

ITEM: 14.1 (ID # 24059) MEETING DATE:

Tuesday, August 27, 2024

FROM: RIVERSIDE COMMUNITY HOUSING CORP.

SUBJECT: RIVERSIDE COMMUNITY HOUSING CORP (RCHC): Approve and Accept Sole Bid for the Thermal Lift Station Project at the Thermal II Apartments Located at 56690 Polk Street in Thermal, CA; Submitted by Alms Underground Construction, Inc., and Approve the Construction Contract Between RCHC and Alms Underground Construction, Inc., District 4. [\$96,250; RCHC Building Funds 100%]; CEQA and NEPA Exempt

RECOMMENDED MOTION: That the Board of Directors:

 Find that the project is categorically exempt from the California Environmental Quality Act (CEQA) pursuant to State CEQA Guidelines Section 15061 General Rule "Common Sense" Exemption;

Continued on Page 2

Spil. Director of House, Formation List Proving

ACTION:Policy

MINUTES OF THE BOARD OF DIRECTORS

On motion of Director Perez, seconded by Supervisor Spiegel and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes:

Jeffries, Spiegel, Washington, Perez and Gutierrez

Nays:

Date:

None None

Absent:

August 27, 202

XC:

RCHC

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Kimberly A. Rector

Clerk of the Board

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RECOMMENDED MOTION: That the Board of Directors:

- 2. Find that the project is a categorically excluded activity (subject to Title 24 Code of Federal Regulations (CFR) Section 58.5) and meets the conditions specified for such exemption pursuant to Title 24 CFR Section 58.35(a) and in accordance with the National Environmental Policy Act of 1969;
- 3. Accept the sole bid by Alms Underground Construction, Inc. and award the Sewer Lift Station Contract as the most responsive and responsible bidder in the amount of \$87,500 for the construction of a new sewer lift station and sewer connection at the Thermal II Apartments located at 56690 Polk Street, Thermal, CA 92274;
- Approve the Construction Contract between Riverside Community Housing Corp (RCHC) and Alms Underground Construction, Inc. (Contractor) for the Thermal Lift Station Construction Project at Thermal II Apts. (Construction Contract) and the total project budget of \$96,250;
- 5. Authorize the Chief Executive Officer to sign the Construction Contract subject to approval as to form by General Counsel;
- 6. Authorize the Chief Executive Officer of RCHC, or designee, to take all necessary steps to implement and accomplish the Construction Contract, including but not limited to, signing all administrative documents, change orders and addendums to the Contract, including amendments or change orders that do not exceed the original total budget by ten percent (10%) to facilitate successful completion of the project, subject to approval as to form by General Counsel; and
- 7. Direct RCHC staff to file the Notice of Exemption with the County Clerk and the State Clearinghouse within five business days of approval.

FINANCIAL DATA	Current Fiscal Year:	Next Fiscal Year:	To	otal Cost:	Ongoir	ıg Cost
COST	\$96,250	\$0	-	\$96,250		\$0
NET COUNTY COST	\$0	\$0	\$0 \$0			
SOURCE OF FUNDS: RCHC Building Funds 100%				Budget Adjustment: No		
Coordinate of the Coordinate o		.g : ando 10070		For Fiscal Y	'ear : 202	4/2025

C.E.O. RECOMMENDATION: Approve

BACKGROUND:

Summary

The Riverside Community Housing Corp (RCHC) owns, operates, and maintains the apartment building known as the Thermal II Apartments (Property) located at 56690 Polk Street, Thermal, CA, 92274. The original septic system is in disrepair and no longer able to service the full capacity of the building, and now requires connection to the local water

district's sewer system to bring it up to current standards. The proposed project will install an underground lift station and a section of underground sewer pipes to move sewage from the apartment into the existing sewer lines.

RCHC advertised an Invitation for Bids (IFB) No. 2024-008 for the Sewer Lift Station project at the Property with a closing date of June 20, 2024. RCHC received and opened one bid. Alms Underground Construction, Inc. (Contractor) was the only bidder that responded to the solicitation that was identified as both responsible and responsive.

RCHC staff recommends that the Board of Directors approve and award the Sewer Lift Station Contract between RCHC and Contractor in the amount of \$87,500 and approve the project budget as follows:

Construction Contract	\$87,500
Contingency (10%)	\$8,750
Total:	\$96,250

A 10% construction contingency in the amount of \$8,750 has been included in the project budget to account for errors and omissions in the construction documents or changes in the scope of the project due to unforeseen repairs or site conditions.

RCHC staff reviewed the submitted bid and determined that Alms Underground Construction, Inc. was a responsive and responsible bidder. General Counsel has reviewed the Construction Contract and has approved it as to form.

California Environmental Quality Act (CEQA) and NEPA Findings:

This project will connect this established apartment building to the local sewer system via an underground pipe and lift station. The project is exempt from CEQA pursuant to Section 15301 Existing Facilities Exemption. This exemption includes the operation, repair, maintenance, leasing, or minor alteration of existing public or private structures or facilities, provided the exemption only involves negligible or no expansion of the previous site's use. The project as proposed is the connection to an existing sewer line. There will be no expansion of the existing use of the Property, which is currently residential use.

Additionally, the project is exempt from CEQA pursuant to Section 15061 as there is no possibility the project will have a significant impact on the environment. Finally, this project is also a categorically excluded activity (subject to Title 24 Code of Federal Regulations (CFR) Section 58.5) and meets the conditions specified for such exemption pursuant to Title 24 CFR Section 58.35(a) and in accordance with the National Environmental Policy Act of 1969.

Impact on Residents and Businesses

Approving this item will have a positive impact on the citizens and businesses of Riverside County. The proposed project is expected to generate temporary construction jobs. Additionally, the renovations will protect RCHC clients by providing safe housing. This improvement will positively impact the overall health of residents and clients and improve the surrounding neighborhood.

SUPPLEMENTAL:

Additional Fiscal Information

No impact upon the County's General Fund; the project will be fully funded from RCHC Building Funds.

Contract History and Price Reasonableness

RCHC advertised an Invitation for Bids (IFB) No. 2024-008 with a closing date of June 20, 2024. Alms Underground Construction, Inc. was the sole bidder and was found to be responsive and responsible. The cost proposed by the bid of \$87,500 compares well with our internal estimate and is deemed to be appropriate, fair, and reasonable. Staff reviewed the submitted bid and determined that Alms Underground Construction, Inc. was the most responsive and responsible bidder.

Attachments:

- Notice of Exemption
- Construction Contract
- · Performance Bond
- Payment Bond

Briannia Lontajo, Principal Manage nent Analyst 8/16/2024

varon Gettis, Chief of Deputy County Counsel 8/13/2024

CONSTRUCTION CONTRACT

BY AND BETWEEN

RIVERSIDE COMMUNITY HOUSING CORP

AND

ALMS UNDERGROUND CONSTRUCTION, INC.

FOR THE

LIFT STATION PROJECT AT THERMAL II APARTMENTS

This Construction Contract ("Contract") is made by and between the Riverside Community Housing Corp., a California nonprofit public benefit corporation, hereinafter referred to as "OWNER", or "RCHC", and Alms Underground Construction, Inc., a California corporation, hereinafter referred to as "CONTRACTOR." OWNER and CONTRACTOR are collectively referred to herein as the "Parties."

RECITALS

- A. OWNER is a nonprofit public benefit corporation, duly created, established and authorized to transact business and exercise its powers in the State of California;
- B. This Contract pertains to that certain real property owned by OWNER located at 56690 Polk Street, Thermal, CA 92274, in the County of Riverside, hereinafter referred to as the "Property";
- C. The term "PROJECT" includes the performance, as set forth in the Contract Documents (defined in Section 1.1. below), by the CONTRACTOR, of all work or improvements on, in and about the Property; and
- D. OWNER desires that the CONTRACTOR complete the PROJECT on the terms and conditions hereinafter set forth, and CONTRACTOR agrees to perform the work to complete said PROJECT on the terms and conditions set forth below.

NOW, THEREFORE, the OWNER and CONTRACTOR, for the consideration set forth herein, mutually agree as follows:

1		ARTICLE 1
2		THE CONSTRUCTION CONTRACT
3	1.1 The C	Contract Documents means and includes, without limitation, all of the following which are
4	incorporated	herein by this reference and are made a part of this Contract as if fully set forth herein. The
5	Contract Doc	uments consist of the following component parts:
6	1.1.1	Scope of Work attached hereto as Exhibit "A" and incorporated herein by this reference;
7	1.1.2	Invitation for Bids (IFB) No. 2024-008 Thermal Lift Station Project at the Thermal II
8	Apartments, a	attached hereto as Exhibit "B: and incorporated herein by this reference;
9	1.1.3	CONTRACTOR'S Form of Bid submitted to OWNER on June 20th, 2024 in connection
10		with IFB No. 2024-008, attached hereto as Exhibit "C" and incorporated herein by this
11		reference;
12	1.1.4	Plans and Drawings from Fiero Engineering, attached hereto as Exhibit "D" and
13	,	incorporated herein by this reference;
14	1.1.5	Form HUD-90210 Equal Employment Opportunity Certification, attached hereto as Exhibit
15		"E" and incorporated herein by this reference;
16	1.1.6	HUD "General Conditions"-, attached hereto as Exhibit "F" and incorporated herein by this
17		reference;
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19		ARTICLE 2
20		STATEMENT OF PROJECT WORK
21	2.1 Scope	of Work
22	CONTRACTO	OR shall furnish all labor, material, equipment, and services and perform and complete all
23	Work for the	PROJECT identified as the Thermal Lift Station Project at Thermal II Apartments, for
24	the OWNER.	CONTRACTOR shall perform all services Monday – Friday, 7:30 a.m. to 5:30 p.m.
25	2.1.1.	The full Scope of Work is described in the Contract Documents and more specifically
26		in Exhibit "A" as well as in the approved plans and specifications.
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2.1.2 All such work shall be done in strict accordance with the Contract, specifications, and addenda thereto and the plans and drawings included therein, all as prepared by OWNER and Fiero Engineering.

2.2 Site Conditions

Data provided in the specifications and drawings are believed to depict the conditions to be encountered by the CONTRACTOR, but the OWNER does not guarantee such data as being all-inclusive or complete in every respect. Nothing contained herein shall relieve CONTRACTOR from making any and all investigations he/she may deem necessary to apprise him/herself of the Work. CONTRACTOR'S submission of its bid and execution of the Contract constitutes its representation, acknowledgement and agreement that it had sufficient time, access and opportunity prior to the bid closing to conduct a careful and thorough examination, to its satisfaction of: the Contract Documents, and other information provided by OWNER prior to bid closing concerning the PROJECT, site or existing improvements; the visible conditions at the site and its surroundings, visible conditions of existing improvements and their existing uses, and local conditions in the vicinity of the site; the status of any construction at the site concurrently under construction; and all information concerning visible and concealed conditions above and below the surface of the ground at the site and in existing improvements, including without limitation, surveys, reports, data, as-built drawings of existing improvements and utility sources, that was either provided by OWNER to CONTRACTOR or was reasonably available to CONTRACTOR for review in the public records.

ARTICLE 3

TIME OF COMMENCEMENT AND COMPLETION

3.1 Time for Completion

The Work, as defined in the General Conditions, to be performed under this Contract shall commence within ten (10) days after a Notice to Proceed is received by the CONTRACTOR, or on the date specified in the Notice, whichever is later, and shall be completed within **forty-five (45) calendar days** following the said date. Time is of the essence under this Contract as to each provision in which time of performance is a factor.

3.2 Liquidated Damages

- 3.2.1 If the CONTRACTOR fails to complete the PROJECT within the time specified in the Contract, or any extension, the CONTRACTOR shall pay to the OWNER as liquidated damages, the sum of three hundred (\$300) dollars for each day of delay. If different completion dates are specified in the contract for separate parts or stages of the work, the amount of liquidated damages shall be assessed on those parts or stages which are delayed. To the extent that the CONTRACTOR'S delay or nonperformance is excused under another clause in this Contract, liquidated damages shall not be due the OWNER. The CONTRACTOR remains liable for damages caused other than by delay.
- 3.2.2 If the OWNER terminates the CONTRACTOR'S right to proceed, the resulting damage will consist of liquidated damages until such reasonable time as may be required for final completion of the PROJECT together with any increased costs occasioned the OWNER in completing the PROJECT.
- 3.2.3 If the OWNER does not terminate the CONTRACTOR'S right to proceed, the resulting damage will consist of liquidated damages until the PROJECT is completed or accepted.
- 3.2.4 OWNER may deduct any liquidated damages payable under this section from money due or to become due to CONTRACTOR under the Contract Documents or pursue any other legal remedy to collect such liquidated damages from CONTRACTOR or its Surety.

ARTICLE 4

CONTRACT SUM

- 4.1 The OWNER shall pay the CONTRACTOR for the performance of the Work, subject to the additions and/or deductions by Change Order(s) as provided in the Contract, the sum of **Eighty-Seven Thousand Five Hundred Dollars** (\$87,500.00), including all expenses ("Contract Sum"). The CONTRACTOR exceeds the contract sum amount at his/her own risk. The Contractor is under no obligation to provide additional services that would cause the CONTRACTOR's fees to exceed the contract sum without prior revision of this amount by written change order.
- 4.2 The Contract Sum set forth herein includes the payment by CONTRACTOR of all sales and use taxes required by local codes, or any law existing, or which may hereafter be adopted by federal, state or

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governmental authority, taxing the materials, services required or labor furnished, and of any other tax levied by reason of the Work to be performed hereunder.

The Contract Sum is not subject to escalation, CONTRACTOR having satisfied him/herself that the 4.3 Contract Sum includes all labor and material increases anticipated throughout the duration of this Contract.

ARTICLE 5

PROGRESS PAYMENTS

- Based upon applications for payment submitted by the CONTRACTOR to the OWNER, and 5.1 certificates for payment issued by the Architect/Consultant, if any, the OWNER shall make progress payments on account of the Contract Sum to the CONTRACTOR, as provided in the General Conditions of the Construction Documents.
- 5.2 OWNER shall promptly review applications for payment and provide its approval or disapproval, in whole or in part, within fifteen (15) calendar days after receipt of an application for payment requesting progress payment. Approved applications for progress payments will be paid by the 30th day of each month. provided that the application for payment has been submitted to the OWNER on or before the first working day of the month.

ARTICLE 6

INDEMNIFICATION AND HOLD HARMLESS

CONTRACTOR shall indemnify and hold harmless the OWNER, County of Riverside, its 6.1 Agencies, Districts, Special Districts and Departments, their respective directors, officers, Board of Commissioners, Board of Supervisors, elected and appointed officials, employees, agents and representatives (individually and collectively hereinafter referred to as Indemnitees) from any liability whatsoever, including but not limited to property damage, bodily injury or death, based or asserted upon any services of CONTRACTOR, its officers, employees, subcontractors, agents or representatives arising out of or in any way relating to this Contract. CONTRACTOR shall defend at its sole expense and pay all

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costs and fees, including but not limited to, attorney fees, costs of investigation, defense and settlements or awards, on behalf of the Indemnitees, in any claim or action based upon such services.

- 6.2 With respect to any action or claim subject to indemnification herein by CONTRACTOR, CONTRACTOR shall, at their sole cost, have the right to use counsel of their choice and shall have the right to adjust, settle, or compromise any such action or claim without the prior consent of OWNER; provided, however, that any such adjustment, settlement or compromise in no manner whatsoever limits or circumscribes CONTRACTOR'S indemnification to the Indemnitees as set forth herein.
- 6.3 CONTRACTOR'S obligation hereunder shall be satisfied when CONTRACTOR has provided OWNER the appropriate form of dismissal relieving OWNER from any liability for the action or claim involved.
- 6.4 The specified insurance limits required in this Contract shall in no way limit or circumscribe CONTRACTOR'S obligations to indemnify and hold harmless the Indemnitees herein from third party claims. CONTRACTOR'S indemnification and hold harmless obligations set forth herein shall survive the termination and expiration of this Contract.
- In the event there is a conflict between this clause and California Civil Code Section 2782, this clause shall be interpreted to comply with Civil Code 2782. Such interpretation shall not relieve the CONTRACTOR from indemnifying the Indemnitees to the fullest extent allowed by law.

ARTICLE 7

INSURANCE

Without limiting or diminishing the CONTRACTOR'S obligation to indemnify or hold the 7.1 OWNER harmless, CONTRACTOR shall procure and maintain or cause to be maintained, at its sole cost and expense, the following insurance coverages during the term of this Contract. As respects to the insurance section only, the OWNER herein refers to the Riverside Community Housing Corp., Housing Authority of the County of Riverside, County of Riverside, its Agencies, Districts, Special Districts, and Departments, their respective directors, officers, Board of Commissioners, Board of Supervisors, employees, elected or appointed officials, and agents or representatives as Additional Insureds.

7.1.1. Workers' Compensation:

If the CONTRACTOR has employees as defined by the State of California, the CONTRACTOR shall maintain statutory Workers' Compensation Insurance (Coverage A) as prescribed by the laws of the State of California. Policy shall include Employers' Liability (Coverage B) including Occupational Disease with limits not less than \$1,000,000 per person per accident. The policy shall be endorsed to waive subrogation in favor of the OWNER.

7.1.2 Commercial General Liability:

Commercial General Liability insurance coverage, including but not limited to, premises liability, unmodified contractual liability, products and completed operations liability, personal and advertising injury, and cross liability coverage, covering claims which may arise from or out of CONTRACTOR'S performance of its obligations hereunder. Policy shall name the OWNER as Additional Insured. Policy's limit of liability shall not be less than \$2,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this Contract or be no less than two (2) times the occurrence limit.

7.1.3 Vehicle Liability:

If vehicles or mobile equipment are used in the performance of the obligations under this Contract, then CONTRACTOR shall maintain liability insurance for all owned, non-owned or hired vehicles so used in an amount not less than \$1,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this Contract or be no less than two (2) times the occurrence limit. Policy shall name the OWNER as Additional Insured.

7.1.4 General Insurance Provisions - All lines:

1) Any insurance carrier providing insurance coverage hereunder shall be admitted to the State of California and have an A M BEST rating of not less than A: VIII (A:8) unless such requirements are waived, in writing, by the County Risk Manager. If the County's Risk Manager waives a requirement for a particular insurer such waiver is only valid for that specific insurer and only for one policy term.

