### SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA



ITEM: 3.30 (ID # 24904) **MEETING DATE:** Tuesday, June 04, 2024

FROM:

**HUMAN RESOURCES** 

SUBJECT: HUMAN RESOURCES: Approval of the revisions to Board Policy C-27 pertaining to workplace violence, threats and securities, All Districts. [Total Cost \$0]

**RECOMMENDED MOTION:** That the Board of Supervisors:

1. Approve the revisions to Board Policy C-27: Workplace Violence, Threats and Securities.

**ACTION:Policy** 

Tami Douglas - Schatz

#### MINUTES OF THE BOARD OF SUPERVISORS

On motion of Supervisor Gutierrez, seconded by Supervisor Perez and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes:

Jeffries, Spiegel, Washington, Perez and Gutierrez

Nays:

None

Kimberly A. Rector

Absent: Date:

None

Clerk of the Board

Deputy

XC:

June 4, 2024 H.R., COBAB

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### SUBMITTAL TO THE BOARD OF SUPERVISORS COUNTY OF RIVERSIDE, STATE OF CALIFORNIA

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	,	Total Cost:	Ongoing Cost
COST	\$ 0	\$0	\$0		\$0
NET COUNTY COST	\$ 0	\$0	\$0		\$0
SOURCE OF FUNDS: N/A			Budget Adj	ustment: No	
			For Fiscal Y	ear: 23/24	

C.E.O. RECOMMENDATION: Approve

#### BACKGROUND:

#### **Summary**

In 2023, Governor Newsom signed into law Senate Bill 553 (hereinafter referred to as "SB 553") which requires nearly all California employers, including public entities, to create, adopt and implement written Workplace Violence Prevention Plans that include numerous elements such as annual workplace violence prevention training, incident logs and the creation and retention of records. While SB 553 is sweeping in nature and will make significant changes in the field of occupational safety, the County has been on the forefront of occupational and workplace safety as evidenced by Board Policy C-27, our Workplace Violence Prevention Program, and our Injury and Illness Prevention Program.

SB 553 will become effective July 1, 2024, and given the broad changes required by SB 553, the County's programs and policies need to be expanded to comply with these new legislative requirements. Board Policy C-27 was last amended on February 7, 2017 by Minute Order 3.21. This policy was updated to ensure the language is compliant with the new provisions of SB 553 and falls in line with state and federal guidelines as it pertains to workplace violence. The revisions were also reviewed with the various Labor groups through the meet and confer process.

#### Impact on Residents and Businesses

Updates to this policy will have no direct impact on to residents or businesses.

#### ATTACHMENTS:

**ATTACHMENT A:** Board Policy C-27 Clean

ATTACHMENT B: Board Policy C-27 Track Changes

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#### Policy:

It is the policy of Riverside County (County) that there are strict and severe consequences for all threats and violent behavior by County employees in the workplace or in connection with their employment by the County. Zero-tolerance means that any acts of violence by County employees that result in a violation of this policy will not be tolerated and shall result in strict and severe disciplinary action, which may include termination. To ensure healthy and safe workplaces and to reduce the risk of violence, all employees should review and understand all provisions of this policy. This policy includes and covers threats and violent behavior, direct, indirect, implied or actual, from any person, and directed toward any person, occurring at any County facility or in connection with the conduct of County business without regard to location.

**PURPOSE:** To implement a County wide zero-tolerance standard with regard

to threats and violent behavior in the workplace.

**OBJECTIVE:** 1. To assure that all workplace threats and violent behavior are identified, addressed, and corrected promptly.

- 2. To ensure the level of physical/facility security in Riverside County workplaces is reasonable and sufficient to protect the health and safety of County employees.
- 3. To ensure that the County of Riverside is in complete conformity with all statutory and regulatory requirements related to the prevention of workplace violence, including those required under the Labor Code and Title 8 of the California Code of Regulations.
- 4. To ensure review and evaluation of conduct prohibited under this policy and that all disciplinary action is administered appropriately, without bias or discrimination, throughout the County.

**SCOPE:** All county employees.

#### I. GENERAL OVERVIEW

The California Occupational Safety and Health Act (Cal/OSHA) requires all employers to develop an Injury, Illness Prevention Program (IIPP) for hazards unique to their place of employment. Additionally, the Labor Code requires certain departments to adopt, implement, and maintain a Workplace Violence Prevention Plan (WVPP), which may be part of the IIPP. The County IIPP includes a WVPP that provides a framework for all County agencies, departments, and special district programs for preventing assaults – specifically, one of the hazards of work in health

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care and community service, and perhaps the community as a whole. This includes, but is not limited to: procedures for identifying and evaluating workplace violence hazards, responding to and investigating workplace violence hazards, responding to and investigating reports of workplace violence, and responding to workplace violence emergencies.

Workplace violence can be categorized by the type: Type I, II, III, or IV.

Type I violence means violence by an assailant who has no legitimate relationship to the workplace and usually enters the workplace to commit a robbery or other criminal act.

Type II violence means violence directed at an employee by a customer, client, patient, student, inmate, or visitor.

Type III violence means violence by an individual who has some present or prior employment-related involvement with a specific workplace. A Type III incident typically involves a threat or physical action against an employee, supervisor, or management. Perpetrators of Type III incidents are customarily found to be current or former employees.

Type IV violence means violence committed by an individual who does not work at the workplace but has a personal relationship with an employee.

It is important to keep in mind that a particular occupation or workplace may be subject to more than one type of workplace violence. One type of workplace violence is no more serious or important than another.

#### II. ZERO TOLERANCE STANDARD

The County of Riverside does not tolerate any type of workplace violence committed by or against an employee. Employees are prohibited from making threats or engaging in an act of workplace violence. The list of zero tolerance behaviors with regard to threats and/or violence shall include, but are not limited to:

- 1. Engaging or allowing engagement in threats or violent conduct or, implied, actual, direct, or indirect, or causing actual physical injury to another person at a County workplace or in connection with the conduct of County business.
- 2. Any aggressive or hostile behavior that creates a reasonable fear of injury to another person or subjects another individual to emotional distress.
- Intentionally damaging County property or the personal property of another individual.

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- 4. Being in possession of an offensive or defensive weapon (firearm, club, knife with a blade four inches or longer, etc.) unless specifically required or authorized in the course of employment, at any County facility or in connection with the conduct of County business without regard to location.
- Committing acts motivated by, or related to any protected classes as defined by the California Civil Rights Department

In instances of the above, any potentially dangerous situation must be reported immediately to a supervisor and the Human Resources Department – Safety Loss Control Division, at 24-hour telephone number (951) 955-3520, and to local law enforcement if necessary.

Reports can be made directly, or anonymously, through existing County policies and procedures, including Policy C-25, and the County will investigate all reported incidents. The County will intervene at any indication of a threat or possible act of workplace violence.

Reports or incidents warranting confidentiality will be handled appropriately and information will be disclosed to others only on a need-to-know basis. All parties involved in a situation may be counseled and results of the investigation may be discussed with them.

The supervisor to whom an incident is reported shall consider the potential need for providing security for the individual who was victimized or threatened as well as for co-workers, and the public at the worksite. Further the supervisor shall engage in the following conduct:

- a. As determined by Human Resources, immediately placing an employee alleged to have made threats or engaged in violent behavior on paid leave pending the outcome of an investigation.
- b. Ensure that any threatening or violent person, employee or member of the public physically leaves the work site; except for incarcerated (jail) inmates, juvenile wards and mental health patients.
- c. Immediately contact an appropriate law enforcement agency <u>if</u> necessary to ensure removal of the offender from the scene and/or if the reported condition poses an imminent danger or threat to life and safety; and
- d. Ensure that an employee who has been the victim of a job-related threat or violence occurring away from County work site(s) does not visit or revisit the worksite until an investigation has been concluded and/or the threat has been resolved.

