gı	rantee Inland Empire R	PU			
	Budget Line Item	Admin*	In House Program	Contracted Program	Total
1	Staff Salaries and Fringe Benefits	\$20,100	\$230,920		\$251,020
2	Staff Travel	\$800	\$10,000		\$10,80
3	Operating Expenses				
	- Facilities Rent	\$23,162			\$23,16
	- Office Supplies	\$20,200			\$20,20
	- Communications	\$22,200			\$22,20
	- Other (Database, other Admin)	\$20,100	\$7,500		\$27,60
4	Equipment Purchases &	Furniture			
	- Purchases				\$
	- Leases		V		\$
5	Testing/Instructional Materials				\$
6	Tuition Payments/Vouchers			\$150,000	\$150,00
7	Training Costs				
8	Supportive Services		\$100,000		\$100,00
9	Indirect Costs				
10	Other Program Services				
11	Contracts			\$460,640	\$460,64
1	Fotal Budget Amount	\$106,562	\$348,420	\$610,640	\$1,065,62

	Total Cost	Percentage
Total Admin*	\$106,562	10%
Total Program	\$959,060	90%
Total Budget Amount	\$1,065,622	100%

^{*}Administrative Costs not to exceed 10% of total budget

Grantee	Inland Empire RPU				
	Budget Line Item 1 - Staff Sa	alary and Fringe	Benefits Charge	to Project	
List job title	es of staff working on project (FTE)	Salaries	Fringe Benefits	Benefits %	Total
	Development Specialist (1.0)	\$106,210	\$65,770	43%	\$171,980
	Senior Development Specialist (0.3)	\$59,238	\$19,802	43%	\$79,040
	Subtotal	\$165,448 \$85,572			\$251,020
				No. of the last	Budget
	Budget Line Item		Narrative Detail		Amount
2	Staff Travel	P2E statewide r coordination m	neetings, local tra eetings	avel for	\$10,800
3	Operating Expenses				
	- Facilities Rent	Meeting space	and associated co	sts	\$23,162
	- Office Supplies	Meeting and of	fice supplies		\$20,200
	- Communications	Regional comm	unications costs		\$22,200
	- Other (Describe)	Software for da Smartsheet), O	ta management (:her Admin	i.e.,	\$27,600
4	Equipment Purchases & Furniture	And In It is			
	- Purchases				
	- Leases				
5	Testing/Instructional Materials				
6	Tuition Payments/Vouchers	Job readiness, of and vocational	ommunication, t	echnical skills	\$150,000
7	Training Costs	_	sk assessment an raining for staff	d trauma	
8	Supportive Services	,	housing, texts, to etime costs to re		\$100,000
9	Indirect Costs			Ţ	
10	Other Program Services				
11	Contracts				\$460,640
		across the region industry and en opportunities to	ion contract staff on to engage with nployers to provido o reentry populat o: \$75,940 x 2 = \$	business, de ion.	
		serve justice in Riverside Count County Sheriff: Development o	f reentry resourc	n. 80 SB e guide.	
-1, 4 = 1	Total Pudget Ameri		/ Ming & Associa	tes: \$5,000	\$1.00F.C31
	Total Budget Amou	nt of Awarded F	una		\$1,065,62

ATTACHMENT B-2 (Standard Agreement) BUDGET NARRATIVE

CWDB Contract No. M0113459 CWDB/Riverside County Page 2 of 2

	Amount	Percentage
Total Admin	\$106,562	10%
Total Program	\$959,060	90%

SPECIAL TERMS AND CONDITIONS

Subcontractors or Subgrantees

Nothing contained in this Agreement or otherwise, shall create any contractual relationship between the State and any subcontractors or subgrantees, and no subcontract or subgrant shall relieve the Contractor of his responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors or subgrantees and of persons either directly or indirectly employed by the Contractor. The Contractor's obligation to pay its subcontractors or subgrantees is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or to enforce the payment of any monies to any subcontractor or subgrantee.

Consultant–Staff Expenses

The Contractor represents that it has or shall secure at its own expense, all staff required to perform the services described in this Agreement. Such personnel shall not be employees of or have contractual relationship with any governmental entity.

3. Public Contract Code (Consultant Services)

The Contractor is advised that he/she has certain duties, obligations, and rights under the Public Contract Code §§ 10335 – 10381 and 10410 - 10412, with which the Contractor should be familiar. These Public Contract Code sections can be viewed at:

https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=PCC&division=2.&title=&p_art=2.&chapter=2.&article=4.

https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=PCC&division=2.&title=&p_art=2.&chapter=2.&article=8.

4. Contractor Evaluation

Within sixty (60) days after the completion of this Agreement, the Contract Manager shall complete a written evaluation of Contractor's performance under this Agreement. If Contractor did not satisfactorily perform the work, a copy of the evaluation will be sent to the State Department of General Services, Office of Legal Services, and to Contractor within 15 working days of the completion of the evaluation. (PCC 10369)

5. Contractor agrees to provide an education and training assessment for each individual of the supervised population who participates in this project pursuant to Penal Code Section 1234.3(c). The assessment may be undertaken by the contractor or by another entity. A prior assessment of an individual may be used if, in the determination of the CWdB, its results are accurate.

6. Termination Clause

This Agreement may be terminated by CWDB by giving written notice 30 days prior to the effective date of such termination.

7. Advance Work

Should the Contractor begin work before receiving a copy of the approved Agreement, any work performed before approval shall be considered as having been done at the Contractor's own risk and as a volunteer.

8. Force Majeure

Neither party shall be liable to the other for any delay in or failure of performance, nor shall any such delay in or failure of performance constitute default, if such delay or failure is caused by "Force Majeure." As used in the this section, "Force Majeure" is defined as follows: Acts

of war and acts of God such as earthquakes, floods and other natural disasters such that performance is impossible.

Change in Contractor Staff

Should a Contractor's team member(s) become unavailable prior to a start date agreed upon with CWDB, the Contractor will propose an equally well-qualified replacement consultant after consultation with CWDB. All replacements are subject to CWDB approval. Switching staff that were identified in the Contractor's proposal with alternates immediately, or soon thereafter, after execution of this Agreement, are grounds for termination of this contract.

CWDB has the right to request removal and/or replacement of Contractor resources.

Avoidance of Conflicts of Interest by the Contractor

- A. The Contractor agrees that all reasonable efforts will be made to ensure that no conflict of interest exists between its officers, agents, employees, consultants or members of its governing body.
- B. The Contractor shall prevent its officers, agents, employees, consultants or members of its governing body from using their positions for purposes that are, or give the appearance of being, motivated by a desire for private gain for themselves or others such as those with whom they have family, business or other ties.
- C. In the event that CWDB determines that a conflict of interest situation exists, any cost associated with the conflict may constitute grounds for termination of this agreement. This provision shall not be construed to prohibit the employment of persons with whom the Contractor's officers, agents, or employees have family, business or other ties so long as the employment of such persons does not result in increased costs

over those associated with the employment of any other equally qualified applicant and such persons have successfully competed for employment with other applicants on a merit basis.

D. Certain consultants designated by the EDD's Conflict of Interest Code are required to file a Statement of Economic Interests, Form 700. The Contractor agrees that if the Director of EDD determines that a Statement of Economic Interests, Form 700, is required, the consultant shall obtain the Form 700 and filing instructions from the EDD Personnel Office.

11. Travel Clause

The travel and per diem shall be set in accordance with Department of Personnel Administration for comparable classes and that no travel outside the State of California shall be reimbursed unless prior written authorization is obtained from CWDB.

12. Workforce Innovation and Opportunity Act

Contractor agrees to conform to nondiscrimination provisions of the Workforce Innovation and Opportunity Act (WIOA) and other federal nondiscrimination requirements as referenced in 29 CFR, Parts 37 and 38.

13. Disputes

If the Grantee is disputes an action of the CWDB in the administration of this Grant Agreement, the Grantee may appeal to the CWDB's Executive Director or designee. Such appeals shall be filed within 30 calendar days of the notification from Grantee of such dispute. The appeal shall be in writing and 1) state the basis for the appeal, 2) state the action being requested of the Executive Director, and 3) include any documentation relating to the dispute.

The CWDB Executive Director will review the correspondence and related documentation and render a decision of the appeal within 30 calendar days, except in those cases where the Grantee withdraws or abandons the appeal. The procedural time requirement may be waived with the mutual consent of the Grantee and the Executive Director.

- 14. Grantee shall cooperate with CWDB with regard to the performance of this agreement.
- 15. Grantee shall cooperate with CWDB to provide timely responses to any requests for data and/or reports the CWDB deems necessary for the evaluation of the grant program. Such data may include individual program participant data. Grantee further understands and agrees that this data will be shared with the CWDB and any other stakeholders.
- 16. Grantee is responsible for the project activities identified in the original Grant Proposal submitted to the CWDB, which is attached to and made a part of this Grant Agreement. Review and approval by the CWDB is solely for the purpose of proper administration of grant funds by EDD and shall not be deemed to relieve or restrict the Grantee's responsibility.

- 17. Grantee shall fulfill all assurances, declarations, representations, and statements made by the Grantee in the Grant Proposal, documents, amendments, approved modifications, and communications filed in support of its request for grant funds.
- 18. Grantee agrees to procure all permits and licenses necessary to complete the project, pay all charges and fees, and give all notices necessary or incidental to the due and lawful proceeding of the project work.

EXHIBIT E (Standard Agreement) CONTRACTOR'S RESPONSE

CWDB Contract No. M0113457 Total of 31 Pages EDD RFA #84049

Prison to Employment Implementation Direct Services Grant <u>and</u> Supportive Services Earn and Learn Grant Cover Page

Applicant Riverside County Economic Development Agency, Workforce Development Division Total Project Budget \$ \$6,020,305 Requested Amount \$4,820,305 Match \$ \$1,200,000 1325 Spruce Street Address: Riverside, CA 92507 City & Zip Code: County: Riverside Thi Pham, Assistant Regional Manager, IERPU Regional Organizer Designated Contact Person and Title: 951-955-0464 951-955-3131 E-mail: Telephone: Fax: tpham@rivco.org **DUNS Number:** 839569605 Inland Empire RPU Proposed Region: Proposed Regional priority sectors: construction, manufacturing, logistics/transportation. Cross sector occupations: professional services; security; IT; drivers, and other local level priority occupations. Occupations/Trades: Riverside County and San Bernadino Workforce Development Boards; Riverside County and San List Partners: Bernadino Probation Departments; Riverside County and San Bernadino Sheriff's Departments; CDCR/DAPO; Cal State San Bernadino Reentry Initiative; 211 United Way; CEO; Goodwill; Operation New Hope; Building Industry Association; Balfour Beatty Construction; CET; Grid Alternatives; and other partners listed in "Partners Roles and Responsibilities." **Approval of Authorized Representative** Title: Riverside County Economic Development Agency. Name: Carrie Harmon Workforce Development Division, Director 2/13/2019 E-mail: Signature/ Date: charmon@rivco.org anietann

Prison to Employment Implementation Direct Services Grant Form 1: Budget Summary

EDD RFA #84049

Grantee Inland Empire RPU							
	Budget Line Item	Admin*	In House Program	Contracted Program	Total		
1	Staff Salaries and Fringe Benefits	44,996	449,959	0	494,955		
2	Staff Travel	2,000	20,000		22,000		
3	Operating Expenses						
	- Facilities Rent	2,100	21,000		23,100		
	- Office Supplies	360	3,600		3,960		
	- Communications				C C		
	- Other (data collection software system)	750	7,500		8,250		
4	Equipment Purchases &	Furniture					
	- Purchases				C		
	- Leases				C		
5	Testing/Instructional Materials				C		
6	Tuition Payments/Vouchers	20,000		200,000	220,000		
7	Training Costs	2,000	20,000		22,000		
8	Supportive Services	20,000		200,000	220,000		
9	Indirect Costs				O		
10	Other Program Services				0		
11	Contracts	100,704		1,007,036	1,107,740		
1	otal Budget Amount	\$192,910	\$522,059	\$1,407,036	\$2,122,005		

	Total Cost	Percentage
Total Admin*	\$192,910	10%
Total Program	\$1,929,095	90%
Total Budget Amount	\$2,122,005	100%

^{*}Administrative Costs not to exceed 10% of total budget

Prison to Employment Implementation Direct Services Form 2: Budget Narrative

EDD RFA #84049

Grantee						
	Budget Line Item 1 - Staff Sa	alary and Fringe	Benefits Charge			
ist job ti	tles of staff working on project (FTE)	Salaries	Fringe Benefits	Benefits %	Total	
	Principal Development Specialist (0.1)	\$32,864	\$32,864 \$14,132 43%			
	Development Specialist (1.0)	\$146,039	\$62,797	43%	\$208,835	
Se	enior Job Development Specialist (0.5)	\$135,754	\$58,374	43%	\$194,128	
	Subtotal	\$314,657	\$135,302		\$449,959	
Value 1	Budget Line Item		Narrative Detail	Fifted 1.8	Budget Amount	
2	Staff Travel	P2E statewide coordination m	meetings, local tra eetings	avel for	\$20,000.0	
3	Operating Expenses					
	- Facilities Rent				\$21,000.0	
	- Office Supplies				\$3,600.0	
	- Communications					
	- Other (Describe)	software for da	ta management		\$7,500.0	
4	Equipment Purchases & Furniture		Av BAA LET		A STANLEY	
	- Purchases	L.				
	- Leases					
5	Testing/Instructional Materials					
6	Tuition Payments/Vouchers	Job readiness, of and vocational	communication, t training	echnical skills	\$200,00	
7	Training Costs	_	sk assessment and craining for staff	d trauma	\$20,00	
8	Supportive Services	1,50	housing, texts, to e time costs to re	00	\$200,00	
9	Indirect Costs					
10	Other Program Services		admin 10%		\$192,91	
11	Contracts	across the region industry and er opportunities t Sr. Developmen serve justice in	sion contract staff on to engage with inployers to provio o reentry populat int Specialist III wh volved population int of reentry reso	business, de tion. 2.0 FTE to will work n. Also \$5,000	\$1,007,03	
1.12	Total Budget Amou				\$2,122,00	
10	Total Dauget Alliou	iit of Awarded I	WIII W		72,122,0	