- 2) The CONTRACTOR must declare its insurance self-insured retention for each coverage required herein. If any such self-insured retention exceed \$500,000 per occurrence each such retention shall have the prior written consent of the County Risk Manager before the commencement of operations under this Contract. Upon notification of self-insured retention unacceptable to the OWNER, and at the election of the Country's Risk Manager, CONTRACTOR'S carriers shall either; 1) reduce or eliminate such self-insured retention as respects this Contract with the OWNER, or 2) procure a bond which guarantees payment of losses and related investigations, claims administration, and defense costs and expenses.
- 3) CONTRACTOR shall cause CONTRACTOR'S insurance carrier(s) to furnish the OWNER with either 1) a properly executed original Certificate(s) of Insurance and certified original copies of Endorsements effecting coverage as required herein, and 2) if requested to do so orally or in writing by the County Risk Manager, provide original Certified copies of policies including all Endorsements and all attachments thereto, showing such insurance is in full force and effect. Further, said Certificate(s) and policies of insurance shall contain the covenant of the insurance carrier(s) that thirty (30) days written notice shall be given to the OWNER prior to any material modification, cancellation, expiration or reduction in coverage of such insurance. In the event of a material modification, cancellation, expiration, or reduction in coverage, this Contract shall terminate forthwith, unless the OWNER receives, prior to such effective date, another properly executed original Certificate of Insurance and original copies of endorsements or certified original policies, including all endorsements and attachments thereto evidencing coverage's set forth herein and the insurance required herein is in full force and effect. CONTRACTOR shall not commence operations until the OWNER has been furnished original Certificate (s) of Insurance and certified original copies of endorsements and if requested, certified original policies of insurance including all endorsements and any and all other attachments as required in this Section, showing that such insurance is in full force and effect. An individual authorized by

the insurance carrier to do so on its behalf shall sign the original endorsements for each policy and the Certificate of Insurance.

- 4) It is understood and agreed to by the Parties hereto that the CONTRACTOR'S insurance shall be construed as primary insurance, and the OWNER'S insurance and/or deductibles and/or self-insured retention's or self-insured programs shall not be construed as contributory.
- 5) If, during the term of this Contract or any extension thereof, there is a material change in the scope of services; or, there is a material change in the equipment to be used in the performance of the scope of work; or, the term of this Contract, including any extensions thereof, exceeds five (5) years; the OWNER reserves the right to adjust the types of insurance and the monetary limits of liability required under this Contract, if in the County Risk Manager's reasonable judgment, the amount or type of insurance carried by the CONTRACTOR has become inadequate.
- 6) CONTRACTOR shall pass down the insurance obligations contained herein to all tiers of subcontractors working under this Contract.
- 7) The insurance requirements contained in this Contract may be met with a program(s) of self-insurance acceptable to the OWNER.
- 8) CONTRACTOR agrees to notify OWNER of any claim by a third party or any incident or event that may give rise to a claim arising from this Contract.

ARTICLE 8

PROJECT CLOSEOUT

- 8.1 A Notice of Completion shall be issued only when the Work, including all phases thereof, is finally completed, and all requirements of this Contract have been satisfied. OWNER shall cause the Notice of Completion to be recorded in the office of the County Recorder.
- 8.2 In addition to all other requirements, a Notice of Completion shall be issued only when the OWNER has received the following:
 - 1. A Certificate of Completion executed by the OWNER.

- 2. All guarantees and warranties issued by the manufacturers or installers of appliances or other component parts of the Work. CONTRACTOR guarantees that the equipment, materials, and workmanship, not otherwise covered by a guarantee or warranty, will be free from defects in materials and workmanship for a period of one year following final acceptance of the PROJECT.
- 3. The waiver and release of all liens, claims of liens, or stop notice rights of the CONTRACTOR and all subcontractors, and the CONTRACTORS' Certificate and Release.
- 4. Verification from the OWNER that CONTRACTOR has removed all waste materials, rubbish, tools, construction equipment, machinery, and surplus materials from PROJECT site. If the CONTRACTOR has failed to remove any such items, the OWNER may remove such items, and the CONTRACTOR shall pay the OWNER for all costs incurred in connection with such removal.
- After recordation of the Notice of Completion, and expiration of the thirty (30) calendar days period for filing of stop notices, the OWNER shall settle all claims and disputes, notify the CONTRACTOR of final acceptance of the PROJECT and make the final five percent (5%) retention payment, less any amounts which the OWNER is entitled to receive from the CONTRACTOR under the terms of this Contract, including liquidated damages.

ARTICLE 9

ADDITIONAL ORDERS AND ASSURANCES

- 9.1 CONTRACTOR agrees that they will comply with the following orders and directives, and makes the following assurances, where applicable:
- 9.1.1 Executive Order 11061, as amended, which directs the Secretary of HUD to take all action which is necessary and appropriate to prevent discrimination by agencies that utilize federal funds.
- 9.1.2 Title VI of the Civil Rights Act of 1964 (Public Law 88-352) provides that no person in the United States shall, on the basis of race, color, national origin or sex, be excluded from participation in, denied the benefits of, or subjected to, discrimination under any program or activity which receives federal financial assistance. The OWNER hereby extends this requirement to CONTRACTOR and its

subcontractors and consultants. Specific prohibited discriminatory actions and corrective action are described in Title V, Subtitle C, Chapter 2 of the Anti-Drug Abuse Act of 1988 (42 U.S.C. 11901 et. seq.).

- 9.1.3 Title VIII of the Civil Rights Act of 1968 (Public Law 90-284), popularly known as the Fair Housing Act, provides for fair housing throughout the United States and prohibits any person from discriminating in the sale or rental of housing, the financing of housing or the provision of brokerage services, including in any way making unavailable or denying a dwelling to any person because of race, color, religion, sex or national origin. Pursuant to this statute, the OWNER requires that CONTRACTOR administer all programs and activities, which are related to housing and community development, in such a manner as affirmatively to further fair housing.
 - 9.1.4 Age Discrimination Act of 1975.
 - 9.1.5 Anti-Drug Abuse Act of 1988 (42 U.S.C. 11901 et. seq.).
- 9.1.6 HUD Information Bulletin 909-23 which is the Notice of Assistance Regarding Patent and Copyright Infringement; Clean Air and Water Certification; and Energy Policy and Conversation Act.
- 9.1.7 That the funds provided by OWNER and HUD hereunder shall not be used, directly or indirectly, to employ, award a contract to, or otherwise engage the services of any debarred, suspended or ineligible contractor.
- 9.1.8 That none of the personnel who are employed in the administration of the Work required by this Contract shall, in any way or to any extent, be engaged in conduct of political activities in violation of Title V, Chapter 15, of the United States Code.
- 9.2 The mention herein of any statute or Executive Order is not intended as an indication that such statute or Executive Order is necessarily applicable, nor is the failure to mention any statute or Executive Order intended as an indication that such statute or Executive Order is not applicable. Therefore, each provision of law and each clause, which is required by law to be inserted in this Contract, shall be deemed to have been inserted herein, and this Contract shall be read and enforced as though such provision or clause had been physically inserted herein. If, through mistake or otherwise, any such provision is not inserted or is inserted incorrectly, this Contract shall forthwith be physically amended to make such insertion or correction upon the application of either part.

ARTICLE 10

BREACH AND TERMINATION

- 10.1 Waiver by OWNER of any breach of this Contract shall not constitute a waiver of any other breach or of any future breach. No payment made hereunder shall be construed to be an acceptance of defective work or improper materials.
- Owner shall have the right to termination this Contract in the event of a default by CONTRACTOR (for cause) or for Convenience (without cause) as set forth in the General Conditions, (attached hereto as Exhibit F).
- 10.3 In addition to any right of termination reserved to OWNER by the General Conditions, the OWNER may terminate this Contract if the CONTRACTOR is adjudged bankrupt, a receiver is appointed because of the CONTRACTOR'S insolvency, or the CONTRACTOR makes a general assignment for the benefit of his/her creditors, fails to make prompt payment to subcontractor(s), or for material or labor, persistently disregards laws, ordinances, rules, regulations or orders of any public authority having jurisdiction, fails to construct the PROJECT in accordance with the Drawings and Specifications, or otherwise substantially violates any provision of the Contract Documents.
- 10.4 The OWNER shall give the CONTRACTOR and his surety five (5) calendar days written notice prior to terminating this Contract pursuant to this section, provided however, that the CONTRACTOR shall, upon receipt of such notice, immediately stop the installation of improvements or other permanent construction work encompassing part of the PROJECT. Upon termination, the OWNER may take possession of the PROJECT and all materials, equipment, tools and construction equipment and machinery owned by the CONTRACTOR and located at the PROJECT site and may finish the PROJECT by whatever method it may deem expedient. It such case, the CONTRACTOR shall not be entitled to receive any further payment under this Contract.
- 10.5 The OWNER shall not be deemed to have waived any of its other rights or remedies against the CONTRACTOR by exercising its right of termination under this section.

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Any action at law or in equity brought by either of the parties hereto for the purpose of enforcing a right or rights provided for by this Contract shall be tried in a court of competent jurisdiction in the County of Riverside, State of California, and the parties hereby waive all provisions of law providing for a change of venue in such proceedings to any other county.

ARTICLE 11

CLAIMS RESOLUTION

This Article 11 is intended to help resolve disputes between the Parties related to this PROJECT. Such disputes shall be brought to the attention of the OWNER at the earliest possible time, so that such disputes may be promptly resolved, if possible, or other appropriate action or investigation may be promptly undertaken. Public works claims which arise between the CONTRACTOR and the OWNER shall be resolved using the following procedure:

11.1.1 A "claim" means a separate demand by the CONTRACTOR sent by registered mail or certified mail return receipt requested for one or more of the following: (a) a time extension including, without limitation, for relief from damages or penalties for delay assessed by the OWNER; (b) payment by the OWNER of money or damages arising from Work done by or on behalf of the CONTRACTOR and payment for which is not otherwise expressly provided or to which the CONTRACTOR is not otherwise entitled; (c) payment of an amount that is disputed by the OWNER. The CONTRACTOR shall furnish reasonable documentation to support the claim.

11.1.2 Upon receipt of a claim, OWNER shall conduct a reasonable review of the claim and within 45 days, or an extended period as may be set by mutual agreement of the Parties, provide the CONTRACTOR with a written statement identifying what portion of the claim is still disputed and what portion is undisputed. (If consultation with the Board of Commissioners is required, the OWNER may have additional time as stated in Section 9204 of California Public Contract Code.) CONTRACTOR shall not delay or postpone any Work pending resolution of any disputes or disagreements, except as the OWNER and CONTRACTOR may otherwise agree in writing. Pending final resolution of a Claim, the CONTRACTOR shall proceed diligently with performance of the Contract and any payment due on an

undisputed portion of the claim shall be processed and made within 60 days after the OWNER issues its written statement.

- 11.1.3 If the OWNER fails to issue a written statement, the claim shall be deemed rejected in its entirety. A claim that is denied by reason of the OWNER's failure to respond to a claim, or its failure to otherwise meet the applicable time requirements, shall not constitute an adverse finding with regard to the merits of the claim or the responsibility or qualifications of the CONTRACTOR.
- 11.1.4 If the CONTRACTOR disputes the OWNER's written response, or if the OWNER fails to respond within the time prescribed, the CONTRACTOR may demand in writing, sent by registered mail or certified mail return receipt requested, an informal meet and confer conference to attempt to reach settlement of the portion of the claim in dispute. Upon receipt of the demand, the OWNER shall schedule a meet and confer conference within 30 days.
- 11.1.5 Within 10 business days following the conclusion of the meet and confer conference, if the claim or any portion thereof remains in dispute, the OWNER shall provide the CONTRACTOR a written statement identifying the portion of the claim that remains in dispute and the portion that is undisputed. Any payment due on an undisputed portion shall be processed and made within 60 days after the OWNER issues its written statement.
- 11.1.6 Any disputed portion of the claim, as identified by the CONTRACTOR in writing, shall be submitted to nonbinding mediation, with the OWNER and CONTRACTOR sharing the mediator costs equally. The OWNER and CONTRACTOR shall mutually agree to a mediator within 10 business days after the disputed portion of the claim has been identified in writing. If the Parties cannot agree upon a mediator, each Party shall select a mediator and those mediators shall select a qualified neutral third party to mediate the disputed portion of the claim. Each Party shall bear the fees and costs charged by its respective mediator in connection with the selection of the neutral mediator. If mediation is unsuccessful to resolve all issues, the parts of the claim remaining in dispute shall be subject to other applicable legal procedures.

11.1.7 As used herein, mediation includes any nonbinding process, including but not limited to neutral evaluation or a dispute review board, in which an independent third party or board assists the Parties in dispute with resolution through negotiation or by issuance of an evaluation.

11.1.8 Additional applicable requirements, including but not limited to subcontractor claims, may be stated in California Public Contract Code Section 9204.

11.1.9 Any legal action related to the performance of the Work or the terms of the Contract Documents shall be filed only in the Superior Court of the State of California located in Riverside, California.

ARTICLE 12

MISCELLANEOUS PROVISIONS

- 12.1 CONTRACTOR shall give all notices and comply with all laws, rules, regulations, ordinances, and orders of any governmental entity relating to the Work. Should CONTRACTOR become aware that any provisions of this Contract are at variance with any such rule, law, regulation, ordinance, or order; he/she shall promptly give notice in writing to OWNER of such variance.
- 12.2 The Contracting Officer, as defined in the General Conditions, must be notified in writing by the CONTRACTOR within ten (10) calendar days of any and all backordered materials and/or any incomplete services, and the estimated delivery date. Unless otherwise stipulated in the Contract Documents, any order that will take more than a maximum of ten (10) calendar days past the original agreed upon delivery date, may at the option of the OWNER, be canceled and ordered from another source, if, in the opinion of the Contracting Officer, it is in the best interests of the OWNER to do so.
- 12.3 It is hereby declared to be the intention of the parties that the sections, paragraphs, sentences, clauses and phrases of this Contract are severable, and if any phrase, clause, sentence, paragraph or section of this Contract shall be declared unconstitutional, invalid or unenforceable by the valid judgment or decree of a court of competent jurisdiction, such unconstitutionality, invalidity or unenforceability shall not affect any of the remaining clauses, sentences, paragraphs and sections of this Contract.

1	IN WITNESS WHEREOF, the Parties	s hereto have executed this Contract as of the day and
2	year set forth below.	
3		
4	OWNER:	CONTRACTOR:
5	RIVERSIDE COMMUNITY HOUSING CORP, a California non-profit public benefit corporation	ALMS UNDERGROUND CONSTRUCTION, INC., a California corporation
6	Camorina non-profit public beliefft corporation	inc., a Camonna corporation
7		
8	By: Form Copy Heidi Marshall,	By: FORM COPY Douglas Alms,
10	Heidi Marshall, CEO	Douglas Alms, CEO
11	Dated:	Dated:
12	Dated.	Dated.
13		
14		
15		By:Pamela Alms,
16		Secretary
17		
18	APPROVED AS TO FORM: MINH C. TRAN	Dated:
19	General Counsel	
20		
21	By:	
22 23	Paula Salcido, Deputy General Counsel	
24	Deputy Contra Counser	
25		
26		

Exhibit "A"

Scope of Work

Contractor shall perform the following work for Owner:

- 1. Construction Planning: All residential units will be occupied during the entire construction process. RCHC requires the Contractor to have a complete construction and traffic plan schedule prior to starting work and to have that plan approved by the project manager or his designee, five (5) calendar days prior to the projected start date.
- 2. The work under this contract shall be performed at the Thermal II Apartments located at 56690 Polk Street, in Thermal, Riverside County, State of California and shall include furnishing all labor, materials, equipment, tools, supplies, services, and incidentals, and performing all work necessary for the installation of a fully functional lift station and approved connection to the Coachella Valley Water District (CVWD) sewer lines and all other associated improvements in strict conformance with all Contract documents.
- 3. Contractor to ensure proper dumping of all waste and components from the site and shall provide a cleared site free of all debris, contractor equipment, etc.
- 4. Contractor shall be responsible for the professional quality, accuracy, competence, methodology, and the coordination of all services performed pursuant to this Contract.
- 5. Contractor shall provide quality assurance in strict accordance with current building codes as well as terms, conditions, special contract requirements, specifications, attachments, and exhibits contained in the General Conditions of the Contract.
- 6. Verification of existing conditions:
 - a. It shall be the Contractor's sole responsibility to verify existing conditions for each individual work item. The Contractor shall be satisfied that there are no discrepancies between actual conditions and the Scope of Work as issued. Before ordering materials/products, the Contractor shall verify related conditions to ensure proper fit and installation.
 - b. Contractor to notify RCHC immediately of any hidden condition discovered which might affect the progress of work.
- 7. Contractor to provide and maintain temporary sanitary facilities. Existing facility use is not permitted.
- 8. All tools, materials, and equipment shall be provided by the contractor and must meet all local applicable safety requirements. A parking space will be made available for contractor's container if needed for materials and equipment. RCHC assumes no responsibility for the loss or damage to the contractor's equipment, tools or materials stored at the job site.

- 9. Contractor shall furnish sufficient personnel with the technical knowledge and experience necessary to complete the work.
- 10. Contractor will be responsible for all construction/building/traffic permits required to complete the project.
- 11. All work shall be performed in accordance with local safety standards and recognized safe practices.
- 12. Contractor to ensure proper removal of all debris and all other components from the site and shall provide a cleared site free of all debris, contractor equipment, etc. RCHC refuse containers will not be allowed to be used for disposal of contractor's waste.
- 13. Field Verification: Contractor is responsible to field verify existing conditions and promptly notify RCHC if discrepancies in and omissions from the plans, specifications or other contract documents are found in the field, including unforeseen conditions that may affect the successful completion of the project and/or work.
- 14. Contractor will perform a final walk-through inspection with a RCHC representative before the project will be considered complete and finished.

Exhibit "B"

(behind this page)

Invitation for Bids IFB 2024-008



INVITATION FOR BIDS (IFB) NO. 2024-006

THERMAL LIFT STATION PROJECT AT THE THERMAL II APARTMENTS 56690 POLK STREET THERMAL, CA 92274

Riverside Community Housing Corp. (RCHC) 5555 Arlington Avenue Riverside, CA 9250

RIVERSIDE COMMUNITY HOUSING CORP. (RCHC)

IFB INFORMATION AT A GLANCE

RCHC CONTACT PERSON: George Eliseo, Contracting Officer gceliseo@rivco.org (951) 955-6405 HOW TO OBTAIN THE IFB DOCUMENTS: Access http://www.harivco.org/ 2. Select the "Vendors/Contractors" Page 3. Scroll down and download IFB NO. 2024-008 THERMAL LIFT STATION PROJECT AT THERMAL II APTS. 4. Download and save the IFB to your computer. IFB RELEASE DATE: May 16th, 2024 PRE-BID CONFERENCE: (JOB WALK) May 28th, 2024 at 10:00 AM NOTE: JOB WALK ATTENDANCE IS NOT MANDATORY BUT HIGHLY ENCOURAGED. Thermal II Apartments EACH POTENTINAL BIDDER SHOULD TAKE 56690 Polk Street THEIR OWN MEASUREMENTS. Thermal, CA 92274 Prospective bidders should attend the pre-bid conference (job walk) to ask any questions and voice concerns on the proposed project(s). DEADLINE FOR SUBMITTING QUESTIONS / June 6th, 2024 at 5:00 PM REQUEST FOR INTERPRETATIONS: (RFI's) BID SUBMITTAL DEADLINE & BID OPENING: June 20th, 2024 at 2:00 PM 5555 Arlington Avenue Riverside, CA 92504 (Bids shall be delivered to Housing Authority on the 1st Floor of the Administrative Building located at 5555 Arlington Avenue, Riverside, CA 92504; Bids shall be promptly opened in public at said address. NOTE: RCHC reserves the right to deviate from Notices of any such decisions or modifications will this timeline and/or modify the Scope of Work at be located at: www.harivco.org any time!

