# SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA



ITEM: 3.37 (ID # 20800)

**MEETING DATE:** 

Tuesday, December 13, 2022

FROM: RUHS-PUBLIC HEALTH:

**SUBJECT:** RIVERSIDE UNIVERSITY HEALTH SYSTEM – PUBLIC HEALTH: Ratify and Approve Grant Agreement Number DD23005 with the State of California Office of Traffic Safety for Be-Wiser Teen Impaired and Distracted Driving and Speeding Occupant Safety Program for the Period of Performance of October 1, 2022 through September 30, 2023. All Districts. [Total Aggregate Grant Amount: \$230,000; up to \$23,000 in additional compensation -100% State]

# **RECOMMENDED MOTION:** That the Board of Supervisors:

- 1. Ratify and approve Grant Agreement Number DD23005 with the State of California Office of Traffic Safety (OTS) for the Be-Wiser Teen Impaired and Distracted Driving and Speeding Occupant Safety Program ("Grant Agreement") in the aggregate amount of \$230,000 for the period of performance of October 1, 2022 through September 30, 2023;
- 2. Authorize the Director of Public Health, the Program Chief of Public Health Fiscal, and the Program Chief of Public Health's Injury Prevention Branch, to sign the Agreement on behalf of the County; and
- 3. Authorize the Director of Public Health, or designee, in accordance with Ordinance No. 459, based on the availability of fiscal funding and as approved as to form by County Counsel, to: (a) sign all reports, certifications, forms, other related documents required by OTS and subsequent amendments to the Grant Agreement that exercise the options of the agreement, including modifications of the statement of work that stay within the intent of the Agreement; (b) sign amendments to the compensation provisions that do not exceed the sum total of ten percent (10%) of the total aggregate award amount.

**ACTION: A-30, Policy** 

MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Washington, seconded by Supervisor Spiegel and duly carried, IT WAS ORDERED that the above matter is approved as recommended.

Ayes:

Jeffries, Spiegel, Washington, and Hewitt

Nays:

None Perez

Absent: Date:

December 13, 2022

XC:

**RUHS-PH** 

Clerk of the Board

Kecia R. Harper

Deputy

# SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:		Ongoing Cost
COST	\$172,500	\$57,500	\$230,000		\$0
NET COUNTY COST	\$0	\$0	\$0		\$0
SOURCE OF FUNDS: 100% State			Budget Adji	ustment: No	
				For Fiscal Y	ear: 22/23-23/24

C.E.O. RECOMMENDATION: Approve

#### **BACKGROUND:**

## Summary

The Be-Wiser Teen Impaired and Distracted Driving and Speeding Occupant Safety Program is funded by the National Highway Transportation Safety Administration (NHTSA), and the grant is administered by the State of California Office of Traffic Safety (OTS). The goal of the program is to educate teens and parents about the dangers of impaired and distracted driving.

Riverside University Health System - Public Health's (RUHS-PH) Injury Prevention Services (IPS) Program received funding from OTS to establish a peer-to-peer traffic safety education and awareness program. Trained student leaders will conduct meaningful bilingual awareness campaigns to educate youth on the dangers of poor choices and the consequences surrounding impaired and distracted driving.

The state requires the official agency signatory identified in their Grant Electronic Management System (GEMS) to sign the agreement, which for the County of Riverside is the Director of Public Health. In addition, the agreement requires the signatory of the Fiscal official and Grant Director, which for the County of Riverside is the Program Chief of Public Health Fiscal and the Program Chief of Public Health's Injury Prevention Program. Only the individuals identified in GEMS will be able to sign agreements and amendments, as well as log into GEMS for all matters relating to the OTS grant, including, but not limited to, completing and submitting Quarterly Performance Reports (QPRs) and reimbursement claims.

#### Impact on Residents and Businesses

The program will benefit county residents by helping reduce the number of injuries and fatalities due to teen impaired and distracted driving.

## **Additional Fiscal Information**

The total grant amount to RUHS-PH is \$230,000. There is no impact to County General Funds. The annual amount distribution from OTS will be as follows:

County Fiscal Year		Federa	l Fiscal Year
Year	Amount	Year	Amount
FY22/23	\$172,500	FY22/23	\$230,000

# SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

FY23/24	\$57,500		
Total	\$230,000	Total	\$230,000

# **Contract History**

For over 20 years, RUHS-PH has received grant funding on an annual basis from the California Office of Traffic Safety to address concerns related to traffic within Riverside County. The continued funding will provide education to teens and parents of Riverside County about the dangers of impaired and distracted driving with the goal of helping to reduce the number of injuries and fatalities related to impaired and distracted driving.

# SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

**ATTACHMENTS:** 

**ATTACHMENT A.** Grant Agreement Number DD23005 with the State of California Office of Traffic Safety

Douglas Ordonez Jr.

12/7/2022

Gregg Gu, Chief Perfly County-counsel

12/7/2022

# WHEN DOCUMENT IS FULLY EXECUTED RETURN CLERK'S COPY

to Riverside County Clerk of the Board, Stop 1010

**GRANT NUMBER** DD23005

1.	GRANT TITLE		
	Be Wiser Teen Impaired/Distracted Driving & Speeding/Oc	cupant	Safety Program
2.	NAME OF AGENCY	3. Gra	int Period
	Riverside County	100 10 100 10 1000	
4.	AGENCY UNIT TO ADMINISTER GRANT	То:	09/30/2023
	Riverside County Public Health Department		
5.	GRANT DESCRIPTION		
Th	- Diverside University Health System - Public Health (PLIHS - Public	lic Haalth	a) seeks to reduce the number

The Riverside University Health System - Public Health (RUHS - Public Health), seeks to r of persons killed or injured due to teen impaired and distracted driving as well as speeding.. This will be achieved by expanding the existing Be Wiser Program. Expansion will include 1) enhancing the

train the trainer component for high school students to prepare them in providing traffic safety presentations for their peers, 2) broaden the middle school curriculum to teach the concept of responsible passenger behavior and its translation to safe driving behavior, including the consequences of speeding, 3) provide training to staff and parents on the dangers of alcohol, drug impaired and distracted driving. 4) conduct meaningful bilingual awareness campaigns educating youth and the community on the dangers of poor choices and the consequences surrounding impaired and distracted driving and speeding.