	Amount	Percentage
Total Admin	\$192,910	10%
Total Program	\$1,929,095	90%

Prison to Employment Implementation Direct Services Grant Form 3: Work Plan EDD RFA #84049



Region Name: Inland Empire RPU

Lead Applicant: Riverside County Economic Development Agency, Workforce Development Division

Objectives/Activities	Estimated Dates
Quarter 1 (April - June 2019)	
Finalize contract and exhibits with the state	By June 30, 2019
Begin planning process for issuing RFP to service providers	By June 30, 2019
Customer centered design process, to inform RFP requirements	April - June 2019
Quarter 2 (July - Sept 2019)	
Determine data collection and reporting processes	July 2019
ssue RFP for service providers	August 2019
Conduct procurement process	August - September 2019
Make funding decisions	By September 30, 2019
Conduct recruitments for new staff case management/liaison positions	July - September 2019
P2E Council meeting	July - September 2019
Quarter 3 (Oct - Dec 2019)	
Contracting process	Oct-Dec 2019
P2E Council meeting	Oct-Dec 2019
Staff trainings (criminogenic assessment tools; Workin It Out)	Oct-Dec 2019
Resource Guide development (to be launched at kick off event)	Oct-Dec 2019
Planning for kick off event for community	Oct-Dec 2019
Quarter 4 (Jan - March 2020)	
Service provider contracts begin (2 year term). Direct services begin.	1/1/2020
Reentry liaisons connecting to businesses; job development	Jan-Mar 2020
Additional case managers work with FI customers and connect them to services	Jan-Mar 2020
P2E Council meeting	Jan-Mar 2020
Kick off event for community	January or February 2020
Quarter 5 (April - June 2020)	
Clients access direct/supportive services and earn and learn opportunties	April-June 2020
P2E Council meeting	April-June 2021
Quarter 6 (July - Sept 2020)	
Clients access direct/supportive services and earn and learn opportunties	July - Sept 2020
P2E Council meeting	July - Sept 2021
Quarter 7 (Oct - Dec 2020)	
Clients access direct/supportive services and earn and learn opportunties	Oct - Dec 2020
Review contractor performance and make determination for 2021 funding	Oct - Dec 2020
P2E Council meeting	Oct - Dec 2021
Quarter 8 (Jan - March 2021)	
Clients access direct/supportive services and earn and learn opportunties	Jan - Mar 2021
Explore resources for sustainability	Jan - Mar 2021
P2E Council meeting	Jan - Mar 2022

Prison to Employment Implementation Direct Services Grant Form 3: Work Plan EDD RFA #84049

Quarter 9 (April - June 2021)	
Clients access direct/supportive services and earn and learn opportunties	April - June 2021
P2E Council meeting	April - June 2021
Quarter 10 (July - Sept 2021)	
Clients access direct/supportive services and earn and learn opportunties	July - Sept 2021
Explore resources for sustainability	July - Sept 2021
P2E Council meeting	July - Sept 2022
Quarter 11 (Oct - Dec 2021)	
Clients access direct/supportive services and earn and learn opportunties	Oct - Dec 2021
Explore resources for sustainability	Oct - Dec 2021
P2E Council meeting	Oct - Dec 2022
Closing event show casing best practices learned over course of grant	Oct - Dec 2022
Quarter 12 (Jan - March 2022)	
Grant wrap up and final reporting	Jan - Mar 2022
Explore resources for sustainability	Jan - Mar 2022
P2E Council meeting	Jan - Mar 2023

Applicant Riverside County Economic Development Agency, Workforce Development Division

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	Jul - Sep 2019	Oct - Dec Jan - Mar 2019 2020	Jan - Mar 2020	Apr - Jun 2020	Jul - Sep 2020	Oct - Dec 2020	Jan - Ma 2021	pr - June 2021	Jul - Sep 2021	Oct - Dec 2021	Jan - Mar 2022	Total
1. Participants to be Serve	0	10	15	20	25	30	30	30	20	20	0	200
2. Enrollment in Training	0	10	15	15	20	20	20	20	15	15	0	150
3. Completion of Training	0	5	5	10	15	20	20	15	12	10	0	112
Attained Industry-Valued Certificate, Credential or Degree	0	2	4	4	5	2	7	80	10	2	0	50
5. Placement in Postsecondary Education	0	0	2	5	5	2	5	5	2	5	0	40
6. Placement in State-Approved Apprenticeship	0	0	0	0	0	0	0	0	0	0	0	0
												:
7. Placement in Industry Sector Employment												20
Industry Type: Manufacturing	0	1	1	-	1	2	-	1	1	1	0	10
Industry Type: Logistics/Transportation	0	_	-	-	1	2	1	-	1	1	0	10
Industry Type: Construction/Trades	0	2	2	2	2	4	2	2	2	2	0	20
Industry Type: Other	0	1	1	1	1	2	1	1	1	1	0	10
8. Placement in Temporary/Other Employment	0	2	4	4	5	5	7	8	10	2	0	50
9. Retention in Employment	0											70

Prison to Employment Implementation Direct Services Grant <u>and</u> Supportive Services Earn and Learn Grant Checklist

Document Name/Description	Form Provided		Form Required			
		Implementation & Direct Services	Combined	Supportive Services & Earn and Learn		
Cover Page	YES		YES			
Application Narrative	NO		YES			
Flowchart of Services	NO		YES			
Form 1: Budget Summary	YES	YES	NO	YES		
Form 2: Budget Narrative	YES	YES	NO	YES		
Form 3: Workplan	YES	YES	NO	YES		
Form 3A: Partners Roles and Responsibilities	YES		YES			
Form 4: Participant Plan	YES	YES	NO	YES		
Form 5: Worker's Compensaiton Certificate	YES	YES	NO	YES		
Form 6: CCC-307	YES	YES	NO	YES		
Form 7: Darfur Contracting Act Certification (If applicable, see form for details)	YES	If applicable	NO	If applicable		
Form 8: Bidder Declaration	YES	YES	NO	YES		
Proof of registration with the California Secretary of State's Office.	NO	If applicable	NO	If applicable		
Partnership Agreement Letter/MOU (For a sample template, see Appendix B in the RFA)	NO		NO			

Prison to Employment Implementation Direct Services Grant Form 5: Workers' Compensation Certification EDD RFA #84049

WORKERS' COMPENSATION CERTIFICATION

The undersigned in submitting this document hereby certifies the following:

I am aware of the provisions of section 3700 of the California Labor Code which requires every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with such provisions before commencing the performance of the work of this Agreement.

Signature January	2/13/2019 Date
	ia .
Carrie Harmon, WD Director Name and Title (Print or Type)	1325 Spruce Street, Suite 110 Street Address
Riverside County EDA, Workforce Dev.	Riverside, CA, 92507
Firm Name	City State Zip

CONTRACTOR CERTIFICATION CLAUSES-307

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)		Federal ID Number
Riverside County EDA, Workforce Development	t	95-6000930
By (Authorized Signature) Amelian Finted Name and Title of Person Signing		
Carrie Harmon, Riverside County Workforce De	velopment	t Division, Director
Date Executed	Executed	in the County of
2/13/2019	Riverside	

CONTRACTOR CERTIFICATION CLAUSES

- 1. <u>STATEMENT OF COMPLIANCE:</u> Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)
- 2. <u>DRUG-FREE WORKPLACE REQUIREMENTS:</u> Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:
- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
- b. Establish a Drug-Free Awareness Program to inform employees about:
- 1) the dangers of drug abuse in the workplace;
- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,

Prison to Employment Implementation Direct Services Grant Form 6: CCC-307 EDD RFA #84049

- 4) penalties that may be imposed upon employees for drug abuse violations.
- c. Every employee who works on the proposed Agreement will:
- 1) receive a copy of the company's drug-free workplace policy statement; and,
- 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

- 3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)
- 4. <u>CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT:</u> Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. <u>EXPATRIATE CORPORATIONS:</u> Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

Prison to Employment Implementation Direct Services Grant Form 6: CCC-307 EDD RFA #84049

6. SWEATFREE CODE OF CONDUCT:

- a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.
- b. The contractor agrees to cooperate fully in providing reasonable access to the
- 7. <u>DOMESTIC PARTNERS</u>: For contracts over \$100,000 executed or amended after January 1, 2007, the contractor certifies that contractor is in compliance with Public Contract Code section 10295.3.

Prison to Employment Implementation Direct Services Grant Form 7: Darfur Contracting Act Certification

EDD RFA #84049

Public Contract Code, Sections 10475 -10481 applies to any company that currently or within the previous three years has had business activities or other operations outside of the United States. For such a company to bid on or submit a proposal for a State of California contract, the company must certify that it is either a) not a scrutinized company; or b) a scrutinized company that has been granted permission by the Department of General Services to submit a proposal.

If your company has not, within the previous three years, had any business activities or other operations outside of the United States, you do <u>not</u> need to complete this form.

OPTION #1 - CERTIFICATION

If your company, within the previous three years, has had business activities or other operations outside of the United States, in order to be eligible to submit a bid or proposal, please insert your company name and Federal ID Number and complete the certification below.

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that a) the prospective proposer/bidder named below is <u>not</u> a scrutinized company per Public Contract Code 10476; and b) I am duly authorized to legally bind the prospective proposer/bidder named below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)	Federal ID Number				
Riverside County EDA, Workforce Development	95-6000930				
By (Authorized Signature)					
Carrie / Cm					
Printed Name and Title of Person Signing					
Carrie Harmon, Riverside County Workforce De	velopment Division, Director				
Date Executed Executed in the County of					
2/13/2019	Riverside				

OPTION #2 - WRITTEN PERMISSION FROM DGS

Pursuant to Public Contract Code, Section 10477(b), the Director of the Department of General Services may permit a scrutinized company, on a case-by-case basis, to bid on or submit a proposal for a contract with a state agency for goods or services, if it is in the best interests of the state. If you are a scrutinized company that has obtained written permission from the DGS to submit a bid or proposal, complete the information below.

We are a scrutinized company as defined in Public Contract Code, Section 10476, but we have received written permission from the Department of General Services to submit a bid or proposal pursuant to Public Contract Code, Section 10477(b). A copy of the written permission from DGS is included with our bid or proposal.

Contractor/Bidder Firm Name (Printed)	Federal ID Number
Initials of Submitter	*:
Deinas data are and Title of December 2	
Printed Name and Title of Person Signing	

BIDDER DECLARATION

ment 	51% Rental?	[]			
f the equip	Good Standing?	[] -			
at least 51% o	Corresponding % of bid price						
No	Work performed or goods provided for this contract						
r or agent? Yes Landludes equipment ortract (quantity and therwise, list all sub-	CA Certification (MB, SB, NVSA, DVBE or None)		loard				
d DVBE: (1) Are you a broke (2) If the contract in provided in this co	Subcontractor Address & Email Address			eriff			
c. If you are a California certifier If no subcontractors will be used	Subcontractor Name, Contact Person, Phone Number & Fax Number	Racy Ming & Associates	San Bernardino Work	Riverside County Sh	SB County Sheriff		
	# 0	If you are a California certified DVBE: to subcontractors will be used, skip to c Subcontractor Name, Contact Person, Phone Number & Fax Number 8	If you are a California certified DVBE: to subcontractors will be used, skip to contractor Name, Contact Person, Phone Number & Fax Number say Ming & Associates	If you are a California certified DVBE: No subcontractors will be used, skip to contractor Name, Contact Person, Phone Number & Fax Number Roy Ming & Associates and Bernardino Workforce	If you are a California certified DVBE: To subcontractors will be used, skip to contractor Name, Contact Person, Phone Number & Fax Number Bacy Ming & Associates And Bernardino Workforce To verside County Sheriff	If you are a California certified DVBE: To subcontractors will be used, skip to contractor Name, Contact Person, Phone Number & Fax Number Bacy Ming & Associates The Bernardino Workforce The Bernardine Sheriff Bacounty Sheriff	If you are a California certified DVBE: No subcontractors will be used, skip to contractor Name, Contact Person, Phone Number & Fax Number Subcontractor Name, Contact Person, And Bernardino Workforce To verside County Sheriff B County Sheriff The subcontact of the subcontact o

CERTIFICATION: By signing the bid response, I certify under penalty of perjury that the information provided is true and correct.

Page____o

2/13

BIDDER DECLARATION Instructions

All prime bidders (the firm submitting the bid) must complete the Bidder Dedaration.

- 1.a. Identify all current certifications issued by the State of California. If the prime bidder has no California certification(s), check the line labeled "None" and proceed to Item #2. If the prime bidder possesses one or more of the following certifications, enter the applicable certifications(s) on the line:
- Microbusiness (MB)
- Small Business (SB)
- Nonprofit Veteran Service Agency (NVSA)
- Disabled Veteran Business Enterprise (DVBE)
- 1.b. Mark either"Yes" or "No" to identify whether subcontractors will be used for the contract. If the response is "No" proceed to Item #1.c. If "Yes", enter on the line the distinct element of work contained in the contract to be performed or the goods to be provided by the prime bidder. Do not include goods or services to be provided by subcontractors.

Bidders certified as MB, SB, NVSA, and/or DVBE must provide a commercially useful function as defined in Military and Veterans Code Section 999 for DVBEs and Government Code Section 14837(d)(4)(A) for small/ microbusinesses. Bids must propose that certified bidders provide a commercially useful function for the resulting contract or the bid will be deerned non-responsive and rejected by the State. For questions regarding the solicitation, contact the procurement official identified in the solicitation.

Note: A subcontractor is any person, firm, corporation, or organization contracting to perform part of the prime's contract.

- 1.c. This item is only to be completed by businesses certified by California as a DVBE.
- (1) Declare whether the prime bidder is a broker or agent by marking either "Yes" or "No." The Military and Veterans Code Section 999.2 (b) defines "broker" or "agent" as a certified DVBE contractor or subcontractor that does not have title, possession, control, and risk of loss of materials, supplies, services, or equipment provided to an awarding department, unless one or more of the disabled veteran owners has at least 51-bercent ownership of the quantity and value of the materials, supplies, services, and of each piece of equipment provided under the contract.
- (2) If bidding rental equipment, mark either "Yes" or "No" to identify if the prime bidder owns at least 51% of the equipment provided (quantity and value). If not bidding rental equipment, mark "N/A" for "not applicable."
- If no subcontractors are proposed, do not complete the table. Read the certification at the bottom of the
 form and complete "Page _____ of ____" on the form.