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#### Enforcement:

- a. Threats, threatening conduct, or any other acts of aggression or violence in the workplace will not be tolerated. Any employee determined to have committed such acts will be subject to disciplinary action, up to and including termination. Non-employees engaged in violent acts on County premises will be reported to the proper authorities.
- b. All reports of threats and workplace violence, implied, actual, direct, or indirect, will be documented and investigated. Such records shall include information required under the Labor Code, including but not limited to a narrative of the incident including names and other appropriate identification of the parties involved, verbal comments made or a description of the violent behavior, witness names, and witness statements.
- c. For incarcerated (jail) inmates, juvenile wards, and mental health patient's facilities, the following procedures should be followed by the department:
  - Ascertain the behavior history of new and transferred individuals to learn about any past violent behaviors.
  - Establish a system such as chart tags, logbooks, or verbal census reports to identify individuals with a history of violence, keeping in mind confidentiality and worker safety issues. The log book/records are to be made available upon request by Human Resources Safety Loss Control Division and/or Human Resources Employee Relations Division.
  - Prepare contingency plans to treat individuals who are acting out or making threats or engaging in workplace violence.
  - Report any threats or workplace violence to the Safety Loss Control Division and then follow up with a written report.
- d. County employees who engage in threats or workplace violence, direct, indirect, implied or actual, against co-workers or any other person in connection with County business, are to be subject to legal action by law enforcement authorities and disciplinary action, up to and including termination of employment.

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e. Managers and supervisors who fail to carry out their responsibilities in accordance with this policy and procedure are subject to disciplinary action up to and including termination.

f. Being in possession of an offensive or defensive weapon (firearm, club, knife with a blade four inches or longer, etc.) is prohibited unless specifically required or authorized in the course of employment, at any County facility or in connection with the conduct of County business without regard to location.

#### III. RESPONSIBILITIES

- A. Safety Loss Control Division will:
  - 1. Provide guidance to the department in developing the written Injury/Illness Prevention Program, including a WVPP, where applicable.
  - 2. Coordinate workplace violence prevention needs with agencies/departments by providing appropriate professional and technical resources.
  - 3. Recommend engineering and work practice controls as needed.
  - 4. Ensure effective employee training programs.
  - 5. Provide annual training to employees, as required by law.
  - Conduct regular inspections of County workplaces, including but not limited to those owned or leased by the County in order to identify, evaluate, and correct any workplace violence hazards that may exist.

#### B. Sheriff's Office will:

- 1. Coordinate workplace violence program needs with agencies/departments by providing appropriate professional resources, technical resources, and investigative assistance when necessary.
- 2. Maintain compliance with the Police Officer Bill of Rights; the Sheriff's Office shall investigate complaints against Sheriff's Office employees and shall maintain the corresponding administrative reports.

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- 3. Coordinate and assist in the direction and planning of an effective Injury/Illness Prevention Program for workplace violence for the county on an agency/department-wide basis.
- 4. Coordinate workplace violence program needs with agencies/departments by providing appropriate professional resources, technical resources, and investigative assistance when necessary.
- 5. Recommend engineering and administrative controls as needed.
- 6. Coordinate and ensure quality and timeliness of training programs.

#### C. Human Resources will:

- Provide assistance and guidance to agency/department managers and supervisors in the preliminary investigation of threats and acts of workplace violence.
- 2. Provide advice and direction as to immediate action to be taken with an employee alleged to have made a threat or engaged in workplace violence, including a determination by the Human Resources Director or designee, whether administrative leave is appropriate in the circumstances and whether law enforcement authorities should be notified of the threat or act of workplace violence.
- Assess and evaluate the threat or act of workplace violence in consultation with agency/department head or designee in order to determine whether disciplinary action and/or Paid Administrative Leave may be appropriate.
- At its discretion, initiate a threat assessment team in order to assess and evaluate the circumstances of the threat or act of workplace violence and any investigation findings.
- 5. Pursuant to Policy C-23, in instances involving threats and/or violence final discipline shall be imposed as identified by Human Resources.
- Prior to hiring, take reasonable measures to conduct background investigations in order to review a candidate's background and reduce the risk of hiring an individual with a history of making threats or engaging in acts of violence.

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- D. Agency/district/department heads must:
  - 1. Ensure this policy is fully implemented in all work locations within their area of responsibility.
  - 2. Ensure that managers and supervisors are fully informed of the zero-tolerance standard.
  - 3. Ensure that the threat or act of workplace violence is recorded accurately and in a timely manner.
  - Ensure that all managers and supervisors attend the Human Resources/Safety Office Workplace Violence Training Program upon hire.
  - 5. Ensure that all employees are trained as required under the law.
  - 6. Ensure that all threats and acts of workplace violence direct, indirect, actual or implied, are reported to appropriate law enforcement agencies, Human Resources Employee Relations and the Safety Loss Control Division.
  - 7. Ensure investigation materials are sent to Employee Relations and Safety Loss Control Division for review. Consistent with Policy C-23, Human Resources shall impose final discipline in instances involving the use of threats and/or violence.
  - 8. Ensure that all work sites and work practices within the agency/district/department areas of responsibility are reviewed for the purpose of providing employee security and protection from the potential of reasonably foreseeable violent action.
  - 9. Implement appropriate discipline as recommended by Human Resources.
  - 10. Implement an effective safety and security program, which places employee safety and health on the same level of importance as patient/client/customer safety.
  - 11. Ensure the County's commitment to security for all employees and that every effort is made to prevent the occurrence of threats and acts of workplace violence.
  - 12. Implement and maintain an effective written IIPP, including a WVPP, as necessary to comply with legal requirements.

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### E. Manager/supervisor must:

- 1. Report all incidents of threats and acts of workplace violence, persons with weapons and other cases when deemed necessary to the local law enforcement agency.
- 2. Take steps immediately available to provide safety to the victim and others.
- 3. Report all threats and acts of workplace violence immediately to management, Human Resources, and Safety Loss Control Division.
- 4. Be knowledgeable about the County's Board Policy requirements and expectation of a zero-tolerance standard for incidents of workplace violence.
- 5. Ensure that all employees attend the Human Resources/Safety Loss Control Division Workplace Violence Training Program.
- 6. Use resources and programs available within the County and the Safety Loss Control Division to address Workplace Violence Concerns.
- 7. **Immediately** refer the matter to Employee Relations division at (951) 955-3510 and Safety Loss Control Division at (951) 955- 3520 for investigation.
- 8. Ensure that all reports of threats and violent behavior, direct, indirect, actual or implied, are fully and formally investigated by assisting the Safety Loss Control Division and/or Human Resources staff.
- 9. Implement and maintain an effective written IIPP, including a WVPP, as necessary to comply with the law.

#### F. Employees must:

- Not make threats, either real or those that may be perceived as real, or engage in violent behavior in connection with or during the course of Riverside County employment.
- Immediately report all incidents of threats or violent behavior to supervisors or immediately contact law enforcement authorities, as appropriate. It is strongly recommended that employees complete an Incident Report as soon as possible and submit to their Supervisor or Safety Loss Control Division.

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3. Immediately disengage and contact the supervisor upon any instance of overt violence or threatening behavior.

Note: Employees are not expected to be skilled at identifying potential workplace violence hazards, including but not limited to potentially dangerous persons. However, employees are expected to exercise good judgment and to inform Human Resources/Safety Loss Control Division if any person, including but not limited to an employee, exhibits behavior which could be a sign of a potentially dangerous situation. If an employee has any questions about a situation, please contact the Safety Loss Control Division at (951) 955-3520. Such behavior may include, but is not necessarily limited to the following:

- Discussing weapons or bringing weapons to the workplace;
- Displaying overt signs of extreme stress, resentment, hostility, or anger;
- Making threats or threatening remarks;
- Sudden or significant deterioration of performance; or,
- Displaying irrational or inappropriate behavior.
- G. No individual department, agency or district policy or plan shall be promulgated to supersede, interpret or administer this policy other than operational rules developed by the Human Resources Department, and as mandated by Section II, Enforcement, of this policy.

