

**SUBMITTAL TO THE FLOOD CONTROL AND
WATER CONSERVATION DISTRICT
BOARD OF SUPERVISORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**



ITEM: 11.3
(ID # 15092)

MEETING DATE:

Tuesday, May 11, 2021

FROM: FLOOD CONTROL DISTRICT:

SUBJECT: FLOOD CONTROL DISTRICT: Review and Determine That Emergency Conditions Continue and There is a Need to Continue the Apple Fire and El Dorado Fire Emergency Actions; Receive and File the Report of the Apple Fire and El Dorado Fire Emergency Actions in the Areas of Cherry Valley, Highland Springs, Banning Canyon, Mias Canyon and Banning Bench in Unincorporated Riverside County and the Cities of Beaumont and Banning; Receive and File the Second Amendment to Emergency Protection Agreement Between the Riverside County Flood Control and Water Conservation District and Granite Construction Company for Emergency Contract Work on the Emergency Protection Project, Nothing Further is Required Under CEQA, District 5. [\$90,877 – 100% District Zone 5 Funds] (4/5 vote required)

RECOMMENDED MOTION: That the Board of Supervisors:

1. Find that nothing further is required pursuant to the California Environmental Quality Act ("CEQA") for the Emergency Actions by the Riverside County Flood Control and Water Conservation District ("District") as it relates to the Apple Fire and El Dorado Fire because these actions are exempt under CEQA as detailed in the Notice of Exemption approved by the Board of Supervisors on October 6, 2020 (Agenda Item No. 11.4);
2. Review and determine that emergency conditions continue and there is a need to continue the Apple Fire and El Dorado Fire Emergency Actions ("Emergency Actions");

Continued on Page 2

ACTION: 4/5 Vote Required, Policy

Jason Uhley, GENERAL MGR-CHF FLD CNTRL ENG 4/28/2021

MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Hewitt, seconded by Supervisor Spiegel and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes: Jeffries, Spiegel, Washington, Perez, and Hewitt
Nays: None
Absent: None
Date: May 11, 2021
xc: Flood

Kecia R. Harper
Clerk of the Board

By:
Deputy

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RECOMMENDED MOTION: That the Board of Supervisors:

3. Receive and file the report of the Emergency Actions taken without solicitation of bids, as authorized by California Public Contract Code Section 22050 and Resolution No. F94-39;
4. Receive and file the Second Amendment to Emergency Protection Agreement ("Second Amendment") between the District and Granite Construction Company for emergency contract work on the Emergency Protection Project ("Emergency Project") without solicitation of bids, as authorized by California Public Contract Code Section 22050 and Resolution No. F94-39; and
5. Authorize the use of District funds in the amount of \$90,877 for the Emergency Actions.

Continued on Page 3

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FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:	Ongoing Cost
COST	\$ 90,877	\$ 0	\$90,877	\$ 0
NET COUNTY COST	\$ 0	\$ 0	\$ 0	\$ 0
SOURCE OF FUNDS: District Zone 5 Funds - 100%			Budget Adjustment: No	
			For Fiscal Year: 20/21	

C.E.O. RECOMMENDATION:

BACKGROUND:

Summary

In the event of an emergency, California Public Contract Code Section 22050 and the District's Resolution No. F94-39, adopted by the Board of Supervisors on November 22, 1994 (Agenda Item No. 9.4), delegate to the General Manager-Chief Engineer the authority to repair or replace a public facility, take any directly related and immediate action required by that emergency, and procure the necessary equipment, services and supplies for those purposes without giving notice for bids to let contracts.

The Fires

- Apple Fire
 - Date of Origin: July 31, 2020
 - Location: Oak Glen/Cherry Valley
 - Size: Over 33,000 acres in the Counties of Riverside and San Bernardino.
 - The Riverside County Board of Supervisors adopted Resolution No. 20120-190 on August 4, 2020 (Agenda Item No. 3.73) proclaiming the existence of a "Local Emergency" in Riverside County.
 - Governor Gavin Newsom declared a statewide emergency due to wildfires burning throughout the state, including the Apple Fire on August 18, 2020.

- El Dorado Fire
 - Date of Origin: September 5, 2020
 - Location: Yucaipa Ridge area, West Oak Glen, San Bernardino Mountains
 - Size: Over 22,000 acres, within the County of San Bernardino and portions of the County of Riverside.
 - An emergency proclamation for San Bernardino County due to the El Dorado Fire was issued by Governor Gavin Newsom on September 6, 2020.

The burn areas of the two fires include the steep terrain and canyons that drain toward the areas of Cherry Valley, Highland Springs, Banning Canyon, Mias Canyon and Banning Bench in Unincorporated Riverside County and the cities of Beaumont and Banning ("Post-Fire Risk Area"). The fires have burned several canyons, which can produce dangerous mud and debris flows and increase the risk of flooding in populated areas during the rainy season. The District's

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existing facilities in the area – including but not limited to Noble Creek, Little San Geronio Creek, Highland Springs Channel, Banning Levee and Cabazon Channel – provide flood protection for much of the adjacent communities during large storm events. However, due to the vast burn area in the canyons, these facilities have a high potential to receive significant levels of mud and vegetative debris from the burnt watershed during storm events. Debris flows and/or blockage of or damage to the flood control facilities could result in a critical threat to life and property in the adjacent communities in the Post-Fire Risk Area.

With localized thunderstorms (which have a probability to trigger mud and debris flows in the burn area) forecasted within the Apple Fire and El Dorado Fire burn areas, the General Manager-Chief Engineer, in accordance with California Public Contract Code Section 22050 and the District's Resolution No. F94-39, found that the emergency will not permit a delay in the implementation of the Emergency Actions resulting from a competitive solicitation for bids. As the burn areas of the two fires overlap at the eastern perimeter of the El Dorado Fire and the western perimeter of the Apple Fire and affect some of the same watersheds, the District has grouped the two fires into the same response.

On September 15, 2020 (Agenda Item No. 11.2); October 6, 2020 (Agenda Item No. 11.4); October 20, 2020 (Agenda Item No. 11.10); November 3, 2020 (Agenda Item No. 11.4); November 17, 2020 (Agenda Item No. 11.4); December 8, 2020 (Agenda Item No. 11.5); December 15, 2020 (Agenda Item No. 11.9); January 12, 2021 (Agenda Item No. 11.2); January 26, 2021 (Agenda Item No. 11.4); February 9, 2021 (Agenda Item No. 11.3); March 2, 2021 (Agenda Item No. 11.6); March 23, 2021 (Agenda Item No. 11.5); April 13, 2021 (Agenda Item No. 11.3); and April 27, 2021 (Agenda Item No. 11.3), the Board of Supervisors made motions to (i) review and determine that emergency conditions continue and there is a need to continue the Emergency Actions, (ii) receive and file the Emergency Actions, (iii) receive and file the Emergency Protection Agreements and associated Amendment and (iv) authorize the use of District funds for the Emergency Actions. It is requested that the Board of Supervisors review and determine that emergency conditions continue and there is a need to continue the Emergency Actions.

The District has taken the following additional Emergency Actions:

Current Emergency Actions

- Engaged Granite Construction Company for additional emergency contract work on the Emergency Project in the Post Fire Risk Area.
- Obtain aerial photos and LiDAR mapping of the burn area.

Total costs associated with these Emergency Actions are \$90,876.79. In this action, the District is requesting Board approval for authorization to use District funds for these necessary costs. It is requested that the Board of Supervisors review and determine that emergency conditions continue and there is a need to continue the Emergency Actions.

In addition to the Emergency Actions, the District:

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- Has initiated proactive maintenance and enhancement of District facilities, including Noble Creek, to prepare for expected impacts from flooding, mud and debris events; and
- Is continuing to evaluate potential emergency projects that may be needed to restore existing District facilities in the Post Fire Risk Area after flood, mud or debris events; and
- Is identifying agencies that the District may need to partner with to facilitate emergency mitigation actions to protect or enhance District infrastructure; and
- Is continuing to coordinate with and provide data to the United States Forest Service's Burn Area Emergency Response Team and CALFire Watershed Emergency Response Team, who are responsible for assessing the severity of the burn, identifying areas at risk and subsequently providing the rainfall frequency thresholds that would trigger mud or debris flow within the impacted canyons; and
- Is continuing to coordinate with the Riverside County Emergency Management Department to provide information to guide the development of the Concept of Operations plan that will coordinate information sharing, public communication, and coordinated multi-agency preparation and response to events; and
- Is sharing information with local agencies to assist them with the preparation of their community outreach and individual mitigation and response activities for the coming winter.

