

SUBMITTAL TO THE BOARD OF SUPERVISORS
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA



ITEM: 3.49
(ID # 20837)

MEETING DATE:

Tuesday, January 10, 2023

FROM : TLMA-CODE ENFORCEMENT:

SUBJECT: TRANSPORTATION AND LAND MANAGEMENT AGENCY/CODE ENFORCEMENT: Adoption of Resolution No. 2023-014 authorizing code enforcement to submit applications to the State of California Department of Resources, Recycling and Recovery ("CalRecycle") for grant funding under CalRecycle's waste tire cleanup grant program and waste tire amnesty grants for fiscal years 2022/2023 through 2026/2027: All Districts. [\$0]

RECOMMENDED MOTION: That the Board of Supervisors:

1. **Adopt** County Resolution No. 2023-014 authorizing the TLMA-Code Enforcement Department to submit applications to the State of California Department of Resources, Recycling and Recovery ("CalRecycle") for available grants under the Waste Tire Enforcement program for fiscal years 2022/2023 through 2026/2027; and
2. **Authorize** the Transportation and Land Management Agency ("TMLA") Deputy Director of Code Enforcement ("Code Enforcement Director") to act as a Signature Authority to sign all grant-related documents necessary to implement and close out any grants under the California Tire Recycling Act on behalf of the Board of Supervisors for fiscal years 2022/2023 through 2026/2027.

ACTION:Policy

Charissa Leach, TLMA Director

12/13/2022

MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Spiegel, seconded by Supervisor Perez and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes: Jeffries, Spiegel, Washington, Perez and Gutierrez
Nays: None
Absent: None
Date: January 10, 2023
xc: TLMA-Code Enforcement

Kimberly Rector
Clerk of the Board

By:
Deputy

**SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE,
STATE OF CALIFORNIA**

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	Total Cost:	Ongoing Cost
COST	\$ 0	\$ 0	\$ 0	\$ 0
NET COUNTY COST	\$ 0	\$ 0	\$ 0	\$ 0
SOURCE OF FUNDS: Grant Funded.			Budget Adjustment: No	
			For Fiscal Year: 22/23–26/27	

C.E.O. RECOMMENDATION: Approve

BACKGROUND:

Summary

The County of Riverside has been receiving grant money for several years under The Local Government Waste Tire Cleanup Grant Program and Local Government Waste Tire Amnesty Grant Program ("Grant Programs") under the California Tire Recycling Act. The State Department of Resources, Recycling and Recovery ("CalRecycle") requires local agencies receiving grant funds to adopt a resolution every five years authorizing applications to receive grant funds for an additional five-year period.

Code Enforcement uses this money to inspect waste tire facilities, tire dealers, auto dismantlers, tire haulers, and other points of waste tire generation to ensure compliance with all applicable laws and regulations, including CalRecycle's Waste Tire Manifest System and investigating complaints, referrals, and illegal tire disposal activities.

The grant request is for \$650,000 per year, which funds salaries for three full-time Code Enforcement officers, half of the salary of one Code Enforcement supervisor, and provides necessary equipment. CalRecycle staff has indicated that the County may be eligible for similar levels of funding over the next five years, subject to the availability of funds. All funds expended must be directly related to implementation and operation of the waste tire program.

The Board's action will allow Code Enforcement to submit an updated resolution to CalRecycle and continue to apply annually for funds under the Grant Programs through fiscal year 2026/2027. Code Enforcement will return to the Board with any grant budget adjustments, per Board Policy A-30.

The County incurs no costs in applying for funds under the Grant Programs because the County is not required to provide a match to the grant fund as it is 100% reimbursable.

County Counsel has approved the Resolution as to legal form. County Counsel will review and approve as to form all contracts, grant agreements and other legal documents prior to submission to the Board of Supervisors and the Code Enforcement Director will submit a final agreement to the Board of Supervisors for approval.

**SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE,
STATE OF CALIFORNIA**

Impact on Residents and Businesses

Grant funds will be utilized to inspect tire-related businesses and ensure entities within the County are storing and hauling used tires and waste tires in compliance with California law, thereby reducing the illegal disposal and dumping of tires. This goal is accomplished by allowing personnel to be dedicated to the task of ensuring waste tires reach an appropriate end use facility, by educating business owners regarding proper tire disposal methods, by verifying proper disposal activities, and by referring the actions of offenders to the appropriate governing agency.

The receipt of CalRecycle grant funds would increase the ability of staff to abate public nuisances arising from improper waste tire disposal. The County of Riverside Code Enforcement Department has sufficient resources, technical expertise, and/or experience with similar projects to carry out the proposed cleanup projects identified in the Grant Agreements. The partnership generated by the grant ultimately will continue to improve the environment and the quality of life throughout Riverside County.