INTRODUCTION

The Riverside Community Housing Corp. (hereinafter, "RCHC") is a California non-profit public benefit corporation that was formed in 1992 as an affiliate of the Housing Authority of the County of Riverside ("HACR"). RCHC's purpose is to create and preserve affordable housing for extremely low-, low- and moderate-income persons within the County of Riverside, and to augment services and housing programs sponsored by HACR and/or the County of Riverside's Department of Housing and Workforce Solutions. As our mission, we believe that affordable housing, economic opportunity, and health are matters of unalienable human dignity. Through the creation and preservation of affordable housing and community development initiatives, RCHC strives to eradicate barriers to the pursuit of actualizing these essential liberties, to galvanize vibrant communities and to expand access in the transition towards self-sufficiency.

This Invitation for Bids no. 2024-008 ("IFB") is issued solely by RCHC and is not issued by HACR. The Riverside Community Housing Corp. is a nonprofit, public benefit corporation affiliated with HACR. While this IFB is issued solely by RCHC, it will be available on HACR's website for convenience and ease of access.

RCHC is inviting bids from qualified, licensed, and insured contractors with the ability to provide construction services to RCHC for the Thermal Lift Station Project at the Thermal II Apartments, located at 56690 Polk Street, Thermal, CA 92274

Details regarding the specifications, scope of work and other requirements are set forth in this IFB document and any attachments or amendments to it, which can also be accessed online at www.harivco.org. Bid submittals made in response to this solicitation must conform to all the required specifications outlined within this document and any designated attachments or amendments in their entirety.

1.0 RCHC'S RESERVATION OF RIGHTS:

- 1.1 Right to Reject, Waive, or Terminate the IFB. RCHC reserves the right to reject any or all bids, to waive any informality in the IFB process, or to terminate the IFB process at any time, in its sole and absolute discretion, if deemed by RCHC to be in its best interests.
- **1.2** Right to Not Award. RCHC reserves the right not to award a contract pursuant to this IFB.
- 1.3 Right to Terminate. RCHC reserves the right to terminate a contract awarded pursuant to this IFB, at any time for its convenience upon five (5) calendar day's written notice to the successful bidder(s).
- 1.4 Right to Determine Time and Location. RCHC reserves the right to determine the days, hours and locations that the successful bidder(s) shall provide the services called for in this IFB.
- 1.5 Right to Determine Financial Responsibility and Viability. RCHC reserves the right to require of bidder information regarding financial responsibility and viability or such other information as RCHC determines is necessary to ascertain whether a bid is in fact the lowest responsive and responsible bid submitted.
- 1.6 Right to Retain Bids. RCHC reserves the right to retain all written bids submitted to RCHC in response to this IFB, and not permit withdrawal of same for a period of 60 calendar days subsequent to the deadline for receiving said bids. RCHC may permit the withdrawal of bids when requested in writing by the bidder and such request is approved in writing by RCHC Contracting Officer (CO) in his/her sole and absolute discretion.
- 1.7 Right to Reject Any Bid. RCHC reserves the right to reject and not consider any bid that does not meet the requirements of this IFB, including but not necessarily limited to incomplete bids and/or bids offering alternate or non-requested services.
- **1.8 No Obligation to Compensate.** RCHC shall have no obligation to compensate any bidder for any costs incurred in responding to this IFB.
- 1.9 Right to Amend Prior to Award. RCHC reserves the right to, prior to award, revise, change, alter or amend any of the instructions, terms, conditions, and/or specifications identified within the IFB documents issued, within any attachment or drawing, or within any addenda issued. All addenda will be posted on the Housing Authority of the County of Riverside's website which is affiliated with RCHC at www.harivco.org and/or www.missionreproplanroom.com both available via the Internet. Such changes that are issued before the bid submission deadline shall be binding upon all prospective bidders. RCHC reserves the right to amend the contract any time prior to contract execution.
- **1.10** Right to Issue New Bids. In the event RCHC rejects all bids submitted, RCHC reserves the right to re-advertise this IFB for new bids, to modify this IFB and readvertise for new bids or to proceed to have the work completed otherwise.

- 1.11 Right to Cancel Award. RCHC reserves the right to, without any liability; cancel the award of any bid(s) at any time before the execution of the contract documents by all parties.
- 1.12 Right to Revise Quantities. RCHC reserves the right to reduce or increase estimated or actual quantities in whatever amount necessary without prejudice or liability to RCHC under the following conditions:
 - 1.12.1 Funding is not available;
 - **1.12.2** Legal restrictions are placed upon the expenditure of monies for this category of service or supplies; or,
 - **1.12.3** RCHC's requirements in good faith change after the award of the contract.
 - **1.12.4 Right to Require Additional Information.** RCHC reserves the right to require additional information from all prospective bidders to determine level of responsibility. Such information shall be submitted in the form and time frame required by RCHC.
- 1.13 Right to Require Accurate Timesheets. RCHC reserves the right to require the successful bidder to keep accurate timesheets for all employees assigned to perform any project, task, or assignment resulting from this IFB and any resulting contract.
- **1.14 Right to Contact.** RCHC reserves the right to contact any individuals, entities, or organizations that have had a business relationship with the bidder regardless of their inclusion in the reference section of the bid submittal.
- 1.15 Right to Seek Restitution. In the event any contract resulting from this IFB is prematurely terminated due to nonperformance and/or withdrawal by the successful bidder, RCHC reserves the right to seek monetary restitution (to include but not limited to withholding of monies owed) from the successful bidder to cover costs for interim services and/or cover the difference of a higher cost (difference between the terminated successful bidder's rate and new company's rate) beginning the date of successful bidder's termination through the contract expiration date.
- **1.16** Right to Amend Prior to Contract Execution. RCHC reserves the right to amend the contract any time prior to contract execution.

2.0 SCOPE OF WORK:

RCHC is seeking bids from qualified, licensed, and bonded contractors to provide construction, deletion, and bypassing of the current Lift Station and run new sewer lines directly to the (CVWD) Coachella Valley Water District in accordance with the following Scope of Work.

2.1 Location: This project is in the City of Thermal, Eastern Riverside County.
Thermal II Apartments
56690 Polk Street
Thermal, CA 92274

2.2 Construction Drawings/Plans: All work to be done according to plans provided by Fiero Engineering, attached hereto as Exhibit G.

2.3 SCOPE OF WORK - GENERAL SPECIFICATIONS

- 1. The work under this contract shall be performed at the Thermal II Apartments, located in the City of Thermal, County of Riverside, State of California and shall include furnishing all labor, material, equipment, tools, supplies, services, and incidentals, and performing all work necessary for the deletion and bypassing of a current lift station and approved connection to the Coachella Valley Water District (CVWD) sewer lines and all other associated improvements in strict conformance with all Contract documents.
- 2. Contractor to trench, lay and install approximately sixty-seven (67) LF of eight-inch (8") PVC pipe including one 8-inch diameter cleanout, and the installation of the lateral drop manhole to the existing sewer main.
- 3. All traffic control to be provided by Contractor for any work done involving public streets.
- **4.** Prior to the start of construction, Contractor will supply RCHC with a construction schedule. A construction walk will be required with CVWD and a RCHC representative prior to the start of work.
- **5.** Contractor shall provide quality assurance in strict accordance with current building codes as well as terms, conditions, special contract requirements, specifications, attachments, and exhibits contained in the General Conditions of the Contract.
- 6. Verification of existing conditions:
 - a. It shall be the Contractor's sole responsibility to verify existing conditions for each individual work item. The Contractor shall be satisfied that there are no discrepancies between actual conditions and the Scope of Work as issued. Before ordering materials/products, the Contractor shall verify related conditions to ensure proper fit and installation.
 - b. Contractor to notify RCHC immediately of any hidden condition discovered which might affect the progress of work.
- 7. When the sewer lines are connected to the City, the lift station pit must be filled according to code (sand and gravel).

- **8.** Contractor shall be responsible for the professional quality, accuracy, competence, methodology, and the coordination of all services performed pursuant to this IFB.
- **2.4** Explanations and Interpretations to Prospective Bidders: (a) Any prospective bidder desiring an explanation or interpretation of the solicitation, specifications, drawings, etc., must request it at least ten (10) days before the scheduled time for bid opening. Requests may be oral or written. Oral requests must be confirmed in writing. The only oral clarifications that will be provided will be those clearly related to solicitation procedures, i.e., not substantive technical information. No other oral explanation or interpretation will be provided. Any information given a prospective bidder concerning this solicitation will be furnished promptly to all other prospective bidders as a written amendment to the solicitation, if that information is necessary in submitting bids, or if the lack of it would be prejudicial to other prospective bidders. (b) Any information obtained by, or provided to, a bidder other than by formal amendment to the solicitation shall not constitute a change to the solicitation.
- 2.5 Interpretation of the Documents: Discrepancies in and omissions from the plans, specifications or other contract documents, or questions as to their meaning shall, at once, be brought to the attention of RCHC. Any interpretation of the documents will be made only by amendment duly issued and a copy of such amendment will be mailed or delivered to each person or firm receiving a set of such documents. RCHC will not be responsible for any other explanations or interpretations. Should anything in the Scope of Work or any of the sections of the specifications be of such nature as to be apt to cause disputes between the various trades involved, such information shall be promptly called to RCHC's attention.
- 2.6 Amendments to the IFB: If this solicitation is amended, then all terms and conditions which are not modified remain unchanged. Bidders shall acknowledge receipt of any amendment to this solicitation by signing and returning the amendment, by identifying the amendment number and date on the bid form, or by letter, telegram, or facsimile, if those methods are authorized in the solicitation. RCHC must receive acknowledgement by the time and at the place specified for receipt of bids. Bids which fail to acknowledge the bidder's receipt of any amendment will result in the rejection of the bid if the amendment(s) contained information which substantively changed RCHC's requirements. Amendments will be on file in the offices of RCHC at least 7 days before bid opening.
- **2.7 Caution to Bidders:** Prospective bidders are cautioned not to merely examine the plans and specifications in making their bid, since requirements are imposed upon the bidder by various other portions of this IFB and the Contract Documents.
- 2.8 Construction Cost Estimate: The construction cost estimate is approximately \$70,000.00
- 2.9 Permits: The selected contractor will obtain the necessary construction/building permits from CVWD and the Riverside County TLMA (Transportation Land Management Agency), and all other permits as may be required to complete the project (permit has been pulled through TLMA Reference# BPL2400308). Contractor will be responsible for reimbursement for the cost of permit.

(Continued on Next Page)

3.0 BID FORMAT:

- 3.1 Two-step Bid Submittal Process: All bidders will initially submit the documentation/ information detailed within the following listed Step #1. Then, RCHC will notify which bidders are to submit, within five (5) days after being notified to do so, the information detailed within the following detailed Step #2 (the bidder(s) that are directed to submit information for Step #2 will generally be the apparent low bidders that RCHC intends to award the project).
 - 3.1.1 Tabbed Bid Submittal. As may be further described herein, RCHC intends to retain a Contractor pursuant to a "Low Bid" basis, also taking into consideration responsiveness and responsibility. Therefore, so that RCHC can properly evaluate the offers received, all bids submitted in response to this IFB must be formatted in accordance with the sequence noted within the table below. Each category must be separated by numbered index dividers (which number extends so that each tab can be located without opening the bid) and labeled with the corresponding tab reference also noted below. None of the proposed services may conflict with any requirement RCHC has published herein or has issued by addendum.

Tab	
No.	Description
1	Bid Proposal Form: Attached to this IFB as Attachment A. Enter the fee/bid amount, complete and execute where provided and submit under this tab as a part of the bid submittal.
2	Form of Bid Bond: Attached to this IFB as Attachment B. Certificate as to Corporate Principal – this portion must be completed by the Secretary of the Corporation and the corporate seal affixed. Complete form and notarize. This 2-page Form must be fully completed, executed where provided thereon and submitted under this tab as a part of the bid submittal.
3	Equal Employment Opportunity Certification (Form HUD-92010): Attached to this IFB as Attachment E. The 2-page form must be signed, completed, and submitted under this tab as a part of the bid submittal.
4	Form of Non-Collusive Affidavit: Attached to this IFB as Attachment C. Must check box indicating whether bidder is an individual, a corporation or partnership. Complete form and notarize. This 1-page form must be fully completed, executed and submitted under this tab as a part of the bid submittal.

- Contractor Designation Form: Attached to this IFB as Attachment D. This 2-page form must be fully completed executed and submitted under this tab as a part of the bid submittal. NOTE: Bidders must also provide RCHC with the name, contact information to include address, phone number, email address, core area of business, and years of expertise for each subcontractor and the minority status of each. This form MUST be completed for each general and subcontractor and included in this Tab. If subcontractors will not be utilized, please check the appropriate box.
- 6 OPTIONAL Section 3 Business Preference Documentation:
 For any bidder claiming a Section 3 Business Preference, under this tab include the fully completed and executed Section 3 Business Preference Certification Form attached and any documentation required by that form. Note: If you qualify as a Section 3 Business Preference, your bid will receive a preference over other bids as specified.

Bid Submittal Binding Method: It is preferable and recommended that the bidder bind the bid submittal in such a manner that RCHC can, if needed, remove the binding (i.e. "combtype;" etc.) or remove the pages from the cover (i.e. 3-ring binder; etc.) to make copies then conveniently return the bid submittal to its original condition.

Step #2: Documentation/information to be submitted, within 10 days, **only by the apparent low bidder** and only when directed to do so by RCHC.

Managerial Capacity: If requested, the winning bidder must submit under this tab a concise description of its capacity to deliver the proposed services, including brief professional resumes for the persons identified within areas (5) and (6) of the *Contractor Designation Form*. Such information shall include the bidder's qualifications to provide the services; a brief description of the background and current organization of the firm.

Insurance Certificates. The apparent successful bidder will also direct its insurance broker or carrier to deliver directly to the Agency (by email is preferred) the insurance certificates detailed within the following Sections 6.2.1 through 6.2.5 herein. NOTE: The apparent successful bidder will NOT deliver these certificates—the insurance broker or carrier will do so.

If no information is to be placed under any of the above noted tabs (especially the "Optional" tabs), please place there a statement such as "NO INFORMATION IS BEING PLACED UNDER THIS TAB" or "THIS TAB LEFT INTENTIONALLY BLANK." DO NOT eliminate any of the tabs.

3.2 Bidder's Security: Bids in excess of twenty-five thousand dollars (\$25,000) shall be accompanied by a bid guarantee of not less than ten percent (10%) of the amount of the bid, including the aggregate of all separate bid items and schedules covered by the bid,

which may be: bid bond, money order, certified check or bank draft, U.S. Government Bonds at par value, or a bid bond secured by a surety company acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. The Form of Bid Bond must be fully completed, executed, and notarized where provided thereon and submitted under tab 2 as a part of the bid submittal. Said check or bond shall be made payable to RCHC and shall be given as a guarantee that the Bidder, if awarded the Work, will enter into an Agreement with RCHC and will furnish the necessary insurance certificates, Payment Bond, and Performance Bond. Each of said bonds and insurance certificates shall be in the amounts of stated in the Standard Specifications or Special Provisions. In case of refusal or failure of the successful Bidder to enter into said Agreement, the check or Bid Bond, as the case may be, shall be forfeited to RCHC. If the Bidder elects to furnish a Bid Bond as its security, the Bidder shall use the Bid Bond from bound herein, or one conforming substantially to it in form.

- 3.3 Reserved.
- 3.4 Reserved.
- 3.5 Reserved.
- 3.6 Preemption of State Prevailing Wage Requirements: A prevailing wage rate (including basic hourly rate and any fringe benefits) determined under State law to be prevailing with respect to an employee in any trade or position employed under them contract shall be inapplicable to a contract or RCHC performed work item for the development, maintenance, and modernization of a project (24 CFR Part 965.101).
- 3.7 Reserved:
- 3.8 Public Works Registration Program Update: SB 96 was enacted on June 27, 2017. Amongst other things, the bill makes changes to Labor Code sections 1725.5, 1771.1 and 1773.3 and Public Contract Code section 4104. Moving forward, DIR notification of an award of a public project will only be required for projects greater than \$25,000 for construction, alteration, demolition, installation, or repair work, or projects greater than \$15,000 for maintenance work. The law also now requires that bidders provide the DIR registration numbers for all subcontractors listed in a bid for a project.
- 3.9 Bid Submission: All bids must be submitted and time-stamped received in the designated RCHC office by no later than the submittal deadline stated herein (or within any ensuing amendment). A total of one (1) original signature copy (marked "ORIGINAL" and "SEALED BID") of the bid submittal shall be placed unfolded in a sealed package and addressed to:

Riverside Community Housing Corp. (RCHC) Attention: George Eliseo - Contracting Officer 5555 Arlington Avenue Riverside, CA 92504

The package exterior must clearly include the following, "IFB No. 2024-008 Thermal Lift Station Project at Thermal II Apartments" and must have the bidder's name and return address. Bids received after the published deadline will not be accepted. Email

delivery shall not be a substitute for or waive physical delivery of the bid by the deadline.