Prev. Agn. Ref.: 9.4 of 11/22/94

MT# 13394 11.2 of 09/15/2020
MT# 13542 11.4 of 10/06/2020
MT# 13635 11.10 of 10/20/2020
MT# 13697 11.4 of 11/03/2020
MT# 13827 11.4 of 11/17/2020
MT# 13982 11.6 of 12/08/2020
MT# 14031 11.9 of 12/15/2020
MT# 14042 11.2 of 01/12/2021
MT# 14282 11.4 of 01/26/2021
MT# 14423 11.3 of 02/09/2021
MT# 14517 11.6 of 03/02/2021
MT# 14605 11.5 of 03/23/2021
MT# 14851 11.3 of 04/13/2021
MT# 14974 11.3 of 04/27/2021

Environmental Analysis

Nothing further is required because the Emergency Actions are exempt under CEQA as detailed in the Notice of Exemption (NOE) approved by the Board of Supervisors on October 6, 2020 (Agenda Item No. 11.4). The Board of Supervisors recognized the Apple Fire as a local emergency on August 4, 2020. The Governor declared a state of emergency for the wildfires burning statewide, including the Apple Fire, on August 18, 2020 and the El Dorado Fire on September 6, 2020. Therefore, no further analysis is required under CEQA.

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Impact on Residents and Businesses

The conditions in the burn areas of the fires have left the communities in the Post-Fire Risk Area vulnerable to flooding and mud flows during storm events. In the event of a storm, the Post-Fire Risk Area may be negatively impacted if these measures are not taken to protect the communities.

SUPPLEMENTAL:

Additional Fiscal Information

A summary of the Emergency Actions and costs are listed below:

<u>Contractor/Vendor Name</u>	<u>Emergency Action Description</u>	<u>Cost</u>
1. Granite Construction Company	Emergency Protection Project	\$51,176.79
2. Digital Mapping	Obtain aerial photos	\$39,700.00
TOTAL		\$90,876.79

The District budgets for flood emergencies and/or unexpected contingencies to minimize risks to life and property. Sufficient funds are available in the District's Zone 5 budget for FY 2020-2021.

SOURCE OF FUNDS: (Continued)

- 25150-947480-548200 Infrastructure – Zone 5
- 25150-947480-525440 Professional Services – Zone 5

Contract History and Price Reasonableness

The original contract amount for the Emergency Protection Agreement and the costs of the Second Amendment are summarized below:

Emergency Protection Agreement with Granite Construction Company		
Original budget:	\$500,000.00	(Not-to-exceed \$500,000 for FY 2020/2021)
First Amendment:	\$550,000.00	(An aggregate amount increase of \$550,000 for FY 2020/2021)
Second Amendment:	\$51,176.79	(An aggregate amount increase of \$1,101,176.79 for FY 2020/2021)
Total:	\$1,101,176.79	

ATTACHMENTS:

1. Second Amendment to Emergency Protection Agreement with Granite Construction Company and Insurance Documents

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AK:blm
P8/237860



Jason Farin, Principal Management Analyst

5/4/2021



Gregory L. Priamos, Director County Counsel

4/28/2021

SECOND AMENDMENT TO EMERGENCY PROTECTION AGREEMENT
Emergency Protection Project – Apple and El Dorado Fires

This Second Amendment to Emergency Protection Agreement ("SECOND AMENDMENT"), dated as of May 11, 2021, is entered into by and between the RIVERSIDE COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT, a body politic ("DISTRICT"), and GRANITE CONSTRUCTION COMPANY, a California corporation ("CONTRACTOR"), sometimes collectively referred to as the "Parties".

RECITALS

- A. DISTRICT and CONTRACTOR have entered into that certain Emergency Protection Agreement, dated, November 2, 2020 ("ORIGINAL AGREEMENT") and the First Amendment to ORIGINAL AGREEMENT, dated, December 15, 2020 ("FIRST AMENDMENT") pursuant to which CONTRACTOR has agreed to furnish all labor, materials, tools, equipment, transportation and services necessary to perform, and complete in a workmanlike manner, in strict conformance with ORIGINAL AGREEMENT, the protection of existing DISTRICT facilities in the areas of Calimesa, Beaumont, Banning, Cabazon and unincorporated Riverside County by (i) providing site preparation services, (ii) dewatering, (iii) excavating, (iv) transporting, (v) grading, (vi) installing any necessary interim protection barriers, (vii) removing sediment and accumulated debris from DISTRICT's existing rights of way in the areas of Calimesa, Beaumont, Banning, Cabazon, and unincorporated Riverside County following storm events, and (viii) implementing other emergency work in the areas of Calimesa, Beaumont, Banning, Cabazon and unincorporated Riverside County, as directed by DISTRICT.
- B. ORIGINAL AGREEMENT and FIRST AMENDMENT together with this SECOND AMENDMENT are collectively referred to herein as the "Agreement."

C. DISTRICT has determined that additional work is needed to protect existing DISTRICT facilities that are downstream of the Apple Fire and El Dorado Fire burn areas.

D. The Parties now desire to amend the Agreement to amend the work and increase the total compensation amount for the Agreement.

NOW THEREFORE, for good and valuable consideration the receipt and adequacy of which is hereby acknowledged, the Parties agree as follows:

1. Section 4 of the Agreement is hereby amended to add a new subsection F.ii. which shall read as follows:
 - ii. DISTRICT shall pay CONTRACTOR for the additional emergency work in a total amount not to exceed Fifty-One Thousand One Hundred Seventy-Six Dollars and Seventy-Nine Cents (\$51,176.79). Upon approval of the SECOND AMENDMENT, the total compensation amount for all work performed under this Agreement since its original effective date shall not exceed a sum total of One Million One Hundred One Thousand One Hundred Seventy-Six Dollars and Seventy-Nine Cents (\$1,101,176.79). CONTRACTOR shall provide to DISTRICT updated or additional performance and payment bonds in the sum total of the Agreement price.
2. The provisions of this SECOND AMENDMENT shall prevail over any inconsistency or conflicting provisions of the Agreement, as heretofore amended, and shall supplement the remaining provisions thereof.
3. Except as amended or modified herein, all the terms of the Agreement shall remain in full force and effect and shall apply with the same force and effect. Subject to the provisions of the Agreement as to assignment, the agreements, conditions and provisions herein contained shall apply to and bind the heirs, executors,

administrators, successors and assigns of the parties hereto. If any provisions of this SECOND AMENDMENT or the Agreement shall be determined to be illegal or unenforceable, such determination shall not affect any other provision of the Agreement and all such other provisions shall remain in full force and effect. The language in all parts of the Agreement shall be construed according to its normal and usual meaning and not strictly for or against either DISTRICT or CONTRACTOR.

4. This SECOND AMENDMENT shall not be binding or consummated until it is fully executed by the Parties.
5. This SECOND AMENDMENT may be executed in any number of counterparts, each of which will be an original, but all of which together will constitute one instrument.

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IN WITNESS WHEREOF, the Parties hereto have executed this Agreement on


May 11, 2021

(to be filled in by Clerk of the Board)

**RIVERSIDE COUNTY FLOOD CONTROL
AND WATER CONSERVATION DISTRICT**

GRANITE CONSTRUCTION COMPANY

By 
JASON E. UHLEY
General Manager-Chief Engineer

By 
BRAD J. WILLIAMS
Vice President, Desert Cities Region

APPROVED AS TO FORM:

(SEAL)

GREGORY P. PRIAMOS
County Counsel

By 
KRISTINE BELL-VALDEZ
Deputy County Counsel



Second Amendment to Emergency Protection Agreement:
Emergency Protection Project – Apple and El Dorado Fires
AK:blm
04/14/21

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Riverside)

On April 26, 2021 before me, Kelli M. Osborn, Notary Public
(insert name and title of the officer)

personally appeared Bradley Jay Williams -----,
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



GRANITE CONSTRUCTION COMPANY

CERTIFICATE OF SECRETARY

RESOLVED, that, effective January 1, 2021 through December 31, 2021, the individuals named on the attached Exhibit 1 are authorized to negotiate, execute and/or attest electronic and paper documents and contracts necessary for the conduct of the Company's affairs with respect to the submission and execution of construction project bids, bid proposals, bid addenda and all other bid-related documents prepared and submitted on behalf of the Company not to exceed \$25 million, relating to any and all domestic construction projects arising out of the Company's operations.

RESOLVED, that, effective January 1, 2021 through December 31, 2021, the individuals named on the attached Exhibit 2 are authorized to negotiate, execute and attest electronic and paper documents and contracts necessary for the conduct of the Company's affairs with respect to the submission and execution of construction project bids, bid proposals, bid addenda and all other bid-related documents prepared and submitted on behalf of the Company not to exceed \$75 million, relating to any and all domestic construction projects arising out of the Company's operations.

RESOLVED FURTHER, that the authority provided for herein shall be in accordance with applicable policies, procedures, and limits of authority previously approved and the Granite Construction Incorporated Delegation of Authority and Policy then in effect.