Additional Fiscal Information

N/A

Contract History and Price Reasonableness

N/A

ATTACHMENTS:

ATTACHMENT A. Resolution No. 2023-014

**ATTACHMENT B. Local Government Waste Tire Enforcement (TEA) Grant Program
Application Guidelines and Instructions**



Jason Farin, Principal Management Analyst 1/3/2023

Board of Supervisors

County of Riverside

**RESOLUTION NO. 2023-014
A RESOLUTION OF THE COUNTY OF RIVERSIDE AUTHORIZING SUBMITTAL
OF APPLICATIONS FOR WASTE TIRE ENFORCEMENT GRANTS**

WHEREAS, pursuant to the California Tire Recycling Act ("Act"), Public Resources Code, sections 42860-42895, funds are allocated and available from the California Department of Public Resources Recycling and Recovery ("CalRecycle") for grants under The Local Government Waste Tire Cleanup Grant Program and Local Government Waste Tire Amnesty Grant Program ("Grant Programs") to provide funding to local enforcement agencies and code enforcement departments, including the Riverside County Code Enforcement Department, to perform enforcement/compliance and surveillance activities to ensure the proper disposal and handling of waste tires; and

WHEREAS, CalRecycle has been delegated with responsibility for the administration of the grant program established under the Act; and

WHEREAS, the Board of Supervisors may, pursuant to CalRecycle guidelines, authorize the Transportation and Land Management Agency ("TMLA") Deputy Director of Code Enforcement ("Code Enforcement Director") to act as a Signature Authority to sign all grant-related documents necessary to implement and close out any grants under the Act on behalf of the Board of Supervisors; and

WHEREAS, CalRecycle procedure requires that all applications for grants made by an authorized Signature Authority on behalf of local agencies include a resolution authorizing the submittal of the application; and

WHEREAS, the receipt of CalRecycle grant funds under the Act would increase the ability of County of Riverside Code Enforcement Department staff to abate public nuisances arising from the improper disposal of waste tires by providing funding for at least three full-time Code Enforcement Officers, funding half of the salary of one Code Enforcement supervisor, and providing necessary equipment to abate public nuisances related to waste tire disposal; and

FORM APPROVED COUNTY COUNSEL
BY:  **RONAK N. PATEL**
DATE: 12/13/22

JAN 10 2023 3.49

1 **WHEREAS**, the County of Riverside Code Enforcement Department has sufficient
2 resources, technical expertise and/or experience with similar projects to carry out the proposed
3 projects identified in any grant agreements approved pursuant to the Act; and

4 **WHEREAS**, the County of Riverside Code Enforcement Department seeks authorization
5 from the Board of Supervisors to designate the Code Enforcement Director as the Signature
6 Authority and to apply for Abatement Grants for the maximum authorized period of five (5) years,
7 concluding at the end of the fiscal year on June 30, 2027; and

8 **WHEREAS**, should the County of Riverside be awarded grant funds pursuant to the Act,
9 the Code Enforcement Director will submit a final agreement to the Board of Supervisors for
10 approval;

11 **NOW, THEREFORE, BE IT RESOLVED** that the Riverside County Board of
12 Supervisors, in regular session assembled on January 10, 2023, authorizes the following:

- 13 1. That the Board of Supervisors hereby finds and declares that the above recitals are
14 true and correct.
- 15 2. That the Board of Supervisors authorizes the submittal of applications to the
16 Department of Resources Recycling and Recovery ("CalRecycle") for all available
17 grants under the Act and through the conclusion of the fiscal year ending June 30,
18 2027.
- 19 3. That the Board of Supervisors has determined that delegating authority to the Code
20 Enforcement Director as the Signature Authority to execute necessary Grant
21 Program documents would facilitate the implementation of the cleanup program,
22 increase efficiency, and save administrative costs.
- 23 4. That the Board of Supervisors hereby delegates to the Code Enforcement Director
24 or his or her designee, the authority and power to execute in the name of the County
25 of Riverside all necessary CalRecycle Grant Program documents, including, but
26 not limited to, applications for grants under the Act and the subsequent grant
27 agreements, grant payment requests, and any amendments necessary for the
28 purpose of securing grant funds, for the purpose of funding at least three full-time

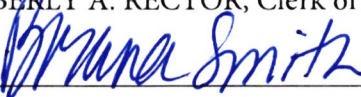
Code Enforcement Officers, funding half of the salary of one Code Enforcement supervisor, and providing necessary equipment to abate public nuisances related to waste tire disposal.

ROLL CALL:

Ayes: Jeffries, Spiegel, Washington, Perez and Gutierrez
Nays: None
Absent: None

The foregoing is certified to be a true copy of a resolution duly adopted by said Board of Supervisors on the date therein set forth.

KIMBERLY A. RECTOR, Clerk of said Board

By: 
Deputy

01.10.2023 3.49



November 2022

Department of Resources Recycling and Recovery

Local Government Waste Tire Enforcement (TEA) Grant Program Application Guidelines and Instructions Cycle 30, Fiscal Year 2022–23

Table of Contents

Grant Cycle Overview	3
Timeline	3
Eligible Applicants	4
Managing Entity	4
New Applicants	4
Reapplying Grantees	4
Collaborative Application	5
Individual Application	6
Eligible Projects/Products	6
Available Funds	7
Determining Maximum Awards	7
Grant Term	7
Eligible and Ineligible Costs	7
Public Records Requests	8
Confidentiality	8
Application Instructions.....	10
Application Access	10
GMS Tabs - Application Contents and Instructions	10
Summary Tab	10
Applicant/Participant Tab.....	11
Detail Tab	11
Contacts Tab	11
Budget Tab	12
Documents Tab	12
Application Submittal and Deadline	12
Application Documents.....	14
Electronic and Original Signatures	14
CalRecycle Documents	14
Application Certification	14
Budget	14
TEA Staff Roster.....	15
Applicant's Documents	15
Indirect Cost Allocation Plan.....	15
Pilot Internship Documents.....	15
Applicant's Required Authorization Documents.....	16
Individual Application Authorization Documents:	16
Collaborative Application Authorization Documents:	16
Letter of Designation	17
Letter of Permission.....	18
Grant Review and Award Process	19
Grant Application Review Process	19
Grant Award Process.....	19
Grant Award Conditions.....	19
Grant Program Administration	21
Grant Agreement	21
Reporting Process	21
Payment Request Process	21

Grant Cycle Overview

The Department of Resources Recycling and Recovery (CalRecycle) offers the Local Government Waste Tire Enforcement Grant Program pursuant to Section 42889(b)(4) of the Public Resources Code. The purpose of the grant is to provide sufficient, stable, and non-competitive funding to California jurisdictions for the enforcement of waste tire permitting, hauling and storage laws.