Federal Funds Allocated Under This Agreement Shall Not Exceed: \$230,000,00

- TERMS AND CONDITIONS: The parties agree to comply with the terms and conditions of the following which are by this reference made a part of the Agreement:
  - Schedule A Problem Statement, Goals and Objectives and Method of Procedure
  - Schedule B Detailed Budget Estimate and Sub-Budget Estimate (if applicable)
  - Schedule B-1 Budget Narrative and Sub-Budget Narrative (if applicable)
  - Exhibit A Certifications and Assurances
  - Exhibit B\* OTS Grant Program Manual
  - Exhibit C Grant Electronic Management System (GEMS) Access

\*Items shown with an asterisk (\*), are hereby incorporated by reference and made a part of this agreement as if attached hereto.

These documents can be viewed at the OTS home web page under Grants: www.ots.ca.gov.

We, the officials named below, hereby swear under penalty of perjury under the laws of the State of California that we are duly authorized to legally bind the Grant recipient to the above described Grant terms and conditions. IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

8. Approval Signatures	
A. GRANT DIRECTOR  NAME: Julisa Alvizo-Silva  TITLE: Program Chief II  EMAIL: jalvizo@ruhealth.org  PHONE: (951) 358-7171  ADDRESS: 4065 County Circle Drive	B. AUTHORIZING OFFICIAL  NAME: Kim Saruwatari  TITLE: Director of Public Health  EMAIL: ksaruwatari@ruhealth.org  PHONE: (951) 358-7036  ADDRESS: 4065 County Circle Drive
Riverside, CA 92503  (Signature) (Date)	Riverside, CA 92503  (Signature) (Date)  D. AUTHORIZING OFFICIAL OF OFFICE OF TRAFFIC SAFETY
C. FISCAL OFFICIAL NAME: Yadira Romo TITLE: Program Chief II EMAIL: yromo@ruhealth.org PHONE: (951) 358-5996 ADDRESS: 4065 County Circle Drive Riverside, California 92503	NAME: Barbara Rooney TITLE: Director EMAIL: barbara.rooney@ots.ca.gov PHONE: (916) 509-3030 ADDRESS: 2208 Kausen Drive, Suite 300 Elk Grove, CA 95758
(Signature) (Date)	(Signature) (Date)

1.	GRANT TITLE		
	Be Wiser Teen Impaired/Distracted Driving & Speeding/O	ccupant	Safety Program
2.	NAME OF AGENCY	3. Gra	int Period
	Riverside County		10/01/2022
4.	AGENCY UNIT TO ADMINISTER GRANT	То:	09/30/2023
	Riverside County Public Health Department		

#### 5. GRANT DESCRIPTION

The Riverside University Health System - Public Health (RUHS - Public Health), seeks to reduce the number of persons killed or injured due to teen impaired and distracted driving as well as speeding.

This will be achieved by expanding the existing Be Wiser Program. Expansion will include 1) enhancing the train the trainer component for high school students to prepare them in providing traffic safety presentations for their peers, 2) broaden the middle school curriculum to teach the concept of responsible passenger behavior and its translation to safe driving behavior, including the consequences of speeding, 3) provide training to staff and parents on the dangers of alcohol, drug impaired and distracted driving, 4) conduct meaningful bilingual awareness campaigns educating youth and the community on the dangers of poor choices and the consequences surrounding impaired and distracted driving and speeding.

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\$230,000.00

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We, the officials named below, hereby swear under penalty of perjury under the laws of the State of California that we are duly authorized to legally bind the Grant recipient to the above described Grant terms and conditions. IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

#### **Approval Signatures** A. GRANT DIRECTOR B. AUTHORIZING OFFICIAL NAME: Julisa Alvizo-Silva NAME: Kim Saruwatari TITLE: Program Chief II TITLE: Director of Public Health ksaruwatari@ruhealth.org EMAIL: jalvizo@ruhealth.org EMAIL: PHONE: (951) 358-7171 PHONE: (951) 358-7036 ADDRESS: 4065 County Circle Drive ADDRESS: 4065 County Circle Drive Riverside, CA 92503 Riverside, CA 92503 (Signature) (Date) (Signature) (Date) C. FISCAL OFFICIAL D. AUTHORIZING OFFICIAL OF OFFICE OF TRAFFIC SAFETY NAME: Yadira Romo NAME: Barbara Rooney TITLE: Program Chief II TITLE: Director EMAIL: yromo@ruhealth.org EMAIL: barbara.rooney@ots.ca.gov PHONE: (951) 358-5996 PHONE: (916) 509-3030 ADDRESS: 4065 County Circle Drive ADDRESS: 2208 Kausen Drive, Suite 300 Riverside, California 92503 Elk Grove, CA 95758 (Signature) (Date) (Signature) (Date)

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E. ACCOUNTING OFFICER OF OFFICE OF TRAFFIC SAFETY

NAME: Carolyn Vu

ADDRESS: 2208 Kausen Drive, Suite 300

Elk Grove, CA 95758

9. SAM INFORMATION

SAM#: CERTDD6LKE95

REGISTERED

ADDRESS: 4080 Lemon St FL 11

CITY: Riverside ZIP+4: 92501-3609

10. PROJECT	TED EXPEND	DITURES					
FUND	CFDA	ITEM/APPROP	RIATION	F.Y.	CHAPTER	STATUTE	PROJECTED EXPENDITURES
402DD-23	20.600	0521-0890	-101	2022	43/22	BA/22	\$230,000.00
					AGREEMENT TOTAL		\$230,000.00
			AMOUNT ENG \$230,00		Y THIS DOCUMENT		
I CERTIFY upon my own personal knowledge that the budgeted funds for the current budget year are available for the period and purpose of the expenditure stated above.		PRIOR AMOU AGREEMENT \$ 0.00		ERED FOR THIS			
OTS ACCOUN	TING OFFICE	R'S SIGNATURE	DATE SIGN	NED	TOTAL AMOU \$230,00		ERED TO DATE

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# 1. PROBLEM STATEMENT

## Introduction

Adolescence is the transitional period between childhood and adulthood. Children navigating this transition begin to go through many changes, physically and socially. They achieve "coming of age" milestones, such as a getting a first job or graduating high school. Learning how to drive is one of the highest ranked achievements of an adolescent because it allocates them an enormous amount of responsibility and freedom. This the time for them to prepare for the physical independence of becoming licensed drivers. Although education and training are required for every teen to obtain their driver's license, the requirements are only based on the minimum guidelines. Yet, becoming a safe, well-rounded driver requires more than just the minimum education. It is important to give an appropriate exposure to safe driving behaviors, continuous practice, and safe messaging; all these factors are key to developing the next generation of skilled and safe drivers. Without these crucial interventions, young inexperienced drivers could risk harming themselves and others on the road.