#### IV. SECURITY HAZARD ASSESSMENT & IDENTIFICATION

Worksite analysis should be done by using a systematic method to identify those areas requiring an assessment of hazards related to potential workplace violence. This analysis should accomplish the following:

- 1. Preparing a list of those work positions in which employees are at risk of threats or acts of workplace violence.
- 2. Identifying risk factors that include elements, such as physical risk factors of the buildings, isolated locations, job assignment locations, high risk activities and situations, inadequate lighting and areas where security is or may be deficient.
- Determining if risk factors have been reduced or eliminated to the extent feasible.
- 4. Analyzing all newly modified or planned facilities to ensure that hazards identified and then are reduced or eliminated; and

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5. Conducting periodic surveys whenever there is a change in operational functions in order to identify and assess new or previously unidentified hazards in security applications or practices. Surveys must be conducted at least annually.

Analysis of this information will be accomplished by Human Resources/Safety Loss Control Division and should be incorporated into a plan of corrective action for current and continuous hazard prevention and control.

Hazard assessments for workplace security will be performed in the form of periodic inspections. These inspections will consist of identification and evaluation of workplace security hazards and changes in employee work practices. Inspections to identify and evaluate workplace security hazards should be performed by the employee designated to perform inspections as outlined in the agency/district/department's IIPP.

Additional items to consider when assessing workplace security hazards include, but are not limited to the following:

- Access to and freedom of movement within the workplace by non-employees, including recently discharged employees or persons with whom an employee is or may be having a dispute.
- Adequacy of workplace security systems, such as door locks, security windows, physical barriers, and restraint systems.
- Frequency and severity of threatening or hostile situations that may lead to violent acts by persons who are service recipients of the department.
- Effectiveness of systems to warn others of a security danger or to summon assistance (e.g., alarms or panic buttons).
- The use of work practices such as "buddy" systems for specified emergency events.

#### V. GUIDELINES FOR IMMEDIATE RESPONSE

Any response to an act of workplace violence, which has resulted in injury or death, should be limited in scope. The individual on scene who observes the incident should limit activities to the following:

- Dial 911. If using an inter-County phone system, Dial 9-911.
- Render comfort and minor first aid to any injured victims.
- Immediately notify the following:
  - Human Resources Employee Relations (951) 955-3510
  - Safety Loss Control Division 955-3520

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- Agency/district/department safety representative:

The first management personnel responding to the incident must immediately ensure that the above actions have been initiated. Upon completion, management personnel should perform the actions outlined in Section VI., Incident Investigations.

#### VI. INCIDENT INVESTIGATIONS

Incident investigation may be conducted by Human Resources, including Safety Loss Control, or law enforcement, depending on the severity and nature of the threat and/or violence. Procedures for investigating threats and acts of workplace violence include the following:

- 1. Visiting the scene of an incident as soon as safe and practicable;
- Collection of facts on who, what, when, where, and how the incident occurred;
- 3. Collection of statements from involved parties, such as employees, witnesses, law enforcement, and/or security personnel;
- 4. Accessing and reviewing security footage of existing security cameras if applicable:
- 5. Collection of photographic or video evidence of damage or injuries, where appropriate;
- 6. Examining the workplace for security risk factors associated with the incident, including any previous reports of inappropriate behavior by the perpetrator;
- 7. Consultation with the affected employees or witnesses to identify potential contributing causes;
- 8. Obtaining any reports completed by law enforcement;
- 9. Assessment of any Threat of Violence, identifying the following, as applicable and to the extent the information is known by the department:
  - The exact nature and context of the threat and/or threatening behavior;
  - b. The identified target;
  - c. The person's apparent motivation;
  - d. The person's ability to carry out the threat; and

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e. The person's background, including work history, criminal record, mental health history, and past behavior on the job.

Following an investigation, in conjunction with Human Resources, the department may take the following measures, as applicable:

- a. Notify the affected employees of the general results of the investigation, while protecting the privacy of affected and/or involved employees.
- b. Collaborate with the Safety Loss Control Division and Employee Relations on recommended corrective action.
- c. Implement changes in engineering controls, procedures, or policies, if appropriate; and/or
- d. Establish updated work practice controls, if necessary.

#### VII. TRAINING

# Employee Workplace Violence and Violence in the Workplace for Managers (General Training)

Training and instruction on general and job-specific workplace security practices shall be provided to all new employees, other employees that have not previously been trained, and to all employees given new job assignments for which specific workplace security training for that job assignment has not previously been provided. This training will include training on the County's IIPP and WVPP. Additionally, managers and supervisors will be required to complete a specialized version of this training for Violence in the Workplace.

# Occupational Safety and Workplace Violence Prevention Plan SB 553 (Annual Training)

In addition to the training set forth above, California law requires an annual workplace violence prevention training. Annual training will be provided by the Safety Loss Control Division specific to the WVPP as required by law and will include the following: (1) an overview of the WVPP and how to obtain a copy, (2) the applicable definitions and requirements related to workplace violence, (3) how to report threats and acts of workplace violence, (4) workplace violence hazards, (5) how to seek assistance to prevent or respond to threats and acts of workplace violence, (6) strategies to avoid physical harm, (7) the violent incident log, and (8) an opportunity to ask questions about workplace violence prevention.

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Records shall be kept of the following:

#### VIII. RECORD KEEPING

Record keeping is required by law and providing information for analysis, evaluation of methods control, severity determinations, identifying training needs or overall program evaluations.

- Division of Occupational Safety and Health (DOSH) logs. DOSH regulations
  require entry on the Injury Illness Log of any injury, which requires more than first
  aid, is a lost time injury, requires modified duty, or causes loss of consciousness.
  Assaults should be entered on the log. Doctor's reports of work injury and
  supervisor's reports shall be kept of each recorded assault.
- Threats and acts of workplace violence. Such records must be recorded in the Workplace Violence Incident Log, which the Safety Loss Control Division will maintain for a minimum of 5 years.
- Workplace violence incident investigations. Such records will be maintained for a minimum of 5 years.
- Workplace violence hazard identification, evaluation, and correction. Such records will be maintained for a minimum of 5 years.
- Training records, including the following information: training dates, contents or a summary of the training sessions, name and qualifications of person conducting the training, and names and job titles of all persons attending the training sessions.
   Such records will be maintained for a period of three (3) years.

#### IX. HAZARD REDUCTION AND CONTROL

In order to reduce, eliminate, and control hazards, engineering, and work practice controls for all job assignments and facility locations must be implemented.

- 1. Engineering controls for facilities, include but are not limited to the following:
  - a. Bright and effective lighting systems for all indoor building areas as well as grounds and parking areas.
  - b. Curved mirrors at intersections of halls or in areas where an individual may conceal their presence or activity.

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- c. Limiting employees from working alone in an isolated unit or facility located in a high crime area.
- d. Provision of fixed and mobile alarm system trigger devices, in facilities that are prone to threats or acts of workplace violence.

In order to minimize the risk of workplace violence cellular phones may be provided for official use when employees are assigned to duties which take them out into the community, including but not limited to private residences.

Hand-held alarm or noise devices or other effective alarm devices may also be provided to field personnel.

Beeper or alarm systems, which alert a central office of problems, may also be provided to field personnel.

Other protective devices, such as pepper spray, may also be provided after the agency/department first adopts a use of force policy and training program.

#### 2. Work Practice Controls:

A sound overall program to deter and control violence includes work practice controls that reduce workplace violence hazards due to insufficient security measures. Work practice controls include but are not limited to the following:

- a. A plan to deal effectively with a customer/client who behaves in an aggressive manner, which includes a gradual progression of measures for employees to deter aggressive behavior from escalating to assaults.
- b. Any uniformed security personnel should be trained in the principles of human behavior and methods of dealing with threats, verbal abuse, or violent aggression.
- c. Employees must understand and be encouraged to report all threats and acts of workplace violence. All such incidents must be recorded, and appropriate action taken.
- d. Psychiatric clients/patients should be escorted to and from waiting rooms and not permitted to move about unsupervised in clinic areas. Access to clinic facilities other than waiting rooms should be strictly controlled with security provisions in effect.