This resource document provides applicants with instructions to access and complete the application online and information about grant administration. The web-based application is in CalRecycle's Grants Management System (GMS) (<https://www.calrecycle.ca.gov/Funding/GMS/>). The applicant will need to sign in to GMS to complete and submit an application.

Note: The following terms used in this document are defined below, unless the context clearly indicates otherwise:

- “Applicant” refers to either the legal name of the entity that is legally responsible for grant administration and any entity that will receive and control grant funded equipment, if awarded, or to a person who is completing an application on behalf of the Applicant (this is usually the primary contact listed on the application, but could also be the secondary contact, signature authority, or consultant).
- “You” refers to a person who is completing the application on behalf of the Applicant.

Timeline

December 13, 2022: Application Due Date

- Applicants must submit applications in GMS by 11:59 p.m. on this date.
- Customer service will be available until 4:00 p.m. on this date.

January 17, 2023: Secondary Due Date

- Approved Resolution(s) must be uploaded in GMS by this date if it was not submitted with the application. (Pilot Internship grant is not subject to this requirement)

February/March 2023 (tentative): Grants Awarded

- CalRecycle considers funding recommendations, and if approved, conditionally awards grants during this month.

For milestones that take place during the grant term, refer to the Procedures and Requirements document.

Eligible Applicants

Eligible applicants: city, county, or city and county that work closely with a managing entity to implement and oversee the program.

Managing Entity

All applicants must designate a managing entity to implement and oversee the Program. The managing entity must be one of the following:

- A Local Enforcement Agency.
- An Environmental Health Agency, department, office, etc.
- A Code Enforcement Agency, department, office, etc.
- An agency, department, or office, which has inspection and code enforcement authority, experience, and capability.

New Applicants

New applicants are those cities or counties that did not receive a TEA grant award for Fiscal Year (FY) 2021–22 (TEA29 cycle). New applicants must meet the following requirements at the time of application:

- Counties must have 50 or more active¹ businesses in their jurisdictions with Tire Program Identification (TPID) numbers.
- Cities must have 100 or more active¹ businesses in their jurisdictions with TPID numbers.

New applicants that are under the jurisdiction of an existing TEA grantee that are also applying for a TEA grant may apply for this grant only if the new applicant:

- Meets the eligibility requirements of this program.
- Notifies the existing grantee and CalRecycle in advance of its intent to apply.
- Meets with the existing grantee and CalRecycle.
- Demonstrates that complementary services are needed in its jurisdiction(s).

Reapplying Grantees

Reapplying grantees are applicants that received a grant award in FY 2021–22 (TEA29 cycle). Reapplying grantees must meet the following TPID number requirements:

- Counties must have 50 or more active¹ businesses in their jurisdictions with TPID numbers.
- Cities must have 100 or more active¹ businesses in their jurisdictions with TPID numbers.

The city, county, or city and county is eligible to apply for a TEA grant if it satisfactorily performed in accordance with its TEA28 Grant Agreement, including, but not limited to, the Terms and Conditions, Procedures and Requirements, Inspection Priority Work Plan, and Budget. CalRecycle will use joint field inspections and other performance measures to determine if a reapplying grantee has satisfactorily performed in the TEA28 grant cycle.

¹ "Active" means the *Business Status* is "Active" in CalRecycle's Waste Tire Management System (WTMS).

Listed below are examples of performance measures and standards that may be reviewed to determine if a reapplying grantee performed satisfactorily in prior grant cycles.

- Expended at least 80 percent of awarded funds on eligible and approved items and activities.
- Completed at least 80 percent of inspections estimated in the application in accordance with the **Inspection Priorities** as described in the Procedures and Requirements.
- Submitted complete Progress Reports, Payment Requests, and other required grant documents by the due dates.
- Attended all mandatory training.
- Complied with all requirements of the Grant Agreement.

CalRecycle staff will work closely with reapplying grantees that did not perform satisfactorily. If a reapplying grantee did not perform satisfactorily, staff may recommend that no award be granted for FY 2022–23 (TEA30).