#### Research and Statistics

According to the Centers for Disease Control, motor vehicle crashes are the second leading cause of teen deaths in the United States. In 2019, 2,400 teens between the ages of 13 and 19 were lost to motor vehicle crashes, and an additional 258,000 were injured. Taking that statistic under consideration, about 7 teenagers die every day from car crashes and hundreds are injured. The Insurance Institute for Highway Safety (IIHS) states that, despite teenagers driving fewer miles than adults, their crash and deaths rates are disproportionately higher. Based on police-reported crashes, teenagers ages 16 to 19 are four times more likely to be in a crash compared to drivers who are 20 and older; the risk is highest among those who are 16. (Attachment A)

#### **Risk Factors**

It can be deduced that teenagers are more likely to engage in reckless driving behaviors, making them vulnerable to higher collision rates. Teens are more susceptible to engaging in reckless driving behaviors than adults because they underestimate dangerous situations. These critical thinking skills are imperative when driving and a miscalculation can lead to dire consequences. Many of these accidents occur when making critical errors like not using a seat belt, speeding, texting, and other distractions.

Racial and ethnic disparities exist among motor vehicle related mortality, with American Indian children and youth between the ages of 0 to 19 being highly affected. They are 2 to 5 times more likely to be in a car crash than those of other racial and ethnic groups. Infant, child, and teen restraint patterns are significantly lower among the Indian community compared to other ethnic groups. (Attachment B)

## Unrestrained occupants:

Occupant safety is of great concern considering teens often have the lowest seat belt use rates. The 2018 National Occupant Protection Use Survey (NOPUS) Controlled Intersection study concluded that seat belt use among teens and young adults (16-24 years of age) was only at 87% that year, compared to 92% of adults (25 years of age or older) during the same period. (Attachment C) In 2019, 43% of U.S high school students did not always wear a seat belt when riding in a car driven by someone else. Additionally, in 2018 nearly half of teen drivers and passengers between the ages of 16 and 19 that died in a car crash were not wearing a seat belt. (Attachment A) Between 2018 and 2020, Riverside County reports a total of 7 crashes where victims between the ages of 13 to 18 that were not wearing their seatbelt. One victim was killed and 13 were injured. This includes any type of restraint (lap belt, shoulder, lap and shoulder belt). (Attachment D)

Only about 76% of American Indians reported to have used their seatbelt in 2020, compared to the national average of 90%. 2 out of 3 passengers who died in crashes on reservations were not wearing their seat belt.

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When looking at the pediatric American Indian population, only about 23-79% of children ages 7 or younger used proper restraints. The child safety and booster seat use rates are much lower than any other racial groups in the United States. This information is shocking and should be taken into deeper consideration. (Attachment B)

## Speeding

According to Teen Driver Source, speeding is classified as increasing the distance between two vehicles, reducing the reaction time that it takes to stop. Teen Drivers Source also states that 21% of collisions involving teen drivers was caused by driving too fast for the current road conditions. However, the Children's Hospital of Philadelphia conducted a study that concluded that not all speed-related crashes are intentional. Many of these collisions occur from inexperience behind the wheel and lack of road safety knowledge. (Attachment E) Between 2018 and 2020, Riverside County reported that there were 1,836 total crashes that occurred where victims were under the age of 18. There were 13 victims that were killed and 3,723 were injured. Additionally, victims that were between the ages of 13 and 18 were involved in 1,031 crashes; 8 victims were killed and 2,103 were injured. (Attachment D) Young drivers would benefit from lessons on managing their speed based on road conditions. *Texting* 

Driving while distracted can make it incredibly hard to react, especially for teen drivers. A survey conducted by the 2019 CDC's Youth Risk Behavior Surveillance System revealed that 39% of high school students admitted to texting while driving in the last 30 days that the survey was given. Also, teenagers who text while driving are more likely to report other high-risk behaviors, like, not wearing a seat belt or drinking while driving. (Attachment F)

### Consequences

Distracted driving among adolescents can derive from many different aspects, such as, inexperienced behind the wheel, lack of road safety knowledge, lack of judgement, peer pressure, or distractions. However, despite the many contributing factors that lead to distracted driving among teenagers the consequences of these reckless behaviors are irreparable. There are long-lasting emotional costs that affect communities, families, and loved ones. On September 8th, 2020, a 19-year-old Temecula youth in a pickup slammed into a minivan and sedan as they were stopped at a red light. The teen hit the two vehicles from behind at about 50 mph, killing a 53-year-old Hemet man and injured five others, including an infant and child. The teen admitted that he was distracted and failed to observe the stopped traffic in front of him. (Attachment G) This tragic event not only affected the lives of the loved ones of this victim, but it also forever altered the young driver. Educating youth to understand the critical consequences of unsafe driving behavior, as well as, providing them with empowering safety education can create a positive change in their driving behaviors. Further consideration should also be made to populations, such as the tribal communities, and provide culturally sensitive educational engagement that can help reduce the burden of motor vehicle injuries among the youth.

## **Riverside County**

Riverside County is the fourth most populated county in California. It is home to more than 2.4 million residents with 24.9% of the population under the age of 18. It is a diverse territory with over 7,200 square miles with 28 incorporated cities and 23 school districts housing a large amount of new teenaged drivers. Additionally, it is directly divided by two densely trafficked freeways (R-91 and R-60) and two main highways (I-15 and I-10) stretching over 200 miles. This highly trafficked area makes it a primary target for traffic fatalities. Data received from the Statewide Integrated Traffic Records System (SWITRS) indicates that between 2018 and 2020, Riverside County experienced 8,566 motor vehicle crashes that involved drivers between the ages of 10 and 17. There were 12,293 victims that results from those accidents, 785 were injured and 5 killed. (Attachment D) This data indicates that at a local level, teen impaired and distracted driving continue to be an issue of dire concern.

Riverside University Health System – Public Health (RUHS – Public Health) aims to continue our Programs – Be Wiser Teen Impaired and Distracted Driving and newly developed Speeding and Occupant Safety

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Program by continued collaboration with key partners to implement program activities on middle and high school campuses throughout Riverside County.

# 2. PERFORMANCE MEASURES

# A. Goals:

- 1. Reduce the number of persons killed in traffic crashes.
- 2. Reduce the number of persons injured in traffic crashes.