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- e. Staff members should be given the greatest possible assistance in obtaining information to evaluate the history of or potential for, violent behavior of in-patients and/or clients. They should be required to treat and/or interview aggressive or agitated clients in open areas where other staff may observe interactions, but still maintain privacy and confidentiality.
- f. Assistance and advice should be sought in case management conferences with co-workers and supervisors to aid in identifying treatment of potentially violent clients. Whenever an agitated client or visitor is encountered, treatment or intervention should be provided, when possible, to diffuse the situation. However, security personnel or other assistance should be requested to help in avoiding violence.
- g. No employee should be permitted to work or stay in a facility or isolated unit when they are the only staff member present in the facility, if the location is so isolated that they are unable to obtain assistance if needed, or in the evening or at night if the clinic or office is closed.
- h. Employees must report all incidents of aggressive behavior threats, workplace violence, etc., with or without injury, and logs must be maintained recording all such incidents.
- i. Records, logs, or flagging charts must be updated whenever information is obtained regarding previous criminal behavior, threat, or act of workplace violence.
- j. Administrators should work with local police or sheriff's office to establish liaison and response mechanisms for police assistance when calls are made for help by a clinic. Likewise, this will also facilitate the clinic or offices provision of assistance to local police in handling emergency cases.

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#### Reference:

Minute Order 3.11 of 03/07/00

Attachments: Form 2010-1 "Workplace Threat Incident Report"

Form 2010-16 "Employee Training Documentation" Acknowledgement of

County of Riverside Workplace Violence and Threats

Minute Order 3.21 of 02/07/17

# WORKPLACE THREAT INCIDENT REPORT AGAINST COUNTY OF RIVERSIDE EMPLOYEE

1.	Name of Individual threatening County em	ployee:
2.	Relationship to County:	
3.	Physical description: Hair	Eyes Height
	Weight — Ethnicity —	
	Distinguishing characteristics	
4.	(attach picture if possible) Circumstances of threat:	
	Location of threat:	
6.	Date:	
7.	Exact words of threat:	
8.	Threatened County employee's name:—	
9.	Department:	
10.	Work address:	
11.	Work telephone:	Home Telephone:
12.		
13.		Work Phone:
l certi		ormation is true and correct to the best of m
Threate	ened County Employee Signature Date	Supervisor's Signature Date

# APPENDIX B EMPLOYEE TRAINING DOCUMENTATION

### INDIVIDUAL EMPLOYEE TRAINING DOCUMENTATION

NAME	OF	TRAINER/INSTRUCTOR	
TRAINING SUBJ	ECT: WORKPLACE VIOLENCE		
TRAINING MATE	RIALS USED:		
NAME	OF	EMPLOYEE:	
	DEPARTMENT:		
	DATE OF HIRE/ASSIGNMENT:		
, hereby certify	hat I received training as described in the	following areas:	
	xplanation of the Illness/Injury Preventio easures for reporting any violent acts or	n Program for workplace security including threats of violence.	
	ecognition of workplace security hazards i ype II and Type III of workplace violence.	ncluding the risk factors associated with the	
	easures to prevent workplace violence, in ecurity hazards or threats to managers ar	ncluding procedures for reporting workplace and supervisors.	
[ ] M	easures to summon others for assistance.		
[] E	mployee routes of escape.		
[] N	] Notification of law enforcement authorities when a criminal act may have occurred.		
[] P	ost-event trauma counseling for those en	nployees desiring such assistance.	
	nderstand this training, agree to compl se Violence Policy.	y with the instructions received, and with the	
Employe	e Signature	Date	
Trainer/I	nstructor Signature	Date	

# APPENDIX C ACKNOWLEDGMENT OF COUNTY OF RIVERSIDE WORKPLACE VIOLENCE AND THREATS

I have received a copy of and am fully aware of the County of Riverside's Workplace Violence, Threats and Securities Policy; and I agree to abide by the terms of this policy. I also agree to remain apprised of future revisions to this policy and to abide by the terms of all such revisions.

Employee Name:		
Employee Signature:		
Date:		
This forms about he noteined in demonstrates at files		

This form shall be retained in department files.

Reviewed and approved as to form:

Alexander C. Volberding Liebert Cassidy Whitmore 5-15-2024

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#### Policy:

It is the policy of Riverside County (County) that there is a zero-tolerance standard for all threats and violent behavior by County employees in the workplace or in connection with their employment by the County. Zero-tolerance means that any acts of violence by County employees that result in a violation of this policy will not be tolerated and shall result in strict and severe disciplinary action, which may include termination. To ensure healthy and safe workplaces and to reduce the risk of violence, all employees should review and understand all provisions of this standard policy. This policy includes and covers threats and violent behavior, direct, indirect, implied or actual, from any person, and directed toward any person, occurring at any eCounty facility or in connection with the conduct of eCounty business without regard to location.

PURPOSE: To implement a <u>C</u>ounty wide zero tolerance standard with regard

to threats and violent behavior in the workplace.

**OBJECTIVE:** 1. To assure that all workplace threats and violent behavior are\_

identified, addressed, and corrected promptly.

2. To <u>assure ensure</u> the level of physical/facility security in Riverside County workplaces is reasonabley and sufficient to protect the health and safety of <u>eC</u>ounty employees.

- 3. To ensure that the County of Riverside is in complete conformityance with all statutory and regulatory requirements related to the prevention of workplace violence, including those required under the Labor Code and Title 8 of the, California Code of Regulations, General Industry Safety Orders and mandates relative to violence in the workplace.
- 4. To ensure review and evaluation of conduct prohibited under this policy and that all disciplinary action action taken for behavior prohibited under this policy is administered appropriately, without bias or discrimination, throughout the County. reviewed, evaluated, and administered consistently and equitably throughout the County.

**SCOPE:** All county employees.

#### I. GENERAL OVERVIEW

<u>The California Occupational Safety and Health Act (Cal/OSHA)</u> requires all employers to develop an Injury, Illness Prevention Program (IIPP) for hazards unique to their place of employment. <u>Additionally, the Labor Code requires certain</u>

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departments to adopt, implement, and maintain a Workplace Violence Prevention Plan (WVPP), which may be part of the IIPP. This The County IIPP includes a WVPP that provides athe framework for all Riverside County agenciesy, departments, and special district programs for preventing assaults – specifically, one of the hazards of work in health care and community service, and perhaps the community as a whole. This includes, but is not limited to: procedures for identifying and evaluating workplace violence hazards, responding to and investigating workplace violence hazards, responding to and investigating reports of workplace violence, and responding to workplace violence emergencies. Further, because of the potential for injury to workers, health care and community service organizations must comply with Title 8 of the CCR, Section 3203. This regulation requires an IIPP, which stipulates that responsible persons

perform worksite analyses, identify sentinel events, and establish controls and training programs to reduce or eliminate hazards to worker health and safety.

<u>Circumstances associated with wWorkplace violence events can be-categorized by the type-divided into three major types,:</u> Type I, II, and III, or IV. It is important to keep in mind that a particular occupation or workplace may be subject to more than one type. One type is no more important than another.

Type I workplace violence involves means violence a violent act by an assailant who has no legitimate relationship to the workplace and usually enters the workplace to commit a robbery or other criminal act.

The perpetrator of Type II violence means violence directed at an employee by a customer, client, patient, student, inmate, or visitor. incidents is either the recipient or the object of a service provided by the affected workplace or the victim, e.g., the assailant is a former client, patient, customer, passenger, criminal, suspect or prisoner.