- 3.10 Bid Acceptance Period: The acceptance period is the number of calendar days available to RCHC for awarding a contract from the date specified in this solicitation for receipt of bids. RCHC requires a minimum acceptance period of ninety 90 calendar days. A bid allowing less than RCHC's minimum acceptance period will be rejected.
- 3.11 Submission Conditions: DO NOT FOLD OR MAKE ANY ADDITIONAL MARKS, NOTATIONS OR REQUIREMENTS ON THE DOCUMENTS TO BE SUBMITTED! Bidders are not allowed to change any requirements or forms contained herein, either by making or entering onto these documents or the documents submitted any revisions or additions; and if any such additional marks, notations or requirements are entered on any of the documents that are submitted to RCHC by the bidder, such may invalidate that bid. If, after accepting such a bid, RCHC decides that any such entry has not changed the intent of the bid that RCHC intended to receive, RCHC may accept the bid and the bid shall be considered by RCHC as if those additional marks, notations or requirements were not entered on such. By accessing the noted Internet Site, registering and downloading these documents, each prospective bidder that does so is thereby agreeing to confirm all notices that RCHC delivers to him/her as instructed, and by submitting a bid, the bidder is thereby agreeing to abide by all terms and conditions published herein and by amendment pertaining to this IFB.
- 3.12 Submission Responsibilities: It shall be the responsibility of each bidder to be aware of and to abide by all dates, times, conditions, requirements, and specifications set forth within all applicable documents issued by RCHC, including this IFB, the documents listed in the attachments section, and any amendments and required attachments submitted by the bidder. By virtue of completing, signing and submitting the completed documents, the bidder is stating his/her agreement to comply with all conditions and requirements set forth within those documents. Written notice from the bidder not authorized in writing by the CO to exclude any of RCHC requirements contained within the documents may cause that bidder to not be considered for award.
- 3.13 Bidder's Responsibilities; Contact with RCHC: It is the responsibility of the bidder to address all communication and correspondence pertaining to this IFB process to the CO only. Bidders must not make inquiry or communicate with any other RCHC staff member or official (including members of the Board of Commissioners) pertaining to this IFB. Failure to abide by this requirement may be cause for RCHC to not consider a bid submittal received from any bidder who may has not abided by this directive.
- 3.14 Responsibility for Subcontractors: All requirements for the "Prime" contractor shall also apply to any and all subcontractors. It is the Prime Contractors' responsibility to insure the compliance of the subcontractors. Regardless of subcontracting, the Prime Contractor remains liable to RCHC for the performance under this IFB or any resulting contract.
- 3.15 Invitations for Bids (IFB) Amendments: If this solicitation is amended, then all terms and conditions which are not modified remain unchanged. Bidders shall acknowledge receipt of any amendment to this solicitation by signing and returning the amendment, by identifying the amendment number and date on the bid form by email, letter, or facsimile. RCHC must receive acknowledgement by the time and at the place specified

for receipt of bids. Bids which fail to acknowledge the bidder's receipt of any amendment will result in the rejection of the bid if the amendment(s) contained information which substantively changed RCHCs requirements. Amendments will be on file in the offices of RCHC and at least seven (7) calendar days prior to bid opening. All questions and requests for information must be addressed in writing to the CO. The CO will respond to all such inquiries in writing by amendment to all prospective bidders (i.e. firms or individuals that have obtained the IFB Documents). During the IFB solicitation process, the CO will NOT conduct any ex parte (a substantive conversation, "substantive" meaning, when decisions pertaining to the IFB are made between RCHC and a prospective bidder when other prospective bidders are not present) conversations that may give one prospective bidder an advantage over other prospective bidders. This does not mean that prospective bidders may not call the CO, it simply means that other than making replies to direct the prospective bidder where his/her answer has already been issued within the solicitation documents, the CO may not respond to the prospective bidder's inquiries but will direct him/her to submit such inquiry in writing so that the CO may more fairly respond to all prospective bidders in writing by amendment.

- 3.16 Non-Mandatory Pre-bid Conference (Job Walk): The scheduled job walk is not mandatory. Typically, such conferences last one (1) hour or less, though such is not guaranteed. The purpose of this conference is to give prospective bidders an understanding of the full scope of the job and the IFB documents so that they feel confident in submitting an appropriate bid; therefore, at this conference RCHC will conduct a brief overview of the IFB documents, including the attachments, as well as walk the job site. Prospective bidders may also ask questions, though the CO may require that some such questions are delivered in writing prior to a response being delivered. All attendees should bring a copy of the IFB documents to this conference; RCHC will not distribute any copies of the IFB documents.
- **3.17 Contract Service Standards:** All work performed pursuant to this IFB must conform and comply with all applicable local, state, and federal codes, statutes, laws and regulations.

(Continued on Next Page)

4.0 BID EVALUATION:

- 4.1 Public Opening: At the set date and time, all bids received will be opened and publicly read aloud by the CO, including the company name of the bidder and the total calculated costs proposed. At the bid opening RCHC will only disclose the following information: (a) The company name of each bidder; (b) the calculated total amount bid; and (c) the identity of the apparent lowest bidder. A copy of the bid tabulation or recap recorded will be made available to each member of the public attending such opening and to anyone who requests such afterwards. The bids will not be made available for inspection by anyone at this time. RCHC will, at a later time, review all bids in detail and will notify all bidders of any bidder that is, as a result of the more detailed inspection of bids submitted, ruled to be non-responsive or not responsible. RCHC reserves the right to, as determined by RCHC, "waive informalities and minor irregularities" in the offers received.
 - **4.1.1 Ties:** In the case of tie bids, the award shall be decided by "drawing lots or other random means of selection."
- **4.2 Responsive Bid Evaluation:** After the public opening of bid submittals, all bids received will later be evaluated by the CO for responsiveness, starting with the apparent lowest bidder. Bids not meeting the IFB's listed minimum requirements are deemed to be non-responsive and shall not be considered further. The CO may then consider the next apparent lowest bidder, in his/her sole and absolute discretion. The non-responsive bidder will be notified of such in writing by RCHC in a timely manner.
- 4.3 Responsible Bidder Evaluation: Once a bid is determined to be responsive to the IFB, the CO will then evaluate the apparent lowest responsive bidder to ensure that he/she or their firm is responsible. If the CO ascertains that such person or firm is responsible, as defined below in Section 4.3.1, the CO may then proceed with a notice of intent to award. If the CO determines that such person or firm is deemed to be not responsible, in his/her sole and absolute discretion, they will be notified of such in writing by RCHC in a timely manner. The non-responsible bidder may request further information and a hearing; in such case the CO may proceed with the noted Responsive and Responsible Evaluations with the next apparent lowest bidder.

4.3.1 Responsible Bidder Requirements:

- Have adequate financial resources to perform the contract, or the ability to obtain them;
- Have all necessary and required insurance coverage as listed in the IFB, or the ability to obtain such;
- Have the necessary organization, experience, accounting and operational controls, and technical skills, or the ability to obtain them;
- Have the necessary management, recruitment sources, personnel and/or training facilities, or the ability to obtain them;
- Be able to comply with the required delivery and performance schedule, taking into consideration all existing commercial and/or governmental business commitments;

- Have a satisfactory performance record in placement of qualified personnel;
- Have a satisfactory record of integrity and business ethics, and;
- Be otherwise qualified and eligible to receive an award under all applicable laws and regulations, including not being debarred or suspended under a HUD-imposed LDP. Be advised that all persons or contractors that have been suspended or debarred from Federal programs will be indicated as such in the System for Award Management (SAM).
- 4.4 Additional Evidence of Responsibility: RCHC reserves the right to request additional information whether in writing or by oral presentation in order to further determine the successful bidder's responsibility. Failure to provide adequate documentation within the specified time will result in the successful bidder being determined as non-responsible.
- **4.5 Restrictions:** Any and all persons having ownership interest in a bidder entity or familial (including in-laws) and/or employment relationships (past or current) with principals and/or employees of a bidder entity will be excluded from participation in the evaluation of the bid.
- Bid Protest: Any prospective or actual bidder, who is allegedly aggrieved in 4.6 connection with the solicitation of a bid or award of a contract, shall have the right to protest. To be eligible to file a protest with RCHC pertaining to an IFB or contract, the alleged aggrieved protestant must have been involved in the IFB process in some manner as a prospective bidder (i.e. registered and received the IFB documents) when the alleged situation occurred. The alleged aggrieved protestant must file, in writing, to RCHC the exact reason for the protest, attaching any supportive data. The protestant must state within the written protest document specifically (not by inference) what action by RCHC or condition is being protested as inequitable, making, where appropriate specific reference to the IFB documents issued and including the specific citation of law, rule, regulation, or procedure upon which the protest is based. The protest document must also state the corrective action requested. Failure by the alleged aggrieved protestant to fully submit such information shall relieve RCHC from any responsibility to take any corrective action, and as a result of noncompliance, the appeal will be dismissed without further review. RCHC has no obligation to consider a protest filed by any party that does not meet these criteria. Any protest against a solicitation must be received before the due date for the receipt of bids, and any protest against the award of a contract must be received within ten (10) calendar days after the successful bidder receives notice of the contract award, or the protest will not be considered. All bid protests shall be in writing, submitted to the CO or designee, who shall issue a written decision on the matter. The CO may, at his/her discretion. suspend the procurement pending resolution of the protest if the facts presented so warrant. All appeals shall be marked as follows and sent to the address listed below:

APPEAL OF IFB NO. 2024-006
Riverside Community Housing Corp. (RCHC)
Attn: George Eliseo, Contracting Officer
5555 Arlington Avenue, Riverside, CA 92504

5.0 CONTRACT AWARD:

- **5.1 Lowest Responsive and Responsible Bidder:** An award of a contract pursuant to this IFB, if determined to be in the best interest of RCHC to do so, will be made to the responsive and responsible bidder that submits the lowest cost; in this case, the lowest calculated cost.
 - **5.1.1** Basis for Determining Lowest Bid: The lowest bid shall be the lowest total of the base bid amounts on the base contract.
- **5.2 Contract Award Procedure:** If a contract is awarded pursuant to this IFB, the following detailed procedures will be followed:
 - **5.2.1** By completing, executing, and submitting the Form of Bid, Attachment A, the successful bidder is thereby agreeing to "abide by all terms and conditions pertaining to this IFB as issued by RCHC, in hard copy, including an agreement to execute their contract." Accordingly, RCHC has no responsibility to conduct after the submittal deadline any negotiations pertaining to the contract clauses already published; and in any case RCHC has no power or authority to negotiate any clauses contained within any attached documents.
 - **5.2.2** Depending on the amount of the award (typically for amounts greater than \$75,000), RCHC will forward the contract to RCHC Board of Directors (BOD) for approval prior to signing the contract with the lowest responsive and responsible bidder.
 - 5.2.3 The contract shall be awarded upon a resolution or minute order to that effect duly adopted by RCHC BOD, in their sole and absolute discretion. Execution of the contract documents shall constitute a written memorial thereof.
- **5.3 Contract Conditions:** The following provisions are considered mandatory conditions of any contract award made by RCHC pursuant to this IFB:
 - 5.3.1 Contract Form: By responding to this IFB and submitting a bid, the successful bidder acknowledges and agrees that RCHC will only execute agreements prepared by RCHC which are substantially approved as to form and substance by RCHC. RCHC WILL NOT execute the successful proposer's contract form. Any proposer that does not feel the listed contract clauses or specifications are reasonable or complete shall address such with RCHC in writing during the bidding period (prior to the posted bid submittal deadline). RCHC will consider such clauses and determine in its sole and absolute discretion, whether or not to amend the contract if deemed by RCHC to be in its best interests. Please note that RCHC has no legal right or ability to negotiate any clauses contained within ANY of the HUD forms included as a part of this IFB.
 - **5.3.2** Assignment of Personnel: RCHC shall retain the right to demand and receive a change in personnel assigned to the work if RCHC believes that such change is in the best interest of RCHC and the completion of the contracted work.

- 5.4 Contract Period (Time of Completion): The successful bidder agrees to commence work no later than ten (10) calendar days after the commencement date specified in the Notice to Proceed (NTP) and to fully complete the project within Seventy-Five (75) calendar days. The NTP is the written notification from RCHC giving the contractor notice to commence with the project. The NTP will specify project details such as the mobilization start date, construction start date, and work completion date. NOTE: The timeframe for ordering and delivery of supplies and/or materials is typically not included with the issuance of the NTP. The NTP is issued once RCHC staff and the contractor have mutually agreed to commence construction, installation, erection, alteration, repair and demolition activities.
 - **5.4.1 Liquidated Damages:** If the Contractor fails to complete the work within the time specified in the contract, or any extension, as specified in the clause entitled Default of this contract, the Contractor shall pay to RCHC as liquidated damages, the sum of \$300.00 for each day of delay.
 - **5.4.2 Temporary Delay:** RCHC may withhold issuance of the NTP for a period not to exceed **ninety (90) calendar days** after the construction Contract is executed.
 - **5.4.3 Time of the Essence:** Time is of the essence as to each provision in which a timeframe for performance is provided in this IFB. Failure to meet these timeframes may be considered a material breach, and RCHC may pursue compensatory and/or liquidated damages under the contract.
- 5.5 Execution of Work: All work is to be performed by qualified, competent trained personnel. The contractor is to be licensed and responsible for providing supervision of the work by appropriately identified personnel. RCHC may require the contractor to remove from the work such employees as the local authority deems incompetent, careless, insubordinate, or otherwise objectionable, or whose continued employment on the work is deemed by RCHC to be contrary to the public interest. The contractor shall ensure full cooperation of all workers and suppliers and shall be confined to this work only. The contractor and his personnel shall respect the rights of tenants in the surrounding dwellings where work is being performed. The office hours for all locations of RCHC are 8:00 am 5:00 pm Monday through Friday, or as otherwise specified in the Scope of Work. The contractor's working hours may vary depending upon the type of work being performed. Contractor may work longer hours if approved in advance by RCHC.
- **5.6 Warranty:** All items installed/provided under any contract resulting from this IFB must include a minimum of a one (1) year warranty from the Contractor for labor, materials, and installation except as specified otherwise herein. The period will begin on the date of "FINAL" acceptance by RCHC.
 - 5.6.1 The services provided under the contract shall conform to all information contained within the IFB documents as well as applicable Industry Published Technical Specifications, and if one of the above-mentioned Specifications contains more stringent requirements than the other, the more stringent requirements shall apply.
 - **5.6.2** In addition to all other warranties, the warranty shall include the warranty for merchantability and the warranty of fitness for a particular purpose.

5.6.3 Assignment of Warranty: Contractor shall assign any warranties and guarantees to RCHC and provide the Contractor's Warranty for Labor and Installation to RCHC along with all Manufacturers' Warranty documents.

6.0 PROMPT ACTION BY SUCCESSFUL BIDDER:

- **6.1.1** Upon issuance of the Notice of Award or Notice of Intent to Award, the successful bidder will have **seven (7) calendar days** to supply a payment/performance bond and furnish insurance documents in accordance with the Contract Documents.
- **6.1.2** Assurance of Completion (Performance Bond & Payment Bond): The successful bidder shall furnish an assurance of completion prior to the execution of the construction contract. This assurance shall be a performance and payment bond in a penal sum of 100 percent of the contract price.
- **6.1.3** Security substitutions for monies withheld to ensure the contractor's performance: In accordance with Section 22300 of the State of California Public Contract Code, the Contractor at his request and expense will be permitted to substitute equivalent securities for any monies withheld to insure performance.
- **6.2** Licensing and Insurance Requirements: Prior to contract award (but not as a part of the bid submission) the *successful bidder* will be required to provide:
 - 6.2.1 Insurance: Without limiting or diminishing the Contractor's obligation to indemnify or hold the Authority harmless, Contractor shall procure and maintain or cause to be maintained, at its sole cost and expense, the following insurance coverages during the term of this Contract. As respects to the insurance section only, RCHC herein refers to the Riverside Community Housing Corp, County of Riverside, its Agencies, Districts, Special Districts, and Departments, their respective directors, officers, Board of Supervisors, Board of Directors, employees, elected or appointed officials, agents, or representatives as Additional Insureds.
 - 6.2.2 Workers' Compensation: If the Contractor has employees as defined by the State of California, the Contractor shall maintain statutory Workers' Compensation Insurance (Coverage A) as prescribed by the laws of the State of California. Policy shall include Employers' Liability (Coverage B) including Occupational Disease with limits not less than \$1,000,000 per person per accident. The policy shall be endorsed to waive subrogation in favor of the Authority; and, if applicable, to provide a Borrowed Servant/Alternate Employee Endorsement.
 - 6.2.3 Commercial General Liability: Commercial General Liability insurance coverage, including but not limited to, premises liability, unmodified contractual liability, products and completed operations liability, personal and advertising injury, employment practices liability, and cross liability coverage, covering claims which may arise from or out of Contractor's performance of its obligations hereunder. Policy shall name the Riverside Community Housing Corp, County of Riverside, its Agencies, Districts, Special Districts, and Departments, their respective directors, officers, Board of Supervisors, Board of Directors, employees, elected or appointed officials, agents or representatives as Additional Insureds. Policy's limit of liability shall not be less than \$1,000,000

per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this Contract or be no less than two (2) times the occurrence limit.

- 6.2.4 Vehicle Liability: If vehicles or mobile equipment are used in the performance of the obligations under the Contract, then Contractor shall maintain liability insurance for all owned, non-owned or hired vehicles so used in an amount not less than \$1,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to the Contract or be no less than two (2) times the occurrence limit. Policy shall name the Riverside Community Housing Corp, the County of Riverside, its Agencies, Districts, Special Districts, and Departments, their respective directors, officers, Board of Supervisors, Board of Directors, employees, elected or appointed officials, agents or representatives as Additional Insureds.
- 6.2.5 Professional Liability: If Contractor is providing services or expertise that falls under a quasi-professional role, Contractor shall maintain Professional Liability Insurance providing coverage for the Contractor's performance of work, with a limit of liability of not less than \$1,000,000 per occurrence and \$2,000,000 annual aggregate. If Contractor's Professional Liability insurance is written on a claims made basis rather than an occurrence basis, such insurance shall continue through the term of the performance period and Contractor shall purchase at his sole expense either 1) an Extended Reporting Endorsement (also, known as Tail Coverage); or 2) Prior Dates Coverage from a new insurer with a retroactive date back to the date of, or prior to, the inception of this Contract; or 3) demonstrate through Certificates of Insurance that Contractor has maintained continuous coverage with the same or original insurer. Coverage provided under items; 1), 2), or 3) will continue as long as the law allows.

6.2.6 General Insurance Provisions - All lines:

- a. Any insurance carrier providing insurance coverage hereunder shall be admitted to the State of California and have an AM BEST rating of not less than A:VIII (A:8) unless such requirements are waived, in writing, by the County Risk Manager. If the County's Risk Manager waives a requirement for a particular insurer such waiver is only valid for that specific insurer and only for one policy term.
- b. The Contractor must declare its insurance self-insured retention for each coverage required herein. If any such self-insured retention exceeds \$500,000 per occurrence each such retention shall have the prior written consent of the County Risk Manager before the commencement of operations under this Contract. Upon notification of self-insured retention unacceptable to the Authority, and at the election of the County's Risk Manager, Contractor's carriers shall either; 1) reduce or eliminate such self-insured retention as respects this Contract with the Authority, or 2) procure a bond which guarantees payment of losses and related investigations, claims administration, and defense costs and expenses.
- c. Contractor shall cause its insurance carrier(s) to furnish RCHC with either 1) a properly executed original Certificate(s) of Insurance and certified original

copies of Endorsements effecting coverage as required herein, and 2) if requested to do so orally or in writing by the County Risk Manager, provide original Certified copies of policies including all Endorsements and all attachments thereto, showing such insurance is in full force and effect. Further, said Certificate(s) and policies of insurance shall contain the covenant of the insurance carrier(s) that thirty (30) days written notice shall be given to the Authority prior to any material modification, cancellation, expiration or reduction in coverage of such insurance. In the event of a material modification, cancellation, expiration, or reduction in coverage, the Contract shall terminate forthwith, unless RCHC receives, prior to such effective date, another properly executed original Certificate of Insurance and original copies of endorsements or certified original policies, including all endorsements and attachments thereto evidencing coverage's set forth herein and the insurance required herein is in full force and effect. Contractor shall not commence operations until RCHC has been furnished original Certificate (s) of Insurance and certified original copies of endorsements and if requested, certified original policies of insurance including all endorsements and any and all other attachments as required in this Section, showing that such insurance is in full force and effect. An individual authorized by the insurance carrier to do so on its behalf shall sign the original endorsements for each policy and the Certificate of Insurance.