<ol><li>Reduce the number of persons injured in traffic crashes.</li></ol>	
B. Objectives:	Target Number
1. Issue a press release announcing the kick-off of the grant by November 15. The kick-off press releases and media advisories, alerts, and materials must be emailed to the OTS Public Information Officer at pio@ots.ca.gov, and copied to	1
your OTS Coordinator, for approval 14 days prior to the issuance date of the release.	
<ol><li>Identify straight time personnel and report on activities completed. Include any vacancies or staff changes that have occurred</li></ol>	1
<ol> <li>BE WISER: Update the Be Wiser training curriculum that will assist students to develop and implement awareness campaigns addressing the topics of impaired and distracted driving by December 31, 2022.</li> </ol>	1
<ol> <li>BE WISER: Obtain commitment from a service club or group of students at six (6 middle school sites to implement Be Wiser program activities by December 31, 2022.</li> </ol>	6
<ol> <li>BE WISER: Obtain commitment from a service club or group of students at six (6) high school sites to implement Be Wiser program activities by December 31, 202</li> </ol>	
<ol> <li>BE WISER: Engage with ten (10) community social media pages to increase public awareness about the dangers of impaired driving and distracted driving to reach 1000 people by September 30, 2023.</li> </ol>	10
<ol> <li>BE WISER: Conduct six (6) Train-the-Trainer presentations for high school sites t equip student peer educators with the knowledge base and skills to lead traffic safety efforts on campus by September 30, 2023.</li> </ol>	6
<ol> <li>BE WISER: Conduct six (6) workshops for middle school sites that will provide student advocates with the knowledge base and skills to create and implement Peer to Peer traffic safety education awareness campaigns by June 30, 2023.</li> </ol>	6
<ol> <li>BE WISER: Conduct two (2) peer-led social media awareness campaigns at each of the (12) middle school and high school campuses, addressing the topics of drugged, drowsy, drunk and distracted driving to reach 7200 students by September 30, 2023.</li> </ol>	24
10. BE WISER: Conduct twelve (12) staff briefings at middle and high schools to increase awareness of teen impaired and distracted driving risks, laws, and available resources by September 30, 2023.	12
11. BE WISER: Conduct twelve (12) parent/student workshops.	12
12. BE WISER: Conduct two (2) parent/student workshops in Spanish.	2
13. BE WISER: Conduct four (4) workshops for local law enforcement Explorer Programs.	4
14. Conduct an evaluation at each of the participating middle and high school campuses and Explorer Programs assessing student's attitudes and behavior towards impaired and distracted driving, unsafe speed, and occupant protection be September 30, 2023.	22
15. SOS: Conduct six (6) trainings for select middle and high school sites to equip student advocates with the knowledge base and skills to create and implement occupant protection and dangers of driving while speeding campaigns on campus by June 30, 2023.	6
16. SOS: Conduct two (2) social media or onsite campus campaigns with each participating school site focusing on occupant protection and dangers of speeding while driving by September 30, 2023.	12
17. SOS: Conduct one (1) outreach tabling event with each of the participating campuses focusing on occupant protection and dangers of speeding while driving by September 30, 2023.	6

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18. SOS: Obtain commitment from six (6) schools (middle and/or high school) to participate in the Speeding and Occupant Protection Program by January 31,	6
2023.	
19. SOS: Update the Speeding and Occupant Safety curriculum that will assist middle	1
and/or high school students to develop and implement awareness campaigns	
addressing the topics of dangers of speeding while driving and occupant	
protection by December 31, 2022.	

## 3. METHOD OF PROCEDURE

# A. Phase 1 – Program Preparation (1st Quarter of Grant Year)

- Develop operational plans to implement the "best practice" strategies outlined in the objectives section.
- All training needed to implement the program should be conducted in the first quarter.
- All grant related purchases needed to implement the program should be made in the first quarter.

# Media Requirements

 Issue a press release approved by the OTS PIO announcing the kick-off of the grant by November 15, but no sooner than October 1. The kick-off release must be approved by the OTS PIO and only distributed after the grant is fully signed and executed. If you are unable to meet the November 15 deadline to issue a kick-off press release, communicate reasons to your OTS coordinator and OTS PIO.

# **Program Additions**

Complete and route all necessary forms to the RUHS - PH Department Administration and Board of Supervisors for approval of grant activities.

Conduct a search for current appropriate educational and resource materials available for distribution at participating middle and high school campuses.

Update Be Wiser and Speeding and Occupant Safety Program (SOS) curriculum that assists students to develop and implement awareness campaigns addressing the dangers of impaired and distracted driving, unrestrained occupants, and speeding.

Obtain commitment from middle and high schools and Police Explorer Programs to participate in the Be Wiser and SOS program activities.

Identify at each school site a liaison that will communicate with Public Health on all activities.

Meet with school administration to implement pilot project requiring parent and student participation in workshop prior to receiving on-campus parking permit.

Develop operational plans to implement the "best practice" strategies outlined in the objectives section.

All grant related purchases needed to implement the program should be made this quarter.

Develop all program forms and evaluation tools.

Develop master calendar for all activities.

# B. Phase 2 – Program Operations (Throughout Grant Year)

## Media Requirements

The following requirements are for all grant-related activities:

Send all media advisories, alerts, videos, graphics, artwork, posters, radio/PSA/video scripts, storyboards, digital and/or print educational materials for grant-related activities to the OTS PIO at pio@ots.ca.gov for approval and copy your OTS coordinator. Optimum lead time would be 7 days before the scheduled release but at least 3 business days prior to the scheduled release date for review and approval is appreciated.