Type III incidents of workplace violence predominately consist of assaults means violence by an individual who has some present or prior employment-related involvement with a specific workplace. A Type III incident typically involves a threat or physical action against an employee, supervisor, or management, current or former spouse, or a rejected romantic interest. Perpetrators of Type III incidents are customarily found to be current or former employees; a current or former spouse or lover; spurned suitor; relative or friend; or some other person who holds a real or imaginary grievance against an employee of that workplace.

Type IV violence means violence committed by an individual who does not work at the workplace but has a personal relationship with an employee.

It is important to keep in mind that a particular occupation or workplace may be subject to more than one type of workplace violence. One type of workplace

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violence is no more serious or important than another.

#### II. ZERO TOLERANCE STANDARD

The County of Riverside does not tolerate any type of workplace violence committed by or against <u>an</u> employees. Employees are prohibited from making threats or engaging <u>in-in an act of workplace violence violent activities</u>. The list of zero tolerance behaviors with regard to threats and/or violence shall include, but <u>isare</u> not <u>be</u> limited to<u>:</u>, the following proactive measures and/or prohibited behaviors:

- Engaging or allowing engagement in threats or violent conduct or making threats of violence, implied, actual, direct, or indirect, or causing actual physical injury to another person at a county workplace or in connection with the conduct of county business.
- 2. Any aggressive or hostile behavior that creates a reasonable fear of injury to another person or subjects another individual to emotional distress.
- Intentionally damaging County of Riverside property or the personal property of another individual.
- 4. Being in possession of an offensive or defensive weapon (firearm, club, knife with a blade four inches or longer, etc.) unless specifically required or authorized in the course of employment, at any ecounty facility or in connection with the conduct of ecounty business without regard to location.
- 5. Committing acts motivated by, or related to <u>any protected classificationses</u> as defined by the California <u>Civil Rights Department.</u>, sexual harassment or domestic violence.

In instances of the above, Aany potentially dangerous situation must be reported immediately to a supervisor and the Human Resources Department – Safety & Loss Control Office Division, at 24-hour telephone number (951) 955-3520 or the 24-hour hotline (951) 955-5868, and to local law enforcement if necessary.

Reports can be made <u>directly</u>, <u>or anonymously</u>, <u>through existing County policies</u> <u>and procedures</u>, <u>including Policy C-25</u> <u>anonymously</u> and <u>the County will investigate</u> -all reported incidents. <u>would be investigated</u>. The <u>Ceounty will actively intervene</u> <u>as soon as practicable once notified of a threat or possible act of workplace violence. at any indication of a possible hostile or violent situation.</u>

Reports or incidents warranting confidentiality will be handled appropriately and information will be disclosed to others only on a need-to-know basis. All parties involved in a situation will may be counseled and results of the investigation will may be discussed with them. The county will actively intervene at any indication of a possible hostile or violent situation.

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The supervisor to whom an incident is reported shall <u>immediately consider the potential need for providinge</u> security for the <u>individual who was victimized or threatened individual, as well as for co-workers, and the public at the worksite.</u> Further the supervisor shall engage in the following conduct-by:

- a. <u>As determined by Human Resources, illimmediately placing an</u> employee alleged to have made threats or engaged in violent behavior on paid leave pending the outcome of an investigation;
- b. Ensur<u>eing</u> that any threatening or violent person, employee or member of the public <u>physically</u> leaves the work site; except for incarcerated (jail) inmates, juvenile wards and mental health patients.
- c. Immediately contacting an appropriate law enforcement agency if necessary to ensure removal of the offender from the scene and/or if the reported condition poses an imminent danger or threat to life and safety; and
- d. Ensureing that an employee who has been the victim of a job\_-related threat or violence occurring away from eCounty work site(s) does not visit or revisit the worksite scene until an investigation has been completed concluded and/or the threat has been resolved.

#### Enforcement:

- a. Threats, threatening conduct, or any other acts of aggression or violence in the workplace will not be tolerated. Any employee determined to have committed such acts will be subject to disciplinary action, up to and including termination. Non-employees engaged in violent acts on eCounty premises will be reported to the proper authorities.
- b. All reports of threats and <u>workplace</u> violen<u>cet behavior</u>, implied, actual, direct, or indirect, will be documented—and investigated. Such <u>documentation records</u> shall include <u>information required under the Labor Code</u>, including but not limited to a narrative of the incident including names and other appropriate identification of the parties involved, verbal comments made or a description of the violent behavior, witness names, and witness statements.
- c. For incarcerated (jail) inmates, juvenile wards, and mental health patient's facilities, the following procedures should be followed by the department:
  - Ascertain the behavior history of new and transferred <u>individuals</u> patients to learn about any past violent or assaultive behaviors.

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- Establish a system such as chart tags, logbooks, or verbal census reports to identify individuals patients and clients with a history of violence assaultive behavior problems, keeping in mind patient confidentiality and worker safety issues. The log book/records are to be made available upon request by Human Resources Safety Loss Control Division Manager and/or Human Resources Employee Relations Division.
- Prepare contingency plans to treat <u>individuals</u> <u>clients</u> who are acting out or making-<u>threats</u> or <u>engaging</u> in <u>workplace violence</u> <u>verbal or physical attacks or threats</u>.
- Report any serious threats or workplace violence to the Safety <u>Division Manager</u> and then follow up with a written report.
- d. County employees who engage in threats or <a href="workplace">workplace</a> violencet behavior, direct, indirect, implied or actual, against co-workers or any other person in connection with <a href="county-business">co-workers</a> or any other person in connection with <a href="county-business">ecounty-business</a>, are to be subject to legal action by law enforcement authorities and disciplinary actions, up to and including termination of employment.
- e. Managers and supervisors who fail to carry out their responsibilities in accordance with this policy and procedure will be are subject to disciplinary action up to and including termination.
- f. Unless specifically required or authorized in the course of employment, Riverside County employees are prohibited from possessing offensive or defensive weapons (firearms, clubs, knives with blades four inches or longer, etc.) at any County facility or in connection with the conduct of County business without regard to location as outlined in 171b of the California Penal Code. Being in possession of an offensive or defensive weapon (firearm, club, knife with a blade four inches or longer, etc.) is prohibited unless specifically required or authorized in the course of employment, at any County facility or in connection with the conduct of County business without regard to location.

#### III. RESPONSIBILITIES

- A. Safety Division will:
  - 1. Direct and plan-Provide guidance to the department in developing an effective-the written Injury/Illness Prevention Program, including a WVPP, where applicable. for workplace violence on an agency/department-wide basis.
  - 2. Coordinate workplace violence <u>preventionprogram</u> needs with agencies/departments by providing appropriate professional and

technical resources.

- 3. Recommend engineering and <u>work practice administrative</u> controls as needed.
- 4. Ensure effective employee quality and timeliness of training programs.
- 4.5. Provide annual training to employees, as required by law.
- 5. Ensure that appropriate reporting requirements have been met; i.e. (federal and state).
- 6. Conduct annual regular inspections of eCounty workplaces (owned, leased, etc.) premises, including but not limited to those owned or leased by the County in order—to identify, evaluate, and correct determine—any vulnerability—to—workplace violence—or hazards\_that may exist. Any necessary corrective action will be taken to reduce all risks.

Sheriff's Department will:

### B. Sheriff's Office will:

- 1. Coordinate workplace violence program needs with agencies/departments by providing appropriate professional resources, technical resources, and investigative assistance when necessary.
- Maintain compliance with the Police Officer Bill of Rights; the Sheriff's Office shall investigate complaints against Sheriff's Office employees and shall maintain the corresponding administrative reports.
- 3. Coordinate and assist in the direction and planning of an effective Injury/Illness Prevention Program for workplace violence for the county on an agency/department-wide basis.
- 4. Coordinate workplace violence program needs with agencies/departments by providing appropriate professional resources, technical resources, and investigative assistance when necessary.
- 5. Recommend engineering and administrative controls as needed.
- 6. Coordinate and ensure quality and timeliness of training programs.
- B. Human Resources will:
  - 1. Provide assistance and guidance to agency/department managers and supervisors in the preliminary investigation of threats and acts of

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- 2. workplace violencethe incident.
- 3. Provide advice and direction as to immediate action to be taken with the an employee alleged to have made a threat or engaged in workplace violence, including a determination by the Human Resources Director or designee, whether administrative leave is appropriate in the circumstances and whether law enforcement authorities should be notified of \_-the threat or act of workplace violence.the incident.
- 4. Undertake assessment Assess and evaluatee valuation of the threat or act of workplace violence incident in consultation with agency/department head or designee in order to for determineation of whether disciplinary action and/or Paid Administrative Leave may be appropriate.
- 5. At its discretion, initiate a threat assessment team in order to assess and evaluate the circumstances of the threat or act of workplace violence and any investigation findings.
- 6. Pursuant to Policy C-23, in instances involving threats and/or violence final discipline shall be imposed as identified by Human Resources.