I, M. Craig Hall, Secretary of GRANITE CONSTRUCTION COMPANY, a California corporation (the "Company"), do hereby certify that the following is a true and correct copy of resolutions duly adopted effective January 1, 2021 by a Unanimous Written Consent of the Board of Directors in accordance with the provisions of Article III, Section 9 of the Bylaws of the Company; that the Directors acting were duly and regularly elected; and that the resolutions adopted have not been repealed and are still in full force and effect:

Dated: January 1, 2021


M. Craig Hall



EXHIBIT 1

AUTHORIZED SIGNERS
Granite Construction Company
California Group
Desert Cities Region

AUTHORIZED SIGNERS
Brad J. Williams, VP Desert Cities Region
Joseph P. Richardson, Regional Chief Estimator
Rudy Barela, Regional Controller
Jeff J. Mercer, Construction Manager
Muin Mustafa, Construction Manager
Emir Kocaballi, Construction Manager
Fausto Gonzalez, Project Manager

ATTESTORS
Joseph P. Richardson, Regional Chief Estimator
Rudy Barela, Regional Controller
Jeff J. Mercer, Construction Manager
Muin Mustafa, Construction Manager
Emir Kocaballi, Construction Manager
Carley L. Cechin, Senior Estimator
Fausto Gonzalez, Project Manager
Julia Hays, Estimating Assistant

EXHIBIT 2

AUTHORIZED SIGNERS
Granite Construction Company
California Group

AUTHORIZED SIGNERS

Brian Dowd, Senior VP Group Operations
Brent Fogg, VP Coastal Region
Carter Rohrbough, VP Valley Region
Larry Camilleri, VP Central Region
Scott McArthur, VP Northern Los Angeles Region
John Boies, VP South Coast Region
Brad J. Williams, VP Desert Cities Region
Bradly Estes, VP Construction Materials



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
11/02/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER LIC #0C36861 Alliant Insurance Services, Inc. 100 Pine Street, 11th Floor San Francisco, CA 94111 INSURED Granite Construction Company 585 West Beach Street Watsonville, CA 95076	1-415-403-1491 CONTACT NAME: Kimberly Leikam PHONE (A/C No. Ext): 415-403-1491 E-MAIL ADDRESS: kleikam@alliant.com FAX (A/C No.): 415-874-4818
INSURER(S) AFFORDING COVERAGE	
INSURER A: VALLEY FORGE INS CO INSURER B: CONTINENTAL CAS CO INSURER C: TRANSPORTATION INS CO INSURER D: STEADFAST INS CO INSURER E: INSURER F:	NAC # 20508 20443 20494 26387

COVERAGES **CERTIFICATE NUMBER:** 60672396 **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL	SUBR	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liability <input checked="" type="checkbox"/> XCU Hazards GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC <input type="checkbox"/> OTHER	X	X	GL2074978689	10/01/20	10/01/23	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 2,000,000 MED EXP (Any one person) \$ Nil PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 10,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 \$
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY <input checked="" type="checkbox"/> Contractual	X	X	BOA2074978692	10/01/20	10/01/23	COMBINED SINGLE LIMIT (Ea accident) \$ 2,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
B	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$			CUR2068209453	10/01/20	10/01/21	EACH OCCURRENCE \$ 8,000,000 AGGREGATE \$ 8,000,000 \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A	WC274978644 (AOS/Stop Gap)	10/01/20	10/01/21	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER
A				WC274978630 (CA)	10/01/20	10/01/21	E.L. EACH ACCIDENT \$ 2,000,000
C				WC274978658 (NY)	10/01/20	10/01/21	E.L. DISEASE - EA EMPLOYEE \$ 2,000,000
C				WC274978661 (MT, WI, HI)	10/01/20	10/01/21	E.L. DISEASE - POLICY LIMIT \$ 2,000,000
D	Pollution Liability			BOC508792216	10/01/20	10/01/21	Limit 5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Job #1037344 / Emergency Protection Project - Apple and El Dorado Fires

****SEE FOLLOWING PAGE FOR COMPLETE ADDITIONAL INSURED WORDING****

No exclusion for XCU hazards
GL Per ISO Form CG0001 10/01; AL Per ISO Form CA 0001 03/10

RECEIVED
NOV 10 2020

RIVERSIDE COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT

CERTIFICATE HOLDER 2250 Riverside County Flood Control and Water Conservation District 1995 Market Street Riverside, CA 92501 USA	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
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SUPPLEMENT TO CERTIFICATE OF INSURANCE

DATE
11/02/2020

NAME OF INSURED: Granite Construction Company

ADDITIONAL INSURED WORDING:-

-
the Riverside County Flood Control and Water Conservation District, the County of Riverside, its Agencies, Districts, Special Districts and Departments, and the Beaumont Cherry Valley Water District, their respective directors, officers, Board of Supervisors, governing boards or councils, employees, elected and appointed officials, agents, representatives are included as Additional Insured where required by written and executed agreement and per the attached endorsements. Coverage is primary & non-contributory and waivers of subrogation apply in favor of the Additional Insured parties. Umbrella coverage follows form over underlying liability coverages and forms. Thirty (30) day NOC or material change in coverage provided.-



G-140331-D
(Ed. 01/13)



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**BLANKET ADDITIONAL INSURED - OWNERS, LESSEES OR CONTRACTORS -
WITH PRODUCTS-COMPLETED OPERATIONS COVERAGE**

It is understood and agreed that this endorsement amends the **COMMERCIAL GENERAL LIABILITY COVERAGE PART** as follows:

SCHEDULE (OPTIONAL)

Name of Additional Insured Persons Or Organizations

(As required by "written contract" per Paragraph A. below.)

Locations of Covered Operations

(As per the "written contract," provided the location is within the "coverage territory" of this Coverage Part.)

A. Section II - Who Is An Insured is amended to include as an additional insured:

1. Any person or organization whom you are required by "written contract" to add as an additional insured on this Coverage Part; and
2. The particular person or organization, if any, scheduled above.

B. The insurance provided to the additional insured is limited as follows:

1. The person or organization is an additional insured only with respect to liability for "bodily injury," "property damage," or "personal and advertising injury" caused in whole or in part by:
 - a. Your acts or omissions, or the acts or omissions of those acting on your behalf, in the performance of your ongoing operations specified in the "written contract"; or
 - b. "Your work" that is specified in the "written contract" but only for "bodily injury" or "property damage" included in the "products-completed operations hazard," and only if:
 - (1) The "written contract" requires you to provide the additional insured such coverage; and
 - (2) This Coverage Part provides such coverage.
2. If the "written contract" specifically requires you to provide additional insurance coverage via the 10/01 edition of CG2010 (aka CG 20 10 10 01), or via the 10/01 edition of CG2037 (aka CG 20 37 10 01), or via the 11/85 edition of CG2010 (aka CG 20 10 11 85), then in paragraph B.1. above, the words 'caused in whole or in part by' are replaced by the words 'arising out of'.
3. We will not provide the additional insured any broader coverage or any higher limit of insurance than:
 - a. The maximum permitted by law;
 - b. That required by the "written contract";
 - c. That described in B.1. above; or
 - d. That afforded to you under this policy,
 whichever is less.
4. Notwithstanding anything to the contrary in Condition 4. **Other Insurance** (Section IV), this insurance is excess of all other insurance available to the additional insured whether on a primary, excess, contingent or



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any other basis. But if required by the "written contract" to be primary and non-contributory, this insurance will be primary and non-contributory relative to insurance on which the additional insured is a Named Insured.

5. The insurance provided to the additional insured does not apply to "bodily injury," "property damage," or "personal and advertising injury" arising out of:
 - a. The rendering of, or the failure to render, any professional architectural, engineering, or surveying services, including:
 - (1) The preparing, approving, or failing to prepare or approve maps, shop drawings, opinions, reports, surveys, field orders, change orders or drawings and specifications; and
 - (2) Supervisory, inspection, architectural or engineering activities; or
 - b. Any premises or work for which the additional insured is specifically listed as an additional insured on another endorsement attached to this Coverage Part.

C. SECTION IV - COMMERCIAL GENERAL LIABILITY CONDITIONS is amended as follows:

1. The **Duties In The Event of Occurrence, Offense, Claim or Suit** condition is amended to add the following additional conditions applicable to the additional insured:

An additional insured under this endorsement will as soon as practicable:

- (1) Give us written notice of an "occurrence" or an offense which may result in a claim or "suit" under this insurance, and of any claim or "suit" that does result;
- (2) Except as provided in Paragraph B.4. of this endorsement, agree to make available any other insurance the additional insured has for a loss we cover under this Coverage Part;
- (3) Send us copies of all legal papers received, and otherwise cooperate with us in the investigation, defense, or settlement of the claim or "suit"; and
- (4) Tender the defense and indemnity of any claim or "suit" to any other insurer or self insurer whose policy or program applies to a loss we cover under this Coverage Part. But if the "written contract" requires this insurance to be primary and non-contributory, this provision (4) does not apply to insurance on which the additional insured is a Named Insured.

We have no duty to defend or indemnify an additional insured under this endorsement until we receive from the additional insured written notice of a claim or "suit."