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- The OTS PIO is responsible for the approval of the design and content of materials. The agency
  understands OTS PIO approval is not authorizing approval of budget expenditure or cost. Any
  cost approvals must come from the Coordinator.
- Pre-approval is not required when using any OTS-supplied template for media advisories, press
  releases, social media graphics, videos or posts, or any other OTS-supplied educational material.
  However, copy the OTS PIO at pio@ots.ca.gov and your OTS coordinator when any material is
  distributed to the media and public, such as a press release, educational material, or link to social
  media post. The OTS-supplied kick-off press release templates and any kickoff press releases
  are an exception to this policy and require prior approval before distribution to the media and
  public.
- If an OTS-supplied template, educational material, social media graphic, post or video is substantially changed, the changes shall be sent to the OTS PIO at pio@ots.ca.gov for approval and copy to your OTS Coordinator. Optimum lead time would be 7 days prior to the scheduled release date, but at least 3 business days prior to the scheduled release date for review and approval is appreciated.
- Press releases, social media posts and alerts on platforms such as NextDoor and Nixle reporting immediate and time-sensitive grant activities (e.g. enforcement operations, day of event highlights or announcements, event invites) are exempt from the OTS PIO approval process. The OTS PIO and your Coordinator should still be notified when the grant-related activity is happening (e.g. car seat checks, bicycle rodeos, community presentations, DUI checkpoints, etc.).
- Enforcement activities such as warrant and probation sweeps, court stings, etc. that are
  embargoed or could impact operations by publicizing in advance are exempt from the PIO
  approval process. However, announcements and results of activities should still be copied to the
  OTS PIO at pio@ots.ca.gov and your Coordinator with embargoed date and time or with
  "INTERNAL ONLY: DO NOT RELEASE" message in subject line of email.
- Any earned or paid media campaigns for TV, radio, digital or social media that are part of a
  specific grant objective, using OTS grant funds, or designed and developed using contractual
  services by a subgrantee, requires prior approval. Please send to the OTS PIO at
  pio@ots.ca.gov for approval and copy your grant coordinator at least 3 business days prior to the
  scheduled release date.
- Social media posts highlighting state or national traffic safety campaigns (Distracted Driving Month, Motorcycle Safety Awareness Month, etc.), enforcement operations (DUI checkpoints, etc.), or any other grant-related activity such as Bicycle rodeos, presentations, or events, are highly encouraged but do not require prior approval.
- Submit a draft or rough-cut of all digital, printed, recorded or video material (brochures, posters, scripts, artwork, trailer graphics, digital graphics, social posts connected to an earned or paid media campaign grant objective) to the OTS PIO at pio@ots.ca.gov and copy your OTS Coordinator for approval prior to the production or duplication.
- Use the following standard language in all press, media, and printed materials, space permitting: Funding for this program was provided by a grant from the California Office of Traffic Safety, through the National Highway Traffic Safety Administration.
- Space permitting, include the OTS logo on all grant-funded print materials, graphics and paid or earned social media campaign grant objective; consult your OTS Coordinator for specifics, format-appropriate logos, or if space does not permit the use of the OTS logo.
- Email the OTS PIO at pio@ots.ca.gov and copy your OTS Coordinator at least 21 days in advance, or when first confirmed, a short description of any significant grant-related traffic safety event or program, particularly events that are highly publicized beforehand with anticipated media coverage so OTS has sufficient notice to arrange for attendance and/or participation in the event. If unable to attend, email the OTS PIO and coordinator brief highlights and/or results, including any media coverage (broadcast, digital, print) of event within 7 days following significant grant-related event or program. Media and program highlights are to be reflected in QPRs.
- Any press releases, work plans, scripts, storyboards, artwork, graphics, videos or any
  educational or informational materials that received PIO approval in a prior grant year needs to
  be resubmitted for approval in the current grant year.
- Contact the OTS PIO or your OTS Coordinator for consultation when changes from any of the above requirements might be warranted.

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## **Program Additions**

Provide campaign materials to participating school sites and Explorer Programs.

Conduct train the trainer presentations

Conduct student workshops

Conduct parent/student workshops

Conduct Police Explorer Program workshops

Conduct social media campaigns

Conduct staff briefings

Pilot project to require parents and students to attend workshop before receiving on-campus parking permit.

# C. Phase 3 - Data Collection & Reporting (Throughout Grant Year)

- 1. Prepare and submit invoice claims (due January 30, April 30, July 30, and October 30)
- 2. Prepare and submit Quarterly Performance Reports (QPR) (due January 30, April 30, July 30, and October 30)
  - Collect and report quarterly, appropriate data that supports the progress of goals and objectives.
  - Provide a brief list of activity conducted, procurement of grant-funded items, and significant media activities. Include status of grant-funded personnel, status of contracts, challenges, or special accomplishments.
  - Provide a brief summary of quarterly accomplishments and explanations for objectives not completed or plans for upcoming activities.
  - Collect, analyze and report statistical data relating to the grant goals and objectives.

none

#### 4. METHOD OF EVALUATION

Using the data compiled during the grant, the Grant Director will complete the "Final Evaluation" section in the fourth/final Quarterly Performance Report (QPR). The Final Evaluation should provide a brief summary of the grant's accomplishments, challenges and significant activities. This narrative should also include whether goals and objectives were met, exceeded, or an explanation of why objectives were not completed.

#### 5. ADMINISTRATIVE SUPPORT

This program has full administrative support, and every effort will be made to continue the grant activities after grant conclusion.

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FUND NUMBER	CATALOG NUMBER (CFDA)	FUND DESCRIPTION	TOTAL AMOUNT
402DD-23	20.600	State and Community Highway Safetv	\$230,000.00