If subcontractors will be used, complete the table listing all subcontractors. If necessary, attach additional pages and complete the "Page_____ of ____" accordingly.

2. (continued) Column Labels

Subcontractor Name, Contact Person, Phone Number & Fax Number—List each element for all subcontractors.

Subcontractor Address & Email Address—Enter the address and if available, an Email address.

CA Certification (MB, SB, NVSA, DVBE or None)—If the subcontractor possesses a current State of California certification(s), verify on this website (website (www.eprocure.pd.dgs.ca.gov).

Work performed or goods provided for this contract—Identify the distinct element of work contained in the contract to be performed or the goods to be provided by each subcontractor. Certified subcontractors must provide a commercially useful function for the contract. (See paragraph 1.b above for code citations regarding the definition of commercially useful function.) If a certified subcontractor is further subcontracting a greater portion of the work or goods provided for the resulting contract than would be expected by normal industry practices, attach a separate sheet of paper explaining the situation.

Corresponding % of bid price—Enter the corresponding percentage of the total bid price for the goods and/or services to be provided by each subcontractor. Do not enter a dollar amount.

Good Standing?—Provide a reconney for each subcontractor listed. Forer either "Nec" or "Noc" to indicate

Good Standing?—Provide a response for each subcontractor listed. Enter either "Yes" or "No" to indicate that the prime bidder has verified that the subcontractor(s) is in good standing for all of the following:

- Possesses valid license(s) for any license(s) or permits required by the solicitation or by law
- If a corporation, the company is qualified to do business in California and designated by the State
 of California Secretary of State to be in good standing
- Possesses valid State of California certification(s) if claiming MB, SB, NVSA, and/or DVBE status

51% Rental? — This pertains to the applicability of rental equipment. Based on the following parameters, enter either "N/A" (not applicable), "Yes" or "No" for each subcontractor listed.

Enter "N/A" if the:

- Subcontractor is NOT a DVBE (regardless of whether or not rental equipment is provided by the subcontractor) or
- Subcontractor is NOT providing rental equipment (regardless of whether or not subcontractor is a DVBF)

Enter "Yes" if the subcontractor is a California certified DVBE providing rental equipment and the subcontractor owns at least 51% of the rental equipment (quantity and value) it will be providing for the contract.

Enter "No" if the subcontractor is a California certified DVBE providing rental equipment but the sub-contractor does NOT own at least 51% of the rental equipment (quantity and value) it will be providing.

Read the certification at the bottom of the page and complete the "Page ___ of ___" accordingly.

Description Points I. Statement of Need.

The Inland Empire Regional Planning Unit (IERPU) is an area comprised of more than 27,000 square miles and home to approximately 4.2 million people. When considering the total number of people from this area that are under probation supervision (47,371 as of June 30, 2017), on CDCR parole (9,266 as of 2014) and held in jail (9,722 as of 2014), the total number of offenders eligible for support under this grant exceeds 66,000. This represents a disproportionate share of the total number of offenders in California (the Inland Empire has 12.7% of all offenders in California but only represents 10.6% of the state's population). The area's rate of recidivism of 46.7% is slightly higher than the State average of 46.1%. Between July 2016 and November 2018, the IERPU has served approximately 1,894 reentry individuals through WIOA or the region's Day Reporting Centers (DRCs). From this group, 1,367 individuals (72%) completed a workshop and 718 (38%) gained employment.

In addition to nine county jail facilities, this area also has four state prisons. The high number of institutions makes the issue of re-entry particularly important to this region as the impact of inmate release is greater than in other communities. Not all people being released, however, have the same level of need for services. A significant percentage of Inland Empire's offenders are from the prison system; nearly 18% of all individuals released from California's prisons in 2017 originated in this jurisdiction. People released from the prison system typically carry a higher level of need for support, as their incarceration is typically for longer periods of time, for more serious crimes, and takes place in locations distant from their support system.

In the preparation process for this RFA, the IERPU conducted several forums and an anonymous survey to gather information, both from individuals involved with the justice system and from staff who provide services to them. In coordination with the IERPU, the Riverside County Sheriff Department conducted a written survey of inmates asking about their experiences in seeking employment, and several in depth interviews were conducted with people in the community who were justice involved. Four stakeholder meetings were held in which staff from reentry services discussed the challenges of the people they serve. While the list of needs was long, there was a strong call from all stakeholders to increase the availability of case manager type support for offenders seeking work, as existing resources only touch a small percentage of the individuals who could benefit. Stakeholders also called for more earn and learn/subsidized employment opportunities resulting in immediate access to paychecks. Many justice involved individuals who have tried to find work also asked for a list of "felony-friendly" employers. There were also recommendations for greater supportive services, including transportation, housing, mental health and/or substance abuse treatment needs.

As discussed in the Annie E. Casey report "Integrated Re-Entry and Employment Strategies," the efforts necessary for offenders to achieve employment can be divided into two areas: first promoting job readiness, then finding and retaining employment. The IERPU will dedicate resources to meet both of these needs. This will be accomplished by first conducting thorough assessments of those offenders interested in employment (including pre-release). In the article "The Integrated Reentry and Employment Strategies," researchers stress the importance of ensuring matching of the most intensive service to those individuals with the highest need. A

criminogenic risk needs assessment, whenever available, will help to determine the level of need and support a given individual will receive under the Prison to Employment program. At a minimum, all participants will have a case manager, while those with a higher level of need will have access to peer mentors, transitional employment opportunities, and social services designed to address whatever need represents a barrier to employment (housing, treatment, health, transportation, etc.). However, the issue of ensuring success is one of supply and demand; not only must offenders have in-demand skills but also employers interested in hiring them. The IERPU will also dedicate resources to informing, recruiting and collaborating with employers and businesses to match with offenders seeking employment.

The basis for the IERPU's request for funding is based on three factors. First, the region supervises a disproportionate percentage of offenders, and there is a higher proportion of those who are from the CDCR system (who have higher needs). The second factor, as will be shown below, is that the IERPU is prepared to immediately implement these services due to the already existing high level of collaboration among programs and agencies. Finally, the IERPU has a unique level of commitment to this issue of re-entry services, as a wide variety of agencies, including CDCR, probation, sheriff, Workforce Boards and CBOs are all invested in serving this population. Examples of this commitment include: the San Bernardino County Sheriff's Department operates a county parole program that offers employment services to offenders prerelease while the Riverside County Sheriff's Department provides similar services to its inmates while in custody (they maintain rigorous data that tracks graduates of this program and have shown that the recidivism rate for inmates, defined as a return to custody within one year, is approximately 18%). The San Bernardino County Probation Department offers vocational services as part of the reporting centers, and the Riverside County DA Office is in the planning stages of developing a program that would provide offenders the opportunity to have their criminal records cleared after they complete a series of programs to demonstrate their rehabilitation. Finally, the Riverside County Probation Department has initiated an effort to place offenders in temporary positions within the County that will then make them more competitive for regular hire positions. The introduction of the Prison to Employment initiative will help to coordinate these efforts and increase both efficiency and effectiveness. It is the intention of these regional efforts to complement and supplement existing services and infrastructure.

II. Partnerships.

The IERPU has an exceptional set of partnerships already in place that ensures the successful deployment of Prison to Employment funding. The partnerships only lack additional resources to both expand the capacity to serve more offenders and to coordinate theses services among the variety of providers. In the planning phase for this grant opportunity, the IERPU engaged with all of the required partners, including Workforce Boards and probation and sheriff departments from both Counties, CDCR, and key CBO, labor and business partners. These efforts involved four large group meetings spread over three months and multiple in-depth interviews with key individuals, including both stakeholders and consumers of these services.

The workforce boards of the two counties will provide oversight and management of the Prison to Employment program, while the corrections partners will provide referrals and will collaborate in the delivery of programs and services. When risk assessments are available for candidates, corrections staff will review the results with case managers to ensure there is an awareness of the individual's likelihood to recidivate, the need for interventions to avoid a return to criminal behavior, and coordination of services.

The Builders Industry Association (BIA), which represents over 1,000 businesses, and GRID Alternatives, an organization that offers employment in the renewable energy job sector to justice-involved individuals, are just two examples of the participation of businesses in this process. This participation is expected to continue over the course of the Prison to Employment program in regular meetings envisioned under the Prison to Employment Council (explained in detail later in this document).

CBOs assisted in the Prison to Employment planning process by providing their experience and expertise on the needs of this population. Some of these organizations have direct experience in providing employment training and opportunities to offenders, and others provide support in the form of treatment, counseling, and social services. It is anticipated that several of these CBOs will compete in an RFP process to become providers of services funded through Prison to Employment.

Finally, and perhaps most importantly, the IERPU took the time and effort to consult with offenders themselves regarding their experiences and need for support in obtaining and retaining jobs.

The IERPU will create a referral process that will provide for shared case management of offenders, and will ensure the necessary services are made available to those who need them. This will be accomplished after a given individual undergoes a thorough assessment for both criminogenic and employment needs. The results of those assessments will be utilized in a crosswalk menu of available services (see table below, which is only showing *possible* service options in each quadrant; these may be adjusted depending on the final funding amount and CBO partners), ensuring that those with the greatest needs will have access to the most intensive services. This service delivery plan, aside from efficiently allocating resources according to need, also ensures that low risk offenders are not mixed with high risk individuals, which is a core principle in best practices for corrections.¹..

America's Job Centers of California (AJCCs) offer many free tools, resources, and services that can help individuals find employment, training, or other work-related needs. The range of services available for a given offender will extend from simple referrals to AJCCs (a rare result for this population) all the way up to providing intensive support combined with transitional job opportunities (likely to be a more common determination for most re-entry offenders) for those at highest risk of re-offending. These individuals experience high unemployment; low educational attainment; and most have limited work histories. They often need to develop and

¹ Lowenkamp and Latessa. "Understanding the Risk Principle: How and Why Corrections Interventions Can Harm Low Risk Offenders," Topics in Community Corrections, National Institute of Corrections, 2004

practice the prosocial behaviors that align with employer expectations and to address their life circumstances—such as housing, transportation, child care, and supervision requirements—before they can be successful in the labor market. For those individuals with the most intensive needs, the IERPU will use Prison to Employment funds to provide case management services with select agencies within the region that already have expertise both working with this population and awareness of the local support agencies that provide a range of services. Case managers will have oversight responsibility to conduct assessment of each offender's individual needs to both obtain and retain employment. Those offenders deemed to be in need of the most intensive resources will also have access to a variety of other services beyond case managers, including peer mentors, transitional employment programs and flex funds designed to address needs and barriers to employment as they arise, such as housing, transportation, and treatment needs. All of these supports are identified in "The Integrated Reentry and Employment Strategies" article as being supported by research to be integral to success.

To ensure all of these services are provided in conjunction with those mandated by either the probation or CDCR supervision case plan, regular meetings will occur with the corrections staff supervising the offenders. That way, peer mentors and/or case managers will ensure that their efforts do not conflict with, interfere or duplicate requirements of an individual's supervision in the community. It is critical that the services provided to high need offenders is done in a comprehensive, coordinated manner that reduces the burden on the individual. Examples of this include strategies such as a "warm hand-off," where in lieu of simply telling an offender to contact an employer, a case manager will coach the offender through this process and ensure that it is successful.

	CROSSWA	LK OF SERVICES
BARD TO EMPLOY	II Case manager Transitional employment Access to AJCC services	IV Case manager Transitional employment Peer mentor Access to flex funds Incentives to achieve goals Frequent coordination with corrections staff
EASY TO EMPLOY	I Case manager Access to AJCC services	III Case manager Access to flex funds Incentives to achieve goals Frequent coordination with corrections staff
	LOW RISK TO RECIDIVATE	HIGH RISK TO RECIDIVATE

The IERPU has maintained excellent employer relationships around the issue of re-entry opportunities prior to this initiative. Several industry representatives, including from the construction and renewable energy sectors, took part in the planning meetings for this grant application. The best example of this partnership is with CalTrans through California State San Bernardino Reentry Initiative (CSRI), which already has an established transitional employment program in which offenders can earn a wage and gain valuable work experience. The IERPU will leverage existing employer partnerships developed through hundreds of successful On-the-Job Training (OJT) contracts, as well as continue to identify new employer partners, to provide a wide range of earn and learn opportunities for Prison to Employment participants.

Local procurement regulations require an RFP process in order to select CBOs to provide services through Prison to Employment. There are several possible CBOs that may apply for this opportunity, and any discussion in this document is only intended to reflect some possible partnerships and due to limited space, does not list all potential entities.

The Center for Employment Opportunities (CEO) is a national re-entry employment provider that has provided services in both San Bernardino and Riverside Counties since 2013. CEO's San Bernardino site is an Accelerator and Forward Focus grantee and in FY19 the organization plans to serve 150 people across the County. CEO provides transitional jobs and vocational services for people on supervision that include job readiness training, job coaching, job development and job retention services. CEO targets participants considered to be at a "high risk" for recidivism based on validated criminogenic risk assessments. A transitional job provides employment stability for participants as they develop the confidence and job readiness skills necessary to develop a long-term attachment to the workforce.

Cal State San Bernardino, in collaboration with CDCR, has operated CSRI which supports individuals on active parole in their return to both San Bernardino and Riverside Counties. In operation since 2012, CSRI offers a comprehensive set of services, including transitional housing, sober living, substance abuse, anger management, domestic violence abatement, health education, computer education, job/career development, life skills, bus passes, and food as well as educational and vocational programming. CSRI also offers transitional employment with Cal Trans. According to the University, this program's costs are nearly one third those of incarceration. At present, they serve 459 parolees through a contract with CDCR, but this service will soon be expanded to offenders in the probation system.

In order to address both the supply and demand sides of the workforce equation, IERPU will dedicate workforce development staff to engage with employers to encourage their participation. These staff, with training and experience working with the justice-involved population, will conduct outreach to businesses, employers (large and small), and industry representatives to market the advantages and benefits of hiring justice involved individuals, including tax credits, bonding programs and the support of the case manager. In addition, IERPU will conduct a "customer centered design" (CCD) process to learn from employers and consumers about the challenges of working with the offender population and to develop an understanding of what will help remediate employment barriers. Both counties have conducted CCD projects with different populations and topics; lessons learned from previous experience will likely apply to and assist

with this effort. This customer centered design process will help to inform the requirements laid out in the RFP.