  Recommend final disciplinary action.
- 7. Prior to hiring, take reasonable measures to conduct background investigations in order to review a candidate's' backgrounds and reduce the risk of hiring an individuals with a history of making threats or engaging in acts of violencet behavior.
- C. Agency/district/department heads must:
  - 1. Ensure this policy is fully implemented in all work locations within their area of responsibility.
  - 2. Ensure that managers and supervisors are fully informed of the zero-tolerance standard.
  - 3. Ensure that the threat or act of workplace violence is incident recorded documentation is completed accurately and prepared in a timely manner.
  - Ensure that all managers and supervisors attend the Human Resources/Safety Office Workplace Violence Training Program upon hire
  - 4.5. Ensure that all employees are trained as required under the law.

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- 5.6. Ensure that all threats and <u>acts of workplace violence violent</u>

  behavior, direct, indirect, actual or implied, are reported to appropriate law enforcement agencies, Human Resources Employee Relations and the Safety Loss Control Division.
- 6.7. Ensure investigation materials and disciplinary letters are sent to Employee Relations and Safety Loss Control Division for review.

  Consistent with Policy C-23, Human Resources shall impose final discipline in instances involving the use of threats and/or violence. and approval in a prompt and timely manner.
- 7.8. Ensure that all work sites and work practices within the agency/district/department areas of responsibility are reviewed for the purpose of providing employee security and protection from the potential of reasonably foreseeable violent action.
- <u>8.9. Undertake Implement</u> appropriate discipline as <u>determined</u> recommended by Human Resources.
- 9.10. Implement an effective safety and security program, which places employee safety and health on the same level of importance as patient/client/<u>-customer</u> safety.
- 11. Ensure the Riverside—County's commitment to security—in for all employees and assure employees—that every effort is made to prevent the occurrence of threats and acts of workplace violence.
- 40.12. Implement and maintain an effective written IIPP, including a WVPP, as necessary to comply with the lawlegal requirements.
- D. Manager/supervisor must:
  - 1. Report all incidents of <u>threats and acts of workplace actual</u>-violence, persons with weapons and other cases when deemed necessary to the local law enforcement agency.
  - 2. Take steps immediately available to provide safety to the victim and others.
  - 3. Report all threats and acts of workplace violence incidents immediately to management, Human Resources, and Safety Loss Control Division.

- 4. Be knowledgeable <u>about of the County's Board Policy requirements and expectation of a zero</u>—tolerance standard <u>for incidents of workplace violence.</u>
- 5. Ensure that all employees attend the Human Resources/Safety Loss Control Division Workplace Violence Training Program.
- 6. Use resources and programs available within the <u>eC</u>ounty and the Safety <u>Loss Control</u> Division to address Workplace Violence Concerns.
- 7. **Immediately** refer the matter to <u>Human Resources</u> <u>Employee</u> Relations division at (951) 955-3510 and Safety Loss Control <u>Division at (951) 955-3520</u>-for investigation.
- Ensure that all reports of threats and violent behavior, direct, indirect, actual or implied, are fully and formally investigated by assisting the Safety Division and/or Human Resources staff.
- 8-9. Implement and maintain an effective written IIPP, including a WVPP, as necessary to comply with the law.

#### E. Employees must:

- Not make threats, either real or those that may be perceived as real, or engage in violent behavior in connection with or during the course of Riverside County employment.
- 2. Immediately report all incidents of threats or violent behavior to supervisors or immediately contact law enforcement authorities, as appropriate. It is strongly recommended that employees As soon as possible and submit to their Supervisor or Safety Loss Control Division.
- 3. Immediately disengage and contact the supervisor upon any instance of overt violence or threatening behavior.

Note: Employees are not expected to be skilled at identifying potentially workplace violence hazards, including but not limited to potentially dangerous persons. However employees are expected to exercise good judgment and to inform Human Resources/Safety Division if any person, including but not limited to an employee, exhibits behavior which could be a sign of a potentially dangerous situation. If an employee has any questions about a situation, please reach out contact to the Safety Loss Control Division -at (951) 955- 3520. Such behavior may might include, but is not necessarily limited to the following:

- Discussing weapons or bringing weapons them to the workplace;
- Displaying overt signs of extreme stress, resentment, hostility, or anger;
- Making threats or threatening remarks;
- Sudden or significant deterioration of performance; and/or,
- Displaying irrational or inappropriate behavior.

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F. No individual department, agency or district policy or plan shall be promulgated to supersede, interpret or administer this policy other than operational rules developed by the Human Resources Department, and as mandated by Section II, Enforcement, of this policy.

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#### IV. SECURITY HAZARD ASSESSMENT & IDENTIFICATION

Worksite analysis should be done by using a systematic method to identify those areas requiring an assessment of <a href="mailto:security-">security-</a> hazards <a href="mailto:related to potential workplace">related to potential workplace</a> <a href="mailto:violence">violence</a>. This analysis should accomplish the following:

- 1. Preparing a list of those work positions in which employees are at risk of-threats or acts of workplace violenceassaultive behavior;
- 2. Identifying high-risk factors that include elements, such as physical risk factors of the buildings, isolated locations, job assignment locations, high risk activities and situations, inadequate lighting and areas where of previous security is or may be deficient. difficulty.
- 3. Determining if risk factors have been reduced or eliminated to the extent feasible;
- 4. Analyzing all newly modified or planned facilities to ensure that hazards identified and then are reduced or eliminated; and
- 5. Conducting periodic surveys whenever there—are <u>is a changes</u> in operational functions <u>in order</u> to identify <u>and assess</u> new or previously <u>unidentified hazardsdetected risks</u> in security applications or practices. Surveys must be conducted at least annually.

Analysis of this information will be accomplished by Human Resources/Safety Division and should be incorporated into a plan of corrective action for current and continuous hazard prevention and control.

Hazard assessments for workplace security will be performed in the form of periodic inspections. These inspections will consist of identification and evaluation of workplace security hazards and changes in employee work practices. Inspections to identify and evaluate workplace security hazards should be performed by the employee designated to perform inspections as outlined in the agency/district/department's—<a href="IIPP\_Injury/Illness-Prevention-Program">IIPP\_Injury/Illness-Prevention-Program</a>.

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Additional items to consider when assessing workplace security hazards include, but are not limited to the following:

- Access to and freedom of movement within the workplace by non-employees, including recently discharged employees or persons with whom an employee is <u>or</u> <u>may be</u> having a dispute.
- Adequacy of workplace security systems, such as door locks, security windows, physical barriers, and restraint systems.
- Frequency and severity of threatening or hostile situations that may lead to violent acts by persons who are service recipients of the department.
- Effectiveness of systems to warn others of a security danger or to summon assistance (e.g., alarms or panic buttons).
- The use of work practices such as "buddy" systems for specified emergency events.