Benefits - Program Coordinator Health Education Assistant A Benefits - Health Education Assistant A Health Education Assistant B Benefits - Health Education Assistant B Health Education Assistant C Benefits - Health Education Assistant C Benefits - Health Education Assistant C Secretary Benefits - Secretary  Overtime  Category Sub-Total  B. TRAVEL EXPENSES	2DD-23			
Program Coordinator  Benefits - Program Coordinator  Health Education Assistant A  Benefits - Health Education Assistant A  Health Education Assistant B  Benefits - Health Education Assistant B  Health Education Assistant C  Benefits - Health Education Assistant C  Benefits - Health Education Assistant C  Secretary  Benefits - Secretary  Overtime  Category Sub-Total  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total				
Program Coordinator  Benefits - Program Coordinator  Health Education Assistant A  Benefits - Health Education Assistant A  Health Education Assistant B  Benefits - Health Education Assistant B  Health Education Assistant C  Benefits - Health Education Assistant C  Benefits - Health Education Assistant C  Secretary  Benefits - Secretary  Overtime  Category Sub-Total  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total				
Benefits - Program Coordinator Health Education Assistant A Benefits - Health Education Assistant A Health Education Assistant B Health Education Assistant B Health Education Assistant C Benefits - Health Education Assistant C Benefits - Health Education Assistant C Secretary Benefits - Secretary  Overtime  Category Sub-Total  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total				*
Health Education Assistant A  Benefits - Health Education Assistant A  Health Education Assistant B  Benefits - Health Education Assistant B  Health Education Assistant C  Benefits - Health Education Assistant C  Secretary  Benefits - Secretary  Overtime  Category Sub-Total  B. TRAVEL EXPENSES In State Travel  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	100000	\$43.11	520	\$22,417.00
Benefits - Health Education Assistant A Health Education Assistant B Benefits - Health Education Assistant B Health Education Assistant C Benefits - Health Education Assistant C Secretary Benefits - Secretary Overtime  Category Sub-Total  B. TRAVEL EXPENSES In State Travel  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total		\$22,417.00	1	\$12,462.00
Health Education Assistant B Benefits - Health Education Assistant B Health Education Assistant C Benefits - Health Education Assistant C Secretary Benefits - Secretary Overtime  Category Sub-Total  B. TRAVEL EXPENSES In State Travel  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	2DD-23	\$24.13	1,040	\$25,095.00
Benefits - Health Education Assistant B Health Education Assistant C Benefits - Health Education Assistant C Secretary Benefits - Secretary  Overtime  Category Sub-Total  B. TRAVEL EXPENSES In State Travel  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	2DD-23	\$25,095.00	1	\$13,950.00
Health Education Assistant C Benefits - Health Education Assistant C Secretary Benefits - Secretary  Overtime  Category Sub-Total  B. TRAVEL EXPENSES In State Travel  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	2DD-23	\$24.13	1,248	\$30,114.00
Benefits - Health Education Assistant C Secretary 40 Benefits - Secretary 40  Overtime  Category Sub-Total  B. TRAVEL EXPENSES In State Travel 40  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	2DD-23	\$30,114.00	1	\$16,740.00
Secretary	2DD-23	\$24.13	1,248	\$30,114.00
Benefits - Secretary  Overtime  Category Sub-Total  B. TRAVEL EXPENSES In State Travel  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	2DD-23	\$30,114.00	1	\$16,740.00
Category Sub-Total  B. TRAVEL EXPENSES In State Travel 40  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	2DD-23	\$29.13	520	\$15,148.00
Category Sub-Total  B. TRAVEL EXPENSES In State Travel 40  Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	2DD-23	\$15,148.00	1	\$8,421.00
B. TRAVEL EXPENSES In State Travel 40 Category Sub-Total C. CONTRACTUAL SERVICES Category Sub-Total				\$0.00
Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total				\$191,201.00
Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total				
Category Sub-Total  C. CONTRACTUAL SERVICES  Category Sub-Total	2DD-23	\$1,000.00	1	\$1,000.00
C. CONTRACTUAL SERVICES  Category Sub-Total	200 20	<b>\$1,000.00</b>		\$0.00
Category Sub-Total				\$1,000.00
Category Sub-Total				
				\$0.00
D. EQUIPMENT				\$0.00
				\$0.00
Category Sub-Total				\$0.00
E. OTHER DIRECT COSTS				
Office Supplies 40	2DD-23	\$1,000.00	1	\$1,000.00
Office Space 40	2DD-23	\$7,920.00	1	\$7,920.00
Communications 40	2DD-23	\$5,280.00	1	\$5,280.00
Printing/Duplication 40	2DD-23	\$2,500.00	1	\$2,500.00
Multi-Media Presentations Supplies 40	2DD-23	\$2,488.00	1	\$2,488.00
Impaired Driving Activities 40	2DD-23	\$9,000.00	1	\$9,000.00
Educational Materials 40	2DD-23	\$9,611.00	1	\$9,611.00
Category Sub-Total				\$37,799.00
F. INDIRECT COSTS				<b>#0.00</b>
Category Sub-Total				\$0.00 \$0.00
GRANT TOTAL				\$230,000.00

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#### **BUDGET NARRATIVE**

#### **PERSONNEL COSTS**

Program Coordinator - Acts as a liaison between RUHS-Public Health and its partners and participates in all grant-funded activities. Responsible for the day-to-day implementation of the program. Ensures program deliverables are met, trains and supervises program staff, completes required reporting, reviews and approves developed materials, and coordinates directly with OTS Program Coordinator and PIO. Claim should reflect actual costs up to the rate specified.

Benefits - Program Coordinator - Vision Services Plan .02%

Flex Credits 13.49%

Basic Life .08%

LTD .15%

Unemployment .18% Retirement 32.37%

401.14%

Medicare 1.45%

Social Security 6.2%

Health, Safety & Training Fund .03%

Workers Comp 1.48%

Total Benefit Rate - 55.59%

Health Education Assistant A - Participates in all grant-funded activities, including, but not limited to, setting up meetings, assisting with training and meetings, co-teaching presentations, preparing informational materials, ordering educational/outreach supplies, and monitoring/maintaining all grant-funded inventory. Claim should reflect actual costs up to the rate specified.

Benefits - Health Education Assistant A - Vision Services Plan .02%

Flex Credits 13.49%

Basic Life .08%

LTD .15%

Unemployment .18% Retirement 32.37%

401.14%

Medicare 1.45%

Social Security 6.2%

Health, Safety & Training Fund .03%

Workers Comp 1.48%

Total Benefit Rate - 55.59%

Health Education Assistant B - Participates in all grant-funded activities, including, but not limited to, setting up meetings, assisting with training and meetings, co-teaching presentations, preparing informational materials, ordering educational/outreach supplies, and monitoring/maintaining all grant-funded inventory. Claim should reflect actual costs up to the rate specified.

Benefits - Health Education Assistant B - Vision Services Plan .02%

Flex Credits 13.49%

Basic Life .08%

LTD .15%

Unemployment .18% Retirement 32.37%

401.14%

Medicare 1.45%

Social Security 6.2%

Health, Safety & Training Fund .03%

Workers Comp 1.48%

Total Benefit Rate - 55.59%

Health Education Assistant C - Participates in all grant-funded activities, including, but not limited to, setting up meetings, assisting with training and meetings, co-teaching presentations, preparing informational materials, ordering educational/outreach supplies, and monitoring/maintaining all grant-funded inventory. Claim should reflect actual costs up to the rate specified.

Benefits - Health Education Assistant C - Vision Services Plan .02%

Flex Credits 13.49%

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Basic Life .08% LTD .15% Unemployment .18% Retirement 32.37% 401 .14% Medicare 1.45% Social Security 6.2% Health, Safety & Training Fund .03% Workers Comp 1.48%

Secretary - Provides administrative assistance for all grant-funded programs which includes, but is not limited to, working with fiscal to ensure all personnel, materials and supplies purchased through the grant are captured and tracked accordingly as well as reviewing all orders, timesheets and mileage forms. Claim should reflect actual costs up to the rate specified.

Benefits - Secretary - Vision Services Plan .02%

Flex Credits 13.49%

Total Benefit Rate - 55.59%

Basic Life .08%

LTD .15%

Unemployment .18% Retirement 32.37%

401.14%

Medicare 1.45%

Social Security 6.2%

Health, Safety & Training Fund .03%

Workers Comp 1.48%

Total Benefit Rate - 55.59%

## TRAVEL EXPENSES

In State Travel - Costs are included for appropriate staff to attend conferences and training events supporting the grant goals and objectives and/or traffic safety. Local mileage for grant activities and meetings is included. All conferences, seminars or training not specifically identified in the Budget Narrative must be approved by OTS. All travel claimed must be at the agency approved rate. Per Diem may not be claimed for meals provided at conferences when registration fees are paid with OTS grant funds.