- d. It is understood and agreed to by the parties hereto that the Contractor's insurance shall be construed as primary insurance, and RCHC's insurance and/or deductibles and/or self-insured retentions or self-insured programs shall not be construed as contributory.
- e. If, during the term of the Contract or any extension thereof, there is a material change in the scope of services; or, there is a material change in the equipment to be used in the performance of the scope of work; or, the term of the Contract, including any extensions thereof, exceeds five (5) years; RCHC reserves the right to adjust the types of insurance and the monetary limits of liability required under the Contract, if in the County Risk Manager's reasonable judgment, the amount or type of insurance carried by the Contractor has become inadequate.
- f. Contractor shall pass down the insurance obligations contained herein to all tiers of subcontractors working under the Contract.
- g. The insurance requirements contained in the Contract may be met with a program(s) of self-insurance acceptable to RCHC.
- h. Contractor agrees to notify RCHC of any claim by a third party or any incident or event that may give rise to a claim arising from the performance of the Contract.
- **6.3 Business License:** A copy of the bidder's business license allowing that entity to provide such services within the State of California, if needed.
- **Contractor's License:** A copy of the bidder's license issued by the California Contractors State License Board (CSLB) allowing the bidder to provide the services detailed herein. To be considered, a potential bidder must have a "C-36" Plumbing

Contractor or "C42" – Sanitation System Contractor, to perform the specialty work, as required under provisions of Public Contract Code Section 3300, and the California Business and Professions Code Sections 7058 and 7059, for work covered in its bid when a bid is submitted. Contractor shall be licensed as required by the jurisdiction in which the service is to be performed and the license shall be current and in good standing.

7.0 ADDITIONAL CONSIDERATIONS

- 7.1 Work on RCHC Property: If the successful bidder's work under the contract involves operation on RCHC premises, the successful bidder shall take all necessary precautions to prevent the occurrence of any injury to persons or property during the progress of such work and shall immediately return said property to a condition equal to or better than the existing condition prior to the commencement of work at the site at no cost to RCHC.
- 7.2 Subcontractors: Unless otherwise stated within the IFB documents, the successful bidder may not use any subcontractors to accomplish any portion of the services described within the IFB documents or the contract without the prior written permission of RCHC. Also, any substitution of subcontractors must be approved in writing by RCHC prior to their engagement.
- 7.3 Salaries and Expenses Relating to the Successful Bidders Employees: Unless otherwise state within the IFB documents, the successful bidder shall pay all salaries and expenses of, and all Federal, Social Security taxes, Federal and State unemployment taxes, and any similar taxes relating to its employees or other personnel furnished under this contract.
- 7.4 Federal Funding: If this project is being financed with Community Development Block Grant funds from the U.S. Department of Housing and Urban Development (24 CFR Part 570) Then it will be subject to certain requirements including: compliance with Section 3 (24 CFR Part 135) Economic Opportunities requirements; payment of Federal Davis-Bacon prevailing wages; Federal Labor Standards Provisions (HUD 4010); Executive Order #11246; and others.
- 7.5 Applicable Federal Statutes, Regulations & Orders: Successful bidders shall comply with all Federal statutes, rules, regulations, and executive orders affecting procurements by Public Housing Authorities, including but not limited to:
 - **7.5.1** Executive Order 13658
 - **7.5.2** Executive Order 11246
 - **7.5.3** Executive Order 11063
 - **7.5.4** Copeland "Anti-Kickback" Act (18 USC 874)
 - **7.5.5** Davis Bacon Act (40 USC 276a-276a-7)
 - **7.5.6** Clean Air & Water Acts (42 USC 1857(h); 33 USC 1368)
 - 7.5.7 Contract Work Hours & Safety Standards Act (40 USC 327-330)
 - **7.5.8** Energy Policy & Conservation Act (PL 94-163, 89 STAT 871)
 - **7.5.9** Civil Rights Act of 1964, Title VI (PL 88-352)
 - 7.5.10 Civil Rights Act of 1968, Title VIII (PL 90-284 Fair Housing Act)
 - **7.5.11** Age Discrimination Act of 1975
 - **7.5.12** Anti-Drug Abuse Act of 1988 (42 USC 11901 et. Seq.)
 - 7.5.13 HUD Information Bulletin 909-23
 - 7.5.14 Immigration Reform & Control Act of 1986
 - 7.5.15 Fair Labor Standards Act (29 USC 201, et. Seq.)

8.0 RECAP OF ATTACHMENTS:

It is the responsibility of each bidder to verify that he/she has received and/or downloaded the following attachments pertaining to this IFB, which by this reference are included herein as a part of this IFB:

TO LEGISLA	· · · · · · · · · · · · · · · · · · ·	
Attachment	Attachment Description	
Α	Bid Proposal Form	
В	Bid Bond Form	
С	Non-Collusive Affidavit Form	
D	Contractor Designation Form	
E	Equal Employment Opportunity Certification Form	
F	General Conditions (HUD-5369 & HUD-5370)	
G	Plans and Drawings from Fiero Engineering	
Н	Optional Section 3 Form	
ı	Directions for Preparation and Completion of	
	Performance and Payment Bonds ONLY THE	
	SELECTED/AWARDED CONTRACTOR WILL NEED	
	PERFORMANCE AND PAYMENT BONDS	

ATTACHMENT A

BID PROPOSAL FORM

(This Form must be fully completed and placed under Tab No. 1 of the "hard copy" tabbed bid submittal.)

- A. Form of Bid: Each bidder shall submit his/her bid amount on this form only, which shall be completed, signed and returned to RCHC with the completed Bid Proposal.
- B. Base Bid Amount: The Form of Bid shall be completed and submitted by the bidder. The undersigned, having familiarized themselves with the local conditions affecting the cost of the work (including Invitation for Bid, this Form of Bid, the Form of Bid Bond, the Form of Performance Bond and Payment Bond (Labor and Materials Payment Bond), the General Conditions, the Scope of Work/Technical Specifications, and Addenda (if any thereto) and all other documents in the bid package, should base their prices accordingly. The bid amount shall be all-inclusive of all related costs that the Contractor will incur to provide the noted services, including, but not limited to: employee wages and benefits, clerical support, overhead, profit, labor, licensing, taxes, fees, insurance, materials, supplies, tools, equipment, shipping, permits, long distance telephone calls; document copying; and services for the **Project** in strict accordance therewith and for the bid amount specified below:

	BASE BID	
Item #	Description	Bid/Fee Amount
1	Construction of the Thermal Lift Station and Connection to the existing CVWD Sewer Line at the Thermal Apts. as indicated in the contract documents.	\$
	TOTAL BASE BID AMOUNT	\$

C.	Basis for Detern	nining Lowest Bid:	The lowest bi	d shall be the low	est total of all base t	oid amounts receive	∌d
D.	Bid Guarantee:	Security in the s	-		of total base bid/foDollars (\$		-
	form of	is					
E.	Addendum(s): (i	f applicable) The Bid	lder acknowle	dges he/she recei	ived the following iss	sued addenda:	
	Addendum #1	Date:		Addendum #3	Date:	<u> </u>	
	Addendum #2	Date:		Addendum #4	Date:		
F.	Performance Bo	nd and Payment B	ond: The und	dersigned agrees	that, if he is selecte	d as the Contracto	r, he wil

- within ten days, Saturdays, Sundays, and legal holidays excluded, after presentation thereof by RCHC, execute a contract in accordance with the terms of this Form of Bid furnish a Performance Bond and a Payment Bond (Labor and Materials Payment Bond), each of a surety company qualified to do business under the laws of California and satisfactory to the Authority and each in the sum of at least one hundred percent of the contract price, the premium for which are to be paid by the Contractor and are included in the contract price.
- G. Quantities: The undersigned understands that RCHC reserves the right to increase or decrease the amount of any class or portion of the work, or to omit any item of the work as may be deemed necessary or expedient by RCHC. RCHC does not guarantee any minimum or maximum amount of work as a result of any award ensuing from this IFB. RCHC shall retain one contractor only and shall retain the right to order from that contractor (successful bidder), on a task order basis, any amount of services RCHC requires.

- **H. Non-Collusive:** The Bidder declares that he/she is the only person interested in this response and that this bid is made without connection or arrangement with any other person or RCHC employee, and that this bid is in every respect fair, in good faith, and without collusion or fraud.
- Time Limit: The undersigned hereby agrees to commence work under this contract on or after the date to be specified in the Notice to Proceed, and to fully complete the PROJECT within FORTY-FIVE (45) CALENDAR DAYS.
- J. Qualifications: The undersigned offers the following information as evidence of his qualifications to perform the work as bid upon, according to all the requirements of the plans and specifications. _____ since ___/_/__ 1. Have been in business under (present name) ____ Have you been awarded any jobs but failed to complete? __No __Yes (please explain): 2. 3. List work completed/ongoing in the last two years: Projects for Riverside Community Housing Corp. (if applicable) Contract Amount: Project Description Job was Davis Bacon or State Prevailing Wage Contact Person Name, Address Phone & Fax Nos. Completion Date: Owner Name: Contract Amount: Project Description State Prevailing Wage Job was Davis Bacon or Contact Person Name, Address Phone & Fax Nos. Owner Name: Completion Date: Other Projects Project Description Contract Amount: Davis Bacon or State Prevailing Wage or Other Job was Contact Person Name, Address Phone & Fax Nos. Completion Date: Owner Name: Contract Amount: Project Description Job was Davis Bacon or State Prevailing Wage or Other Contact Person Name. Address Phone & Fax Nos. Owner Name: Completion Date: _____ Branch Location: 4. Banking Information: Bank Name: ___ Account Name:
- L. Excise Tax Exemption: If under federal excise tax law any transaction hereunder constitutes a sale on which a federal excise tax is imposed, and the sale is exempt from such excise tax because it is a sale to a state or local government, then RCHC, upon request, will execute documents necessary to show: (1) that RCHC is a political subdivision for the purposes of such exemption; and (2) that the sale is for the exclusive use of RCHC. No excise

tax for such materials shall be included in any price (including, without limitation, the Bid) submitted by Contractor for the Work or for Changes in the Work. M. Labor: The undersigned hereby certifies that he is able to furnish labor that can work in harmony with all other elements of labor employed or to be employed on the work. N. EEO: The undersigned represents that he has (_____ __) he has not (_ _) participated in a previous contract or subcontract subject to the equal opportunity clause prescribed by U.S. Executive Orders 10925, 11114, or 11246 or the Secretary of Labor; that he has (_____) he has not (_____) filed all required compliance reports; and that representations indicating submission of required compliance reports; signed by proposed subcontractors will be obtained prior to subcontract awards. (The above representation need not be submitted in connection with contracts or subcontracts which are exempt from the clause). COMPLETED BY: (NOTE: The penalty for making false statements in bids/offers is prescribed in 18 U.S.C. 1001.) Title Email **Print Name** Telephone Number Signature Date Address (Street; City; State; Zip) Company Name CSLB License Number **Expiration Date CSLB License Designation** D.I.R. Registration Number **BIDDER'S STATEMENT** The undersigned bidder hereby states that by completing and submitting this Form and all other documents within this bid submittal, he/she is verifying that all information provided herein is, to the best of his/her knowledge, true and accurate, and that if RCHC discovers that any information entered herein to be false, such shall entitle RCHC to not consider or make award or to cancel any award with the undersigned party. Further, by completing and submitting the form of bid, the undersigned bidder is thereby agreeing to abide by all terms and conditions pertaining to this IFB as issued by RCHC, in hard copy, including an agreement to execute the attached Sample Contract form. Pursuant to all IFB Documents, this Bid Submittal Form, and all attachments, and pursuant to all completed Documents submitted, including these forms and all attachments, the undersigned proposes to supply RCHC with the services described herein for the fee(s) entered within the areas provided in the Form of Bid. **Printed Name** Signature Company Date

ATTACHMENT B

"Bid Bond Form"	
(This Form must be fully completed and placed under Tab No. 2 of the "hard copy" tabbed bid submitted	al.

(behind this page)

FORM OF BID BOND

KNOW ALL MEN BY THESE PRESENTS	S, that we the undersigned:	
		, as PRINCIPAL,
AND		, as SURETY,
are held and firmly bound unto the Rivers		
which sum well and truly to be made successors and assigns, jointly and seve	oliars, lawful money of the United S , we bind ourselves, our heirs, ex	tates for the payment o ecutors, administrators
THE CONDITION OF THIS OBLIGATION accompanying bid, dated		
NOW, THEREFORE, if the Principal shall the opening of the same, or, if no period be within the period specified therefore enter as accepted, and give bond with good a faithful performance and proper fulfillment within the period specified, or the failure to specified, if the Principal shall pay RCHC the amount for which RCHC may procure in excess of the former, then the above of full force and virtue.	e specified, within sixty (60) days after into a written contact with RCHC in and sufficient surety or sureties, as retof such contract; or in the event of the enter into such contract and give such the difference between the amount set the required work or supplies or bother.	r said opening, and shal accordance with the bid nay be required, for the withdrawal of said bid uch bond within the time specified in said bid and h, if the latter amount be
IN WITNESS WHEREOF, the above-bouseals this day ofrepresentative, pursuant to authority of its	, 2024, and these presents duly si	
In presence of:		
	(Individual Principal)	(seal)
(Address)	(Business Address)	
	(Individual Principal)	(seal)
	(Business Address)	

ATTEST:		
	5	(Corporate Principal)
	3	(Business Address)
	Ву: _	(Affix Corporate Seal)
ATTEST:		
	-	(Corporate-Surety)
	1	(Business Address)
	Ву: _	(Affix Corporate Seal)
(Print or type the names underneath all sig	gnatures	.)
Power -of-attorney for person signing for S	Surety Co	ompany must be attached to bond.)
CERTIFICATE AS	TO COF	RPORATE PRINCIPAL
who signed the said on behalf of the Princ of said corporation; that I know his signature	ipal was ure, and	fy that I am the the within bond; that then his signature thereto is genuine; and that said bond behalf of said corporation by authority of its governing
		(Corporate Seal)

ATTACHMENT C

"Non-Collusive Affidavit"
(This form must be fully completed and placed under Tab No. 3 of the "hard copy" tabbed bid submittal.)

(behind this page)

FORM OF NON-COLLUSIVE AFFIDAVIT

State of			
County of			
being first sworn, deposes	and says:		,
That he is(a pa	rtner or officer of the	e firm of, etc.)	
or sham; that said bidder sought-by agreement or co price of affiant or of any bid that of any other bidder, or	has not colluded, ollusion, or commun dder, or to fix any of to secure any adva	conspired, conni nication or confero overhead, profit or antage against Riv	al or bid is genuine and not collusived or agreed directly or indirectlence, with any person, to fix the becost element of said bid price, or verside Community Housing Corpeterents in said proposal or bid and
Bidder is: A Corporatio	n A	□ Partnership	□ An Individual
	Signature of Bidd	er	
	Printed Name		
	Printed Title		
Subscribed and sworn to be	efore me this	day of	, 20
My commission expires		·	

RIVERSIDE COMMUNITY HOUSING CORP. (RCHC)

ATTACHMENT D

CONTRACTOR DESIGNATION FORM

THIS TWO (2) PAGE FORM MUST BE COMPLETED BY EACH GENERAL AND SUB-CONTRACTOR

(This Form must be fully completed and placed under Tab No. 4 of the "nard copy" tabbed bid submittal.	1
(1) General/Prime Sub-contractor ¹ (This 2-page Form must be completed by each General and Sub-Contractor.)
(2) IF NO SUBCONTRACTORS WILL BE UTILIZIED, PLEASE CHECK THE FOLLOWING BOX No ☐ Initials	
(3) Name of Firm:Fax:Fax:	
(4) Street Address, City, State, Zip:	
(5) Please attached a brief biography/resume of the company, including the following information: (a) Year Firm Established; (b) Year Firm Established in [JURISDICTION]; (c) Former Name and Year Established applicable); (d) Name of Parent Company and Date Acquired (if applicable).	∍d (if
(6) Identify Principals/Partners in Firm (submit under Tab No. 7 a brief professional resume for each): NAME TITLE % OF OWNERSHI	5
NAME ITTLE % OF OWNERSHI	
(7) Identify the individual(s) that will act as project manager and any other supervisory personnel that will work on project please submit under Tab No. 7 a brief resume for each. (Do not duplicate any resumes required above): NAME TITLE	
(8) Proposer Diversity Statement: You must circle all of the following that apply to the ownership of this firm and enter w provided the correct percentage (%) of ownership of each: Caucasian Public-Held Government Non-Profit American (Male) Corporation Agency Organization Resident- (RBE), Minority- (MBE), or Woman-Owned (WBE) Business Enterprise (Qualifies by virtue of 51% or more ownership and active management by one or more of the following: Resident- African **Native Hispanic Asian/Pacific Hasidic Asian/Indian Owned* American American American American American Jew American Woman-Owned Woman-Owned Disabled Other (Specify): (MBE) (Caucasian) Veteran WMBE Certification Number: Certified by (Agency): (NOTE: A CERTIFICATION/NUMBER NOT REQUIRED TO PROPOSE – ENTER IF AVAILABLE) (9) Federal Tax ID No.: 100 County of Riverside Business License No.: 111 Contractor's State Licensing Board No.: 112 D.I.R. Registration No.: 113 D.I.R. Registration No.: 115 D)
Portion (Type) of Work:	
RIVERSIDE COMMUNITY HOUSING CORP. (RCHC)	

Page 30

CONTRACTOR DESIGNATION FORM - (ATTACHMENT F) - CONTINUED

THIS FORM MUST BE COMPLETED BY EACH GENERAL AND SUB-CONTRACTOR

Subcontractor who will perfo	rm work or la	f the Public Contract Code, the abor or render service in or abo of the work to be performed by	out the construction/installa	
Signature	Date	Printed Name & Title	Company	
		Yes □ No □		Initials
verifying that all information	provided he on entered h	gned bidder hereby states tha rein is, to the best of his/her k rerein is false, that shall entitle	nowledge, true and accura	ate, and agrees that if RCHC or make award or to cancel
collusive and that said bidd or person, to put in a sham agreement or collusion, or o proposer, to fix overhead, p	er entity has proposal or t communication profit or cost e	igned party submitting this bid not colluded, conspired, conn to refrain from proposing, and on or conference, with any per element of said proposal price in the proposed contract; and Yes No	ived or agreed, directly or has not in any manner, di rson, to fix the proposal pr , or that of any other bidde	indirectly, with any proposer rectly or indirectly sought by ice of affiant or of any other er or to secure any advantage
relationship with any Comm	issioner or C	m or any principals thereof hav Officer of RCHC? Yes □ tailed explanation, including d	No □	Initials
Government, any state gov California? Has this firm be assisted project?	ernment, the en de-desigr	or any principal(s) ever been State of California, or any loc ated as a contractor/bidder/ve Yes □ No □ tailed explanation, including d	al government agency wit endor of any government s	hin or without the State of sponsored or publicly Initials
nonperformance?	•	ur firm ever had a claim broug Yes □ No □ ımstances and any resolution		ach of contract or Initials
affiliated entities?	-	ur firm ever sued or been sued Yes □ No □ ımstances and any resolution	•	unity Housing Corp. or its Initials
properties owned by the firm	n or by any e	our firm have a record of subs entity or individual that compris Yes □ No □ cumstances and any resolutio	ses the Proposer?	ations or litigation against
•		Yes □ No □ cumstances and any resolutio		Initials
		or any member of your firm fai been made, privately or with a		·
If yes, when, with who and	state the circ	Yes □ No □ cumstances and any resolutio	n.	Initials
(13) Has, or is this firm or a entered into with a City/Cou		of your firm currently in default oublic agency?	on any contract obligation	n or agreement of any kind
If yes, when, with who and	state the circ	Yes □ No □ cumstances and any resolutio	n.	Initials
(12) Has your firm or any m	ember of yo	ur firm been a part to litigation	with a public entity?	