The IERPU will create a regional oversight group for the Prison to Employment Initiative known as the "Inland Empire Prison to Employment Council," which will meet up to monthly in order to facilitate information sharing among partners. Representatives from all of the key agencies will consider issues such as service needs of the offender population, best practices, lessons learned, any issues around data tracking and reporting, and service models proven to be effective (including identifying new partnerships which will help to improve employment outcomes for offenders). Information regarding priority industry sectors and occupations will be shared at these meetings, to ensure all partners are operating with up to date knowledge. Although the emphasis at these meetings will be on regional Inland Empire issues, the Council will also consider information, ideas and best practices from other jurisdictions as well. Finally, in the event that any of the efforts undertaken by IERPU are deemed worthy of replication or application in other jurisdictions, the IERPU will request time at State convenings of Prison to Employment programs to present the successes and lessons.

In addition to the Prison to Employment Council, the IERPU will host a "reentry awareness" launch event which will be a critical milestone in the region's Prison to Employment effort. This will be an event for local stakeholders and businesses as well as the media and city, county and state government leaders and representatives. This event will be used to impress current and potential employer partners about the benefits of hiring justice involved individuals, and all relevant legislation related to this issue (AB1008, Work Opportunity Tax Credit, CA New Employment Tax Credit, et al). The event will include presentations from employers who have had success employing justice involved individuals, and also from successful individuals themselves, in order to dispel the stigma that is often a barrier. This event will provide the community at large the opportunity to connect with industry and employers and to make connections to ensure this is an ongoing conversation.

III. Regional Implementation and Direct Service Plan

IERPU's goal for the Prison to Employment initiative is to create a regional system that simultaneously engages businesses and industries in understanding the benefits and value of hiring people who have been involved in the justice system, while also ensuring those individuals have both the technical and soft skills necessary to compete in today's workforce. Objectives to achieve this goal will include hiring of staff (see budget section for hiring details). Additional objectives include conducting an RFP process to identify CBO agency(ies) capable of providing intensive services to offenders seeking employment. Those services will include case management and peer mentoring services, transitional employment, soft and technical skills training, and general support services to address any barriers (e.g., treatment, housing, transportation needs) that arise. Deliverables for the project include the assessment of 600 offenders over the course of the grant (assuming a grant period of 2.75 years), the placement of 150 high risk offenders in transitional employment programs, the provision of soft skills training

to at least 200 offenders, the placement of 300 offenders in non-subsidized employment positions. Deliverables will also include the publication of a re-entry guide which will provide resources and referrals to offenders seeking employment in the region, the creation of the Inland Empire Prison to Employment Council, and the re-entry awareness event. The IERPU will also promote a common soft skills training program, which will be a cognitive behavioral intervention (two possible models for this training are STEPS, a soft skills training program already in place in the region, or "Workin' It Out," a widely recognized program used specifically for the reentry population). The Prison to Employment funds will be deployed in such a way as to complement the existing system of workforce services, including utilizing employer partners and appropriate referral to the broader system of supportive services. The RFP process will also allow the IERPU to identify the best and most efficient possible service providers through a competitive bidding process. Because the IERPU Workforce Boards will be leading this effort, they will ensure that service providers are well informed regarding the region's priority sectors and occupations and are driving Prison to Employment participants toward the identified regional sectors pathways. Please see the budgets, budget narratives, and participant plans for further details.

All Prison to Employment participants will be tracked in CalJOBS, as required. The IERPU is also researching other tools to manage joint case management and information sharing amongst the contracted service providers and justice system partners. Participants will work with their case managers to access the full range of assessment and career exploration tools available through the AJCCs and other workforce system partners.

IERPU will take the innovative strategy to factor assessments based on both criminogenic and employment needs in developing an individual's employment plan. Presently, these two systems conduct their assessments independently of one another, and rarely does the information from one assessment translate or impact the other. Particularly in cases involving offenders at high risk of recidivism, it is critical to ensure that workforce staff are aware of the criminogenic factors that are driving a given individual's propensity to commit crime. The IERPU will ensure workforce staff are provided training in criminogenic assessments, that those assessments are made available to workforce staff whenever possible, and that the most intensive employment support resources available are provided to high risk offenders.

In addition to criminogenic assessment training, IERPU will require all staff from workforce agencies, local Boards and partners involved in the Prison to Employment initiative to attend the Re-Entry launch event. Finally, staff will be offered the opportunity to train in what is known as "trauma-informed care." Since a disproportionate percentage of offenders have experienced trauma, and because inappropriate coping mechanisms for dealing with trauma can present barriers to employment, it is important that staff be prepared to recognize, understand and respond to such behaviors.

The only entity in the region that is operating under a Forward Focus grant is CEO, a CBO which was described earlier. CEO has been a partner in the planning for this RFA, the IERPU Regional Plan Modification, and the Prison to Employment Planning grant and may participate

in the Prison to Employment initiative if selected in the RFP process to identify a CBO for service delivery.

As part of the Prison to Employment program in this jurisdiction, there will be a re-entry guide created. Similar to the "Roadmap to Re-Entry" guide created by Root and Rebound in Alameda County, this document will provide a list of services, recommendations and resources for offenders returning to their communities. One of the recommendations from offenders heard consistently through IERPU's process for this RFA was the need for identifying employers willing to consider people with criminal records. WDB staff will maintain internal lists of employers who are willing to hire justice involved individuals. It is critical to note that many employers are unwilling to be listed in a public document.

Once Prison to Employment funding is finalized, and CBO partners are identified after an RFP, the IERPU shall review all existing MOU and partnership arrangements with agencies regarding services for reentry individuals. MOU conditions with the CBO identified to provide intensive services through Prison to Employment funding will be established within the RFP process itself. Other MOUs, including those with corrections and business partners, will be re-assessed based on the new services available under Prison to Employment. The IERPU is currently in the process of discussing a Partnership Agreement with CDCR pertaining to this grant. County processes and policies to approve final agreements and MOUs with non-county agencies, which often have to be approved by the County Board of Supervisors (BOS), take time. The IERPU will continue to explore ways to expedite and improve these processes within both counties.

IV. Regional Supportive Service / Earn and Learn Plan

There are several programs already available in the Inland Empire that the Prison to Employment initiative will complement to enhance services and improve outcomes. The San Bernardino County Sheriff's Department Inmate Services Unit provides many services for those incarcerated and works with those soon to be released by providing job training in culinary, construction, baking, custodial, and Microsoft Office. In partnership with the San Bernardino County WDB, they employee two workforce Development Specialists to help with transition out of jail with job readiness programs. In 2018, they served 172 inmates through employment readiness programs. In Riverside County, the Sheriff's Department provides an in-custody program known as Greybar Printing, an opportunity within the Sheriff Department's Inmate Training and Education Bureau. Inmates have the chance to learn printing skills and workplace appropriate behavior and expectations. They also employ a full-time "re-entry coordinator" who assesses inmates incarcerated at jail and determines their level of interest, skill and potential for employment. Once released from custody, individuals are given referrals for services available through the Job Centers.

As already discussed, CEO provides transitional jobs and support services to approximately 150 offenders, and the previously described CSRI provides similar services, including transitional housing, substance abuse treatment, anger management, life skills and assistance with both employment and transportation to 459 parolees (current census). These existing resources only

touch a small fraction of the number of justice involved individuals in the IERPU. Through the stakeholder engagement process, partners overwhelmingly identified the need for greater case management resources to help individuals navigate the system of resources, as well as transitional employment opportunities, similar to Greybar and CalTrans. The Prison to Employment funds will be used to expand both these types of services, through competitively procured service providers.

The potential challenges and barriers facing the re-entry population are extensive. Among them are a lack of resources (for housing, personal necessity, transportation), challenges such as substance abuse and/or mental health issues, insufficient skills, training and/or education levels to achieve a job with livable wages, and an inadequate pro-social support system to overcome challenges as they arise in the transition from incarceration to returning to the community. The roles for addressing each of these issues will vary depending on each participant's position within the crosswalk of services shown earlier. Generally, case managers from Workforce Boards will determine the intensity of services required after receiving a criminogenic risk assessment (if available) and an employment needs assessment (this assessment shall include a thorough investigation of any programs completed during incarceration, along with educational transcript and prior work experience or certification). If the individual falls into Quadrant I of the crosswalk, their needs will likely be met through the Workforce Board and/or other community based existing services. If the needs of the Prison to Employment participant fall in the more intensive level of services, that individual will be referred to the CBO designated to provide a full menu of support. The CBO will have access to flex funds in order to address individual needs as they arise (treatment, housing, transportation, etc), a soft and technical skills training curriculum, case managers, peer mentors and transitional job opportunities. In order to ensure that job retention is addressed, support staff will continue to work with individuals who have found jobs for at least 12 months after their initial placement.

The success of efforts to connect offenders with employment opportunities will depend a great deal on the level of trust, collaboration and coordination between workforce and corrections partners. This will be reflected in referrals from corrections agencies and services to Prison to Employment and vice versa, the coordination of services to ensure that a Prison to Employment participant will not have conflicts with their supervision requirements, and in the sharing of criminogenic risk assessment information.

V. Data Collection and Evaluation

The Riverside County Economic Development Agency shall be the entity responsible for ensuring that all relevant program data is collected and reported. The IERPU's planned data collection method and reporting procedures will depend in large part on the State's release of additional guidance regarding the required data. That guidance has not been made available as of the writing of this application. The IERPU anticipates tracking data requirements in CalJOBS using a code particular to Prison to Employment. IERPU has included a small budget to purchase an additional data management information system should it become apparent that would be

beneficial. The program will also work with corrections partners to develop the capacity to track recidivism rates for program participants.

VI. Innovation and Sustainability

The processes developed over the course of the Prison to Employment grant will become part of the region's system, and will continue after the funding ends. In addition, the collaboration and coordination of services that will occur during the grant will likely result in achievement of some efficiencies as cross-regional efforts and initiatives will be able to share resources more effectively. The plan envisioned under this proposal includes increased collaboration with the corrections systems, and a melding of the principles from both correction and workforce development fields. This innovative approach will likely increase the potential to leverage funding from those sectors once it can demonstrate its effectiveness in reducing crime and improving employment outcomes. Finally, the Prison to Employment Council shall be tasked with investigating and considering other funding sources, whether through foundations, grant opportunities or leveraged funding, throughout the Prison to Employment program operation.

Dist. CW 1/15/20 CC

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES

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AGREEMENT NUMBER
MO113417

PURCHASING AUTHORITY NUMBER (If Applicable)

EDD-7100 M0113417 STD 213 (Rev. 03/2019) 1. This Agreement is entered into between the Contracting Agency and the Contractor named below: **CONTRACTING AGENCY NAME** California Workforce Development Board CONTRACTOR NAME Riverside County Economic Development Agency 2. The term of this Agreement is: START DATE September 1, 2019, or upon final approval THROUGH END DATE March 31, 2022 3. The maximum amount of this Agreement is: \$2,145,269.00 Two Million One Hundred Forty-Five Thousand Two Hundred Sixty-Nine Dollars and Zero Cents 4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement. **EXHIBITS** TITLE **PAGES** Exhibit A Scope of Work 1 Attachment A-1 Workplan 10 Exhibit B **Budget Detail and Payment Provisions** Attachment B-1 **Budget Summary** Attachment B-2 **Budget Narrative** Exhibit C* General Terms and Conditions GTC 04/2017 Exhibit D Special Terms and Conditions Exhibit E Contractor's Response - CWDB Request for Application (RFA) No. 84049 207 Exhibit F** **CWDB RFA No. 84049** **Items shown with a double asterisk (**) are hereby incorporated by reference and made part of this Agreement as if attached hereto. The document can be viewed in the Contract File No. M0113417. Items shown with an asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto. These documents can be viewed at https://www.dgs.ca.gov/OLS/Resources IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO. CONTRACTOR CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.) Riverside County Economic Development Agency **CONTRACTOR BUSINESS ADDRESS** СПҮ STATE ZIP Riverside CA 92507 1325 Spruce Street TITLE PRINTED NAME OF PERSON SIGNING Director Carrie Harmon CONTRACTOR AUTHORIZED SIGNATURE DATE SIGNED

FORM APPROVED COUNTY COUNSEL

BY DATE

12.17.19 3.16 Page 1 of 2 STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES PURCHASING AUTHORITY NUMBER (If Applicable) AGREEMENT NUMBER **STANDARD AGREEMENT** M0113417 EDD-7100 STD 213 (Rev. 03/2019) STATE OF CALIFORNIA CONTRACTING AGENCY NAME California Workforce Development Board STATE CONTRACTING AGENCY ADDRESS СПУ ZIP Sacramento CA 95814 800 Capitol Mall, Suite 1022, MIC 45 TITLE PRINTED NAME OF PERSON SIGNING Deputy Director Laura Caputo DATE SIGNED CONTRACTING AGENCY AUTHORIZED SIGNATURE Jama Caputo 01 14 2020 CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL EXEMPTION (If Applicable) SCM Vol. 1 section 4.06

EXHIBIT A (Standard Agreement)

SCOPE OF WORK

This Agreement is entered into by and between the California Workforce Development Board, hereinafter referred to as CWDB, and the Riverside County Economic Development Agency, hereinafter referred to as the Contractor, for the purposes of advancing the CWDB's Strategic Plan goals, specifically the Prison to Employment Initiative. The goals and tasks are identified in Attachment A-1, Workplan.

The Contractor will comply with the terms and conditions set forward in Exhibit A, Scope of Work, Attachment A-1, Workplan, Exhibit B, Budget Detail and Payment Provisions, Attachment B-1, Budget Summary, Attachment B-2, Budget Narrative, Exhibit C, General Terms and Conditions, Exhibit D, Special Terms and Conditions, and Exhibit E, CWDB RFA No. 84049, which, by reference, are made part of this Agreement.