- D. Only for the purpose of the insurance provided by this endorsement, **SECTION V - DEFINITIONS** is amended to add the following definition:

"Written contract" means a written contract or written agreement that requires you to make a person or organization an additional insured on this Coverage Part, provided the contract or agreement:

1. Is currently in effect or becomes effective during the term of this policy; and
2. Was executed prior to:
 - a. The "bodily injury" or "property damage"; or
 - b. The offense that caused the "personal and advertising injury,"
 for which the additional insured seeks coverage under this Coverage Part.

All other terms and conditions of the Policy remain unchanged.

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G-15115-A
(Ed. 10/89)



4 OF 7 F

ENV 6833

POLICY NUMBER: GL2074978689
EFFECTIVE: 10/01/2020

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

**CHANGES - NOTICE OF CANCELLATION
OR MATERIAL COVERAGE CHANGE**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART
LIQUOR LIABILITY COVERAGE PART
OWNERS AND CONTRACTORS PROTECTIVE LIABILITY COVERAGE PART
POLLUTION LIABILITY COVERAGE PART
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART
RAILROAD PROTECTIVE LIABILITY COVERAGE PART

In the event of cancellation or material change that reduces or restricts the insurance afforded by this Coverage Part (other than the reduction of aggregate limits through payment of claims), we agree to mail prior written notice of cancellation or material change to:

SCHEDULE

1. Name: Any person or organization you are required by written contract or agreement to mail prior written notice of cancellation or material change.
2. Address: Per Certificates of Insurance on file with the broker.
3. Number of days advance notice:
 - For non-payment of premium, the greater of:
 - the number of days required by state statute or
 - the number of days required by written contract
 - For any other reason, the lesser of:
 - 60 days or
 - the number of days required in a written contract

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

Waiver of Transfer of Rights of Recovery Against Others to Us

This endorsement modifies insurance provided under the following:

Commercial General Liability Coverage Form

Under SECTION IV - COMMERCIAL GENERAL LIABILITY CONDITIONS, The Transfer Of Rights Of Recovery Against Others To Us Condition is amended by the addition of the following:

We waive any right of recovery we may have against any person or organization because of payments we make for injury or damage arising out of:

- 1. Your ongoing operations; or
- 2. "Your work" included in the "products completed operations hazard."

However, this waiver applies only when you have agreed in writing to waive such rights of recovery in a contract or agreement, and only if the contract or agreement:

- 1. Is in effect or becomes effective during the term of this policy, and
- 2. Was executed prior to loss.

This endorsement is part of your policy and takes effect on the effective date of your policy, unless another effective date is shown below.

Must Be Completed	
ENDT. NO.	POLICY NO.
26	GL 2074978689

Complete Only When This Endorsement Is Not Prepared with the Policy Or Is Not to be Effective with the Policy	
ISSUED TO:	EFFECTIVE DATE OF THIS ENDORSEMENT:
Granite Construction Incorporated	10/01/20



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

Notice of Cancellation or Material Change – Designated Person or Organization

This endorsement modifies insurance provided under the following:

Business Auto Coverage Form

In the event of cancellation or material change that reduces or restricts the insurance afforded by this Coverage Part, we agree to mail prior written notice of cancellation or material change to:

SCHEDULE

1. Name: Any person or organization you are required by written contract or agreement to mail prior written notice of cancellation or material change.

2. Address: Per Certificates of Insurance on file with the broker.

3. Number of days advance notice.

For non-payment of premium, the greater of:

- the number of days required by state statute or
- the number of days required by written contract

For any other reason, the lesser of:

- 60 days or
- the number of days required in a written contract

This endorsement is part of your policy and takes effect on the effective date of your policy, unless another effective date is shown below.

Must Be Completed	
ENDT. NO.	POLICY NO.
19	BUA 2074978692

Complete Only When This Endorsement Is Not Prepared With the Policy Or Is Not to be Effective with the Policy	
ISSUED TO:	EFFECTIVE DATE OF THIS ENDORSEMENT
Granite Construction Company	10/01/2020





CNA71527XX
(Ed. 10/12)

ADDITIONAL INSURED - PRIMARY AND NON-CONTRIBUTORY

It is understood and agreed that this endorsement amends the **BUSINESS AUTO COVERAGE FORM** as follows:

SCHEDULE

Name of Additional Insured Persons Or Organizations
Any person or organization whom the named insured is required by written contract to add as an additional insured on this policy.

1. In conformance with paragraph A.1.c. of **Who Is An Insured** of Section II - **LIABILITY COVERAGE**, the person or organization scheduled above is an insured under this policy.
2. The insurance afforded to the additional insured under this policy will apply on a primary and non-contributory basis if you have committed it to be so in a written contract or written agreement executed prior to the date of the "accident" for which the additional insured seeks coverage under this policy.

All other terms and conditions of the Policy remain unchanged.

POLICY NUMBER: BUA2074978692

COMMERCIAL AUTO
CA 04 44 10 13

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS TO US (WAIVER OF SUBROGATION)

This endorsement modifies insurance provided under the following:

AUTO DEALERS COVERAGE FORM
BUSINESS AUTO COVERAGE FORM
MOTOR CARRIER COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by the endorsement.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated below.

<p>Named Insured: Granite Construction Incorporated</p> <p>Endorsement Effective Date: 10/01/2020</p>

SCHEDULE

<p>Name(s) Of Person(s) Or Organization(s):</p> <p>Any person or organization for whom or which you are required by written contract or agreement to obtain this waiver from us.</p>

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

The **Transfer Of Rights Of Recovery Against Others To Us** condition does not apply to the person(s) or organization(s) shown in the Schedule, but only to the extent that subrogation is waived prior to the "accident" or the "loss" under a contract with that person or organization.



G-20472-A
(Ed. 10/93)

WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY INSURANCE POLICY

6 OF 7 B
ENV 6833

NOTICE OF CANCELLATION OR MATERIAL CHANGE ENDORSEMENT

In the event of cancellation or other material change of the policy, we will mail advance notice to the person or organization named in the Schedule. The number of days advance notice is shown in the Schedule.

This endorsement shall not operate directly or indirectly to benefit anyone not named in the Schedule.

Schedule

1. Number of days advance notice:

For non-payment of premium, the greater of:

- the number of days required by state statute or
- the number of days required by written contract

For any other reason, the lesser of:

- 60 days or
- the number of days required in a written contract

2. Notice will be mailed to:

Any person or organization you are required by written contract or agreement to mail prior written notice of cancellation or material change.

Address: Per Certificates of Insurance on file with the broker

This endorsement changes the policy to which it is attached and is effective on the date issued unless otherwise stated.

(The information below is required only when this endorsement is issued subsequent to preparation of the policy.)

Endorsement Effective 10-1-20

Policy No. WC274978630 Valley Forge Insurance Company

WC274978644 Valley Forge Insurance Company

WC274978658 Transportation Insurance Company

WC274978661 Transportation Insurance Company

WC 99 06 06

G-20472-A
(Ed. 10/93)



G-19160-B
(Ed. 11/97)

WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY INSURANCE POLICY

BLANKET WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS- CALIFORNIA

This endorsement changes the policy to which it is attached.

It is agreed that Part One – Workers' Compensation Insurance G. Recovery From Others and Part Two – Employers' Liability Insurance H. Recovery From Others are amended by adding the following:

We will not enforce our right to recover against persons or organizations. (This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.)

PREMIUM CHARGE --n/a

The charge will be an amount to which you and we agree that is a percentage of the total standard premium for California exposure. The amount is n/a %.

UTAH WAIVER OF SUBROGATION ENDORSEMENT

This endorsement applies only to the insurance provided by the policy because Utah is shown in Item 3.A. of the Information Page.

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. (This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.)

This agreement shall not operate directly or indirectly to benefit anyone not named in the Schedule. Our waiver of rights does not release your employees' rights against third parties and does not release our authority as trustee of claims against third parties. Schedule : Any person or organization for whom the named insured has agreed by written contract to furnish this waiver.

WC43 03 05 (Ed 7-00)

WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT

All Other States where allowed (except CA, TX, UT)

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. (This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.)

This agreement shall not operate directly or indirectly to benefit anyone not named in the Schedule.

Schedule

Any person or organization for whom the named insured has agreed by written contract to furnish this waiver

WC00 03 13 (Ed 4-84)

This endorsement changes the policy to which it is attached and is effective on the date issued unless otherwise stated.

(The information below is required only when this endorsement is issued subsequent to preparation of the policy.)

Endorsement Effective 10/01/2020

WC274978644 Valley Forge Insurance Company
WC274978658 Transportation Insurance Company
WC274978661 Transportation Insurance Company
WC274978630 Valley Forge Insurance Company



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Valley Forge Insurance Company

AMB #: 002132 NAIC #: 20508 FEIN #: 231620527

Administrative Office

151 North Franklin Street
Chicago, Illinois 60606
United States

Web: www.cna.com

Phone: 312-822-5000

[View Additional Address Information](#)

AM Best Rating Unit: AMB # 018313 - CNA Insurance Companies

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.