Collaborative Application

Two or more eligible entities may agree to submit a Collaborative Application. A Collaborative Application requires one of the eligible entities to act as the Lead Collaborative Jurisdiction (Lead) and the other entities will be Participating Collaborative Jurisdiction(s). Under a Collaborative Application, a Participating Jurisdiction grants permission for the Lead to perform waste tire enforcement activities in its jurisdiction. If a jurisdiction is a Participating Collaborative Jurisdiction(s) in a Collaborative Application, it may not apply individually. The following examples describe requirements for the different types of Collaborative Applications:

- **County/County**
Two or more counties agree to collaborate. The counties agree that one county will submit a Collaborative Application for a TEA grant as the Lead with the other county/counties listed as a Participating Jurisdiction(s) on the application. The Lead will perform waste tire enforcement activities in the Participating Jurisdiction(s). All members of a collaborative application must submit a resolution from their governing body. An application for the Internship Pilot grant must be submitted as a County/County collaborative application. The resolution for this special program is not subject to the secondary due date requirement if additional time is needed to complete this requirement.
- **Cities within the Same County**
Two or more cities within the same county agree to collaborate. The cities agree that one city will submit a Collaborative Application for a TEA grant as the Lead with the other city/cities listed as Participating Jurisdiction(s) the application. The Lead will perform waste tire enforcement activities in the Participating Jurisdiction(s). Both the Lead and the Participating Jurisdiction(s) are required to submit resolutions.
- **Cities Collaborating with a Different County or with a City in a Different County**

A county may also collaborate with a city from an adjacent county. If a city is not receiving waste tire enforcement services from the county in which it is located, the city may collaborate with another county or a city outside of its county. Both the Lead and the Participating Jurisdiction(s) are required to submit resolutions. In addition, the Lead must obtain a Letter of Permission from the County Administrator's Office of the county in which enforcement action will be taken.

See Application Documents, Resolution for Collaborative Applicants, and Letter of Permission sections, for further information on different Collaborative Application requirements.

Note: An incorporated city that is not an active TEA grantee does not need to become a Collaborative Jurisdiction with its own county when the county is a TEA grantee and conducts inspections on the city's behalf.

Individual Application

An Individual Application is one in which a single eligible entity will be responsible for grant implementation. The applicant in an Individual Application will be responsible for the performance of the grant and all related documentation. In addition, the applicant will be the only entity receiving any real or personal property that is purchased with grant funds.

Eligible Projects/Products

CalRecycle is responsible for performing all activities related to enforcing waste tire permitting, hauling, and storage laws and regulations. When a local jurisdiction receives a TEA grant and becomes a grantee, it is authorized to enforce waste tire laws and regulations under CalRecycle's authority, not its own. Some grantees or managing entities have authority as Local Enforcement Agencies or code enforcement agencies; however, that authority is not applicable to the waste tire enforcement program.

County grantees may perform waste tire activities in the following areas:

- The unincorporated area of its own county.
- The incorporated areas of all cities within the boundaries of the county that are not TEA grantees nor Participating Collaborative Jurisdictions on any other jurisdiction's TEA grant application.
- The unincorporated and incorporated area of any Participating Collaborative Jurisdiction(s).

City grantees may perform waste tire activities in the following areas:

- The incorporated area of its own city.
- The unincorporated and incorporated areas of any Participating Collaborative Jurisdiction(s).

City and county grantees may perform waste tire activities in the following areas:

- The unincorporated and incorporated areas of its own city and county.
- The unincorporated and incorporated areas of any Participating Collaborative Jurisdiction(s).

Available Funds

- \$6,050,000 is available for this grant cycle, Fiscal Year 2022-23, subject to funding availability.
- Applicants may request the maximum award amount allowed for their qualifying populations. The maximum award for any applicant is:
 - \$350,000 for applicants with qualifying populations of 1 to 900,000.
 - \$500,000 for applicants with qualifying populations of 900,001 to 2,000,000.
 - \$650,000 for applicants with qualifying populations of 2,000,001 or more.

A one-time Supplemental Grant may be awarded for the purpose of implementing an Internship Program to conduct TEA Inspections in a collaborating County. The amount of the Supplemental grant shall be dependent on the availability of funds and the proposed scope of work, and shall be up to \$350,000

Determining Maximum Awards

Qualifying populations for maximum award amounts are determined using population data published in the California Department of Finance report, E-1 Population Estimates for Cities, Counties and the State with Annual Percent Change (<https://dof.ca.gov/Forecasting/Demographics/estimates-e1/>). This report is generally published in May of each year.

The following is a description of how an applicant's qualifying population is determined:

For a **county applicant**, the qualifying population includes all the following:

- The population of the unincorporated area of the applicant county and the population of all incorporated cities within the boundaries of the county that are not included on any other TEA application.
- The population of the unincorporated and incorporated areas of any Participating Collaborative Jurisdiction(s).

For a **city applicant**, the qualifying population includes all the following:

- The population of the incorporated area of the applicant city.
- The population of the unincorporated and incorporated areas of any Participating Collaborative Jurisdiction(s).

For a **city and county applicant**, the qualifying population includes all the following:

- The population of the unincorporated and incorporated areas of the applicant city and county.
- The population of the unincorporated and incorporated areas of any Participating Collaborative Jurisdiction(s).

Grant Term

The Grant Term begins June 30, 2023. The Grant Term ends on September 30, 2024.

For detailed information about requirements within the grant term, refer to the Procedures and Requirements document.

Eligible and Ineligible Costs

Grantees may incur eligible costs only during the Grant Term. For detailed information about eligible and ineligible costs, refer to the Procedures and Requirements document.

Public Records Requests

CalRecycle's policy is to make records requested by the public promptly available in accordance with the laws governing disclosure of records and information to the public. In general, all records in the possession of a state agency are public records subject to disclosure, unless a law provides that a particular kind of record or information is not a public record or is exempt or prohibited from disclosure.

Upon request, the entire contents of the submitted application are subject to public records requests. This may include contact information, project summary, uploaded documents, and scoring information. Public records may be requested from CalRecycle through the [California Public Records Act Requests web page](https://www2.calrecycle.ca.gov/Forms/ContactUs/PublicRecordsRequest/) (<https://www2.calrecycle.ca.gov/Forms/ContactUs/PublicRecordsRequest/>).