1. The project representatives during the term of this agreement will be:

State Agency

California Workforce Development Board Attn: Cindy Harrington 800 Capitol Mall, Suite 1022 Sacramento, CA 95814 Phone: (916) 651-7526

E-Mail: cindy.harrington@cwdb.ca.gov

Contractor

Riverside County EDA Attn: Thi Pam 1325 Spruce Street Riverside, CA 92507 Phone: (831) 796-6434 E-Mail: tpham@rivco.org

2. Changes to the contract representatives will not require an amendment to the Contract.

ATTACHMENT A-1 (Standard Agreement) Workplan



Region Name: Inland Empire RPU

Lead Applicant: Riverside County Economic Development Agency, Workforce Development Division

Objectives/Activities	Estimated Dates
Quarter 1 (July - Sept 2019)	
Finalize contract and exhibits with the state	By July 2019
Begin planning process for issuing RFP to service providers	By July 2019
Customer centered design process, to inform RFP requirements	August 2019
Determine data collection and reporting processes	August 2019
Issue RFP for service providers	August 2019
Conduct procurement process	August - September 2019
Make funding decisions	By September 30, 2019
Conduct recruitments for new staff case management/liaison positions	July - September 2019
P2E Council meeting	July - September 2019
Quarter 2 (Oct - Dec 2019)	
Contracting process	Oct-Dec 2019
P2E Council meeting	Oct-Dec 2019
Staff trainings (criminogenic assessment tools; Workin It Out)	Oct-Dec 2019
Resource Guide development (to be launched at kick off event)	Oct-Dec 2019
Planning for kick off event for community	Oct-Dec 2019
Quarter 3 (Jan - March 2020)	
Service provider contracts begin (2 year term). Direct services begin.	1/1/2020
Reentry liaisons connecting to businesses; job development	Jan-Mar 2020
Additional case managers work with FI customers and connect them to services	Jan-Mar 2020
P2E Council meeting	Jan-Mar 2020
Kick off event for community	January or February 2020
Quarter 4 (April - June 2020)	
Clients access direct/supportive services and earn and learn opportunties	April-June 2020
Reentry Council meeting	April-June 2021
Quarter 5 (July - Sept 2020)	
Clients access direct/supportive services and earn and learn opportunties	July - Sept 2020
P2E Council meeting	July - Sept 2021
Quarter 6 (Oct - Dec 2020)	
Clients access direct/supportive services and earn and learn opportunties	Oct - Dec 2020
Review contractor performance and make determination for 2021 funding	Oct - Dec 2020
P2E Council meeting	Oct - Dec 2021
Quarter 7 (Jan - March 2021)	
Clients access direct/supportive services and earn and learn opportunties	Jan - Mar 2021
Explore resources for sustainability	Jan - Mar 2021
P2E Council meeting	Jan - Mar 2022

Quarter 8 (April - June 2021)	
Clients access direct/supportive services and earn and learn opportunties	April - June 2021
P2E Council meeting	April - June 2021
Quarter 9 (July - Sept 2021)	
Clients access direct/supportive services and earn and learn opportunties	July - Sept 2021
Explore resources for sustainability	July - Sept 2021
P2E Council meeting	July - Sept 2022
Quarter 10 (Oct - Dec 2021)	
Clients access direct/supportive services and earn and learn opportunties	Oct - Dec 2021
Explore resources for sustainability	Oct - Dec 2021
P2E Council meeting	Oct - Dec 2022
Closing event show casing best practices learned over course of grant	Oct - Dec 2022
Quarter 11 (Jan - March 2022)	The residence of the second
Grant wrap up and final reporting	Jan - Mar 2022
Explore resources for sustainability	Jan - Mar 2022
P2E Council meeting	Jan - Mar 2022

CWDB Contract No. M0113417 CWDB/Riverside County EDA Page 3 of 10

Applicant Namo		Bivareide County Economic Develonment Agency Workforce Develonment Division	I Planment Division	c	
Organization		Role and Responsibilities	Cash/In-Kind	Description of Fund Source	Sustainability Partner
Туре			(If Applicable)	(Cash (or) In-Kind)	(A/A)
		Required Partners	ers		
Local	Riverside County Workforce Development Board	Riverside County Riverside County SBC WDB for successful deployment of P2E Workforce Development funds. Staff at each AJCC/WDC to provide comprehensive case management services to eligible participants.		WIOA Adult and Dislocated Worker Formula Funds	Yes
Investment Boards in Region	San Bernardino County Workforce Development Board	Coordination with RC WDB for successful deployment of P2E funds. Staff at each AJCC/WDC to provide comprehensive case management services to eligible participants.		WIOA Adult and Dislocated Worker Formula Funds	Yes
Department of	California State San Bernardino Reentry Initiative (CSRI)	Services for parolees include: DV intervention, anger management, substance abuse counseling, GED, employment services, transitional housing and parenting. Four locations - Victorville, San Bernardino, Moreno Valley and Indio.			
Corrections	Division of Adult Parole Operations	DAPO will ensure parolees (Justice Involved) are referred to and have relevant information available to progress through training, educational and job opportunities.			MOU
					7
Som men	211 United Way	Planning partner; referrals to community and health information 24 hours a day, 7-days a week and in many languages. This service has listed prison reentry as a priority concern and have assigned two reentry specialists to assist callers			

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		Workplan	
Based Organizations / Faith Based	Center for Employment Opportunities (CEO)	Planning partner; referral of clients to services. Current Forward Focus grantee to provide transitional employment to FI.	Yes (MOU with RC)
Org / Other Non- Profits	Goodwill Industries of Southern California	Planning partner; referral of clients to services.	
	Operation New Hope	Planning partner; referral of clients to services (focus on justice involved youth).	
	California Family Life Centers	Youth program and training provider	
	7 214 7 2 3	Other Partners	
	EDD Local Wagner Peyser	Personnel to provide recruitment, pre- and post-training intensive service management, employment services, co-case management	WIOA and Non-WIOA
State Agency	Dept of Rehabilitation	Employment and supportive services for eligible clients	
Partners	Chugach Education Services, Inc.	Job Corps partner	
	Inland Empire Job Corps	Job Corps partner	
	Balfour Beatty Construction	Construction sector employment opportunities	Non-WIOA
	McLane	Logistics/Transportation sector employment opportunities	Non-WIOA
	Building Industry Association (BIA)	Construction sector employment opportunities	
Employers / Industry Champions	California Construction and Industrial Materials Association (CALCIMA)	Employment opportunities for aggregate, ready mixed concrete, and industrial mineral operations. Member companies operate about 500 plants in California.	
	Desert Valley Builders Association (DVBA)	Construction sector employment opportunities	

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		Workplan	an		
	University of California, Riverside, Justice Prestley Center	Content expert partner			
	Palo Verde College	Education & Training; AJCC system partner			
	San Bernardino City School District	System Adult Ed Partner – San Bernardino County AJCC System MOU			
	Beaumont Unified School District	AJCC System Partner – Riverside County AJCC System MOU			
	Coachella Valley Unified School District	AJCC System Partner – Riverside County AJCC System MOU			
	Corona-Norco Unified School District	AJCC System Partner – Riverside County AJCC System MOU			
	Jurupa Unified School District	AJCC System Partner – Riverside County AJCC System MOU			
	Lake Elsinore Unified	AJCC System Partner – Riverside County AJCC System MOU			
	School District	AJCC System Partner – Riverside County AJCC System MOU			
	Moreno Valley Unified	AJCC System Partner – Riverside County AJCC System MOU	7		
	School District	AJCC System Partner – Riverside County AJCC System MOU			
Education K-12	Riverside Unified School District	AJCC System Partner – Riverside County AJCC System MOU			
	Riverside County Office of Education	AJCC System Partner – Riverside County AJCC System MOU	650,000		
	Chaffey Adult School	AJCC System Partner – San Bernadino County AJCC System MOU			
	Chino Valley Adult School	AJCC System Partner – San Bernadino County AJCC System MOU		= 0	

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	Apple Valley Adult School	AJCC System Partner – San Bernadino County AJCC System MOU	
756 8 168	Hesperia Adult School	AJCC System Partner – San Bernadino County AJCC System MOU	
	Fontana Adult School	AJCC System Partner – San Bernadino County AJCC System MOU	
	Redlands Adult School	AJCC System Partner – San Bernadino County AJCC System MOU	
	Yucaipa Adult School	AJCC System Partner – San Bernadino County AJCC System MOU	
	Snowline Adult School	AJCC System Partner – San Bernadino County AJCC System MOU	
	Colton, Redland, Yucaipa ROP	Training Provider for healthcare sector initiatives, advisory council partner, Local Partnership Agreement partner	
	San Bernardino County Office of Education	AJCC System CTE Partner – San Bernardino County AJCC System MOU	
	Alta Vista Innovation H.S. dba Learn4Life	North Western Education Assmt AJCC System Partner – Riverside County AJCC System MOU	8
	Alta Vista South Public Charter dba Learn4Life	AJCC System Partner – Riverside County AJCC System MOU	
Other	El Sol Neighborhood Education Center	AJCC System and MOU Partner - Riverside and San Bernadino Counties	
Institutions	Antelope Valley Learning Academy dba Learn4Life	AJCC System Partner – Riverside County AJCC System MOU	
(e.g. ROP, CLE)	Diego Hills Central Public dba Learn4Life	AJCC System Partner – Riverside County AJCC System MOU	
	Innovation High dba	AJCC System Partner – Riverside County AJCC System MOU	
	Vista Norte Public Charter dba Learn4Life	AJCC System Partner – Riverside County AJCC System MOU	
	Riverside County Probation Department	Planning partner; client referrals; coordination of service delivery	

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	- 1	Workplan		
	Riverside County Sheriff Department	Planning partner; client referrals; coordination of service delivery	KC	Yes (MOU with RC)
	San Bernardino County Probation Department	Planning partner; client referrals; coordination of service delivery		
	Riverside County Dept of Public Social Services	Riverside County Dept of Planning partner; client referrals; Public Social Services coordination of service delivery		
	SB County Transitional Assistance			
	Housing Authority of the County of Riverside	AJCC System Partner – Riverside County AJCC System MOU Partner in working with those with barriers to employment		
Additional	Housing Authority of SB County	AJCC System Partner – SB County AJCC System MOU Partner in working with those with barriers to employment		
County Office(s)	Riverside County District coordination of Attorneys Office Partner in work employment	Planning partner; client referrals; coordination of service delivery Partner in working with those with barriers to employment		
	San Bernardino County Department of Behavioral Health	Behavioral health partner		
	Riverside County Mental Health Department	Behavioral health partner		
	Riverside University Health System	Public Health Partner		11
	SB County Department of Public Health	Public Health Partner		
	Riverside County Office on Aging	Older adult partner		
	County of Riverside Community Action Partnership	Community services block grant partner		

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	- 1			3
	Center for Employment and Training (CET)	HVAC technician, green technology training		Yes (MOU with RC)
Training Providers not	GRID Alternatives	Solar technology learn and earn opportunities	Non-WIOA	
mentioned	Health Staff Training	Healthcare training, Clinical Medical Assistant certification		
	RESCARE	Youth training provider		
	County of Riverside Economic Development Agency, Economic Development Division			
Economic Development	SB County Economic Development Agency			
Agencies	Coachella Valley Economic Partnership (CVEP)	Match participants with employers to provide OJTs or direct placement		
Others: (list)	California Indian Manpoower Consortium, Native American Partner Inc.	Native American Partner		
	17			
	THE REAL PROPERTY.	Total Cash/In-Kind Match	\$650,000	

ATTACHMENT A-1 (Standard Agreement) Workplan

Applicant Riverside County Economic Development Agency, Workforce Development Division

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b employment)	0 0000	0 8 0	20 25	30	30		70	09	40	0	500
	0000	800	15	25 60	15	30	30	30	20	0	200
3. Completion of Training	000	0	2	e 0		15	15	15	15	0	113
4. Attained Industry-Valued Certificate, Credential or Degree 0	00	-	c	0	3	3	3	3	3	0	20
5. Placement in Postsecondary Education 0	0	>	-		0	0	0	0	0	0	0
8. Placement in State-Approved Apprenticeship 0		0	2	3	3	3	3	3	3	0	20
											1
7. Placement in Industry Sector Employment											20
Industry Type: Manufacturing 0	k	-	1	-	,	-	,	-	2	0	10
Industry Type: Logistics/Transportation 0	1	1	-	1	-				2	0	10
Industry Type: Construction/Trades 0	2	2	2	2	2	2	2	က	က	0	20
Industry Type: (Add more rows if needed)	1	-	1	-	-	-	,	-	2	0	10
8. Placement in Temporary/Other Employment 0	2	9	9	9	9	9	9	9	9	0	50
9. Retention in Employment											70

EXHIBIT B (Standard Agreement)

BUDGET DETAIL AND PAYMENT PROVISIONS

A. Invoicing and Payment

- For services satisfactorily rendered and upon receipt and approval of the invoices, CWDB agrees to compensate the Contractor in accordance with Attachments B-1, Budget Summary and B-2, Budget Narrative. The total amount of this Agreement shall not exceed Two Million One Hundred Forty-Five Thousand Two Hundred Sixty-Nine Dollars and No Cents (\$2,145,269.00).
- 2. Invoices shall include the **CWDB Contract Number M0113417** and shall be submitted monthly in triplicate, in arrears to:

California Workforce Investment Board Attn: Angela Mendibles 800 Capitol Mall, Suite 1022, MIC 45 Sacramento, CA 95814

3. The Grantee is responsible for ensuring that invoices submitted to CWDB claim actual expenditures for eligible project costs under Attachments B-1 and B-2. Grantee shall, upon demand, remit to CWDB any grant funds not expended for eligible project costs or an amount equal to any grant funds expended by the Grantee in violation of the terms, provisions, conditions, or commitments of this Grant Agreement.

B. Withholding of Grant Disbursements

- The CWDB and EDD may withhold all or any portion of the grant funds provided for by this Grant Agreement in the event that that the Grantee has materially and substantially breached the terms and conditions of this Grant Agreement, including submission of required reports and data.
- The CWDB and EDD will not reimburse Grantee for costs identified as ineligible for grant funding. If grant funds have been provided for costs subsequently discovered to be ineligible, the CWDB and EDD may either withhold an equal amount from subsequent payments to the Grantee or require repayment of an equal amount to the state by the Grantee.
- 3. In the event that grant funds are withheld from the Grantee, the CWDB's Executive Director or designee shall notify the Grantee of the reasons for withholding and advise the Grantee of the time within which the Grantee may remedy the situation leading to the withholding.