#### **CONTRACTUAL SERVICES**

# **EQUIPMENT**

-

#### **OTHER DIRECT COSTS**

Office Supplies - Used for standard office supplies to support grant related activities, grant monitoring and reporting. Costs may include paper, toner, ink cartridges, CDs/DVDs, flash drives, and desk top supplies such as pens, pencils, binders, folders, flip charts, easels, and clips. Excludes office furnishings and fixtures such as but not limited to the following: desk, chair, table, shelving, coat rack, credenza, book, filing cabinet, floor covering, office planter, storage cabinet, portable partition, picture, wall clock, draperies and hardware, and fixed lighting/lamp. Additional items may be purchased if approved by OTS.

Office Space - Costs include rent and utilities associated with grant goals and objectives. Charges to the grant will be in accordance with the following formula or rate: 2.2 FTE x 12 months x \$300. Reimbursement will be claimed on an actual cost basis and proportional to the grant-related use of the space.

Communications - Costs of telephone service, cellular data for a tablet or laptop, mail/messenger service (excluding overnight priority mail) and communications services. Charges to the grant will be in accordance with the following formula or rate: 2.2 FTE x 12 months x \$200.

Printing/Duplication - Costs include the purchase of paper, production, printing and/or duplication of materials associated with daily grant operations.

Multi-Media Presentations Supplies - Cost of subscription services for computer programs to assist with the development of traffic safety content through newsletters, videos, social media messaging and other program publications. All purchases require approval from OTS.

Impaired Driving Activities - Supplies to demonstrate and understand the amplified impairments that occur while driving impaired. Items include simulation goggles (alcohol and marijuana impairment, and distracted simulation) and activity kits. All purchases require approval from OTS.

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Educational Materials - Costs of purchasing, developing or printing brochures, pamphlets, fliers, coloring books, posters, signs, and banners associated with grant activities, and traffic safety conference and training materials. Items shall include a traffic safety message and if space is available the OTS logo. Additional items may be purchased if approved by OTS.

## INDIRECT COSTS

-

#### STATEMENTS/DISCLAIMERS

There will be no program income generated from this grant.

Salaries may include wages, salaries, special compensations, or authorized absences such as annual leave and sick leave provided the cost for the individual employee is (a) reasonable for the services rendered, and (b) follows an appointment made in accordance with state or local laws and rules and meets federal requirements.

Any non-grant funded vacancies created by reassignment to a grant-funded position must be filled at the expense of the grantee agency.

Benefits for personnel costs can only be applied to straight time or overtime hours charged to the grant.

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# CERTIFICATIONS AND ASSURANCES FOR HIGHWAY SAFETY GRANTS (23 U.S.C. Chapter 4; Sec. 1906, Pub. L. 109-59, As Amended By Sec. 4011, Pub. L. 114-94)

The officials named on the grant agreement, certify by way of signature on the grant agreement signature page, that the Grantee Agency complies with all applicable Federal statutes, regulations, and directives and State rules, guidelines, policies, and laws in effect with respect to the periods for which it receives grant funding. Applicable provisions include, but are not limited to, the following:

# **GENERAL REQUIREMENTS**

- 23 U.S.C. Chapter 4 Highway Safety Act of 1966, as amended
- Sec. 1906, Pub. L. 109-59, as amended by Sec. 4011, Pub. L. 114-94
- 23 CFR part 1300 Uniform Procedures for State Highway Safety Grant Programs
- 2 CFR part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards
- 2 CFR part 1201 Department of Transportation, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

# **NONDISCRIMINATION**

# (applies to all subrecipients as well as States)

The State highway safety agency will comply with all Federal statutes and implementing regulations relating to nondiscrimination ("Federal Nondiscrimination Authorities"). These include but are not limited to:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin) and 49 CFR part 21;
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. 324 et seq.), and Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683 and 1685-1686) (prohibit discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. 794 et seq.), as amended, (prohibits discrimination on the basis of disability) and 49 CFR part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. 6101 et seq.), (prohibits discrimination on the basis of age);
- The Civil Rights Restoration Act of 1987, (Pub. L. 100-209), (broadens scope, coverage
  and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975
  and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms
  "programs or activities" to include all of the programs or activities of the Federal aid recipients,
  subrecipients and contractors, whether such programs or activities are Federally-funded or
  not);
- Titles II and III of the Americans with Disabilities Act (42 U.S.C. 12131-12189) (prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing) and 49 CFR parts 37 and 38;
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority
  Populations and Low-Income Populations (prevents discrimination against minority populations
  by discouraging programs, policies, and activities with disproportionately high and adverse human
  health or environmental effects on minority and low-income populations); and
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency (guards against Title VI national origin discrimination/discrimination because of limited English proficiency (LEP) by ensuring that funding recipients take reasonable steps to ensure that LEP persons have meaningful access to programs (70 FR 74087-74100).

The Subgrantee-

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- Will take all measures necessary to ensure that no person in the United States shall, on the grounds
  of race, color, national origin, disability, sex, age, limited English proficiency, or membership in any
  other class protected by Federal Nondiscrimination Authorities, be excluded from participation in, be
  denied the benefits of, or be otherwise subjected to discrimination under any of its programs or
  activities, so long as any portion of the program is Federally-assisted;
- Will administer the program in a manner that reasonably ensures that any of its subrecipients, contractors, subcontractors, and consultants receiving Federal financial assistance under this program will comply with all requirements of the Non- Discrimination Authorities identified in this Assurance;
- Agrees to comply (and require its subrecipients, contractors, subcontractors, and consultants to comply) with all applicable provisions of law or regulation governing US DOT's or NHTSA's access to records, accounts, documents, information, facilities, and staff, and to cooperate and comply with any program or compliance reviews, and/or complaint investigations conducted by US DOT or NHTSA under any Federal Nondiscrimination Authority;
- Acknowledges that the United States has a right to seek judicial enforcement with regard to any matter arising under these Non-Discrimination Authorities and this Assurance;
- Agrees to insert in all contracts and funding agreements with other State or private entities the following clause:
  - "During the performance of this contract/funding agreement, the contractor/funding recipient agrees—
  - a. To comply with all Federal nondiscrimination laws and regulations, as may be amended from time to time;
  - b. Not to participate directly or indirectly in the discrimination prohibited by any Federal non-discrimination law or regulation, as set forth in appendix B of 49 CFR part 2I and herein;
  - c. To permit access to its books, records, accounts, other sources of information, and its facilities as required by the State highway safety office, US DOT or NHTSA;
  - d. That, in event a contractor/funding recipient fails to comply with any nondiscrimination provisions in this contract/funding agreement, the State highway safety agency will have the right to impose such contract/agreement sanctions as it or NHTSA determine are appropriate, including but not limited to withholding payments to the contractor/funding recipient under the contract/agreement until the contractor/funding recipient complies; and/or cancelling, terminating, or suspending a contract or funding agreement, in whole or in part; and
  - e. To insert this clause, including paragraphs (a) through (e), in every subcontract and subagreement and in every solicitation for a subcontract or sub-agreement, that receives Federal funds under this program.