ATTACHMENT E

"Equal Employment Opportunity Certification Form" (This form must be fully completed and placed under Tab No. 5 of the "hard copy" tabbed bid submittal.)

(behind this page)

Equal Employment Opportunity Certification

Excerpt From 41 CFR §60-1.4(b)

U.S. Department of Housing and Urban Development Office of Housing Federal Housing Commissioner

Department of Veterans Affairs

OMB Control No. 2502-0029 (exp. 7/31/2009)

The applicant hereby agrees that it will incorporate or cause to be incorporated into any contract for construction work, or modification thereof, as defined in the regulations of the Secretary of Labor at 41 CFR Chapter 60, which is paid for in whole or in part with funds obtained from the Federal Government or borrowed on the credit of the Federal Government pursuant to a grant, contract, loan insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the following equal opportunity clause:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin, such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- (2) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive considerations for employment without regard to race, color, religion, sex, or national origin.
- (3) The contractor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (4) The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.
- (5) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to its books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

- (6) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- (7) The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, That in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency the contractor may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work:

Provided, That if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and Federally-assisted construction contracts pursuant to the Executive order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed

Firm Name and Address	Ву
	Title

upon contractors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

Excerpt from HUD Regulations

200.410Definition of term "applicant".

- (a) In multifamily housing transactions where controls over the mortgagor are exercised by the Commissioner either through the ownership of corporate stock or under the provisions of a regulatory agreement, the term "applicant" as used in this subpart shall mean the mortgagor.
- (b) In transactions other than those specified in paragraph(a) of this section, the term "applicant" as used in this subpart shall mean the builder, dealer or contractor performing the construction, repair or rehabilitation work for the mortgagor or other borrower.

200.420Equal Opportunity Clause to be included in contracts and subcontracts.

- (a) The following equal opportunity clause shall be included in each contract and subcontract which is not exempt:
 - During the performance of this contract, the contractor agrees as follows:
 - (1) The contractor will not discriminate against any employee or applicant for employment because of race, creed, color, or national origin. The contractor will take affirmative action to ensured that applicants are employed, and that employees are treated during employment without regard to their race, creed, color, or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of the nondiscrimination clause.
 - (2)The contractor will in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard race, creed, color, or national origin.
 - (3) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided, advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notices in conspicuous places available to employees and applicants for employment.
 - (4) The contractor will comply with all provisions of Executive Order 10925 of March 6 1961, as amended, and of the regulations, and relevant orders of the President's Committee on Equal Employment Opportunity created thereby.

- (5) The contractor will furnish all information and reports required by Executive Order 10925 of March 6, 1961, as amended, and by the regulations, and orders of the said Committee, or pursuant thereto, and will permit access to his books, records, and accounts by HUD and the Committee for purposes of investigation to ascertain compliance with such regulations, and orders.
- (6) In the event of the contractor's non-compliance with the nondiscrimination clause of this contract or with any of the said regulations, or orders, this contract may be cancelled, terminated or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or Federally-assisted construction contracts in accordance with procedures authorized in Executive Order 10925 of March 6, 1961, as amende, and such other sanctions may be imposed and remedies invoke s provided in the said Executive Order or by regulations, or order of the President's Committee on Equal Employment Opportunity, or as otherwise provided by law.
- (7) The contractor will include the provisions of Paragraphs(1) through (7) in every subcontract or purchase order unless exempted by regulations, or orders of the President's Committee on Equal Employment Opportunity issued pursuant to Section 303 of Executive Order 10925 of March 6, 1961, as amended, so that such provisions will be binding upon each subcontractor or vender. The contractor will take such action with respect to any subcontract or purchase orders as HUD may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vender as a result of such direction by HUD, the contractor may request the United States to enter into such litigation to protect the interests of the United States.
- (b) Except in subcontracts for the performance of construction work at the site of construction, the clause is not required to be inserted in subcontracts below the second tier. Subcontracts may incorporate by referenced to the equal opportunity clause.

200.425 Modification in and exemptions from the regulations in this subpart.

- (a) The following transactions and contracts are exempt from the regulations in this subpart:
 - (1) Loans, mortgages, contracts and subcontracts not exceeding \$10,000.
 - (2) Contract and subcontracts not exceeding \$100,000 for standard commercial supplies or raw material;
 - (3) Contracts and subcontracts under which work is to be or has been performed outside the United States and where no recruitment of workers within the United States in involved. To the extent that work pursuant to such contracts is done within the United States, the equal opportunity clause shall be applicable;
 - (4) Contracts for the sale of Government property where no appreciable amount of work is involved; and
 - (5) Contracts and subcontracts for an indefinite quantity which are not to extend for ore than one year if the purchaser determines that the amounts to be ordered under any such contract or subcontract are not reasonably expected to exceed \$100,000 in the case of contracts or subcontracts for standard commercial supplies and raw materials, or \$10,000 in the case of all other contracts and subcontracts.

ATTACHMENT F

"General Conditions as described in HUD Form 5369 and HUD Form 5370-C Sec II"
(behind this page)

RIVERSIDE COMMUNITY HOUSING CORP. (RCHC)

General Conditions for Non-Construction Contracts

Section II – (With Maintenance Work)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing
Office of Labor Relations
OMB Approval No. 2577-0157 (exp. 1/31/2017)

Public Reporting Burden for this collection of information is estimated to average 0.08 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600; and to the Office of Management and Budget, Paperwork Reduction Project (2577-0157), Washington, D.C. 20503. Do not send this completed form to either of these addressees.

Applicability. This form HUD-5370C has 2 Sections. These Sections must be inserted into non-construction contracts as described below:

- Non-construction contracts (without maintenance) greater than \$100,000 - use Section I;
- Maintenance contracts (including nonroutine maintenance as defined at 24 CFR 968.105) greater than \$2,000 but not more than \$100,000 - use Section II; and
- Maintenance contracts (including nonroutine maintenance), greater than \$100,000 – use Sections I and II.

Section II – Labor Standard Provisions for all Maintenance Contracts greater than \$2,000

1. Minimum Wages

- (a) All maintenance laborers and mechanics employed under this Contract in the operation of the project(s) shall be paid unconditionally and not less often than semi-monthly, and without subsequent deduction (except as otherwise provided by law or regulations), the full amount of wages due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Housing and Urban Development which is attached hereto and made a part hereof. Such laborers and mechanics shall be paid the appropriate wage rate on the wage determination for the classification of work actually performed, without regard to skill. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination, including any additional classifications and wage rates approved by HUD under subparagraph 1(b), shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.
- (b) (i) Any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the Contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate only when the following criteria have been met:
 - The work to be performed by the classification required is not performed by a classification in the wage determination;
 - (2) The classification is utilized in the area by the industry; and
 - (3) The proposed wage rate bears a reasonable relationship to the wage rates contained in the wage determination.
 - (ii) The wage rate determined pursuant to this paragraph shall be paid to all workers performing work

in the classification under this Contract from the first day on which work is performed in the classification.

2. Withholding of funds

The Contracting Officer, upon his/her own action or upon request of HUD, shall withhold or cause to be withheld from the Contractor under this Contract or any other contract subject to HUD-determined wage rates, with the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics employed by the Contractor or any subcontractor the full amount of wages required by this clause. In the event of failure to pay any laborer or mechanic employed under this Contract all or part of the wages required under this Contract, the Contracting Officer or HUD may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment or advance until such violations have ceased. The Public Housing Agency or HUD may, after written notice to the Contractor, disburse such amounts withheld for and on account of the Contractor or subcontractor to the respective employees to whom they are due.

3. Records

- (a) The Contractor and each subcontractor shall make and maintain for three (3) years from the completion of the work records containing the following for each laborer and mechanic:
 - (i) Name, address and Social Security Number;
 - (ii) Correct work classification or classifications;
 - (iii) Hourly rate or rates of monetary wages paid;
 - (iv) Rate or rates of any fringe benefits provided;
 - (v) Number of daily and weekly hours worked;
 - (vi) Gross wages earned;
 - (vii) Any deductions made; and
 - (viii) Actual wages paid.
- (b) The Contractor and each subcontractor shall make the records required under paragraph 3(a) available for inspection, copying, or transcription by authorized representatives of HUD or the HA and shall permit such representatives to interview employees during working hours on the job. If the Contractor or any subcontractor fails to make the required records available, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance or quarantee of funds.

4. Apprentices and Trainees

- (a) Apprentices and trainees will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in:
 - (i) A bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration (ETA), Office of

- Apprenticeship Training, Employer and Labor Services (OATELS), or with a state apprenticeship agency recognized by OATELS, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by OATELS or a state apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice; A trainee program which has received prior approval, evidenced by formal certification by the
- U.S. Department of Labor, ETA; or A training/trainee program that has received prior approval by HUD.

(ii)

- (b) Each apprentice or trainee must be paid at not less than the rate specified in the registered or approved program for the apprentice's/trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices and trainees shall be paid fringe benefits in accordance with the provisions of the registered or approved program. If the program does not specify fringe benefits, apprentices/trainees must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification.
- (c) The allowable ratio of apprentices or trainees to journeyman on the job site in any craft classification shall not be greater than the ratio permitted to the employer as to the entire work force under the approved program.
- (d) Any worker employed at an apprentice or trainee wage rate who is not registered in an approved program, and any apprentice or trainee performing work on the job site in excess of the ratio permitted under the approved program, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.
- (e) In the event OATELS, a state apprenticeship agency recognized by OATELS or ETA, or HUD, withdraws approval of an apprenticeship or trainee program, the employer will no longer be permitted to utilize apprentices/trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

5. Disputes concerning labor standards

- (a) Disputes arising out of the labor standards provisions contained in Section II of this form HUD-5370-C, other than those in Paragraph 6, shall be subject to the following procedures. Disputes within the meaning of this paragraph include disputes between the Contractor (or any of its subcontractors) and the HA, or HUD, or the employees or their representatives, concerning payment of prevailing wage rates or proper classification. The procedures in this section may be initiated upon HUD's own motion, upon referral of the HA, or upon request of the Contractor or subcontractor(s).
 - (f) A Contractor and/or subcontractor or other interested party desiring reconsideration of findings of violation by the HA or HUD relating to the payment of straight-time prevailing wages or classification of work shall request such reconsideration by letter postmarked within 30 calendar days of the date of notice of findings issued by the HA or HUD. The request shall set

reasons, including any affirmative defenses, with respect to the violations. The request shall be directed to the appropriate HA or HUD official in accordance with instructions contained in the notice of findings or, if the notice does not specify to whom a request should be made, to the Regional Labor Relations Officer (HUD). The HA (ii) or HUD official shall, within 60 days (unless otherwise indicated in the notice of findings) after receipt of a timely request for reconsideration, issue a written decision on the findings of violation. The written decision on reconsideration shall contain instructions that any appeal of the decision shall be addressed to the Regional Labor Relations Officer by letter postmarked within 30 calendar days after the date of the decision. In the event that the Regional Labor Relations Officer was the deciding official on reconsideration, the appeal shall be directed to the Director, Office of Labor Relations (HUD). Any appeal must set forth the aspects of the decision that are in dispute and the reasons, including any affirmative defenses. with respect to the violations. The Regional Labor Relations Officer shall, within 60 days (unless otherwise indicated in the decision on reconsideration) after receipt of a timely appeal, issue a written decision on the findings. A decision of the Regional Labor Relations Officer may be appealed to the Director, Office of Labor Relations. by letter postmarked within 30 days of the Regional Labor Relations Officer's decision. Any

forth those findings that are in dispute and the

(b) Disputes arising out of the labor standards provisions of paragraph 6 shall not be subject to paragraph 5(a) of this form HUD-5370C. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor set forth in 29 CFR Parts 5, 6 and 7. Disputes within the meaning of this paragraph 5(b) include disputes between the Contractor (or any of its subcontractors) and the HA, HUD, the U.S. Department of Labor, or the employees or their representatives.

Labor Relations, shall be

appeal to the Director must set forth the aspects of

the prior decision(s) that are in dispute and the

reasons. The decision of the Director, Office of

6. Contract Work Hours and Safety Standards Act

The provisions of this paragraph 6 are applicable only where the amount of the prime contract exceeds \$100,000. As used in this paragraph, the terms "laborers" and "mechanics" includes watchmen and guards.

- (a) Overtime requirements. No Contractor or subcontractor contracting for any part of the Contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.
- (b) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the provisions set forth in paragraph 6(a), the Contractor and any

subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to the District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the provisions set forth in paragraph (a) of this clause, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by provisions set forth in paragraph (a) of this clause.

(c) Withholding for unpaid wages and liquidated damages.
HUD or its designee shall upon its own action or upon written request of an authorized representative of the U.S. Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such Contract or any federal contract with the same prime Contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the provisions set forth in paragraph (b) of this clause.

7. Subcontracts

The Contractor or subcontractor shall insert in any subcontracts all the provisions contained in this Section II and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the provisions contained in these clauses.

8. Non-Federal Prevailing Wage Rates

Any prevailing wage rate (including basic hourly rate and any fringe benefits), determined under state law to be prevailing, with respect to any employee in any trade or position employed under the Contract, is inapplicable to the contract and shall not be enforced against the Contractor or any subcontractor, with respect to employees engaged under the contract whenever such non-Federal prevailing wage rate, exclusive of any fringe benefits, exceeds the applicable wage rate determined by the Secretary of HUD to be prevailing in the locality with respect to such trade or position.

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Instructions to Bidders for Contracts Public and Indian Housing Programs

Previous edition is obsolete form **HUD-5369** (10/2002)

Instructions to Bidders for Contracts

Public and Indian Housing Programs

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1. Bid Preparation and Submission

- (a) Bidders are expected to examine the specifications, drawings, all instructions, and, if applicable, the construction site (see also the contract clause entitled **Site Investigation and Conditions Affecting the Work** of the *General Conditions of the Contract for Construction*). Failure to do so will be at the bidders' risk.
- (b) All bids must be submitted on the forms provided by the Public Housing Agency/Indian Housing Authority (PHA/IHA). Bidders shall furnish all the information required by the solicitation. Bids must be signed and the bidder's name typed or printed on the bid sheet and each continuation sheet which requires the entry of information by the bidder. Erasures or other changes must be initialed by the person signing the bid. Bids signed by an agent shall be accompanied by evidence of that agent's authority. (Bidders should retain a copy of their bid for their records.)
- (c) Bidders must submit as part of their bid a completed form HUD-5369-A, "Representations, Certifications, and Other Statements of Bidders."
- (d) All bid documents shall be sealed in an envelope which shall be clearly marked with the words "Bid Documents," the Invitation for Bids (IFB) number, any project or other identifying number, the bidder's name, and the date and time for receipt of bids.
- (e) If this solicitation requires bidding on all items, failure to do so will disqualify the bid. If bidding on all items is not required, bidders should insert the words "No Bid" in the space provided for any item on which no price is submitted.
- (f) Unless expressly authorized elsewhere in this solicitation, alternate bids will not be considered.
- (g) Unless expressly authorized elsewhere in this solicitation, bids submitted by telegraph or facsimile (fax) machines will not be considered.
- (h) If the proposed contract is for a Mutual Help project (as described in 24 CFR Part 905, Subpart E) that involves Mutual Help contributions of work, material, or equipment, supplemental information regarding the bid advertisement is provided as an attachment to this solicitation.

2. Explanations and Interpretations to Prospective Bidders

- (a) Any prospective bidder desiring an explanation or interpretation of the solicitation, specifications, drawings, etc., must request it at least 7 days before the scheduled time for bid opening. Requests may be oral or written. Oral requests must be confirmed in writing. The only oral clarifications that will be provided will be those clearly related to solicitation procedures, i.e., not substantive technical information. No other oral explanation or interpretation will be provided. Any information given a prospective bidder concerning this solicitation will be furnished promptly to all other prospective bidders as a written amendment to the solicitation, if that information is necessary in submitting bids, or if the lack of it would be prejudicial to other prospective bidders.
- (b) Any information obtained by, or provided to, a bidder other than by formal amendment to the solicitation shall not constitute a change to the solicitation.

3. Amendments to Invitations for Bids

- (a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.
- (b) Bidders shall acknowledge receipt of any amendment to this solicitation (1) by signing and returning the amendment, (2) by identifying the amendment number and date on the bid form, or (3) by letter, telegram, or facsimile, if those methods are authorized in the solicitation. The PHA/IHA must receive acknowledgement by the time and at the place specified for receipt of bids. Bids which fail to acknowledge the bidder's receipt of any amendment will result in the rejection of the bid if the amendment(s) contained information which substantively changed the PHA's/IHA's requirements.
- (c) Amendments will be on file in the offices of the PHA/IHA and the Architect at least 7 days before bid opening.

4. Responsibility of Prospective Contractor

- (a) The PHA/IHA will award contracts only to responsible prospective contractors who have the ability to perform successfully under the terms and conditions of the proposed contract. In determining the responsibility of a bidder, the PHA/IHA will consider such matters as the bidder's:
 - (1) Integrity;
 - (2) Compliance with public policy;
 - (3) Record of past performance; and
 - (4) Financial and technical resources (including construction and technical equipment).
- (b) Before a bid is considered for award, the bidder may be requested by the PHA/IHA to submit a statement or other documentation regarding any of the items in paragraph (a) above. Failure by the bidder to provide such additional information shall render the bidder nonresponsible and ineligible for award.