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Based on AM Best's analysis, 050177 - Loews Corporation is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. [View a list of operating insurance entities in this structure.](#)

Best's Credit Ratings

Financial Strength Rating [View Definition](#)

Rating:	A (Excellent)
Affiliation Code:	g (Group)
Financial Size	XV (\$2 Billion or greater)
Category:	greater)
Outlook:	Stable
Action:	Affirmed
Effective Date:	July 14, 2020
Initial Rating Date:	June 30, 1948

[Long-Term Issuer Credit Rating \[View Definition\]\(#\)](#)

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.

Associate Director : Gregory Dickerson

Senior Director: Michael J. Lagomarsino, CFA, FRM

Note: See the Disclosure information Form or Press Release below for the office and analyst at the time of the rating event.

Disclosure Information

Disclosure Information Form

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Long-Term: a+ (Excellent)
Outlook: Stable
Action: Affirmed
Effective Date: July 14, 2020
Initial Rating Date: June 21, 2005

Press Release

AM Best Affirms Credit Ratings of CNA
 Financial Corporation and Its Subsidiaries
 July 14, 2020

u Denotes Under Review Best's Rating

Rating History

AM Best has provided ratings & analysis on this company since 1948.



Financial Strength Rating

Effective Date	Rating
7/14/2020	A
7/11/2019	A
7/5/2018	A
6/14/2017	A
2/23/2016	A

Long-Term Issuer Credit Rating

Effective Date	Rating
7/14/2020	a+
7/11/2019	a+
7/5/2018	a
6/14/2017	a
2/23/2016	a

Best's Credit & Financial Reports

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-  Best's Credit Report - Archive - reports which were released prior to the current Best's Credit Report.
-  Best's Financial Report - financial data included in Best's Financial Report reflects the most current data available to AM Best, including updated financial exhibits and additional company information, and is available to subscribers of Best's Insurance Reports.

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Press Releases

Date ▼	Title
Jul 14, 2020	AM Best Affirms Credit Ratings of CNA Financial Corporation and Its Subsidiaries
Jul 11, 2019	AM Best Upgrades Issuer Credit Ratings of CNA Financial Corporation and Its Subsidiaries
Jul 05, 2018	A.M. Best Revises Issuer Credit Rating Outlook to Positive for CNA Financial Corporation and Its Subsidiaries
Jun 14, 2017	A.M. Best Affirms Credit Ratings of CNA Financial Corporation and Its Subsidiaries
Feb 23, 2016	A.M. Best Affirms Ratings of CNA Financial Corporation and Its Subsidiaries: Assigns Rating to New Senior Notes
Dec 16, 2014	A.M. Best Affirms Ratings of CNA Financial Corporation and Its Subsidiaries

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23 items in 3 pages

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COMPANY PROFILE

Company Information

VALLEY FORGE INSURANCE COMPANY

151 N. FRANKLIN STREET
CHICAGO, IL 60606
800-345-7542

Old Company Names

Effective Date

AMERICAN AVIATION & GENERAL INSURANCE COMPANY 07/03/1957

Agent For Service

Vivian Imperial
818 WEST SEVENTH STREET
SUITE 930
LOS ANGELES CA 90017

Reference Information

NAIC #:	20508
California Company ID #:	1282-3
Date Authorized in California:	09/27/1944
License Status:	UNLIMITED-NORMAL
Company Type:	Property & Casualty
State of Domicile:	PENNSYLVANIA

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NAIC Group List

NAIC Group #: 0218 CNA INS GRP

Lines Of Business

The company is authorized to transact business within these lines of insurance. For an explanation of any of these terms, please refer to the glossary.

- AIRCRAFT
- AUTOMOBILE
- BOILER AND MACHINERY
- BURGLARY
- COMMON CARRIER LIABILITY
- CREDIT
- DISABILITY
- FIRE
- LIABILITY
- MARINE
- MISCELLANEOUS
- PLATE GLASS

SPRINKLER
SURETY
TEAM AND VEHICLE
WORKERS' COMPENSATION

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Continental Casualty Company

AMB #: 002128 NAIC #: 20443 FEIN #: 362114545

Domiciliary Address

151 North Franklin Street
Chicago, Illinois 60606
United States

Web: www.cna.com

Phone: 312-822-5000

AM Best Rating Unit: AMB # 018313 - CNA Insurance Companies

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.



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Best's Credit Ratings

Financial Strength Rating [View Definition](#)

Rating:	A (Excellent)
Financial Size	XV (\$2 Billion or greater)
Category:	greater
Outlook:	Stable
Action:	Affirmed
Effective Date:	July 14, 2020
Initial Rating Date:	June 30, 1922

Long-Term Issuer Credit Rating [View Definition](#)

Long-Term: a+ (Excellent)

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.

Associate Director : Gregory Dickerson

Senior Director: Michael J. Lagomarsino, CFA, FRM

Notes: See the Disclosure information Form or Press Release below for the office and analyst at the time of the rating event.

Disclosure Information

Disclosure Information Form

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Outlook: Stable
Action: Affirmed
Effective Date: July 14, 2020
Initial Rating Date: June 21, 2005

AM Best Affirms Credit Ratings of CNA
 Financial Corporation and Its Subsidiaries
 July 14, 2020

u Denotes Under Review Best's Rating

Rating History

AM Best has provided ratings & analysis on this company since 1922.

Financial Strength Rating

Effective Date	Rating
7/14/2020	A
7/11/2019	A
7/5/2018	A
6/14/2017	A
2/23/2016	A

Long-Term Issuer Credit Rating


Effective Date	Rating
7/14/2020	a+
7/11/2019	a+
7/5/2018	a
6/14/2017	a
2/23/2016	a

Related Financial and Analytical Data


The following links provide access to related data records that AM Best utilizes to provide financial and analytical data on a consolidated or branch basis.

AMB #	Company Name	Company Description
018313	CNA Insurance Companies (G) Rating Unit	Represents the AM Best Consolidated financials for the Property/Casualty business of this legal entity.
019574	Continental Casualty Companies (CS)	Represents Property/Casualty business of this legal entity.
086431	Continental Casualty Company CAB	Represents the Property/Casualty financials for the Canada Branch of this legal entity.

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Date	Title
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Jul 11, 2019	AM Best Upgrades Issuer Credit Ratings of CNA Financial Corporation and Its Subsidiaries
Jul 05, 2018	A.M. Best Revises Issuer Credit Rating Outlook to Positive for CNA Financial Corporation and Its Subsidiaries
Jun 14, 2017	A.M. Best Affirms Credit Ratings of CNA Financial Corporation and Its Subsidiaries
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COMPANY PROFILE

Company Information

CONTINENTAL CASUALTY COMPANY
CNA 151 NORTH FRANKLIN STREET
CHICAGO, IL 60606
800-588-7400

Old Company Names

Effective Date

Agent For Service

Vivian Imperial
 818 WEST SEVENTH STREET
 SUITE 930
 LOS ANGELES CA 90017

Reference Information

NAIC #:	20443
California Company ID #:	0048-9
Date Authorized in California:	05/14/1962
License Status:	UNLIMITED-NORMAL
Company Type:	Property & Casualty
State of Domicile:	ILLINOIS

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NAIC Group List

NAIC Group #: 0218 CNA INS GRP

Lines Of Business

The company is authorized to transact business within these lines of insurance. For an explanation of any of these terms, please refer to the glossary.

- AIRCRAFT
- AUTOMOBILE
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- BURGLARY
- COMMON CARRIER LIABILITY
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- DISABILITY
- FIRE
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SURETY
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WORKERS' COMPENSATION

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Transportation Insurance Company

AMB #: 002131 NAIC #: 20494 FEIN #: 361877247

Domiciliary Address

151 North Franklin Street
Chicago, Illinois 60606
United States

Web: www.cna.com

Phone: 312-822-5000

AM Best Rating Unit: AMB # 018313 - CNA Insurance Companies

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.



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Based on AM Best's analysis, 050177 - Loews Corporation is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. [View a list of operating insurance entities in this structure.](#)

Best's Credit Ratings

Financial Strength Rating [View Definition](#)

Rating: A (Excellent)
Affiliation Code: g (Group)
Financial Size Category: XV (\$2 Billion or greater)
Outlook: Stable
Action: Affirmed
Effective Date: July 14, 2020
Initial Rating Date: June 30, 1941

[Long-Term Issuer Credit Rating View Definition](#)

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Associate Director : Gregory Dickerson
Senior Director: Michael J. Lagomarsino, CFA, FRM
Note. See the Disclosure information Form or Press Release below for the office and analyst at the time of the rating event.

Disclosure Information

Disclosure Information Form
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Press Release

Long-Term: a+ (Excellent)
Outlook: Stable
Action: Affirmed
Effective Date: July 14, 2020
Initial Rating Date: June 21, 2005

AM Best Affirms Credit Ratings of CNA
 Financial Corporation and Its Subsidiaries
 July 14, 2020

u Denotes Under Review Best's Rating

Rating History

AM Best has provided ratings & analysis on this company since 1941.