#### V. GUIDELINES FOR IMMEDIATE RESPONSE

Any response to an-<u>act of workplace violence</u>incident involving an assault, which has resulted in injury or death, should be limited in scope. The individual on scene who observes the incident should limit activities to the following:

- Dial **911.** If using an inter-County phone system, Dial **9-911.**
- Render comfort and minor first aid to any injured victims.
- Immediately notify the following:
  - Human Resources Employee Relations (951) 955-3510
  - Safety Division Manager 955-3520 (if no answer, call hotline)
  - Safety Hotline 955-5868 (message phone)
  - Agency/district/department safety representative:

The first management personnel responding to the incident must immediately ensure that the above actions have been initiated. <u>Upon completion, management personnel should perform the actions outlined in Section VI., Incident Investigations.</u>

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#### VI. INCIDENT INVESTIGATIONS

Incident investigation may be conducted by Human Resources, including Safety Loss Control, or law enforcement, depending on the severity and nature of the threat and/or violence. Procedures for investigating incidents—threats and acts of workplace violence, including threats and physical injury, include the following:

- 1. Visiting the scene of an incident as soon as safe and practicable;
- 2. Collection of facts on who, what, when, where, and how the incident occurred;
- 3. Collection of statements from involved parties, such as employees, witnesses, law enforcement, and/or security personnel;
- Accessing and Reviewing security footage of existing security cameras if applicable;
- 5. Collection of photographic or video evidence of damage or injuries, where appropriate;
- 6. Examining the workplace for security risk factors associated with the incident, including any previous reports of inappropriate behavior by the perpetrator;
- 7. Consultation with the affected employees or witnesses to identify potential contributing causes;
- 8. Obtaining any reports completed by law enforcement:
- 9. Assessment of any Threat of Violence, identifying the following, as applicable and to the extent the information is known by the department:
  - a. The exact nature and context of the threat and/or threatening behavior
  - b. The identified target;
  - c. The person's apparent motivation;
  - d. The person's ability to carry out the threat; and
  - e. The person's background, including work history, criminal record, mental health history, and past behavior on the job.

Following an investigation, in conjunction with Human Resources, the department may take the following measures, as applicable:

a. Notify the affected employees of the general results of the investigation, while

protecting the privacy of affected and/or involved employees

- b. Collaborate with the Safety Loss Control Division and Employee Relations on recommended corrective action
- c. Implement changes in engineering controls, procedures, or policies, if appropriate; and/or
- d. Establish updated work practice controls, if necessary.
  - 1. Arriving at the scene of an incident as soon as possible;
  - Contacting Safety Division and Human Resources immediately upon knowledge of threats and/or violent behavior, direct, indirect, actual or implied;
  - Interviewing threatened or injured employees and witnesses;
  - 4. Completing and forwarding Workplace Threat Incident and Threat Assessment forms to Human Resources Safety Division. Department will retain canary (yellow) copy for files;
  - 6. Examining the workplace for security risk factors associated with the incident, after release of the scene by law enforcement personnel in the event that the incident involves injuries or death;
  - 7. Determining the cause of the incident:
  - Reviewing all such previous incidents;
  - 9. Taking corrective action to prevent the incident from recurring, i.e., physical security measures.

#### VII. TRAINING

# <u>Employee Workplace Violence and Violence in the Workplace for Managers (General Training)</u>

Training and instruction on general and job-specific workplace security practices shall be provided to all new employees, other employees that have not previously been trained, and to all employees given new job assignments for which specific workplace security training for that job assignment has not previously been provided. This training will include training on the County's IIPP and WVPP. Additionally, managers and supervisors will be required to complete a specialized version of this training for Violence in the Workplace.

### Occupational Safety and Workplace Violence Prevention Plan (Annual Training)

In addition to the training set forth above, California law requires an annual workplace violence prevention training. Annual training will be provided by the Safety Loss Control Division specific to the WVPP as required by law and will include the following: (1) an overview of the WVPP and how to obtain a copy, (2) the applicable definitions and requirements related to workplace violence, (3) how to report threats and acts of workplace violence, (4) workplace violence hazards, (5) how to seek assistance to prevent or respond to threats and acts of workplace violence, (6) strategies to avoid physical harm, (7) the violent incident log, and (8) an opportunity to ask questions about workplace violence prevention.

All employees, including managers and supervisors, shall have training and instruction on general and job-specific workplace security practices. Training and instruction shall be provided to all employees immediately upon hire.

Additionally, training will be offered when the Illness/Injury Prevention Program for workplace security is first established (as of July 2024 given new legal requirement) and periodically thereafter. Training shall also be provided to all new employees, other employees that have not previously been trained, and to all employees given new job assignments for which specific workplace security training for that job assignment has not previously been provided. Additional training and instruction must be provided to all personnel whenever new or previously unrecognized security hazards are identified.

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Cal/OSHA (3/98) advises that training employees in the management of assaultive behavior or professional assault response has been shown to reduce the incidence of assaults to health care workers. It is recognized that some safety measures may seem expensive or difficult to implement, but are needed to adequately protect the health and well—being of health care and community services workers. It is also important to recognize that the belief that certain risks are "part of the job" contributes to the continuation of violence and possibly the shortage of trained health care and community service workers. Cal/OSHA recognizes its obligation to develop standards and guidelines to provide safe workplaces for health care and community service workers. These workplaces should be free from health and safety hazards, including the fear and threat of assaults.

Annual tGeneral workplace security training and instruction includes, but is not limited to, the following:

- 1. Explanation of the illness/Injury Prevention Program for workplace security including measures for reporting any violent acts or threats of violence;
- 2. Recognition of workplace security hazards including the risk factors associated with the Type I, Type II and Type III of workplace violence;

- 3. Measures to prevent workplace violence, including procedures for reporting workplace security hazards or threats to managers and supervisors;
- 4. Measures to summon others for assistance:
- 5. Employee routes of escape:
- 6. Notification of law enforcement authorities when a criminal act may have occurred,
- 7. Emergency medical care provided in the event of any violent act upon an employee; and:
- 8. Post-event trauma counseling for those employees desiring such assistance.

#### VIII. RECORD KEEPING

Within the major elements, record keeping is required by law and the heart of the program, providing information for analysis, evaluation of methods control, severity determinations, identifying training needs or overall program evaluations.

#### Records shall be kept of the following:

- Division of Occupational Safety and Health OSHA (DOSH) logs. DOSH OSHA regulations require entry on the Injury Illness Log of any injury, which requires more than first aid, is a lost time injury, requires modified duty, or causes loss of consciousness. Assaults should be entered on the log. Doctor's reports of work injury and supervisor's reports shall be kept of each recorded assault.
- Threats and acts of workplace violence. Such records must be recorded in the Workplace Violence Incident Log, which the Safety Loss Control Division will maintain for a minimum of 5 years.
- Workplace violence incident investigations. Such records will be maintained for a minimum of 5 years.
- Workplace violence hazard identification, evaluation, and correction. Such records will be maintained for a minimum of 5 years.
- A system of recording and communicating should be developed so that all staff who may provide care for an escalating or potentially aggressive, abusive, or violent client will be aware of the status of the client and of any problems experienced in the past. This information regarding history of past violence should be noted on the patient's chart, communicated in the shift change report and noted in an incident log.
- Any information gathering system should be in place which will enable incorporation of past history of violent behavior, incarceration, probation reports or any other information which will assist health care, community service and home visit staff to assess violence status.

- Records need to be kept concerning assaults, including the type of activity, i.e., unprovoked sudden attack, patient to patient altercation, and management of assaultive behavior actions resulting in any injury to an employee, must be reported the Safety Division immediately. Information needed includes who was assaulted, and circumstances of the incident without focusing on any alleged wrongdoing of staff persons. These records also need to include a description of the environment, location or any contributing factors, corrective measures identified, including building design, or the measures needed. Determination must be made of the nature of the injuries sustained (severe, minor or the cause of long-term disability), and the potential or actual cost to the facility and employee. Records of any lost time or other factors, which may result from the incident, should be maintained:
- Doctors reports of injuries should be sent to Risk Management and Worker's Compensation Offices;
- Training records, including the following information: training dates, contents or a summary of the training sessions, name and qualifications of person conducting the training, and names and job titles of all persons attending the training sessions.
   Such records will be maintained for a period of three (3) years.