5. Late Submissions, Modifications, and Withdrawal of Bids

- (a) Any bid received at the place designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it:
- (1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);
- (2) Was sent by mail, or if authorized by the solicitation, was sent by telegram or via facsimile, and it is determined by the PHA/IHA that the late receipt was due solely to mishandling by the PHA/IHA after receipt at the PHA/IHA; or
- (3) Was sent by U.S. Postal Service Express Mail Next Day Service Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working days" excludes weekends and observed holidays.
- (b) Any modification or withdrawal of a bid is subject to the same conditions as in paragraph (a) of this provision.
- (c) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the bid, modification, or withdrawal shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, bidders should request the postal clerk to place a hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.
- (d) The only acceptable evidence to establish the time of receipt at the PHA/IHA is the time/date stamp of PHA/IHA on the proposal wrapper or other documentary evidence of receipt maintained by the PHA/IHA.
- (e) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, bidders should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and Failure by a bidder to acknowledge receipt of the envelope or wrapper.
- (f) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful bid that makes its terms more favorable to the PHA/IHA will be considered at any time it is received and may be accepted.
- (g) Bids may be withdrawn by written notice, or if authorized by this solicitation, by telegram (including mailgram) or facsimile machine transmission received at any time before the exact time set for opening of bids; provided that written confirmation of telegraphic or facsimile withdrawals over the signature of the bidder is mailed and postmarked prior to the specified bid opening time. A bid may be withdrawn in person by a bidder or its authorized representative if, before the exact time set for opening of bids, the identity of the person requesting withdrawal is established and the person signs a receipt for the bid.

6. Bid Opening

All bids received by the date and time of receipt specified in the solicitation will be publicly opened and read. The time and place of opening will be as specified in the solicitation. Bidders and other interested persons may be present.

7. Service of Protest

(a) Definitions. As used in this provision:

"Interested party" means an actual or prospective bidder whose direct economic interest would be affected by the award of the contract.

"Protest" means a written objection by an interested party to this solicitation or to a proposed or actual award of a contract pursuant to this solicitation.

(b) Protests shall be served on the Contracting Officer by obtaining written and dated acknowledgement from —

[Contracting Officer designate the official or location where a protest may be served on the Contracting Officer]

(c) All protests shall be resolved in accordance with the PHA's/IHA's protest policy and procedures, copies of which are maintained at the PHA/IHA.

8. Contract Award

- (a) The PHA/IHA will evaluate bids in response to this solicitation without discussions and will award a contract to the responsible bidder whose bid, conforming to the solicitation, will be most advantageous to the PHA/IHA considering only price and any price-related factors specified in the solicitation.
- (b) If the apparent low bid received in response to this solicitation exceeds the PHA's/IHA's available funding for the proposed contract work, the PHA/IHA may either accept separately priced items (see 8(e) below) or use the following procedure to determine contract award. The PHA/IHA shall apply in turn to each bid (proceeding in order from the apparent low bid to the high bid) each of the separately priced bid deductible items, if any, in their priority order set forth in this solicitation. If upon the application of the first deductible item to all initial bids, a new low bid is within the PHA's/IHA's available funding, then award shall be made to that bidder. If no bid is within the available funding amount, then the PHA/IHA shall apply the second deductible item. The PHA/IHA shall continue this process until an evaluated low bid, if any, is within the PHA's/IHA's available funding. If upon the application of all deductibles, no bid is within the PHA's/IHA's available funding, or if the solicitation does not request separately priced deductibles, the PHA/IHA shall follow its written policy and procedures in making any award under this solicitation.
- (c) In the case of tie low bids, award shall be made in accordance with the PHA's/IHA's written policy and procedures.
- (d) The PHA/IHA may reject any and all bids, accept other than the lowest bid (e.g., the apparent low bid is unreasonably low), and waive informalities or minor irregularities in bids received, in accordance with the PHA's/IHA's written policy and procedures.

- (e) Unless precluded elsewhere in the solicitation, the PHA/IHA may accept any item or combination of items bid.
- (f) The PHA/IHA may reject any bid as nonresponsive if it is materially unbalanced as to the prices for the various items of work to be performed. A bid is materially unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated for other work.
- (g) A written award shall be furnished to the successful bidder within the period for acceptance specified in the bid and shall result in a binding contract without further action by either party.

Bid Guarantee (applicable to construction and equipment contracts exceeding \$25,000)

All bids must be accompanied by a negotiable bid guarantee which shall not be less than five percent (5%) of the amount of the bid. The bid guarantee may be a certified check, bank draft, U.S. Government Bonds at par value, or a bid bond secured by a surety company acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. In the case where the work under the contract will be performed on an Indian reservation area, the bid guarantee may also be an irrevocable Letter of Credit (see provision 10, Assurance of Completion, below). Certified checks and bank drafts must be made payable to the order of the PHA/IHA. The bid guarantee shall insure the execution of the contract and the furnishing of a method of assurance of completion by the successful bidder as required by the solicitation. Failure to submit a bid guarantee with the bid shall result in the rejection of the bid. Bid quarantees submitted by unsuccessful bidders will be returned as soon as practicable after bid opening.

10. Assurance of Completion

- (a) Unless otherwise provided in State law, the successful bidder shall furnish an assurance of completion prior to the execution of any contract under this solicitation. This assurance may be [Contracting Officer check applicable items] —
- [] (1) a performance and payment bond in a penal sum of 100 percent of the contract price; or, as may be required or permitted by State law;
- [] (2) separate performance and payment bonds, each for 50 percent or more of the contract price;
- [] (3) a 20 percent cash escrow;
- [] (4) a 25 percent irrevocable letter of credit; or,
- [] (5) an irrevocable letter of credit for 10 percent of the total contract price with a monitoring and disbursements agreement with the IHA (applicable only to contracts awarded by an IHA under the Indian Housing Program).
- (b) Bonds must be obtained from guarantee or surety companies acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. Individual sureties will not be considered. U.S. Treasury Circular Number 570, published annually in the Federal Register, lists companies approved to act as sureties on bonds securing Government contracts, the maximum underwriting limits on each contract bonded, and the States in which the company is licensed to do business. Use of companies listed in this circular is mandatory. Copies of the circular may be downloaded on the U.S. Department of Treasury website http://www.fms.treas.gov/c570/index.html, or ordered for a minimum fee by contacting the Government Printing Office at (202) 512-2168.

- (c) Each bond shall clearly state the rate of premium and the total amount of premium charged. The current power of attorney for the person who signs for the surety company must be attached to the bond. The effective date of the power of attorney shall not precede the date of the bond. The effective date of the bond shall be on or after the execution date of the contract.
- (d) Failure by the successful bidder to obtain the required assurance of completion within the time specified, or within such extended period as the PHA/IHA may grant based upon reasons determined adequate by the PHA/IHA, shall render the bidder ineligible for award. The PHA/IHA may then either award the contract to the next lowest responsible bidder or solicit new bids. The PHA/IHA may retain the ineligible bidder's bid guarantee.

11. Preconstruction Conference (applicable to construction contracts)

After award of a contract under this solicitation and prior to the start of work, the successful bidder will be required to attend a preconstruction conference with representatives of the PHA/IHA and its architect/engineer, and other interested parties convened by the PHA/IHA. The conference will serve to acquaint the participants with the general plan of the construction operation and all other requirements of the contract (e.g., Equal Employment Opportunity, Labor Standards). The PHA/IHA will provide the successful bidder with the date, time, and place of the conference.

- 12. Indian Preference Requirements (applicable only if this solicitation is for a contract to be performed on a project for an Indian Housing Authority)
- (a) HUD has determined that the contract awarded under this solicitation is subject to the requirements of section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e(b)). Section 7(b) requires that any contract or subcontract entered into for the benefit of Indians shall require that, to the greatest extent feasible
- (1) Preferences and opportunities for training and employment (other than core crew positions; see paragraph (h) below) in connection with the administration of such contracts or subcontracts be given to qualified "Indians." The Act defines "Indians" to mean persons who are members of an Indian tribe and defines "Indian tribe" to mean any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians; and,
- (2) Preference in the award of contracts or subcontracts in connection with the administration of contracts be given to Indian organizations and to Indian-owned economic enterprises, as defined in section 3 of the Indian Financing Act of 1974 (25 U.S.C. 1452). That Act defines "economic enterprise" to mean any Indianowned commercial, industrial, or business activity established or organized for the purpose of profit, except that the Indian ownership must constitute not less than 51 percent of the enterprise; "Indian organization" to mean the governing body of any Indian tribe or entity established or recognized by such governing body; "Indian" to mean any person who is a member of any tribe, band, group, pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs and any "Native" as defined in the Alaska Native Claims Settlement Act: and Indian "tribe" to mean any Indian tribe, band, group, pueblo, or community including Native villages and Native groups (including

corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs.

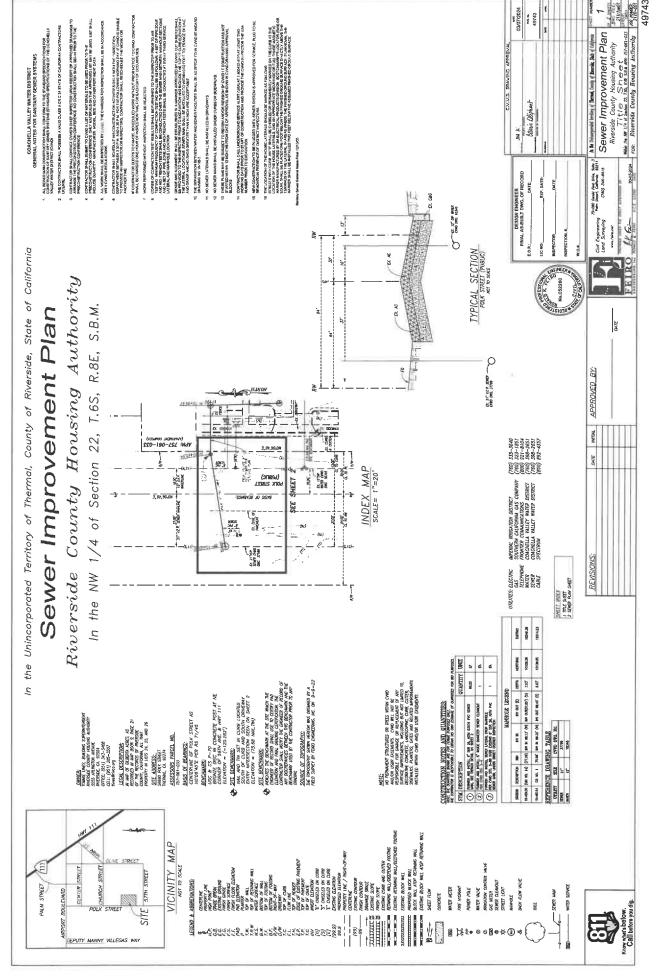
- (b) (1) The successful Contractor under this solicitation shall comply with the requirements of this provision in awarding all subcontracts under the contract and in providing training and employment opportunities.
- (2) A finding by the IHA that the contractor, either (i) awarded a subcontract without using the procedure required by the IHA, (ii) falsely represented that subcontracts would be awarded to Indian enterprises or organizations; or, (iii) failed to comply with the contractor's employment and training preference bid statement shall be grounds for termination of the contract or for the assessment of penalties or other remedies.
- (c) If specified elsewhere in this solicitation, the IHA may restrict the solicitation to qualified Indian-owned enterprises and Indian organizations. If two or more (or a greater number as specified elsewhere in the solicitation) qualified Indian-owned enterprises or organizations submit responsive bids, award shall be made to the qualified enterprise or organization with the lowest responsive bid. If fewer than the minimum required number of qualified Indian-owned enterprises or organizations submit responsive bids, the IHA shall reject all bids and readvertise the solicitation in accordance with paragraph (d) below.
- (d) If the IHA prefers not to restrict the solicitation as described in paragraph (c) above, or if after having restricted a solicitation an insufficient number of qualified Indian enterprises or organizations submit bids, the IHA may advertise for bids from non-Indian as well as Indian-owned enterprises and Indian organizations. Award shall be made to the qualified Indian enterprise or organization with the lowest responsive bid if that bid is -
- (1) Within the maximum HUD-approved budget amount established for the specific project or activity for which bids are being solicited; and
- (2) No more than the percentage specified in 24 CFR 905.175(c) higher than the total bid price of the lowest responsive bid from any qualified bidder. If no responsive bid by a qualified Indian-owned economic enterprise or organization is within the stated range of the total bid price of the lowest responsive bid from any qualified enterprise, award shall be made to the bidder with the lowest bid.
- (e) Bidders seeking to qualify for preference in contracting or subcontracting shall submit proof of Indian ownership with their bids. Proof of Indian ownership shall include but not be limited to:
- (1) Certification by a tribe or other evidence that the bidder is an Indian. The IHA shall accept the certification of a tribe that an individual is a member.
- (2) Evidence such as stock ownership, structure, management, control, financing and salary or profit sharing arrangements of the enterprise.

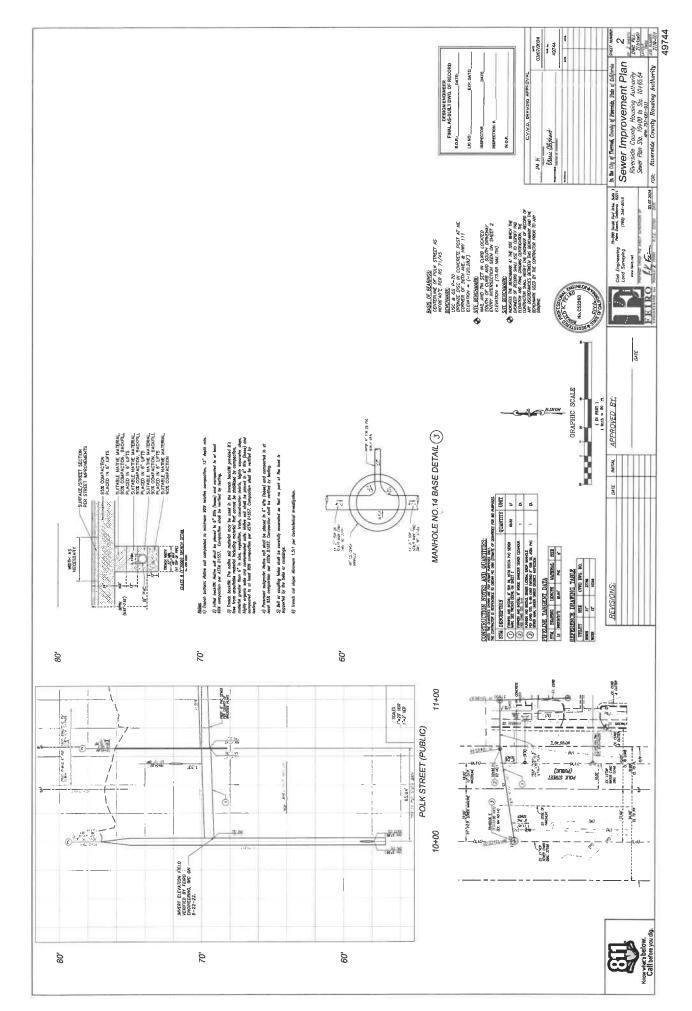
- (f) (1) All bidders must submit with their bids a statement describing how they will provide Indian preference in the award of subcontracts. The specific requirements of that statement and the factors to used by the IHA in determining the statement's adequacy are included as an attachment to this solicitation. Any bid that fails to include the required statement shall be rejected as nonresponsive. The IHA may require that comparable statements be provided by subcontractors to the successful Contractor, and may require the Contractor to reject any bid or proposal by a subcontractor that fails to include the statement.
- (2) Bidders and prospective subcontractors shall submit a certification (supported by credible evidence) to the IHA in any instance where the bidder or subcontractor believes it is infeasible to provide Indian preference in subcontracting. The acceptance or rejection by the IHA of the certification shall be final. Rejection shall disqualify the bid from further consideration.
- (g) All bidders must submit with their bids a statement detailing their employment and training opportunities and their plans to provide preference to Indians in implementing the contract; and the number or percentage of Indians anticipated to be employed and trained. Comparable statements from all proposed subcontractors must be submitted. The criteria to be used by the IHA in determining the statement(s)'s adequacy are included as an attachment to this solicitation. Any bid that fails to include the required statement(s), or that includes a statement that does not meet minimum standards required by the IHA shall be rejected as nonresponsive.
- (h) Core crew employees. A core crew employee is an individual who is a bona fide employee of the contractor at the time the bid is submitted; or an individual who was not employed by the bidder at the time the bid was submitted, but who is regularly employed by the bidder in a supervisory or other key skilled position when work is available. Bidders shall submit with their bids a list of all core crew employees.
- (i) Preference in contracting, subcontracting, employment, and training shall apply not only on-site, on the reservation, or within the IHA's jurisdiction, but also to contracts with firms that operate outside these areas (e.g., employment in modular or manufactured housing construction facilities).
- (j) Bidders should contact the IHA to determine if any additional local preference requirements are applicable to this solicitation.
- (k) The IHA [] does [] does not [Contracting Officer check applicable box] maintain lists of Indian-owned economic enterprises and Indian organizations by specialty (e.g., plumbing, electrical, foundations), which are available to bidders to assist them in meeting their responsibility to provide preference in connection with the administration of contracts and subcontracts.

ATTACHMENT G

"Plans and Drawing from Fiero Engineering"
(behind this page)

RIVERSIDE COMMUNITY HOUSING CORP. (RCHC)





INVITATION FOR BIDS (IFB) NO. 2024-008 THERMAL LIFT STATION PROJECT AT THERMAL APTS.



RIVERSIDE COMMUNITY HOUSING CORP



SECTION 3
24 CFR PART 135

ECONOMIC OPPORTUNITIES FOR LOW-AND VERY LOW-INCOME PERSONS

CONTRACT REQUIREMENTS

RIVERSIDE COMMUNITY HOUSING CORP CERTIFICATION FOR BUSINESS CONCERNS SEEKING SECTION 3 BUSINESS PREFERENCE IN CONTRACTING AND DEMONSTRATION OF CAPABILITY

NAME OF BUSINESS:									
Address of Business:									
TYPE OF BUSINESS:	Corporation	Partnersh	ip	Sole	Proprietor	ship	J	oint Ventu	re
Attached is the following doc	umentation as evidence o	f status:							
For business claiming stat	us as a Section 3 reside	nt-owned Ente	rprise:						
Copy of resident lease	Other evidence	Сору	of evidenc	e of partic	ipation in a	public as	ssistance p	rogram	
For the business entity as	applicable:								
Copy of Articles of Incom	poration					Certificat	e of Good	Standing	
Assumed Business Nan	ne Certificate					Partnersh	nip Agreen	nent	
List of owners/stockhold	ler and % of each					Corporati	ion Annua	Report	
Latest Board minutes ap	opointing officers					Additiona	I documer	ıtation	
Organization chart with	names and titles and brief	functional state	ement						
For business claiming Sec	tion 3 status by subcont	racting 25% of	the dolla	r awarde	d to qualifi	ied Section	on 3 busir	ness:	
List of subcontracted Se	ection 3 business and sub	contract amoun	t						
For business claiming Sec 3 eligible residents within 3					currently	Section	3 residen	ts or were	e Section
List of all current full tim	e employees		List o	f all emplo	yees claim	ing Section	on 3 status	;	
PHA Residential lease (of Section	3 status ((less than3	3 years	
Evidence of ability to perfo	rm successfully under t	he terms and o	conditions	s of the p	roposed co	ontract:			
Current financial statem	ent		List c	f owned e	quipment				
Statement of ability to co	omply		List o	f all contra	acts for the	past 2 ye	ars with po	policy sildı	1
Effective 07/01/2014									
Persons in Household		1	2	3	4	5	6	7	8
		\$34,000	\$38,850	\$43,700	\$48,550	\$52,450	\$56,350	\$60,250	\$64,100
A new hire is qualified as a S less than the family income s			erside or S	an Bernai	dino Count	y and his	/her total f	amily incor	me is
Corporate Seal									
Authorizing Name and Sign	ature			No	otary				
Title		M	y term ext	oires:					
Signature		Da	te				Printe	ed Name	
Olginature		Da	.0				1 mile	-G Hallie	

Section 3 Business Preference

HOUSING AUTHORITY OF THE COUNTY OF RIVERSIDE

I. Section 135.1 Purpose

The purpose of Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) (Section 3) is to ensure that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing Federal, State and local laws and regulations, be directed to lowand very low-income persons, particularly those who are recipients of government assistance for housing, and to business concerns which provide economic opportunities to low- and very low-income persons.