EXHIBIT B (Standard Agreement)

C. Budget Contingency Clause

It is mutually understood between the parties that this Agreement may have been written before ascertaining the availability of congressional and legislative appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays which would occur if the Agreement were executed after that determination was made.

This Agreement is valid and enforceable only if (1) sufficient funds are made available by the State Budget Act of the appropriate State Fiscal Year(s) covered by this Agreement for the purposes of this program; and (2) sufficient funds are made available to the State by the United States Government or by the State of California for the Fiscal Year(s) covered by this Agreement for the purposes of this program. In addition, this Agreement is subject to any additional restrictions, limitations or conditions established by the United States Government and/or the State of California, or any statute enacted by the Congress and Legislature, which may affect the provisions, terms or funding of the Agreement in any manner.

The parties mutually agree that if the Congress and/or Legislature does not appropriate sufficient funds for the program, this agreement shall be amended to reflect any reduction in funds.

The CWDB has the option to terminate the agreement under the 30-day termination clause or to amend the Agreement to reflect any reduction of funds.

D. California Prompt Payment Clause

Payment will be made in accordance with, and within the time specified in, Government Code section 927, et seq.

CWDB Contract No. M0113417 CWDB/Riverside County EDA Page 3 of 3

EXHIBIT B (Standard Agreement)

		P2E Agency/I	P2E Agency/Funding Form		
RPU Partner				Participants	
Breakdown	Agency	Type of Agency	Services	Served	Funds Allocated
Fiscal Agent	Riverside County Economic Development Agency, Workforce Development Division	County	Administrative oversight, data reporting and performance	40	\$291,329
WDB	San Bernardino Workforce Development Board	WDB	Supportive services and Earn and Learn/Training	40	\$250,000
Agency	Center for Employment Opportunities	СВО	Post-release transitional and direct placement employment services	80	\$389,320
Agency	Goodwill of Southern California	СВО	Pre-release and post-release transitional and direct placement employment services	09	\$289,980
Agency	IE United Way	СВО	Pre-release and post-release referrals, limited direct placement employment services	20	\$44,000
Agency	Operation New Hope	СВО	Post-release transitional placement employment services	20	\$114,660
Agency	The Prison Education Project/The Reintegration Academy	СВО	Pre-release and post-release direct placement employment services at partner sites: Chaffey College, CSUSB, UCR	06	\$180,000
Agency	San Bernardino Community College District	Community College	Post-release transitional placement employment services at partner sites: San Bernardino County, Victorville, Moreno Valley, Indio	09	\$343,980
Agency	Starting Over	CBO	Post-release direct placement employment services, focus on housing	06	\$198,000
Agency	Racy Ming & Associates	Consultant	SSEL P2E Content Expert	0	\$44,000
Totals				200	\$2,145,269

ATTACHMENT B-1 (Standard Agreement) Budget Summary

	antee Inland Empire RI			Contracted	
	Budget Line Item	Admin*	In House Program	Program	Total
1	Staff Salaries and Fringe Benefits	\$50,127	\$76,802	\$0	\$126,929
2	Staff Travel	\$4,000			\$4,000
3	Operating Expenses		TEXT IN		
	- Facilities Rent	\$41,200			\$41,200
	- Office Supplies	\$30,100			\$30,100
	- Communications	\$44,000			\$44,000
	- Other (Describe)	\$45,100			\$45,100
4	Equipment Purchases &	Furniture			10 02
	- Purchases				\$(
	- Leases				\$(
5	Testing/Instructional Materials				\$(
6	Tuition Payments/Vouchers				\$1
7	Training Costs				\$
8	Supportive Services				\$
9	Indirect Costs				\$
10	Other Program Services				\$
11	Contracts			\$1,853,940	\$1,853,94
1	Total Budget Amount	214,527	76,802	1,853,940	\$2,145,26

	Total Cost	Percentage
Total Admin*	\$214,527	10%
Total Program	\$1,930,742	90%
Total Budget Amount	\$2,145,269	100%

^{*}Administrative Costs not to exceed 10% of total budget

ATTACHMENT B-2 (Standard Agreement) Budget Narrative

Grantee						
100	Budget Line Item 1 - Sta	off Salary and Fr		arged to Project		
List job tit	les of staff working on project (FTE)	Salaries	Fringe Benefits	Benefits %	Total	
	Development Specialist (.5)	\$103,232	\$23,697	43%	\$126,929	
	Subtotal				\$126,929	
Hi b	Budget Line Item		Narrativo De	tail	Budget	
	Budget Line Item	Narrative Detail			Amount	
2	Staff Travel	Admin costs ass	sociated with trav	/el	\$4,000	
3	Operating Expenses					
	- Facilities Rent	Meeting space	and associated co	osts	\$41,200	
	- Office Supplies	Meeting and of	fice supplies		\$30,100	
	- Communications	-	unications costs		\$44,000	
	Software for data management (i.e., Smartsheet).		\$45,100			
	- Other (Describe)	Other Admin			545,100	
4	Equipment Purchases & Furniture					
	- Purchases					
	- Leases					
F	Tooting/Instructional Bactorials					
5	Testing/Instructional Materials					
_	- 111 B					
6	Tuition Payments/Vouchers					
	the state of the s					
7	Training Costs					
8	Supportive Services					
9	Indirect Costs					
10	Other Program Services					
		Contract for se	rvices to be provi	ded by CBO(s) or other		
11	Contracts	entitites, including transitional job placement, case managers, peer mentors, supportive services as			\$1,853,940	
	The state of the s			on, treatment, etc)		
				nication, technical skills		
		and vocational		SB		
	compared for the many against a mistal and a mind	County WDB: \$	_			
		V-1000111-100001111-10000111-10000011-1000001-1000001-1000001-1000001-1000001-1000000	A94:05	tion, housing, texts,		
		tools, equipme	nt, one time cost	s to remove barriers to		
		employment		SB		
		County WDB: \$	100,000			
		Post-release tra	ansitional and dir	ect placement		
		employment se	ervices			
				unities: \$389,320		
			•	nsitional and direct	1	
		I '	oloyment service:			
		Goodwill of Sou	uthern California:	\$289,980	_	
		Pre-release and	d post-release re	ferrals, limited direct		
		placement emp	oloyment service:	S		
		IE United Way:				
		Post-release tra	ansitional placem	ent employment		
		services				
		Operation New	Hope: \$114,660			

CWDB Contract No. M0113417 CWDB/Riverside County EDA Page 2 of 2

ATTACHMENT B-2 (Standard Agreement) Budget Narrative

	Pre-release and post-release direct placement	
	employment services at partner sites: Chaffey College,	
	CSUSB, UCR	<u> </u>
	The Prison Education Project/The Reintegration	
	Academy: \$180.000	
	Post-release transitional placement employment	
	services at partner sites: San Bernardino County,	
	Victorville, Moreno Valley, Indio	
	San Bernardino Community College District: \$343,980	
	Post-release direct placement employment services,	
	focus on housing	
	Starting Over: \$198,000	
	SSEL P2E Content Expert	
	Racy Ming & Associates = \$44,000	
Total Budget /	Amount of Awarded Fund	\$2,145,269

	Amount	Percentage
Total Admin	\$214,527	10%
Total Program	\$1,930,742	90%

EXHIBIT D (Standard Agreement)

SPECIAL TERMS AND CONDITIONS

Subcontractors or Subgrantees

Nothing contained in this Agreement or otherwise, shall create any contractual relationship between the State and any subcontractors or subgrantees, and no subcontract or subgrant shall relieve the Contractor of his responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors or subgrantees and of persons either directly or indirectly employed by the Contractor. The Contractor's obligation to pay its subcontractors or subgrantees is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or to enforce the payment of any monies to any subcontractor or subgrantee.

Consultant–Staff Expenses

The Contractor represents that it has or shall secure at its own expense, all staff required to perform the services described in this Agreement. Such personnel shall not be employees of or have contractual relationship with any governmental entity.

3. Public Contract Code (Consultant Services)

The Contractor is advised that he/she has certain duties, obligations, and rights under the Public Contract Code §§ 10335 – 10381 and 10410 - 10412, with which the Contractor should be familiar. These Public Contract Code sections can be viewed at:

https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?

lawCode=PCC&division=2.&title=&part=2.&chapter=2.&article=4.

https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=PCC&division=2.&title=&part=2. &chapter=2.&article=8.

4. Contractor Evaluation

Within sixty (60) days after the completion of this Agreement, the Contract Manager shall complete a written evaluation of Contractor's performance under this Agreement. If Contractor did not satisfactorily perform the work, a copy of the evaluation will be sent to the State Department of General Services, Office of Legal Services, and to Contractor within 15 working days of the completion of the evaluation. (PCC 10369)

5. Contractor agrees to provide an education and training assessment for each individual of the supervised population who participates in this project pursuant to Penal Code Section 1234.3(c). The assessment may be undertaken by the contractor or by another entity. A prior assessment of an individual may be used if, in the determination of the CWDB, its results are accurate.

6. Termination Clause

This Agreement may be terminated by CWDB by giving written notice 30 days prior to the effective date of such termination.

EXHIBIT D (Standard Agreement)

7. Advance Work

Should the Contractor begin work before receiving a copy of the approved Agreement, any work performed before approval shall be considered as having been done at the Contractor's own risk and as a volunteer.

8. Force Majeure

Neither party shall be liable to the other for any delay in or failure of performance, nor shall any such delay in or failure of performance constitute default, if such delay or failure is caused by "Force Majeure." As used in the this section, "Force Majeure" is defined as follows: Acts of war and acts of God such as earthquakes, floods and other natural disasters such that performance is impossible.

9. Change in Contractor Staff

Should a Contractor's team member(s) become unavailable prior to a start date agreed upon with CWDB, the Contractor will propose an equally well-qualified replacement consultant after consultation with CWDB. All replacements are subject to CWDB approval. Switching staff that were identified in the Contractor's proposal with alternates immediately, or soon thereafter, after execution of this Agreement, are grounds for termination of this contract. CWDB has the right to request removal and/or replacement of Contractor resources.

10. Avoidance of Conflicts of Interest by the Contractor

- A. The Contractor agrees that all reasonable efforts will be made to ensure that no conflict of interest exists between its officers, agents, employees, consultants or members of its governing body.
- B. The Contractor shall prevent its officers, agents, employees, consultants or members of its governing body from using their positions for purposes that are, or give the appearance of being, motivated by a desire for private gain for themselves or others such as those with whom they have family, business or other ties.
- C. In the event that CWDB determines that a conflict of interest situation exists, any cost associated with the conflict may constitute grounds for termination of this agreement. This provision shall not be construed to prohibit the employment of persons with whom the Contractor's officers, agents, or employees have family, business or other ties so long as the employment of such persons does not result in increased costs over those associated with the employment of any other equally qualified applicant and such persons have successfully competed for employment with other applicants on a merit basis.
- D. Certain consultants designated by the EDD's Conflict of Interest Code are required to file a Statement of Economic Interests, Form 700. The Contractor agrees that if the Director of EDD determines that a Statement of Economic Interests, Form 700, is required, the consultant shall obtain the Form 700 and filing instructions from the EDD Personnel Office.

11. Travel Clause

The travel and per diem shall be set in accordance with Department of Personnel Administration for comparable classes and that no travel outside the State of California shall be reimbursed unless prior written authorization is obtained from CWDB.

EXHIBIT D (Standard Agreement)

12. Workforce Innovation and Opportunity Act

Contractor agrees to conform to nondiscrimination provisions of the Workforce Innovation and Opportunity Act (WIOA) and other federal nondiscrimination requirements as referenced in 29 CFR, Parts 37 and 38.

13. Disputes

If the Grantee is disputes an action of the CWDB in the administration of this Grant Agreement, the Grantee may appeal to the CWDB's Executive Director or designee. Such appeals shall be filed within 30 calendar days of the notification from Grantee of such dispute. The appeal shall be in writing and 1) state the basis for the appeal, 2) state the action being requested of the Executive Director, and 3) include any documentation relating to the dispute.

The CWDB Executive Director will review the correspondence and related documentation and render a decision of the appeal within 30 calendar days, except in those cases where the Grantee withdraws or abandons the appeal. The procedural time requirement may be waived with the mutual consent of the Grantee and the Executive Director.

- 14. Grantee shall cooperate with CWDB with regard to the performance of this agreement.
- 15. Grantee shall cooperate with CWDB to provide timely responses to any requests for data and/or reports the CWDB deems necessary for the evaluation of the grant program. Such data may include individual program participant data. Grantee further understands and agrees that this data will be shared with the CWDB and any other stakeholders.
- 16. Grantee is responsible for the project activities identified in the original Grant Proposal submitted to the CWDB, which is attached to and made a part of this Grant Agreement. Review and approval by the CWDB is solely for the purpose of proper administration of grant funds by EDD and shall not be deemed to relieve or restrict the Grantee's responsibility.
- 17. Grantee shall fulfill all assurances, declarations, representations, and statements made by the Grantee in the Grant Proposal, documents, amendments, approved modifications, and communications filed in support of its request for grant funds.
- 18. Grantee agrees to procure all permits and licenses necessary to complete the project, pay all charges and fees, and give all notices necessary or incidental to the due and lawful proceeding of the project work.