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NOTE: Cal/OSHA (3/98) advises that true rates of violence at health care and community service facilities however, must be assumed to be higher than documented rates, because of the culture of these services. Episodes of violence are often unreported. If reported, records are not necessarily maintained, nurses and other health care professionals and community service workers are reluctant to report assaults or threatening behavior when the prevailing attitude of administrators, supervisors and other staff members, is that violence "comes with the territory" or "health professionals accept the risk when they enter the field".

#### IX. HAZARD REDUCTION AND CONTROL

In order to reduce, eliminate, and control hazards, engineering, administrative, and work practice controls for all job assignments and facility locations must be implemented.

- 1. Engineering controls for facilities, include but are not limited to the following:
  - a. Bright and effective lighting systems\_<del>must be provided</del> for all indoor building areas as well as grounds and parking areas.
  - b. Curved mirrors should be installed at intersections of halls or in areas where an individual may conceal their presence or activity.
  - c. <u>LimitingNe</u> employees from should be permitted to working alone in an isolated unit or facility located in a high crime area.
  - d. <u>Provision of Ffixed</u> and mobile alarm system trigger devices, to be used in facilities that which are prone to threats or acts of workplace violence. robberies or when a customer's abusive behavior is escalating or threatening with or without a weapon.

In order to minimize the risk of workplace violence provide some measure of safety and to keep the employee in contact with headquarters or a source of assistance, cellular phones may should be provided for official use when staff is employees are assigned to duties which take them out into the community, including but not limited to and especially private residences homes. These workers may include (but are not limited to) parking enforcers, union business agents, psychiatric evaluators, public social service workers, children's service workers, visiting nurses and home health aides.

Hand-held alarm or noise devices or other effective alarm devices <u>may also</u> <u>be are highly recommended to be</u> provided <u>to for all</u> field personnel.

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Beeper or alarm systems, which alert a central office of problems, <u>may also</u> be should be investigated and provided to field personnel.

Other protective devices, such as pepper spray, may also be should be investigated and provided such as pepper sprays provided after the agency/department first adopts a use of force policy and training program.

#### 2. Work Practice Administrative Controls:

A sound overall program to deter and control violence includes <u>work</u> <u>practice</u> <u>administrative</u> controls that reduce <u>workplace violence</u> hazards <u>due</u> <u>to frem</u> insufficient security measures. <u>Work practice controls include but</u> <u>are not limited to the following: While not all inclusive, the following suggested guidelines are basic to this process:</u>

- a. A plan to deal effectively with a customer/client who behaves in an aggressive manner, which includes a gradual progression of measures for employees to deter aggressive behavior from escalating to assaults.
- b. Any uniformed security personnel should be trained in the principles of human behavior and methods of dealing with threats, verbal abuse, or violent aggression.
- c. Employees must understand and be encouraged to report-<u>all threats</u> and acts of workplace violence all threatening, aggressive, or assaultive incidents. All such incidents must be recorded and appropriate action taken.
- d. Psychiatric clients/patients should be escorted to and from waiting rooms and not permitted to move about unsupervised in clinic areas. Access to clinic facilities other than waiting rooms should be strictly controlled with security provisions in effect.
- e. Staff members should be given the greatest possible assistance in obtaining information to evaluate the history of or potential for, violent behavior of in-patients and/or clients. They should be required to treat and/or interview aggressive or agitated clients in open areas where other staff may observe interactions, but still maintain privacy and confidentiality.

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- f. Assistance and advice should be sought in case management conferences with co-workers and supervisors to aid in identifying treatment of potentially violent clients. Whenever an agitated client or visitor is encountered, treatment or intervention should be provided when possible to diffuse the situation. However, security personnel or other assistance should be requested to help in avoiding violence.
- g. No employee should be permitted to work or stay in a facility or isolated unit when they are the only staff member present in the facility, if the location is so isolated that they are unable to obtain assistance if needed, or in the evening or at night if the clinic or office is closed.
- h. Employees must report all incidents of aggressive behavior such as pushing, threatsening, workplace violence, etc., with or without injury, and logs must be maintained recording all such incidents.—or near incidents. Also, any verbally threatening, aggressive or assaultive incident must be reported and logged.
- i. Records, logs, or flagging charts must be updated whenever information is obtained regarding assaultive behavior or previous criminal behavior, threat, or act of workplace violence.
- j. Administrators should work with local police or sheriff's office to establish liaison and response mechanisms for police assistance when calls are made for help by a clinic. Likewise, this will also facilitate the clinic or offices provision of assistance to local police in handling emergency cases.
- Reduce, Eliminate & Control Hazards in Health Care & Community Services

Cal/OSHA (3/98), recommends that all employers involved with health care and community service facilities should investigate programs or robbery deterrence strategies such as increased lighting, closed circuit TV monitors, visible money handling locations if sales are involved, limiting access and egress and providing security staff.

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Reference:

Minute Order 3.11 of 03/07/00

Attachments: Form 2010-1 "Workplace Threat Incident Report"

Form 2010-16 "Employee Training Documentation" Acknowledgement of

County of Riverside Workplace Violence, Threats and Securities

Minute Order 3.21 of 02/07/17

#### **APPENDIX A**

# WORKPLACE THREAT INCIDENT REPORT AGAINST COUNTY OF RIVERSIDE EMPLOYEE

1.	Name of Individual threatening County employee:		
2.	Relationship to County:		
3.	Physical description: Hair	Eyes Height	
	Weight — Ethnicity -		
	Distinguishing characteristics		
4.	(attach picture if possible) Circumstances of threat:		
	Location of threat:		
6.	Date:	Time:	
7.	Exact words of threat:		
8. 9.	Threatened County employee's name:  Department:		
3. 10.	Work address:		
11.	Work telephone:	Home Telephone:	
12.			
13.	Supervisor:	Work Phone:	
	tify under penalty of perjury the above intelledge.	formation is true and correct to the best of m	
Threa	tened County Employee Signature Date	Supervisor's Signature Date	
		Yellow copy will be retained by the Department. All other copies are to be sent to the County Safety Office.	

SOP Form 2010-1 Revised 1/99

# APPENDIX B EMPLOYEE TRAINING DOCUMENTATION

### INDIVIDUAL EMPLOYEE TRAINING DOCUMENTATION

NAME	OF	TRAINER/INSTRUCTOR	
TRAINING SUBJECT	WORKPLACE VIOLENCE		
TRAINING MATERIAI	_S USED:		
NAME	OF	EMPLOYEE:	
	DEPARTMENT:		
	DATE OF HIRE/ASSIGNMENT:		
l, hereby certify that I	received training as described in the	e following areas:	
	nation of the Illness/Injury Preventic ires for reporting any violent acts or	on Program for workplace security including threats of violence.	
	nition of workplace security hazards I and Type III of workplace violence.	including the risk factors associated with the .	
	res to prevent workplace violence, in	ncluding procedures for reporting workplace nd supervisors.	
[ ] Measu	res to summon others for assistance.		
[ ] Emplo	oyee routes of escape.		
[ ] Notific	ation of law enforcement authorities v	when a criminal act may have occurred.	
[ ] Post-e	vent trauma counseling for those en	mployees desiring such assistance.	
	stand this training, agree to comploience Policy.	ly with the instructions received, and with the	
Employee Sig	jnature	Date	
Trainer/Instru	ctor Signature	Date	

# APPENDIX C ACKNOWLEDGMENT OF COUNTY OF RIVERSIDE WORKPLACE VIOLENCE, AND THREATS AND SECURITIES

I have received a copy of and am fully aware of the County of Riverside's Workplace Violence, Threats and Securities Policy; and I agree to abide by the terms of this policy. I also agree to remain apprised of future revisions to this policy and to abide by the terms of all such revisions.

Employee Name:	
Employee Signature:	
Date:	

This form shall be retained in department files.