Confidentiality

The following describes the treatment of certain confidential or proprietary information under the California Public Records Act (Government Code 6250, et seq.) and related regulations. It also describes how questions are resolved on whether information is truly confidential, the legal protections for confidential information, and internal and program procedures to maintain confidentiality.

Confidential or Proprietary Information

Title 14 of the California Code of Regulations (14 CCR), [sections 17041–17046](https://www.calrecycle.ca.gov/Laws/Regulations/Title14/) (<https://www.calrecycle.ca.gov/Laws/Regulations/Title14/>), states that confidential or proprietary information shall include, but is not limited to:

- Personal or business-related financial data, customer client lists, supplier lists and other information of a proprietary or confidential business nature provided by persons in applications, reports, returns, certifications or other documents submitted to [CalRecycle] which if released would result in harmful effects on the person's competitive position.
- Tax information prohibited from disclosure, pursuant to the Revenue and Taxation Code.

Accordingly, appropriate documents submitted with an application that are clearly marked, on each page, "confidential or proprietary information" will be treated by CalRecycle pursuant to the procedures set forth in 14 CCR sections 17041–17046. However, the law does not treat documents marked as "confidential or proprietary information" (such as sales brochures, promotional literature and other general non-financial documents) as confidential if they do not fall within the categories of protected financial documents listed above.

What if there is a question about what is confidential?

If CalRecycle receives a request to disclose data claimed by the applicant to be confidential, CalRecycle would notify the applicant of the request and state that the documents were under review to determine whether information was correctly identified as "confidential." If there was any question as to whether specific information was confidential, CalRecycle would contact the person(s) identified in the application to provide a justification and statement why the information is confidential. The process for evaluating confidentiality claims is set forth in section 14 CCR 17046.

What procedures does CalRecycle have in place to ensure that confidential information is kept confidential?

Confidential or proprietary information will be evaluated and analyzed only by CalRecycle staff, kept confidential, and will be maintained with restricted access. Records no longer needed to provide the services offered under the grant program are periodically destroyed, when allowed by audit policies and state law.

Application Instructions

Application Access

The application is available in CalRecycle's web-based Grants Management System (GMS). Access to GMS is secure; therefore, you must have a CalRecycle WebPass to log in to the system. Those who have not previously obtained a CalRecycle WebPass can create an account at the [CalRecycle WebPass page](https://secure.calrecycle.ca.gov/WebPass/) (<https://secure.calrecycle.ca.gov/WebPass/>).

To start an application:

1. Log in to **GMS** (<https://secure.calrecycle.ca.gov/Grants>).
2. Select **Apply for a Grant** on the left.
 - All open grant cycles are displayed in a table.
3. Find Local Government Waste Tire Enforcement Grant Program TEA30: Fiscal Year 2022–23 and select **Start Application**.
 - A pop-up window will appear asking for contact information. If you have an existing GMS Account, the information may be auto populated.
 - GMS will automatically add you as the Primary Contact for the new grant application; however, you may update this later.
4. Click **Save**.

GMS Tabs - Application Contents and Instructions

The components of the application are divided into tabs. To fill out an application, click on each tab and complete the sections in each tab as required. General directions are on the top of each tab, and detailed information about the requirements for each tab is listed below.

The applicant is responsible for a complete application. This includes signing documents, uploading required documents, and submitting the application by the due date(s). Failure to do so will result in disqualification from the Local Government Waste Tire Enforcement Grant Program.

Examples of disqualifications may include:

- Applicant does not meet the eligibility requirements.
- Project is not eligible.
- Applicant fails to use required CalRecycle documents or forms.
- Applicant uploads incomplete or blank documents to the Documents tab.
- Signature Authority fails to sign Application Certification or any document that requires a signature.
- The online application is incomplete or missing information.
- Applicant fails to adopt an EPPP Policy by the secondary due date.

Summary Tab

This tab provides a summary of the application, due dates, resource documents and links, application documents, and the Application Submission section. It is the applicant's responsibility to submit all required documents, based on the particular grant application/project, by the appropriate due date.

Applicant/Participant Tab

The applicant name is the legal name of the entity that is legally responsible for grant administration, if awarded.

1. Select the **Add Applicant/Participant** button and type in the **Applicant Name** and **County**. Do not enter your personal name.
2. Search the table for the correct applicant name and select **Add Applicant/Participant**.
3. Choose the **Lead Participant** radio button and click **Save**.
 - Every application must have a Lead Participant even if it is an individual application with no Non-Lead Participants.

If the Participant Search List does not contain your Applicant/Participant name:

2. Click on **Add New Applicant/Participant**.
3. Enter the **Applicant/Participant Name** as it appears on the Resolution. Do not include the department or unit name. Do not enter your personal name.
 - List county names with the name first followed by the word "County," e.g., "Sacramento County."
 - List city names as "City of" followed by the city's name, e.g., "City of Sacramento."
4. Complete all required fields then click **Save**.

For Collaborative Applications, add the name of each eligible Non-Lead Participant and select the Participating Jurisdiction radio button.

For a list of eligible applicants, please see the Grant Cycle Overview section titled "Eligible Applicants."