EXHIBIT E (Standard Agreement)

CWDB Contract No. M0113417 Total of 270 Pages

Prison to Employment Implementation Direct Services Grant <u>and</u> Supportive Services Earn and Learn Grant Cover Page

Applicant Riverside County Economic Development Agency, Workforce Development Division

Total Project	Budaet				_				\$	\$6,020,305
•								\$4,820,305		
Match					\$ \$1,200,000					
Address:				1325 Spruce Street						
City & Zip Code:				Riverside, CA 92507						
County:				Riverside						
Designated C	Contact	Perso	on and T	Γitle:		Thi Pham, Assistant Regional Manager, IERPU Regional Organizer				
Telephone:	951-9	55-046	64	Fax:	951-	955-3131	E-mail:	E-mail: tpham@rivco.org		
DUNS Numb	er:	8395	69605				-			
Proposed Region: Inland Empire RPU										
Proposed Regional priority sector occupations/Trades:				ors: construc onal services	tion, manufact s; security; IT; o	uring, logistics drivers, and ot	/transportatio	n. Cross sector I priority occupations.		
List Partners: Riverside County and Bernadino Probation I CDCR/DAPO; Cal Sta Operation New Hope; Alternatives; and othe				Departments ate San Bern Building Ind	; Riverside Co adino Reentry lustry Associat	ounty and San Initiative; 211 ion; Balfour Be	Bernadino Sh United Way; eatty Construc	CEO; Goodwill; ction; CET; Grid		
Approval of	Author	ized	Repres	entativ	е					
Name: Carrie Harmon				Title:	Riverside County Economic Development Agency, Workforce Development Division, Director					
Signature. Auciotam				Date:	2/13/2	019 E-mail:	chai	rmon@rivco.org		

CALIFORNIA CIVIL RIGHTS LAWS CERTIFICATION

Pursuant to Public Contract Code section 2010, if a bidder or proposer executes or renews a contract over \$100,000 on or after January 1, 2017, the bidder or proposer hereby certifies compliance with the following:

- 1. <u>CALIFORNIA CIVIL RIGHTS LAWS</u>: For contracts over \$100,000 executed or renewed after January 1, 2017, the contractor certifies compliance with the Unruh Civil Rights Act (Section 51 of the Civil Code) and the Fair Employment and Housing Act (Section 12960 of the Government Code); and
- 2. <u>EMPLOYER DISCRIMINATORY POLICIES</u>: For contracts over \$100,000 executed or renewed after January 1, 2017, if a Contractor has an internal policy against a sovereign nation or peoples recognized by the United States government, the Contractor certifies that such policies are not used in violation of the Unruh Civil Rights Act (Section 51 of the Civil Code) or the Fair Employment and Housing Act (Section 12960 of the Government Code).

CERTIFICATION

I, the official named below, certify under pe of the State of California that the foregoing	Federal ID Number 95-6000930					
Proposer/Bidder Firm Name (Printed) Riverside County EDA, Workforce Develope						
By (Authorized Signature)						
Printed Name and Title of Person Signing Carrie Harmon, Riverside County Workforce Development Division, Director						
Date Executed Executed in the County and State of Riverside						

FORM APPROVED COUNTY COUNSEL

BY

AMPINE DHILLON

DATE

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)	Federal ID Number						
Riverside County EDA, Workforce Developm	95-6000930						
By (Authorized Signature)	By (Authorized Signature)						
Printed Name and Title of Person Signing							
Carrie Harmon, Riverside County Workforce Development Division, Director							
Date Executed	Executed in the County of						
9/9/19	Riverside	•					

CONTRACTOR CERTIFICATION CLAUSES

- 1. <u>STATEMENT OF COMPLIANCE</u>: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 11102) (Not applicable to public entities.)
- 2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:
- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
- b. Establish a Drug-Free Awareness Program to inform employees about:
- 1) the dangers of drug abuse in the workplace;
- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,
- 4) penalties that may be imposed upon employees for drug abuse violations.
- c. Every employee who works on the proposed Agreement will:
- 1) receive a copy of the company's drug-free workplace policy statement; and,
- 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

- 3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)
- 4. <u>CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE-PRO BONO REQUIREMENT:</u> Contractor hereby certifies that Contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

6. SWEATFREE CODE OF CONDUCT:

- a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.
- b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations,

or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

- 7. <u>DOMESTIC PARTNERS</u>: For contracts of \$100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.3.
- 8. <u>GENDER IDENTITY</u>: For contracts of \$100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.35.

DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. <u>CONFLICT OF INTEREST</u>: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

- 1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
- 2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

- 1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.
- 2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

- 2. <u>LABOR CODE/WORKERS' COMPENSATION</u>: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)
- 3. <u>AMERICANS WITH DISABILITIES ACT</u>: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)
- 4. <u>CONTRACTOR NAME CHANGE</u>: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

- a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.
- b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.
- c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.
- 6. <u>RESOLUTION</u>: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.
- 7. AIR OR WATER POLLUTION VIOLATION: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.
- 8. <u>PAYEE DATA RECORD FORM STD. 204</u>: This form must be completed by all contractors that are not another state agency or other governmental entity.

DARFUR CONTRACTING ACT

Effective January 1, 2009, procurements for Non-Information Technology (Non-IT) goods or services must address the requirements of this Act. The Act is intended to preclude State agencies generally from contracting with *SCRUTINIZED* companies that do business in the African nation of Sudan (of which the Darfur region is a part), for the reasons described in Public Contract Code section 10475.

A SCRUTINIZED company is a company doing specified types of business in Sudan as defined in Public Contract Code section 10476. SCRUTINIZED companies are ineligible to, and cannot, bid on or submit a proposal for a contract with a State agency for Non-IT goods or services (Public Contract Code section 10477(a)). Public Contract Code section 10478 (a) requires a company that currently has (or within the previous three years has had) business activities or other operations outside of the United States to certify that it is not a SCRUTINIZED company in order to submit a bid or proposal to a State agency. A SCRUTINIZED company may still, however, submit a bid or proposal for a contract with a State agency for goods or services if the company first obtains permission from the Department of General Services (DGS) according to the criteria set forth in Public Contract Code section 10477(b).

If your company, within the previous three years, had any business activities or other operations outside of the United States, you need to complete the Darfur Contracting Act Certification. This applies regardless of the procurement approach, method, or solicitation format used including, but not limited to: Formal Bids, Informal Bids, Request for Proposals, Invitation for Bids, Non-Competitive Bids, the SB/DVBE Option, and under \$5,000 fair and reasonable pricing.

DARFUR CONTRACTING ACT CERTIFICATION

Public Contract Code, Sections 10475 -10481 applies to any company that currently or within the previous three years has had business activities or other operations outside of the United States. For such a company to bid on or submit a proposal for a State of California contract, the company must certify that it is either a) not a scrutinized company; or b) a scrutinized company that has been granted permission by the Department of General Services to submit a proposal.

If your company has not, within the previous three years, had any business activities or other operations outside of the United States, you do <u>not</u> need to complete this form.

OPTION #1 - CERTIFICATION

If your company, within the previous three years, has had business activities or other operations outside of the United States, in order to be eligible to submit a bid or proposal, please insert your company name and Federal ID Number and complete the certification below.

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that a) the prospective proposer/bidder named below is <u>not</u> a scrutinized company per Public Contract Code 10476; and b) I am duly authorized to legally bind the prospective proposer/bidder named below. This certification is made under the laws of the State of California.

Company/Vendor Name (Printed) Federal ID Num Riverside County EDA, WDD 95-6000930						
By (Authorized Signature)						
Printed Name and Title of Person Signing Carrie Harmon, Riverside County Workforce Development Division, Director						
Date Executed Executed in the County and State of Riverside						

OPTION #2 - WRITTEN PERMISSION FROM DGS

Pursuant to Public Contract Code, Section 10477(b), the Director of the Department of General Services may permit a scrutinized company, on a case-by-case basis, to bid on or submit a proposal for a contract with a state agency for goods or services, if it is in the best interests of the state. If you are a scrutinized company that has obtained written permission from the DGS to submit a bid or proposal, complete the information below.

We are a scrutinized company as defined in Public Contract Code, Section 10476, but we have received written permission from the Department of General Services to submit a bid or proposal pursuant to Public Contract Code, Section 10477(b). A copy of the written permission from DGS is included with our bid or proposal.

Company/Vendor Name (Printed)	Federal ID Number
Initials of Submitter	
Printed Name and Title of Person Initia	aling
L	

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION LOWER TIER COVERED TRANSACTION

This certification is required by the regulations implementing Executive Order, 12549, Debarment and Suspension, 29 CFR Part 98, Section 98.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988, Federal Register (Pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS WHICH ARE AN INTEGRAL PART OF THE CERTIFICATION.)

- The prospective recipient of Federal assistance funds certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2. Where the prospective recipient of Federal assistance funds is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Carrie Harmon, Riversion	de County Workforce Development Division, [horized Representative	Director
Manie and Trie of Aut	norized Nepresentative	
		9/9/19
Signature		Date

FORM APPROVED COUNTY COUNSEL

BY AMEN'T P. DHILLON DATE

INSTRUCTIONS FOR CERTIFICATION

- By signing and submitting this proposal, the prospective recipient of Federal assistance funds is providing the certification as set out below.
- 2. The certification in this clause is material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective recipient of Federal assistance funds knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the Department of Labor (DOL) may pursue available remedies, including suspension and/or debarment.
- 3. The prospective recipient of Federal assistance funds shall provide immediate written notice to the person to whom this proposal is submitted if at any time the prospective recipient of Federal assistance funds learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules and implementing Executive Order 12549. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective recipient of Federal assistance funds agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the DOL.
- 6. The prospective recipient of Federal assistance funds further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions, "without modification, in all lower tier covered transaction and in all solicitations for lower tier covered transactions.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may but is not required to, check the <u>List of Parties Excluded From Procurement or Nonprocurement Programs</u>.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntary excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the DOL may pursue available remedies, including suspension and/or debarment.

IRAN CONTRACTING ACT (Public Contract Code sections 2202-2208)

Prior to bidding on, submitting a proposal or executing a contract or renewal for a State of California contract for goods or services of \$1,000,000 or more, a vendor must either: a) certify it is <u>not</u> on the current list of persons engaged in investment activities in Iran created by the California Department of General Services ("DGS") pursuant to Public Contract Code section 2203(b) and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code section 2203(c) or (d).

To comply with this requirement, please insert your vendor or financial institution name and Federal ID Number (if available) and complete <u>one</u> of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of \$250,000 or twice the amount of the contract for which the false certification was made; contract termination; and three-year ineligibility to bid on contracts. (Public Contract Code section 2205.)

OPTION #1 - CERTIFICATION

I, the official named below, certify I am duly authorized to execute this certification on behalf of the vendor/financial institution identified below, and the vendor/financial institution identified below is <u>not</u> on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

Vendor Name/Financial Institution	Federal ID Number (or n/a)	
Riverside County EDA, Work	95-6000930	
By (Authorized Signature)		
Printed Name and Title of Perso	n Signing	
Carrie Harmon, Riverside Count	y Workforce Development Divis	sion, Director
Date Executed	Executed in	
9/9/19	Riverside	

OPTION #2 - EXEMPTION

Pursuant to Public Contract Code sections 2203(c) and (d), a public entity may permit a vendor/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enters into or renews, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

2 to a control in contraction below, and attach documentati	on demonstrating the exemption approval.
Vendor Name/Financial Institution (Printed)	Federal ID Number (or n/a)
By (Authorized Signature)	
District Co.	

Printed Name and Title of Person Signing

Date Executed

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. Section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- Identify the status of the covered Federal action.
- Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the
 information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last
 previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, state, and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state, and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitment.
- Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract, grant, or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFD-DE-90-001."
- For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- (a) Enter the full name, address, city, state, and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
 - (b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
- Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
- 13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
- 14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
- 15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
- 16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Α

STATE OF CALIFORNIA-DEPARTMENT OF FINANCE

PAYEE DATA RECORD
(Required when receiving payment from the State of California in lieu of IRS W-9)
STD, 204 (Rev. 6-2003)

	INSTRUCTIONS: Complete all information on this form. Sign, the bottom of this page. Prompt return of this fully completed this form will be used by State agencies to prepare Information F Statement. NOTE: Governmental entities, federal, State, and local (including the complete of the	form will prevent de Returns (1099). Se	elays when processing payments. Infection of the reverse side for more information of the reverse side for more information of the reverse side for more information.	farmantian muscided in		
	PAYEE'S LEGAL BUSINESS NAME (Type or Print) Riverside County Economic Development Agency, Workforce Development Division					
2	Riverside County Economic Development Agency, Work	cforce Developme				
	SOLE PROPRIETOR - ENTER NAME AS SHOWN ON SSN (La	ast, First, M.I.)	E-MAIL ADDRESS			
			charmon@rivco.org			
	MAILING ADDRESS	BUSINESS ADD		· · · · · · · · · · · · · · · · · · ·		
	1325 Spruce Street, 4th Floor	1325 Spruce Str				
	CITY, STATE, ZIP CODE	CITY, STATE, ZII		The state of the s		
	Riverside, CA, 92507	Riverside, CA,	92507			
PAYEE ENTITY TYPE	ENTER FEDERAL EMPLOYER IDENTIFICATION NUMBER (PARTNERSHIP CORPORATION: MEDICAL ESTATE OR TRUST LEGAL (e.g.) EXEMPT (r.g.) ALL OTHER	(e.g., dentistry, psychog., attorney services)	- 6 0 0 0 9 3 0 otherapy, chiropractic, etc.)	NOTE: Payment will not be processed without an accompanying taxpayer I.D. number.		
ONE BOX ONLY	INDIVIDUAL OR SOLE PROPRIETOR ENTER SOCIAL SECURITY NUMBER: (SSN required by authority of California Revenue and Tax Code Section 18646)					
PAYEE RESIDENCY STATUS	California resident - Qualified to do business in California or maintains a permanent place of business in California. California nonresident (see reverse side) - Payments to nonresidents for services may be subject to State income tax withholding. No services performed in California. Copy of Franchise Tax Board waiver of State withholding attached.					
5	I hereby certify under penalty of perjury that the in Should my residency status change,	I will promptly n	ided on this document is true a notify the State agency below.	and correct.		
	AUTHORIZED PAYEE REPRESENTATIVE'S NAME (Type or Pr	int)	TITLE			
	Carrie Harmon		Riverside County ED	A, WD, Director		
	SIGNATURE	DATE	TELEPHONE	200000000000000000000000000000000000000		
		09/09/2019	(951) 955-1209			
	Please return completed form to:					
6	Department/Office: Employment Development	Department				
	Unit/Section: BOPSD/MIC 62-C	Alanan Caran Sangapan makan da		•		
	Mailing Address: 722 Capitol Mall, Room 209	99		·		
	City/State/Zip: Sacramento, CA 95814	·				
	Telephone: (916) 654-5351	Fax: (916)	449-1767			
	E-mail Address: Caitlin.Clark@edd.ca.gov	Mama digniquia de la casa de la c				

CERTIFICATION REGARDING LOBBYING

CERTIFICATION FOR CONTRACTS, GRANTS, LOANS, AND COOPERATIVE AGREEMENTS

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all* subawards at all tiers (including subcontracts, subgrants and contracts under grants, loans, and cooperative agreements) and that all* subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Riverside County EDA, Workforce Development Grantee/Contractor Organization	RivCoP2E-IDS/SSEL Program/Title	
Carrie Harmon, Riverside County Workforce Develor Name and Title of Authorized Signatory	oment Division, Director	
Signature	9/9/19 Date	·

*Note:

In these instances, "All," in the Final Rule is expected to be clarified to show that it applies to covered contract/grant transactions over \$100,000 (per 29 CFR 93.110).