# THE DRUG-FREE WORKPLACE ACT OF 1988 (41 U.S.C. 8103)

The Subgrantee will provide a drug-free workplace by:

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing a drug-free awareness program to inform employees about:
  - 1. The dangers of drug abuse in the workplace;
  - 2. The grantee's policy of maintaining a drug-free workplace;
  - 3. Any available drug counseling, rehabilitation, and employee assistance programs;
  - 4. The penalties that may be imposed upon employees for drug violations occurring in the workplace;
  - 5. Making it a requirement that each employee engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- c. Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will
  - 1. Abide by the terms of the statement;
  - 2. Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
- d. Notifying the agency within ten days after receiving notice under subparagraph (c)(2) from an

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employee or otherwise receiving actual notice of such conviction;

- e. Taking one of the following actions, within 30 days of receiving notice under subparagraph (c)(2), with respect to any employee who is so convicted
  - 1. Taking appropriate personnel action against such an employee, up to and including termination;
  - Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- f. Making a good faith effort to continue to maintain a drug-free workplace through implementation of all of the paragraphs above.

## **POLITICAL ACTIVITY (HATCH ACT)**

(applies to all subrecipients as well as States)

The State will comply with provisions of the Hatch Act (5 U.S.C. 1501-1508), which limits the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

## **CERTIFICATION REGARDING FEDERAL LOBBYING**

(applies to all subrecipients as well as States)

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The signed certifies, to the best of his or her knowledge and belief, that:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
- The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

## **RESTRICTION ON STATE LOBBYING**

(applies to all subrecipients as well as States)

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

# **CERTIFICATION REGARDING DEBARMENT AND SUSPENSION**

(applies to all subrecipients as well as States)

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# Instructions for Primary Tier Participant Certification (States)

- 1. By signing and submitting this proposal, the prospective primary tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR parts 180 and 1200.
- 2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective primary tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary tier participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- 3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default or may pursue suspension or debarment.
- 4. The prospective primary tier participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary tier participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 5. The terms covered transaction, civil judgment, debarment, suspension, ineligible, participant, person, principal, and voluntarily excluded, as used in this clause, are defined in 2 CFR parts 180 and 1200. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
- 6. The prospective primary tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- 7. The prospective primary tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Participant Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR parts 180 and 1200.
- 8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any prospective lower tier participants, each participant may, but is not required to, check the System for Award Management Exclusions website (https://www.sam.gov/).
- 9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency may terminate the transaction for cause or default.

<u>Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Tier Covered Transactions</u>

- (1) The prospective primary tier participant certifies to the best of its knowledge and belief, that it and its principals:
  - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment

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rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.
- (2) Where the prospective primary tier participant is unable to certify to any of the Statements in this certification, such prospective participant shall attach an explanation to this proposal.

## Instructions for Lower Tier Participant Certification

- 1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR parts 180 and 1200.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension or debarment.
- The prospective lower tier participant shall provide immediate written notice to the person to which
  this proposal is submitted if at any time the prospective lower tier participant learns that its
  certification was erroneous when submitted or has become erroneous by reason of changed
  circumstances.
- 4. The terms covered transaction, civil judgment, debarment, suspension, ineligible, participant, person, principal, and voluntarily excluded, as used in this clause, are defined in 2 CFR parts 180 and 1200. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- 6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Participant Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR parts 180 and 1200.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any prospective lower tier participants, each participant may, but is not required to, check the System for Award Management Exclusions website (https://www.sam.gov/).
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension or debarment.

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# <u>Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions:</u>

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

### **BUY AMERICA ACT**

# (applies to all subrecipients as well as States)

The State and each subrecipient will comply with the Buy America requirement (23 U.S.C. 313) when purchasing items using Federal funds. Buy America requires a State, or subrecipient, to purchase with Federal funds only steel, iron and manufactured products produced in the United States, unless the Secretary of Transportation determines that such domestically produced items would be inconsistent with the public interest, that such materials are not reasonably available and of a satisfactory quality, or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. In order to use Federal funds to purchase foreign produced items, the State must submit a waiver request that provides an adequate basis and justification for approval by the Secretary of Transportation.

# PROHIBITION ON USING GRANT FUNDS TO CHECK FOR HELMET USAGE

# (applies to all subrecipients as well as States)

The State and each subrecipient will not use 23 U.S.C. Chapter 4 grant funds for programs to check helmet usage or to create checkpoints that specifically target motorcyclists.

# **POLICY ON SEAT BELT USE**

In accordance with Executive Order 13043, Increasing Seat Belt Use in the United States, dated April 16, 1997, the Grantee is encouraged to adopt and enforce on-the-job seat belt use policies and programs for its employees when operating company-owned, rented, or personally-owned vehicles. The National Highway Traffic Safety Administration (NHTSA) is responsible for providing leadership and guidance in support of this Presidential initiative. For information and resources on traffic safety programs and policies for employers, please contact the Network of Employers for Traffic Safety (NETS), a public-private partnership dedicated to improving the traffic safety practices of employers and employees. You can download information on seat belt programs, costs of motor vehicle crashes to employers, and other traffic safety initiatives at www.trafficsafety.org. The NHTSA website (www.nhtsa.gov) also provides information on statistics, campaigns, and program evaluations and references.

# POLICY ON BANNING TEXT MESSAGING WHILE DRIVING

In accordance with Executive Order 13513, Federal Leadership On Reducing Text Messaging While Driving, and DOT Order 3902.10, Text Messaging While Driving, States are encouraged to adopt and enforce workplace safety policies to decrease crashes caused by distracted driving, including policies to ban text messaging while driving company-owned or rented vehicles, Government-owned, leased or rented vehicles, or privately-owned vehicles when on official Government business or when performing any work on or behalf of the Government. States are also encouraged to conduct workplace safety initiatives in a manner commensurate with the size of the business, such as establishment of new rules and programs or reevaluation of existing programs to prohibit text messaging while driving, and education, awareness, and other outreach to employees about the safety risks associated with texting while driving.

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