Detail Tab

Complete this tab as follows:

1. Enter a dollar amount in the **Grant Funds Requested** field. Do not exceed the maximum grant award amount of (see "Available Funds" section above). Please round all amounts to the nearest whole dollar.
2. Enter the **Assembly Districts and Senate Districts**. To select more than one district hold the "Ctrl" key while selecting the numbers.
3. Enter the applicant's **Department Name**, e.g., "General Services." If the applicant does not have a department, enter the applicant's name.
4. Enter the grant payment mailing address.
5. **Project Summary/Statement of Use**: Provide a brief, concise summary of how grant funds will be expended and how these funds will augment your local/regional enforcement efforts.
6. Select the appropriate option for the Resolution or Letter of Commitment Requirement and optional Letter of Designation.
7. Select the appropriate option for the Environmentally Preferable Purchasing and Practices Policy.

Contacts Tab

CalRecycle requires the application to have only one Primary Contact and at least one Signature Authority. Each application contact may be granted access by checking the

box on the top of the contact's detail screen. The contact will be able to log in to GMS using their own CalRecycle WebPass and access the application.

Note: CalRecycle requires a valid email address for the Signature Authority in order to route the Grant Agreement, if awarded.

- **Primary Contact.** One person who the Signature Authority or their designee has authorized to manage and oversee the grant. This person will be the first contact with whom the Grant Manager will communicate.
- **Signature Authority.** The person(s) authorized to sign CalRecycle documents, such as grant applications, grant agreements, etc., as authorized by a board/council-adopted Resolution, and optional Letter of Designation.
- **Secondary Contact.** A person authorized (by the Primary Contact or Signature Authority or their designee) as the alternate person with whom the Grant Manager will communicate. (Not required.)
- **Consultant.** A professional who provides advice in an area of expertise. If CalRecycle awards a grant to the applicant, the consultants may manage the grant or only conduct specific activities, based on a written agreement between the applicant and the consultant outlining work to be performed. (Not required.)

Budget Tab

Complete the budget document provided in GMS. Utilizing a document form other than the official CalRecycle versions, tampering with the CalRecycle version, or otherwise circumventing imposed character limits, may subject the applicant to disqualification. Transfer the total of each budget category from the document into the Budget tab. The budget document must be uploaded in the Documents tab and the budget amounts must match those entered in the Budget tab. The total must equal the Grant Funds Requested amount shown on the Detail tab.

Documents Tab

See the Application Documents section in the Summary tab for documents that must be uploaded in the Documents tab.

When uploading a document, enter a document title, select the appropriate document type from the drop-down list, and enter the date that it was executed/signed, if applicable, or select "today's date." Utilizing a document form other than the official CalRecycle versions, tampering with the CalRecycle version, or otherwise circumventing imposed character limits may subject the applicant to disqualification.

Application Submittal and Deadline

The **Submit Application** button located in the Summary tab will be enabled after all required documents have been uploaded.

Click the **Submit Application** button and the application status will change to **Submitted**. You can only submit the application once; however, you may upload the following documents until the secondary due date: Resolution, Letter of Designation, Letters of Permission.

You must submit your application no later than 11:59 p.m. on December 13, 2022. Customer service will be available until 4:00 p.m. on the application due date either by emailing grantassistance@calrecycle.ca.gov or calling Jake Coyle at (916) 324-1219.

Note: Applications that are not submitted by the due date will be deleted from GMS.

Application Documents

Electronic and Original Signatures

CalRecycle now allows for certified e-Signature or original wet signature on documents or forms that certify legally binding information.

Note: E-signatures must include the first and last name of the Signature Authority, be in the Adobe Digital ID format (or through another certified digital signature program), and cannot be completed using the “Fill and Sign” function within Adobe. Any documents using the “Fill and Sign” function, is considered incomplete and may be sent back to the applicant.

Once the document(s) have been signed by the Signature Authority, upload the digitally signed document, or scan the wet signature and save it to GMS. Retain the original document for potential CalRecycle audits. See Audit Considerations section of the Procedures and Requirements document for more information.

If you have questions, email grantassistance@calrecycle.ca.gov.

CalRecycle Documents

CalRecycle documents are on the Summary tab in the Application Documents section. To access a document, click on the link, open it up, fill it out, save it to your computer, and upload it to the Documents tab. If you are having trouble with a document, email grantassistance@calrecycle.ca.gov or call Jake Coyle at (916) 324-1219.

Altered or reproduced CalRecycle documents or templates may result in automatic disqualification of your application. Unless a document specifies that it may be reproduced as necessary, **do not** alter CalRecycle documents.

Below is a list of CalRecycle documents:

Application Certification

The Application Certification is a required application document that must be generated from GMS.

After you have completed each tab of the application and uploaded the required documents, generate the Application Certification from the Summary tab. Once the Application Certification is signed, upload it to the Documents tab.

Budget

Applicants are required to submit a Budget. Complete the Budget document available for download in the Summary Tab of GMS. The completed budget document must be uploaded in the Documents tab (do not convert the Excel budget document into another format) and the budget amounts must match those entered in the Budget tab. The total must equal the amount of Grant Funds Requested on the Detail tab.

A description must be provided for each budget line item included. Instructions for this requirement are included on the budget document. Line item information must be

detailed, specific and enable reviewers to understand the amounts budgeted and the intended purpose of the budgeted funds.

A unique budget document will be provided that includes additional pages for the proposed Supplemental Grant.

TEA Staff Roster

Applicants are required to complete the TEA Staff Roster form available for download from the Summary Tab in GMS. The completed form must be uploaded in GMS to the Documents tab.