Financial Strength Rating

Effective Date	Rating
7/14/2020	A
7/11/2019	A
7/5/2018	A
6/14/2017	A
2/23/2016	A

Long-Term Issuer Credit Rating

Effective Date	Rating
7/14/2020	a+
7/11/2019	a+
7/5/2018	a
6/14/2017	a
2/23/2016	a

Best's Credit & Financial Reports



Best's Credit Report - financial data included in Best's Credit Report reflects the data used in determining the current credit rating(s) for AM Best Rating Unit: AMB #: 018313 - CNA Insurance Companies.



Best's Credit Report - Archive - reports which were released prior to the current Best's Credit Report.



Best's Financial Report - financial data included in Best's Financial Report reflects the most current data available to AM Best, including updated financial exhibits and additional company information, and is available to subscribers of Best's Insurance Reports.

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Press Releases

<u>Date</u> ▾	<u>Title</u>
Jul 14, 2020	AM Best Affirms Credit Ratings of CNA Financial Corporation and Its Subsidiaries
Jul 11, 2019	AM Best Upgrades Issuer Credit Ratings of CNA Financial Corporation and Its Subsidiaries
Jul 05, 2018	A.M. Best Revises Issuer Credit Rating Outlook to Positive for CNA Financial Corporation and Its Subsidiaries
Jun 14, 2017	A.M. Best Affirms Credit Ratings of CNA Financial Corporation and Its Subsidiaries
Feb 23, 2016	A.M. Best Affirms Ratings of CNA Financial Corporation and Its Subsidiaries; Assigns Rating to New Senior Notes
Dec 16, 2014	A.M. Best Affirms Ratings of CNA Financial Corporation and Its Subsidiaries

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COMPANY PROFILE

Company Information

TRANSPORTATION INSURANCE COMPANY
CNA 151 NORTH FRANKLIN STREET
CHICAGO, IL 60606
800-262-7161

Old Company Names

Effective Date

Agent For Service

Vivian Imperial
 818 WEST SEVENTH STREET
 SUITE 930
 LOS ANGELES CA 90017

Reference Information

NAIC #:	20494
California Company ID #:	1378-9
Date Authorized in California:	01/16/1950
License Status:	UNLIMITED-NORMAL
Company Type:	Property & Casualty
State of Domicile:	ILLINOIS

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NAIC Group List

NAIC Group #: 0218 CNA INS GRP

Lines Of Business

The company is authorized to transact business within these lines of insurance. For an explanation of any of these terms, please refer to the glossary.

- AIRCRAFT
- AUTOMOBILE
- BOILER AND MACHINERY
- BURGLARY
- COMMON CARRIER LIABILITY
- CREDIT
- DISABILITY
- FIRE
- LIABILITY
- MARINE
- MISCELLANEOUS
- PLATE GLASS
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TEAM AND VEHICLE
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Steadfast Insurance Company

AMB #: 003557 NAIC #: 26387 FEIN #: 520981481

Domicillary Address

1299 Zurich Way
Schaumburg, Illinois 60196-1056
United States

Web: www.zurichna.com

Phone: 800-987-3373

Fax: 877-962-2567

AM Best Rating Unit: AMB #: 086976 - Zurich Insurance Group Ltd

Assigned to insurance companies that have, in our opinion, a superior ability to meet their ongoing insurance obligations.



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Based on AM Best's analysis, 050457 - Zurich Insurance Group Ltd is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. [View a list of operating insurance entities in this structure.](#)

Best's Credit Ratings

Financial Strength Rating [View Definition](#)

Rating: A+ (Superior)
Affiliation Code: g (Group)
Financial Size Category: XV (\$2 Billion or greater)
Outlook: Stable
Action: Affirmed
Effective Date: October 02, 2020
Initial Rating Date: June 30, 1974

[Long-Term Issuer Credit Rating View Definition](#)

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Associate Director : Edin Imsirovic
Director: Jennifer Marshall, CPCU, ARM
Note: See the Disclosure information Form or Press Release below for the office and analyst at the time of the rating event.

Disclosure Information

Disclosure Information Form
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Press Release

Long-Term: aa- (Superior)
Outlook: Stable
Action: Affirmed
Effective Date: October 02, 2020
Initial Rating Date: September 14, 2004

AM Best Affirms Credit Ratings of Zurich
 Insurance Group Ltd and Its Main Rated
 Subsidiaries
 October 02, 2020

u Denotes Under Review Best's Rating

Rating History

AM Best has provided ratings & analysis on this company since 1974.

Financial Strength Rating

Effective Date	Rating
10/2/2020	A+
9/25/2019	A+
9/19/2018	A+
12/8/2017	A+
12/1/2016	A+

Long-Term Issuer Credit Rating

Effective Date	Rating
10/2/2020	aa-
9/25/2019	aa-
9/19/2018	aa-
12/8/2017	aa-
12/1/2016	aa-

Best's Credit & Financial Reports

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 Best's Credit Report - financial data included in Best's Credit Report reflects the data used in determining the current credit rating(s) for AM Best Rating Unit: AMB #. 086976 - Zurich Insurance Group Ltd
- 
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Press Releases

Date ▾	Title
Oct 02, 2020	AM Best Affirms Credit Ratings of Zurich Insurance Group Ltd and Its Main Rated Subsidiaries
Sep 25, 2019	AM Best Affirms Credit Ratings of Zurich Insurance Group Ltd and Its Main Rated Subsidiaries
Sep 19, 2018	A.M. Best Affirms Credit Ratings of Zurich Insurance Group Ltd and its Main Rated Subsidiaries
Dec 08, 2017	A.M. Best Revises Outlooks to Stable for Zurich Insurance Group Ltd and Its Main Rated Subsidiaries
Dec 01, 2016	A.M. Best Affirms Credit Ratings of Zurich Insurance Company Limited and Its Main Rated Affiliates

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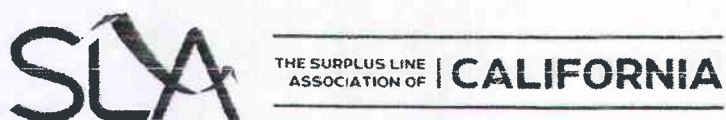
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Surplus Line Insurer (LASLI) Lookup

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STEADFAST INSURANCE COMPANY

NAIC Number	26387
NAIC Group Number	212
NAIC Group Name	ZURICH INSURANCE GROUP
State of Domicile	IL
Date Incorporated	08/31/1973
A.M. Best Financial Strength Rating	A+ SUPERIOR
Effective Date	Sep 2019
Outlook	Stable
Principal Place of Business	1299 Zurich Way Schaumburg, IL 60196-1056
Approval Date	07/18/1995

SLA Number	1401
State Department of Insurance	http://www.insurance.illinois.gov/
Date Business Commenced	05/01/1988
Mailing Address	1299 Zurich Way Schaumburg, IL 60196-1056
Telephone	+1 (847) 605-6000
Fax	+1 (847) 605-7895
Company Web Address	www.zurichna.com
Agent for Service of Process	Ms. Melissa DeKoven CORPORATION SERVICE COMPANY DBA: CSC-LAWYERS INCORPORATING SERVICE , 2710 Gateway Oaks Drive, Suite 150N Sacramento, CA 95833-3505 P: +1 (888) 690-2882 F: (302) 636-5454
Contact Broker	Mr. John Forest Monroe SULLIVANCURTISMONROE INSURANCE SERVICES, LLC 1920 MAIN ST STE 600 IRVINE, CA 92614-7226 P: +1 (949) 250-7172 F: +1 (949) 852-9762

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*Other Names abbreviations are:

- FKA - Formerly Known As
- AKA - Also Known As
- DBA - Doing Business As

- Merged - Merged

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[List of Approved Surplus Lines Insurers \(LASLI\)](#)

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[NAIC Quarterly Listing Alien Insurers](#)

PDF, 3.29 MB

[California LASLI Filing Requirements Guide](#)

PDF, 488.12 KB

List of Approved Surplus Line Insurers (LASLI)

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List is current as of: July 09, 2019

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A

Insurer	Date Approved
Acceptance Casualty Insurance Company (Nebraska)	10/23/2007
Admiral Insurance Company (Delaware)	06/30/1995
Adriatic Insurance Company (North Dakota)	06/30/1995
AIG Specialty Insurance Company (Illinois) (Name changed from Chartis Specialty Insurance Company effective 10/01/2013)	06/30/1995
AIX Specialty Insurance Company (Delaware)	06/05/2009
Allianz Global Corporate & Specialty SE (Germany) (Name Changed from Allianz Global Corporate Specialty AG effective 11/13/2013)	06/16/2004
Allied World National Assurance Company (New Hampshire) (Name changed from Newmarket Underwriters Insurance Company effective 10/03/2007)	12/18/1997
Allied World Surplus Lines Insurance Company (Arkansas) (Name changed from Darwin Select Insurance Company effective 06/03/2014) (Name changed from ULICO Indemnity Company Effective 05/13/2010)	12/22/1995
American Empire Surplus Lines Insurance Company (Delaware)	09/01/1995
American Western Home Insurance Company (Oklahoma)	09/01/1995
Arch Insurance (UK) Limited (U.K.) (Name changed from Arch Insurance Company (Europe) Limited effective 03/18/2019)	10/19/2009
Arch Specialty Insurance Company (Missouri) (Name changed from Rock River Insurance Company effective 08/01/2002) (Domicile changed from Nebraska to Missouri effective 09/30/2014)	09/01/1995
Aspen Insurance UK Limited (U.K.)	12/29/2004
Aspen Specialty Insurance Company (North Dakota) (Name changed from Dakota Specialty Insurance Company, effective 10/22/2003)	03/03/1998
Associated Industries Insurance Company, Inc. (Florida)	01/11/2012