BY AMRIT P. DHILLON DATE

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure)

1. Type of Federal Action		ederal Action	3. Report Type:
a. contract	a. bid/offe	r/application	a. initial filing
b. grant	b. initial a		b. material change
c. cooperative agreement	c. post-av	vard	For Material Change Only:
d. loan	•		year quarter
e. loan guarantee			date of last report
f. loan insurance			
4. Name and Address of Reporting	g Entity:	5. If Report	ing Entity in No. 4 is a Subawardee,
Prime Subawardee	_ •	Enter Na	me and Address of Prime:
Tier, if known:			
•			
•			
Congressional District, if known:		Congress	ional District, if known:
6. Federal Department/Agency:		7. Federal P	rogram Name/Description:
			- 9
		CFDA Nui	mber, if applicable:
8. Federal Action Number, if know	n:		nount, if known:
		\$	
10.a. Name and Address of Lobbyi	na Entity	h Individua	Performing Services (include address
(if individual, last name, first na	me. MI)	if different	from No. 10a.)
	****		e, first name, MI):
(attacl	Continuation Sheet	(s) SF-LLL-A, if nece	
11. Amount of Payment (check all the	nat apply):		ayment (check all that apply):
s actual	planned	a. retaine	
12. Form of Payment (check all that	anniv):	b. one-tir	
a. cash	арріу).		
b. in-kind; specify: nature		c. comm	
value		d. conting e. deferre	
14. Brief Description of Services Pe	eformed or to b	i. Other,	specify:
officer(s), employee(s) or Memb	orie) contested	e Performed and	date(s) of Service, including
omestical combined code of methic	er(s) comacted	, for Payment in	dicated on item 11:
/attach	Continuation Observ	AND THE A STREET	n
15. Continuation Sheet (s) SF-LLL-A	ottoob and	(s) SF-LLL-A, if neces	
16. Information requested through this form	attacheo.	Yes	No
Title 31 U.S.C. Section 1352. This disc	is authorized by		
lobbying activities is a material represen	ntation of fact	Signature:	
upon which reliance was placed by the	tier shove when	15 1. (1)	
this transaction was made or entered in	to. This dis-	Print Name:	The state of the s
closure is required pursuant to 31 U.S.(C. 1352. This		
information will be reported to the Cond	ress semi-	little:	
annually and will be available for public	inspection. Any		
person who fails to file the required disc	losure shall be	relephone No.:	Date:
subject to a civil penalty of not less than	1 \$10,000 and	*	
not more than \$100,000 for each such f	ailure.		
	والاستاسات		INTO COLLABORI
	FORM A	ALKONEH COL	INTY COUNSEL

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

AMRIT P. DHILLON

PAYEE DATA RECORD

STD. 204 (Rev. 6-2003) (REVERSE)

1

Requirement to Complete Payee Data Record, STD. 204

A completed Payee Data Record, STD. 204, is required for payments to all non-governmental entities and will be kept on file at each State agency. Since each State agency with which you do business must have a separate STD. 204 on file, it is possible for a payee to receive this form from various State agencies.

Payees who do not wish to complete the STD. 204 may elect to not do business with the State. If the payee does not complete the STD. 204 and the required payee data is not otherwise provided, payment may be reduced for federal backup withholding and nonresident State income tax withholding. Amounts reported on Information Returns (1099) are in accordance with the Internal Revenue Code and the California Revenue and Taxation Code.

- Enter the payee's legal business name. Sole proprietorships must also include the owner's full name. An individual must list his/her 2 full name. The mailing address should be the address at which the payee chooses to receive correspondence. Do not enter payment address or lock box information here.
- Check the box that corresponds to the payee business type. Check only one box. Corporations must check the box that identifies 3 the type of corporation. The State of California requires that all parties entering into business transactions that may lead to payment(s) from the State provide their Taxpayer Identification Number (TIN). The TIN is required by the California Revenue and Taxation Code Section 18646 to facilitate tax compliance enforcement activities and the preparation of Form 1099 and other information returns as required by the Internal Revenue Code Section 6109(a).

The TIN for individuals and sole proprietorships is the Social Security Number (SSN). Only partnerships, estates, trusts, and corporations will enter their Federal Employer Identification Number (FEIN).

Are you a California resident or nonresident?

A corporation will be defined as a "resident" if it has a permanent place of business in California or is qualified through the Secretary of State to do business in California.

A partnership is considered a resident partnership if it has a permanent place of business in California. An estate is a resident if the decedent was a California resident at time of death. A trust is a resident if at least one trustee is a California resident.

For individuals and sole proprietors, the term "resident" includes every individual who is in California for other than a temporary or transitory purpose and any individual domiciled in California who is absent for a temporary or transitory purpose. Generally, an individual who comes to California for a purpose that will extend over a long or indefinite period will be considered a resident. However, an individual who comes to perform a particular contract of short duration will be considered a nonresident.

Payments to all nonresidents may be subject to withholding. Nonresident payees performing services in California or receiving rent, lease, or royalty payments from property (real or personal) located in California will have 7% of their total payments withheld for State income taxes. However, no withholding is required if total payments to the payee are \$1,500 or less for the calendar year.

For information on Nonresident Withholding, contact the Franchise Tax Board at the numbers listed below:

Withholding Services and Compliance Section:

For hearing impaired with TDD, call:

1-888-792-4900 1-800-822-6268

Website:

E-mail address: wscs.gen@ftb.ca.gov www.ftb.ca.gov

- Provide the name, title, signature, and telephone number of the individual completing this form. Provide the date the form was completed.
- 6 This section must be completed by the State agency requesting the STD, 204.

Privacy Statement

Section 7(b) of the Privacy Act of 1974 (Public Law 93-579) requires that any federal, State, or local governmental agency, which requests an individual to disclose their social security account number, shall inform that individual whether that disclosure is mandatory or voluntary, by which statutory or other authority such number is solicited, and what uses will be made of it.

It is mandatory to furnish the information requested. Federal law requires that payment for which the requested information is not provided is subject to federal backup withholding and State law imposes noncompliance penalties of up to \$20,000.

You have the right to access records containing your personal information, such as your SSN. To exercise that right, please contact the business services unit or the accounts payable unit of the State agency(les) with which you transact that business.

All questions should be referred to the requesting State agency listed on the bottom front of this form.



Original Negative Declaration/Notice of Determination was routed to County

|2|18|19 Vb

NOTICE OF EXEMPTION

December 5, 2019

Project Name: County of Riverside, Economic Development Agency (EDA)/Workforce Development Division, Prison to Employment Implementation Direct Services and Supportive Services/Earn and Learn Grants Award

Project Location: Riverside and San Bernardino Counties

Description of Project: In 2011, Governor Brown signed AB 109 to reduce the volume of inmates convicted of low-level crimes in state prisons, placing more of these individuals under the purview of county probation departments. As the impact of this legislation has taken hold, increasing attention has been placed on recidivism. Studies suggest a link between an individual's ability to find a job after release from prison and a reduced risk of returning to prison. In July 2018, the California Legislature approved SB 856, which included allocation of state general funds to resource a Prison to Employment Initiative (P2E Initiative). The California Workforce Development Board (CWDB) subsequently announced a series of related grants to be carried out over three State budget years. Initiative funding will be distributed via three different grant types: (1) Planning Grants; (2) Implementation and Direct Services Grants; and (3) Supportive Services and Earn and Learn Grants. The CWDB distributes funding for these grants to Regional Planning Units. The Riverside County and San Bernardino County Workforce Development Boards comprise the Inland Empire Regional Planning Unit (IERPU). On January 8, 2019, the County of Riverside, on behalf of the IERPU, received \$190,000 for a Planning Grant. These funds are currently being used to develop plans and strategies for addressing employment-related needs of justice involved individuals throughout the two County region. On November 15, 2018, CWDB issued a Request for Applications for the latter two types of Grants under the Initiative. Implementation and Direct Services Grants and Supportive Services and Earn and Learn Grants (collectively, Implementation Grants) allow grantees to implement strategies designed under the Planning Grant. Specifically, the Implementation Grants aim to connect formerly incarcerated and justice-involved individuals to transitional and permanent employment. On April 19, 2019, CWDB conditionally awarded a total of \$3,210,891 in Implementation Grants to the County of Riverside, on behalf of the IERPU. Of this total amount, \$1,065,622 is for an Implementation and Direct Services Grant and \$2,145,269 is for a Supportive Services and Earn and Learn Grant. Staff recommend that the Board of Supervisors accept the grant award and approve the Grants Agreements between the County and the CWDB in the total aggregate amount of \$3,210,891 with a term from September 1, 2019 to March 31, 2022. There is no possibility that the proposed program may have a significant effect on the environment, as grant activities will have purely financial and social-welfare benefits and will not lead to any direct or reasonably indirect physical environmental impacts.

Name of Public Agency Approving Project: County of Riverside, Economic Development Agency, Workforce Development Division

DEC 17 2019 3.16

Name of Person or Agency Carrying Out Project: County of Riverside, Economic Development Agency, Workforce Development Board and San Bernardino Workforce Development Board

Exempt Status: State CEQA Guidelines, Section 15061(b) (3), General Rule or "Common Sense" Exemption, Codified under Title 14, Article 5, Section 15061.

Reasons Why Project is Exempt: The proposed Project is categorically exempt from the provisions of CEQA specifically by the State CEQA Guidelines as identified below. The Project will not result in any specific or general exceptions to the use of the categorical exemption as detailed under State CEQA Guidelines Section 15300.2. The Project will not cause an impact to an environmental resource of hazardous or critical concern nor would the Project include a reasonable possibility of having a significant effect on the environment due to unusual circumstances. The Project would not result in impacts to scenic highways, hazardous waste sites, historic resources, or other sensitive natural environments, or have a cumulative effect to the environment. No significant environmental impacts are anticipated to occur with implementation of the Prison to Employment Implementation Direct Services and Supportive Services/Earn and Learn Grants through the partnership between the County and San Bernardino County Workforce Development Board.

Section 15061 (b) (3)- "Common Sense" Exemption: In accordance with CEQA, the use of the Common Sense Exemption is based on the "general rule that CEQA applies only to projects which have the potential for causing a significant effect on the environment." State CEQA Guidelines, Section 15061(b) (3). The use of this exemption is appropriate if "it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment." Ibid. This determination is an issue of fact and if sufficient evidence exists in the record that the activity cannot have a significant effect on the environment, then the exemption applies and no further evaluation under CEQA is required. See No Oil, Inc. v. City of Los Angeles (1974) 13 Cal. 3d 68. The ruling in this case stated that if a project falls within a category exempt by administrative regulation or 'it can be seen with certainty that the activity in question will not have a significant effect on the environment', no further agency evaluation is required. With certainty, there is no possibility that the Project may have a significant effect on the environment. The sharing of funds within the IERPU will be utilized for regional P2E efforts including coordination of program activities and partnerships, organizing, training, and implementation efforts provisioned by the County through the Workforce Innovation and Opportunity Act. The Prison to Employment Implementation Direct Services and Supportive Services/Earn and Learn Grants will provide continued partnership between the two entities that allow for collaboration and implementation activities. The Grants will not result in any direct or indirect physical effects. Therefore, in no way, would the Project as proposed have the potential to cause a significant environmental impact and the Project is exempt from further CEQA analysis.

Based upon the identified exemptions above, the County of Riverside, Economic Development Agency hereby concludes that no physical environmental impacts are anticipated to occur and the Project as proposed is exempt under CEQA. No further environmental analysis is required.

Signed:			Date:			
oren Sims, Principle						
County of Riverside,	Economic	Development	Agency,	Workforce	Development	
Division						

RIVERSIDE COUNTY CLERK & RECORDER

AUTHORIZATION TO BILL BY JOURNAL VOUCHER

Project Name: County of Riverside, Economic Development Agency (EDA), Workforce Development Division, Prison to Employment Implementation Direct Services and Supportive Services/Earn and Learn Grants Award

Accounting String: 537180 – 21550 – 1900300000

DATE: December 5, 2019

AGENCY: Riverside County Economic Development Agency, Workforce

Development Division

THIS AUTHORIZES THE COUNTY CLERK & RECORDER TO BILL FOR FILING AND HANDLING FEES FOR THE ACCOMPANYING DOCUMENT(S).

NUMBER OF DOCUMENTS INCLUDED: One (1)

AUTHORIZED BY: <u>Loren Sims, Principle Development Specialist, Economic Development Agency, Workforce Development Division</u>

Si	gnatur	e:	

PRESENTED BY: T. Kim Pham, Senior Development Specialist, Workforce Development Division, Economic Development Agency

-TO BE FILLED IN BY COUNTY CLERK- ACCEPTED BY:

DATE: RECEIPT# (S)



Riverside County Workforce Development Centers 1325 Spruce Street, Suite 110, Riverside, CA 92507

Date: December 5, 2019

To: Kiyomi Moore or Josefina Castillo, Office of the County Clerk/Recorder

From: T. Kim Pham, Senior Development Specialist, EDA, WDD

Subject: County of Riverside, Economic Development Agency (EDA), Workforce Development Division, Prison to Employment Implementation Direct Services and Supportive Services/Earn and Learn Grants Award

The Riverside County's Economic Development Agency's Workforce Development Division is requesting that you post the attached Notice of Exemption. Attached you will find an authorization to bill by journal voucher for your posting fee.

After posting, please return the document to:

Mail Stop #1330

Attention: Mike Sullivan, Senior Environmental Planner, Economic Development

Agency,

3403 IOth Street, Suite 400, Riverside, CA 92501

If you have any questions, please contact Mike Sullivan at 951-955-8009.

Attachment

cc: file

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