Applicant's Documents

Below is a list of documents that the applicant is responsible for preparing and uploading to their application. For examples/templates of some of these documents, please refer to the Summary tab. Retain the original hard copy documents for potential CalRecycle audits. See Audit Considerations section of the Procedures and Requirements for more information.

Indirect Cost Allocation Plan

Indirect costs must be supported with an Indirect Cost Allocation Plan submitted in the grantee's grant application.

Upload a GAAP-compliant (Generally Accepted Accounting Practices) Cost Allocation Plan to the Documents Tab in GMS. The CAP details how your organization will allocate Indirect Cost charged to this grant. A Cost Allocation Plan must be obtained from your jurisdiction's accounting department. Indirect costs will be disallowed unless an approvable Cost Allocation Plan is submitted.

If indirect costs are not included in the budget, there is no requirement to submit a CAP.

Indirect costs may not exceed 20% of the direct costs in the approved budget. Grantees shall allocate Indirect Costs according to the jurisdiction's cost allocation methodology.

Pilot Internship Documents

Applicants must also submit the documents below in the application for a Pilot Internship Program.

- Budget Detail
- Scope of Work including these elements:
 - Specific Deliverables (list not inclusive)
 - Number of inspections to be completed
 - Hiring key personnel
 - Training
 - Grant Term Timeline of Key Events
 - Person(s) responsible

Applicant's Required Authorization Documents

Below is a list of required authorizing documents by application type that the applicant is responsible for preparing and uploading to their application.

Note: For Resolution, Letter of Commitment, Letter of Authorization, and Letter of Designation templates refer to the [Resolution and Letter Examples](https://www.calrecycle.ca.gov/Funding/SampleDocs) (<https://www.calrecycle.ca.gov/Funding/SampleDocs>) web page. CalRecycle staff are available to answer questions about the Resolution and Letter of Commitment or to review your draft Resolution to ensure it meets the requirements of the grant program. You may upload the Resolution or Letter of Commitment to your application as a "Draft Resolution" or "Draft Letter of Commitment" or, for immediate review, email it to grantassistance@calrecycle.ca.gov.

Individual Application Authorization Documents:

If **subject to a governing body (excluding Corporations)**, the applicant must submit a Resolution no later than the secondary due date or CalRecycle will deem the application incomplete and disqualify the applicant. The Resolution must:

1. Authorize submittal of an application for one or more specifically named CalRecycle grant(s) or for all CalRecycle grants for which the applicant is eligible.
2. Identify the time period, up to five years, during which the authorizations are valid.
 - a. Five years is encouraged; however, periods of less than five years are acceptable.
 - b. If a Resolution does not specify a time period, CalRecycle will consider the Resolution valid for one year from the date of adoption.
3. Identify the Signature Authority by listing the job title of the person(s) authorized to sign all grant-related documents necessary to implement and close-out the grant(s).
 - a. (Optional but encouraged) The Resolution should authorize the Signature Authority to delegate their signature authority to another person identified by job title. Applicants can only submit a Letter of Designation if the corresponding Resolution includes designee language.

Note: The Signature Authority must sign a Letter of Designation **prior** to the designee's exercise of their authority.

Note: Submittal of a resolution for the Pilot Internship Grant is not subject to the Secondary Due Date limitation. No costs shall be incurred under the Pilot Internship program until a collaborating resolution is received and approved by CalRecycle.

Collaborative Application Authorization Documents:

Lead Collaborative Jurisdiction

If **subject to a governing body (excluding Corporations)** applicants must submit a Resolution no later than the secondary due date or CalRecycle will deem the application incomplete and disqualify the applicant.

The Resolution must:

1. Authorize submittal of a Collaborative Application on behalf of itself and all other participating entities for a specifically named CalRecycle grant.

2. Identify the Signature Authority by listing the job title of the person(s) authorized to sign all grant-related documents necessary to implement and close-out the grant(s).
 - a. (Optional but encouraged) The Resolution should authorize the Signature Authority to delegate their signature authority to another person identified by job title. Applicants can only submit a Letter of Designation if the corresponding Resolution includes designee language.
3. If the Resolution is valid for more than one year, it is highly recommended that:
 - a. the list of participants be provided as an attachment rather than embedded in the Resolution, and
 - b. the Signature Authority be authorized to revise the list as necessary with each subsequent application (this allows a Signature Authority to add or remove participants with each new application without the necessity of obtaining a new Resolution).

Note: The Signature Authority must sign a Letter of Designation **prior** to the designee's exercise of their authority.

Participating Collaborative Jurisdiction

If **subject to a governing body (excluding Corporations)**, applicants must submit a Resolution that:

1. Authorizes submittal of a Collaborative Application with [name of lead] as Lead Collaborative Participant, and itself as a Participating Collaborative Participant for a specifically named CalRecycle grant.
2. Identifies the Signature Authority by listing the job title of the person(s) authorized to sign all grant-related documents necessary to implement and close-out the grant(s).
3. Resolutions may be valid for as long as the Lead's Resolution, not to exceed five years, otherwise must be dated within the last 12 months.

Note: Submittal of a resolution for the Pilot Internship Grant is not subject to the Secondary Due Date limitation. No costs shall be incurred under the Pilot Internship program until a collaborating resolution is received and approved by CalRecycle.

Letter of Designation

CalRecycle requires a Letter of Designation (LOD) only when the Signature Authority identified in the approved Resolution chooses to delegate their signature authority to another person.