Atain Specialty Insurance Company (Michigan) (Name changed from USF Insurance Company effective 08/25/2011) (Domicile changed from Pennsylvania to Michigan effective 12/31/2007)	09/01/1995
Atlantic Casualty Insurance Company (North Carolina)	07/16/2009
AXIS Specialty Europe SE (Ireland) (Name changed from AXIS Specialty Europe Public Limited Company effective 09/10/2012. Name changed from AXIS Specialty Europe Limited effective 04/26/2012)	06/20/2007
AXIS Surplus Insurance Company (Illinois) (Name changed from Sheffield Insurance Corporation effective 06/09/2003)	12/15/1995

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B - D

Insurer	Date Approved
Berkley Assurance Company (Iowa)	07/20/2011
Berkley Specialty Insurance Company (Delaware) (Name changed from Berkley Regional Specialty Insurance Company effective 07/01/2018)	04/12/2012
Berkshire Hathaway International Insurance Limited (UK)	04/01/2008
The Burlington Insurance Company (Illinois) (Domicile changed from North Carolina to Illinois, effective 12/31/2015)	11/17/1995
Canopus US Insurance, Inc. (Delaware) (Name changed from Omega US Insurance, Inc. effective 08/20/2012)	07/20/2011
Capitol Specialty Insurance Corporation (Wisconsin)	05/15/2008
Catlin Specialty Insurance Company (Delaware) (Name changed from Wellington Specialty Insurance Company effective 03/31/2007)	06/14/2006
Century Surety Company (Ohio)	09/01/1995
Chubb Custom Insurance Company (New Jersey) (Domicile changed from Delaware to New Jersey, effective 04/01/2013)	08/04/1995
Chubb European Group SE (France) (Domicile changed from U.K. to France effective 01/01/2019) (Name changed from Chubb European Group Limited effective July 19, 2018) (Name changed from ACE European Group Limited effective May 2, 2017)	06/20/2007
The Cincinnati Specialty Underwriters Insurance Company (Delaware)	01/31/2011
Colony Insurance Company (Virginia)	09/01/1995
Columbia Casualty Company (Illinois)	07/06/1995
Coverys Specialty Insurance Company (New Jersey)	07/10/2017
Covington Specialty Insurance Company (New Hampshire)	07/20/2011
Crum & Forster Specialty Insurance Company (Delaware) (Name changed from Transnational Insurance Company effective 12/26/2000. Domicile changed from Arizona to Delaware effective 11/21/2014.)	04/20/1998

CUMIS Specialty Insurance Company, Inc. (Iowa)	05/15/2008
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Insurer	Date Approved
Empire Indemnity Insurance Company (Oklahoma)	12/01/1995
Endurance American Specialty Insurance Company (Delaware) (Name changed from Traders & Pacific Insurance Company effective 06/08/2006)	02/23/1996
Energy Insurance Mutual Limited (Barbados)	12/17/1997
Evanston Insurance Company (Illinois)	08/11/1995
Everest Indemnity Insurance Company (Delaware)	08/14/1998
Executive Risk Specialty Insurance Company (Connecticut)	09/01/1995
Fair American Select Insurance Company (Delaware)	07/28/2014
First Mercury Insurance Company (Delaware) (Domicile changed from Illinois to Delaware, effective 10/28/2015)	10/16/1997
First Specialty Insurance Corporation (Missouri)	09/01/1995
Gemini Insurance Company (Delaware)	02/23/1998
General Security Indemnity Company of Arizona (Arizona) (Name changed from Fulcrum Insurance Company effective 05/03/2002)	09/01/1995
General Star Indemnity Company (Delaware) (Domicile changed from Connecticut to Delaware, effective 12/31/2012)	08/11/1995
Gotham Insurance Company (New York)	08/04/1995
Great American E&S Insurance Company (Delaware) (Name changed from Agricultural Excess and Surplus Insurance Company, effective 07/27/2000)	06/30/1995
Great American Fidelity Insurance Company (Delaware) (Name changed from American Dynasty Surplus Lines Insurance Company, effective 06/27/2001)	09/01/1995
Great Lakes Insurance SE (Germany) (Domicile changed from UK to Germany and name changed from Great Lakes Reinsurance (UK) SE effective December 30, 2016 (Name changed from Great Lakes Reinsurance (UK) PLC (U.K.) effective 07/28/2015)	12/01/1995
GuideOne National Insurance Company (Iowa)	12/07/2015
Gulf Underwriters Insurance Company (Connecticut) (Domicile changed from Missouri to Connecticut, effective 10/01/2001)	07/07/1995

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Insurer	Date Approved
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Halfmark Specialty Insurance Company (Oklahoma)	07/01/2011
Hamilton Insurance DAC (Ireland) (Name changed from Ironshore Europe DAC (Ireland) effective 09/16/2019)	02/05/2019
HDI Global Specialty SE (Germany) (Name changed from International Insurance Company of Hannover SE effective 01/02/2019) (Name changed from International Insurance Company of Hannover PLC effective 07/15/2014) (Name changed from International Insurance Company of Hannover Ltd effective 08/07/2013) (Domicile changed from United Kingdom to Germany effective 01/05/2015)	09/29/1998
HDI Specialty Insurance Company (Illinois)	04/23/2018
Health Care Indemnity, Inc. (Colorado)	03/21/2001
Homeland Insurance Company of New York (New York)	09/24/2003
Houston Casualty Company (Texas)	09/01/1995
Houston Specialty Insurance Company (Texas) (Name changed from Naxos Insurance Company effective 12/30/10) (Domicile changed from Delaware to Texas effective 12/30/2011)	10/08/2009
HSB Specialty Insurance Company (Connecticut)	09/26/2013
Hudson Excess Insurance Company (Delaware)	07/10/2017
Hudson Specialty Insurance Company (New York) (Name changed from General Security Indemnity Company effective 12/29/03)	11/09/1995
Illinois Union Insurance Company (Illinois)	12/22/1995
Independent Specialty Insurance Company (Delaware) (Domicile Changed from Ohio to Delaware and name changed from Fireman's Fund Insurance Company of Ohio effective January 30, 2017)	05/19/1999
Indian Harbor Insurance Company (Delaware) (Domicile changed from North Dakota to Delaware effective 07/01/2013)	12/08/1995
Interstate Fire & Casualty Company (Illinois)	10/20/1995
Ironshore Specialty Insurance Company (Arizona)	10/02/2008
James River Insurance Company (Ohio) (Name changed from Fidelity Excess and Surplus Insurance Company effective 07/07/03)	08/04/1995
Lancashire Insurance Company (UK) Limited (U.K.)	11/17/2010
Landmark American Insurance Company (New Hampshire) (Domicile changed from Oklahoma to New Hampshire effective October 28, 2016)	09/30/2003
Lexington Insurance Company (Delaware)	07/28/1995
Liberty Mutual Insurance Europe SE (Luxembourg) (Domicile changed from U.K. to Luxembourg and name changed from Liberty Mutual Insurance Europe Limited effective 03/01/2019) (Name changed from Liberty Mutual Insurance (U.K.) Limited effective 10/21/2003)	10/27/1995

Liberty Specialty Markets Bermuda Limited (Bermuda) (Name changed from Ironshore Insurance Ltd. effective 11/15/2018)	07/20/2011
Liberty Surplus Insurance Corporation (New Hampshire)	12/18/1997