The approved Resolution must indicate the Signature Authority's ability to delegate or designate their authority. The applicant must upload the LOD **prior** to the designee's exercise of their authority. If the designee signs an application document in place of the Signature Authority, the applicant must upload the LOD with their application.

The LOD must:

1. Be on the applicant's letterhead.
2. Include the job title of the designee and the scope of the designee's authority.
3. Include the time period during which the designee may exercise the authority.
4. Be signed by the Signature Authority.

The designee's authority may not extend beyond the effective date of the approved Resolution. For example, if the Resolution is effective until December 31, 2024, then the Letter of Designation may not be effective beyond December 31, 2024. If the letter does not identify a valid time period, the letter will follow the same time frame as the Resolution.

For Letter of Designation templates refer to the [Resolution and Letter Examples](https://www.calrecycle.ca.gov/Funding/SampleDocs) (<https://www.calrecycle.ca.gov/Funding/SampleDocs>) web page.

Letter of Permission

A Letter of Permission (LOP) is required when cities are collaborating with a different county or with a city in a different county.

The Participating jurisdiction must obtain a letter, on letterhead, from the City or County Administrator's Office for the county in which the enforcement action will be taken. The letter must:

- Be on the applicant's letterhead.
- Be dated within the last 12 months.
- Authorize the lead applicant to conduct waste tire enforcement activities in the participating jurisdiction and state that the participating jurisdiction will not be performing those activities.
- Be signed by the participating collaborative jurisdiction's (city or county) administrator.

The Lead Participant must upload the Letter of Permission no later than the secondary due date, January 17, 2023, or CalRecycle will remove the Participating Jurisdictions from the application.

Note: In place of a LOP, it is acceptable to include the above authorizations in a participating jurisdiction's resolution.

For Letter of Permission templates refer to the [Resolution and Letter Examples](https://www.calrecycle.ca.gov/Funding/SampleDocs) (<https://www.calrecycle.ca.gov/Funding/SampleDocs>) web page.

Grant Review and Award Process

Grant Application Review Process

After the close of the application period, CalRecycle staff will review the applications for completeness and eligibility. Only complete applications will be considered for award.

If total grant requests received exceed \$6,050,000.00, grant awards will be adjusted so the total awarded does not exceed the total funding available.

CalRecycle staff will verify the applicant's qualifying population to set a potential base grant award as follows:

- \$70,000 for applicants with qualifying populations less than or equal to 99,999.
- \$90,000 for applicants with qualifying populations 100,000 through 499,999.
- \$130,000 for applicants with qualifying populations 500,000 through 1,000,000.
- \$210,000 for applicants with qualifying populations of 1,000,001 or more.

CalRecycle staff may also consider the following information to determine the recommended award amount for each applicant:

- The number of active permitted sites and other businesses with TPID numbers.
- The number of priority inspections identified by CalRecycle.
- Known threats to public health and safety or the environment.
- The number of waste tire complaints and referrals received in previous grant cycles.
- The number of illegal waste tire dumpsites identified in previous grant cycles.
- Grant funds expended in previous grant cycles.
- The number of inspections completed in previous grant cycles.
- Enforcement and field patrolling activities in previous grant cycles.
- The results of the Grantee's Performance Evaluation.

Grant Award Process

For qualifying applications, CalRecycle staff will develop funding recommendations for the consideration and approval of CalRecycle's Director, or their designee; CalRecycle tentatively schedules this for February/ March 2023. CalRecycle reserves the right to partially fund or fund individual phases of selected proposals, and CalRecycle may fund an amount less than requested.

CalRecycle reserves the right to not award any grant funds under one or more cycles.

Grant Award Conditions

When awarded, this grant will be subject to two conditions:

1. The recommended grantee must pay all outstanding debts due to CalRecycle, or bring current outstanding payments owed to CalRecycle, within 60 days of the award email date.
2. The recommended grantee's Signature Authority (or their delegated signature authority) must sign and return the Grant Agreement to CalRecycle. CalRecycle must receive the signed Grant Agreement within 60 days of the date of the award email.

Failure to comply with either condition will void the grant award.

Grant Program Administration

Grant Agreement

The Grant Agreement binds the Grantee to CalRecycle's requirements as outlined in the Grant Agreement documents. CalRecycle now sends the Grant Agreement Cover Sheet electronically to allow for a certified e-signature using Adobe Sign.

These documents shall guide the grantee's administration of the grant project.

Following CalRecycle's conditional approval of the grant awards, we will email grantees the information below.

- **Award email**
- **Grant Agreement Cover Sheet (CalRecycle 110)**
- **Exhibit A: Terms and Conditions**
 - Contain CalRecycle standard legal requirements for grants
- **Exhibit B: Procedures and Requirements**
 - Contain specific requirements for administering this grant, including but not limited to project, reporting, and audit requirements
- **Exhibit C: Grantee's approved application with revisions, if any, and any amendments**
- **e-Signature Instructions for Awardees**
 - Contains instruction on how to sign the Grant Agreement Cover Sheet using Adobe Sign

Reporting Process

CalRecycle requires grantees to report on the progress of their grant on February 26, 2024 and September 30, 2024. The Procedures and Requirements document contains detailed reporting information and deadlines. CalRecycle provides a required template for the Progress Reports.

Payment Request Process

CalRecycle will retain 10 percent of each approved Payment Request amount until the Grant Manager approves the Final Report, the final Payment Request, and all required supporting documentation. The Procedures and Requirements document contains detailed payment information.