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M - P

Insurer	Date Approved
The Marine Insurance Company Limited (UK)	11/03/1995
Markel International Insurance Company Limited (U.K.) (Name changed from Terra Nova Insurance Company Limited, effective 11/04/2002)	10/27/1995
Maxum Indemnity Company (Connecticut) (Domicile changed from Delaware to Connecticut effective December 1, 2016) (Name changed from Caliber One Indemnity Company, effective 01/02/2003)	09/29/1998
Mercer Insurance Company (Pennsylvania)	06/10/2016
Mesa Underwriters Specialty Insurance Company (New Jersey) (Name changed from Montpelier U.S. Insurance Company, effective 01/01/2012.) (Domicile changed from Oklahoma to New Jersey, effective 01/01/2012)	02/05/2012
Mitsui Sumitomo Insurance Company (Europe) Limited (U.K.)	06/10/2011
Mount Vernon Fire Insurance Company (Pennsylvania)	04/02/1997
MSIG Specialty Insurance USA Inc. (New York)	10/11/2019
Mt. Hawley Insurance Company (Illinois) (Domicile changed from Delaware to Kansas, effective 12/20/95. Domicile changed from Kansas to Illinois effective 4/21/1999)	10/27/1995
NORCAL Specialty Insurance Company (Texas) (Domicile changed from Pennsylvania to Texas effective 04/25/2018) (Name changed from PMSLIC Insurance Company, effective 12/01/2015)	12/23/2013
National Fire & Marine Insurance Company (Nebraska)	06/30/1995
Nautilus Insurance Company (Arizona)	08/04/1995
Navigators Specialty Insurance Company (New York) (Name changed from NIC Insurance Company effective 01/04/2007)	12/08/1995
Noetic Specialty Insurance Company (Vermont) (Domicile changed from Illinois to Vermont effective 01/18/2011) (Name changed from Coregis Indemnity Company effective 11/07/2001)	09/01/1995
North American Capacity Insurance Company (New Hampshire)	08/11/1995
Northfield Insurance Company (Iowa) (Domicile changed from Missouri to Iowa, effective 1/01/2002)	06/30/1995
North Light Specialty Insurance Company (Illinois)	10/10/2014
Nutmeg Insurance Company (Connecticut)	06/30/1995
Old Republic Union Insurance Company (Illinois)	05/24/2017
Pacific Insurance Company, Limited (Connecticut)	09/01/1995

Peleus Insurance Company (Virginia) (Name changed from Colony National Insurance Company effective 3/4/2015)	12/17/1996
Penn-Star Insurance Company (Pennsylvania)	11/13/2002
Prime Insurance Company (Illinois)	08/08/2018
Princeton Excess and Surplus Lines Insurance Company (Delaware)	02/09/2006
ProAssurance Casualty Company (Michigan) (Name changed from ProNational Insurance Company effective 01/27/2009)	10/18/2005
Protective Specialty Insurance Company (Indiana)	06/01/2010

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Q - Z

Insurer	Date Approved
QBE Specialty Insurance Company (North Dakota)	08/01/2003
QBE UK Limited (U.K.) (Name changed from QBE Insurance (Europe) Limited effective 12/31/2018) (Name changed from QBE International Insurance Limited effective 09/30/2005)	01/06/1999
Rockingham Insurance Company (Virginia)	2/27/2017
Scottsdale Insurance Company (Ohio)	06/30/1995
Seneca Specialty Insurance Company (Delaware) (Domicile changed from Arizona to Delaware, effective 11/25/2014)	02/02/2004
Shelter Reinsurance Company (Missouri)	10/18/2007
Sirius International Insurance Corporation (Sweden)	02/08/1999
St. Paul Surplus Lines Insurance Company (Delaware)	07/06/1995
Starr Surplus Lines Insurance Company (Texas) (Domicile changed from Illinois to Texas effective 01/01/2018)	11/16/2010
StarStone Specialty Insurance Company (Delaware)(Name changed from Torus Specialty Insurance Company effective 09/21/2015. Name changed from Praetorian Specialty Insurance Company effective 03/02/2009. Name changed from Alea North America Specialty Insurance Company effective 10/02/2006.)	12/22/2004
Steadfast Insurance Company (Illinois) (Domicile changed from Delaware to Illinois effective 12/31/2018)	07/18/1995
Superior Specialty Insurance Company (Delaware) (Domicile changed from Missouri to Delaware and name changed from Savers Property and Casualty Insurance Company effective 1/31/2019)	6/30/1995
Swiss Re International SE (Luxembourg) (Name changed from SR International Business Insurance Company Limited (UK) effective 1/01/2008) (Domicile changed from UK to Luxembourg effective 01/01/2008)	10/27/1995
T.H.E. Insurance Company (Louisiana)	09/22/1995

Tokio Marine Speciality insurance Company (Delaware) (Name changed from Philadelphia Insurance Company effective 11/01/2012) (Domicile changed from Pennsylvania to Delaware effective 11/01/2012)	02/14/1997
Travelers Excess and Surplus Lines Company (Connecticut) (Name changed from Aetna Excess & Surplus Lines Company, effective 7/1/97)	06/06/1997
Tudor Insurance Company (New Hampshire)	07/18/1995
United National Insurance Company (Pennsylvania)	07/28/1995
United Specialty Insurance Company (Delaware)	05/07/2008
Vault E&S Insurance Company (Arkansas)	12/05/2019
Voyager Indemnity Insurance Company (Georgia)	12/22/1995
Watford Specialty Insurance Company (New Jersey)	04/02/2019
Westchester Surplus Lines Insurance Company (Georgia)	06/30/1995
Western World Insurance Company (New Hampshire)	06/30/1995

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Travelers Casualty and Surety Company of America

AMB #: 003609 NAIC #: 31194 FEIN #: 060907370

Domiciliary Address

One Tower Square
Hartford, Connecticut 06183
United States

Web: www.travelers.com

Phone: 860-277-0111

Fax: 844-816-9447

Assigned to insurance companies that have, in our opinion, a superior ability to meet their ongoing insurance obligations.



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Based on AM Best's analysis, 058470 - The Travelers Companies, Inc. is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

Best's Credit Ratings

Financial Strength Rating View Definition

Rating: A++ (Superior)
Financial Size: XV (\$2 Billion or greater)
Category: greater)
Outlook: Stable
Action: Affirmed
Effective Date: November 05, 2020
Initial Rating Date: June 30 1975

Long-Term Issuer Credit Rating View Definition

Long-Term: aa+ (Superior)
Outlook: Stable

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Senior Financial Analyst: Elizabeth Blamble
Senior Director: Michael J. Lagomarsino, CFA, FRM
Note: See the Disclosure information Form or Press Release below for the office and analyst at the time of the rating event.

Note: Credit Ratings on this company are European Union Endorsed

Disclosure Information

Disclosure Information Form
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Action: Affirmed
Effective Date: November 05, 2020
Initial Rating Date: April 18, 2005

Press Release
AM Best Affirms Credit Ratings of The
Travelers Companies, Inc. and Its Main
Subsidiaries
November 05, 2020

u Denotes Under Review Best's Rating

[View AM Best's Rating Review Form](#)

Rating History

AM Best has provided ratings & analysis on this company since 1975.

Financial Strength Rating

Effective Date	Rating
11/5/2020	A++
11/5/2019	A++
10/31/2018	A++
10/5/2017	A++
7/22/2016	A++

Long-Term Issuer Credit Rating

Effective Date	Rating
11/5/2020	aa+
11/5/2019	aa+
10/31/2018	aa+
10/5/2017	aa+
7/22/2016	aa+

Best's Credit & Financial Reports

-  Best's Credit Report - financial data included in Best's Credit Report reflects the data used in determining the current credit rating(s).
-  Best's Credit Report - Archive - reports which were released prior to the current Best's Credit Report.
-  Best's Financial Report - financial data included in Best's Financial Report reflects the most current data available to AM Best, including updated financial exhibits and additional company information, and is available to subscribers of Best's Insurance Reports.

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Press Releases

<u>Date</u> ▼	<u>Title</u>
Nov 05, 2020	AM Best Affirms Credit Ratings of The Travelers Companies, Inc. and Its Main Subsidiaries
Nov 05, 2019	AM Best Affirms Credit Ratings of The Travelers Companies, Inc. and Its Main Subsidiaries
Oct 31, 2018	A.M. Best Affirms Credit Ratings of The Travelers Companies, Inc. and Its Main Subsidiaries
Oct 05, 2017	A.M. Best Affirms Credit Ratings of The Travelers Companies, Inc. and Its Subsidiaries
Jul 22, 2016	A.M. Best Affirms Ratings of The Travelers Companies, Inc. and Its Subsidiaries
May 28, 2015	A.M. Best Affirms Ratings of The Travelers Companies, Inc. and Its Subsidiaries

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COMPANY PROFILE

Company Information

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

**ONE TOWER SQUARE
HARTFORD, CT 06183**

Old Company Names

Effective Date

AETNA CASUALTY & SURETY COMPANY OF AMERICA 07/01/1997

Agent For Service

Melissa DeKoven
2710 Gateway Oaks Drive, Suite 150N
Sacramento CA 95833-3505

Reference Information

NAIC #:	31194
California Company ID #:	2444-8
Date Authorized in California:	07/31/1981
License Status:	UNLIMITED-NORMAL
Company Type:	Property & Casualty
State of Domicile:	CONNECTICUT

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NAIC Group List

NAIC Group #: 3548 Travelers Grp

Lines Of Business

The company is authorized to transact business within these lines of insurance. For an explanation of any of these terms, please refer to the glossary.

- AIRCRAFT
- AUTOMOBILE
- BOILER AND MACHINERY
- BURGLARY
- COMMON CARRIER LIABILITY
- CREDIT
- DISABILITY
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