Section 135.30 Numerical Goals for Meeting the Greatest Extent Feasible Requirement

A. GENERAL

- (1) Recipients and covered contractors may demonstrate compliance with the "greatest extent feasible" requirement of Section 3 by meeting the numerical goals set forth in this Section for providing training, employment, and contracting opportunities to Section 3 residents and Section 3 Business Concerns.
- (2) The goals established in this section apply to the entire amount of the Section 3 covered assistance awarded to a recipient in any Federal Fiscal Year (FY) commencing with the first FY following the effective date of this rule (October 1, 1994).
- (3) For Recipients that do not engage in training, or hiring, but award contracts to contractors that will engage in training, hiring and subcontracting, recipients must ensure that, to the greatest extent feasible, contractors will provide training, employment, and contracting opportunities to Section 3 residents and Section 3 Business Concerns.
- (4) The numerical goals established in this Section represent minimum numerical goals.

B. TRAINING AND EMPLOYMENT

The numerical goals set forth in this Section apply to new hires. The numerical goals reflect the aggregate hires. Efforts to employ Section 3 residents, to the greatest extent feasible, should be made at all levels.

Recipients of Section 3 covered community development assistance, and their contractors and subcontractors may demonstrate compliance with the requirements of this part by committing to employ Section 3 residents as:

- (i) 10 percent of the aggregate number of new hires for the one year period beginning in FY 1995 (October 1, 1994 to September 30, 1995),
- (ii) 20 percent of the aggregate number of the new hires for the one year period beginning in FY 1996 (October 1, 1995 to September 1996); and
- (iii) 30 percent of the aggregate number of new hires for the one year period beginning in FY 1997 and continuing thereafter (October 1, 1996 and thereafter).

C. CONTRACTS

Numerical goals set forth in this Section apply to contracts awarded in connection with all Section 3 covered project and Section 3 covered activities. Each recipient and contractor and subcontractor may demonstrate compliance with the requirements of this part by committing to award to Section 3 Business Concerns:

- (1) At least 10 percent to of the total dollar amount of all Section 3 covered contracts for building trades work arising in connection with housing rehabilitation, housing construction and other public construction; and
- (2) At least three (3) percent of the total dollar amount of all other Section 3 covered contracts.

D. SAFE HARBOR AND COMPLIANCE DETERMINATIONS

- (1) In the absence of evidence to the contrary, a recipient that meets the minimum numerical goals set forth in this section will be considered to have complied with the Section 3 preference requirements.
- (2) In evaluating compliance, a recipient that has not met the numerical goals set forth in this section has the burden of demonstrating why it was not feasible to meet the numerical goals set forth in this section. Such justification may include impediments encountered despite actions taken. A recipient or contractor also can indicate other economic opportunities, such as those listed in Sec. 135.40, which were provided in its efforts to comply with Section 3 and the requirement of this part.

III. <u>SECTION 135.34 Preference for Section 3 Residents in Training and Employment Opportunities.</u>

- A. Order of providing preference. Recipients, contractors, and subcontractors shall direct their efforts to provide, to the greatest extent feasible, training and employment opportunities generated from the expenditure of Section 3 covered assistance to Section 3 residents in the order of priority provided in this section.
 - (1) Housing and community development programs. In housing and community development programs, priority consideration shall be given, where feasible, to:
 - (i) Section 3 residents residing in the Riverside or San Bernardino County (collectively, referred to as category 1 residents); and
 - (ii) Participants in HUD Youth build programs (category 2 residents).
 - (iii) Where the Section 3 project is assisted under the Stewart B. McKinney NSP3less Assistance Act (42 U.S.C. 11301 et seq.), NSP3less persons residing in the Riverside or San Bernardino County shall be given the highest priority;
- B. Eligibility for Preference: A Section 3 resident seeking the preference in training and employment provided by this part shall certify, or submit evidence to the recipient contractor or subcontractor, if requested, that the person is a Section 3 resident, as defined in Sec. 135.5 (An example of evidence of eligibility for the preference is evidence of receipt of public assistance, or evidence of participation in a public assistance program.)
- C. Eligibility for employment: Nothing in this part shall be construed to require the employment of a Section 3 resident who does not meet the qualifications of the position to be filled.

IV SECTION 135.36 Preference for Section 3 Business Concerns in Contracting Opportunities.

- A. Order of Providing Preference: Recipients, contractors and subcontractors shall direct their efforts to award Section 3 covered contract, to the greatest extent feasible, to Section 3 Business Concerns in the order of priority provided in this section.
 - (1) Housing and community development programs. In housing and community development programs, priority consideration shall be given, where feasible, to:
 - (i) Section 3 business concerns that provide economic opportunities for Section 3 residents in the Riverside or San Bernardino County (category 1 businesses); and
 - (ii) Applicants (as this term is defined in 42 U.S.C. 12899) selected to carry out HUD Youthbuild programs (category 2 businesses);
 - (iii) Other Section 3 business concerns.
- B. Eligibility for Preference: A Business Concern seeking to qualify for a Section 3 contracting preference shall certify or submit evidence, if requested, that the Business Concern is a Section 3 Business Concern as defined in Section 135.5.
- C. Ability to Complete Contract: A Section 3 Business Concern seeking a contract or a subcontract shall submit evidence to the recipient, contractor, or subcontractor (as applicable), if requested, sufficient to demonstrate to the satisfaction of the party awarding the contract that the business concern is responsible and has the ability to perform successfully under the terms and conditions of the proposed contract. (The ability to perform successfully under the terms and conditions of the proposed contract is required of all contractors and subcontractors subject to the procurement standards of 24 CFR 85.36 (see 24 CFR 85.36 (b) (8)). This regulation requires consideration of, among other factors, the potential contractor's record in complying with public policy requirements. Section 3 compliance is a matter properly considered as part of this determination.

SECTION 135.38 Section 3 Clause.

All Section 3 covered contracts shall include the following clause (referred to as the Section 3 clause):

- A. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance of HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low-and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- B. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- C. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both

employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

- D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate actions, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.
- E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 35 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.

 Furthermore, the contractor shall, to the maximum extent feasible, fill any vacant and/or training positions with persons who reside in the vicinity, defined as the Target Area the RCHC is contracted for, or contract and/or subcontract with small businesses that are owned and operated by persons residing in the vicinity of such Target Area.
- F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- G. With respect to work performed in connection with Section 3 covered Indian housing assistance, Section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprise. Parties to this contract that are subject to the provisions of Section 3 and Section 7(b) agree to comply with Section 3 to the maximum extent feasible, but not in derogation of compliance with Section 7(b).

VI. <u>SECTION 135.40 Providing Other Economic Opportunities</u>

- A. General. In accordance with the findings of the Congress, as stated in Section 3, that other economic opportunities offer an effective means of empowering low-income persons, a recipient is encouraged to undertake efforts to provide to low-income persons economic opportunities other than training, employment, and contract awards, in connection with Section 3 covered assistance.
- B. Other training and employment related opportunities. Other economic opportunities to train and employ Section 3 residents include, but need not be limited to, use of "upward mobility", "bridge" and trainee positions to fill vacancies; hiring Section 3 residents in management and maintenance positions within other housing developments; and hiring Section 3 residents in part-time positions.

- C. Other business related economic opportunities:
 - (1) A recipient or contractor may provide economic opportunities to establish stabilize or expand Section 3 Business Concerns, including microenterprises. Such opportunities include, but are not limited to the formation of Section 3 Joint Ventures, financial support for affiliating with franchise development, use of labor only contracts for building trades, purchase of supplies and materials from housing authority resident-owned businesses, purchase of materials and supplies from Public Housing Agency resident-owned businesses. A recipient or contractor may employ these methods directly or may provide incentives to non-Section 3 businesses to utilize such methods to provide other economics opportunities to low-income persons.
 - (2) A Section 3 Joint Venture means an association of Business Concerns, one of which qualifies as a Section 3 Business Concern, formed by written joint venture agreement to engage in and carry out a specific business venture for which purpose the Business Concerns combine their efforts, resources, and skills for joint profit, but not necessarily on a continuing or permanent basis for conducting business generally, and for which the Section 3 Business Concern:
 - (i) Is responsible for clearly defined portion of the work to be performed and holds management responsibilities in the joint venture; and
 - (ii) Performs at least 25 percent of the work and is contractually entitled to compensation proportionate to its work.

VII. SECTION 135.5 Definitions.

As used in this part:

Applicant means any entity which makes an application for Section 3 covered assistance and includes, but is not limited to, any State, unit of local government, public housing agency, Indian housing authority, Indian tribe, or other public body, public or private nonprofit organization, private agency or institution, mortgagor, owner, developer, limited dividend sponsor, builder, property manager, community housing development organization (CHDO), resident management corporation, resident council, or cooperative association.

Assistant Secretary means the Assistant Secretary for Fair Housing and Equal Opportunity.

Business Concern means a business entity formed in accordance with State law, and which is licensed under State, county or municipal law to engage in the type of business activity for which it was formed.

Contract. See the definition of "Section 3 covered contract" in this section.

Contractor means any entity which contracts to perform work generated by the expenditure of Section 3 covered assistance, or for work in connection with a Section 3 covered project.

Department or HUD means the Department of Housing and Urban Development, including its Field Offices to which authority has been delegated to perform functions under this part.

Employment opportunities generated by Section 3 covered assistance means (with respect to Section 3 covered housing and community development assistance), this term means all employment opportunities arising in connection with Section 3 covered projects (as described in Section 135.3(a) (2)), including management and administrative jobs connected with the Section 3

covered project. Management and administrative jobs, include architectural, engineering or related professional services required to prepare plans, drawings, specifications, or work write-ups; and jobs directly related to administrative support of these activities, e.g., construction manager, relocation specialist, payroll clerk, etc.

Housing and community development assistance means any financial assistance provided or otherwise made available through a HUD housing or community development program through any grant, loan, loan guarantee, cooperative agreement, or contract, and includes community development funds in the form of community development block grants, and loans guaranteed under Section 108 of the Housing and Community Development Act of 1974, as amended. Housing and community development assistance does not include financial assistance provided through a contract of insurance or guaranty.

Housing development means low-income housing owned, developed, or operated by public housing agencies or Indian housing authorities in accordance with HUD's public and Indian housing program regulations codified in 24 CFR Chapter IX.

HUD Youth build Programs means programs that receive assistance under subtitle D of Title IV of the National Affordable Housing Act, as amended by the Housing and Community Development Act of 1992 (42 U.S.C. 12899), and provide disadvantaged youth with opportunities for employment, education, leadership development, and training in the construction or rehabilitation of housing for NSP3less individuals and members of low and very low-income families.

Local Hiring Requirement means pursuant to the NSP 3 regulations, and to the maximum extent feasible, provide for the hiring of employees who reside in the vicinity, defined as the Target Area the RCHC is contracted for, or contract with small businesses that are owned and operated by persons residing in the vicinity of such Target Area. County has adopted the Section 3 applicability of thresholds for community development assistance at 24 CFR 135.3(a)(3)(ii). RCHC shall establish a Local Hiring Schedule that establishes the hiring process, workforce needs, and approximate timetable to be followed by the RCHC and subcontractors for construction hiring to achieve the overall requirements of the local hiring requirement. The Local Hiring Schedule shall include an estimate of: number of workers including hourly pay rate or work hours required per month, per day, per trade, and total for the project. Prior to commencing work, a Letter of Assent must be signed by RCHC. The Letter of Assent shall state that all parties doing construction work on Project have read, understand, and accept the terms of the Local Hiring Requirement, and are aware that they are bound to fulfilling the requirements. RCHC shall provide to the County of all efforts made to adhere to this requirement. RCHC shall provide a Local Hiring Plan to address elements of the Local Hiring Schedule and explain how the RCHC has performed outreach and met this requirement to the maximum extent feasible. The Local Hiring Plan shall include numerical goals committed to local hiring which shall be consistent with Section 3 applicability of thresholds for community development assistance at 24 CFR 135.3(a)(3)(ii).

Low income person. See the definition of "Section 3 Resident" in this section.

New hires mean full-time employees for permanent, temporary, or seasonal employment opportunities.

Public Housing resident has the meaning given this term in 24 CFR Part 963.

Recipient means any entity which receives Section 3 covered assistance, directly from HUD or from another recipient and includes, but is not limited to, any State, unit or local government, PHA, Indian Housing Authority, Indian tribe, or other public body, public or private nonprofit organization, private agency or institution, mortgagor, owner, PARTICIPANT, developer, limited dividend sponsor, builder, property manager, community development organization, resident management corporation, resident council, or cooperative association. Recipient also includes any

successor, assignee, or transferee of any such entity, but does not include any ultimate beneficiary under the HUD program to which Section 3 applies and does not include contractors.

Secretary means the Secretary of Housing and Urban Development.

Section 3 means Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701u)

Section 3 Business Concern means a business concern, as defined in this Section:

- (1) That is 51 percent or more owned by Section 3 residents; or
- (2) Whose permanent, full-time employees include persons, at least 30 percent of whom are currently Section 3 residents, or within three years of the date of first employment with the business concern were Section 3 residents; or
- (3) That provides evidence of a commitment to subcontract in excess of 25 percent of the dollar award of all subcontracts to be awarded to business concerns that meet the qualifications set forth in Sections (1) or (2) in this definition of "Section 3 Business Concern"

Section 3 Clause means the contract provisions set forth in Sec. 135.38.

Section 3 covered activity means any activity which is funded by Section 3 covered assistance public and Indian housing assistance.

Section 3 covered assistance means:

- (1) Assistance provided under any HUD housing or community development program that is expended for work arising in connection with:
 - (i) Housing rehabilitation (including reduction and abatement of lead-based paint hazards, but excluding routine maintenance, repair and replacement):
 - (ii) Housing construction; or
 - (iii) Other public construction project (which includes other buildings or improvements regardless of ownership).

Section 3 covered contract means a contract or subcontract (including a professional service contract) awarded by a recipient or contractor for work generated by the expenditure of Section 3 covered assistance, or for work arising in connection with a Section 3 covered project. "Section 3 covered contracts" do not include contracts awarded under HUD's procurement program, which are governed by the Federal Acquisition Regulation System (see 48 CFR, Chapter 1). "Section 3 covered contracts" also do not include contracts for the purchase of supplies and materials. However, whenever a contract for materials includes the installation of the materials, the contract constitutes a Section 3 covered contract. For example, a contract for the purchase and installation of a furnace would be a Section 3 covered contract because the contract is for work (i.e., the installation of the furnace) and thus is covered by Section 3.

Section 3 covered project means the construction, reconstruction, conversion or rehabilitation of housing (including reduction and abatement of lead-based paint hazards), other public construction which includes buildings or improvements (regardless of ownership) assisted with housing or community development assistance.

Section 3 resident means:

- (1) A public housing resident; or
- (2) An individual who resides in the San Bernardino or Riverside County, and who is:

- (i) A low income person, is defined as families whose incomes do not exceed 80 percent of the median income for the Riverside and San Bernardino Counties, as determined by the Secretary, with adjustments for smaller and larger families.
- (ii) A very low income person, is defined as families whose incomes do not exceed 50 percent of the median income for the Riverside and San Bernardino Counties, as determined by the Secretary, with adjustments for smaller and larger families.
- (iii) A person seeking the training and employment preference provided by Section 3 bears the responsibility of providing evidence (if requested) that the person is eligible for the preference.

Subcontractor means any entity (other than a person who is an employee of the contractor) which has a contract with a contractor to undertake a portion of the contractor's obligation for the performance of work generated by the expenditure of Section 3 covered assistance, or arising in connection with a Section 3 covered project.

Very low-income person. See the definition of "Section 3 resident" in this section.

PREFERENCE FOR SECTION 3 BUSINESS CONCERNS IN CONTRACTING OPPORTUNITIES

The RCHC has established the following priority for preference when providing contracting opportunities to Section 3 Businesses:

Priority I

Category 1a Business

Business concerns that are 51 percent or more owned by residents of the housing development or developments for which the Section 3-covered assistance is expended.

Priority II

Category 1b Business

Business concerns whose workforce includes 30 percent of residents of the housing development for which the Section 3-covered assistance is expended, or within three (3) years of the date of first employment with the business concern, were residents of the Section 3-covered housing development.

Priority III

Category 2a Business

Business concerns that are 51 percent or more owned by residents of any other housing development or developments.

Priority IV

Category 2b Business

Business concerns whose workforce includes 30 percent of residents of any other public housing development or developments, or within three (3) years of the date of first employment with the business concern, were "Section 3" residents of any other public housing development.

Priority V

Category 3 Business

Business concerns participating in HUD Youth-build programs being carried out in the metropolitan area in which the Section 3-covered assistance is expended.

Priority VI

Category 4a Business

Business concerns that are 51 percent or more owned by Section 3 residents in the metropolitan area, or whose permanent, full-time workforce includes no less than 30 percent of Section 3 residents in the metropolitan area, or within three (3) years of the date of employment with the business concern, were Section 3 residents in the metropolitan area.

Priority VII

Category 4b Business

Business concerns that subcontract in excess of 25 percent of the total amount of subcontracts to Section 3 business concerns.

Eligibility for Preference

A business concern seeking to qualify for a Section 3 contracting preference shall certify or submit evidence that the business concern is a Section business concern.

HUD directs within 24 CFR 135 that the PHA may make award to qualified Section 3 business concern with the highest priority ranking and with the lowest responsive bid if that bid is:

- (a) within the maximum total contract price established by the PHA; or
- (b) not more than "X" higher than the total bid price of the lowest responsive bid from any responsible bidder. "X" is determined as follows:

AND THE RESERVE OF THE PARTY OF	"X" = LESSOR OF:
When the lowest responsive bid is less than \$100,000	10% of that bid, or \$9,000.00
When the lowest responsive bid is at least:	
\$100,000.00, but less than \$200,000.00	9% of that bid, or \$16,000.00
\$200,000.00, but less than \$300,000.00	8% of that bid, or \$21,000.00
\$300,000.00, but less than \$400,000.00	7% of that bid, or \$24,000.00
\$400,000.00, but less than \$500,000.00	6% of that bid, or \$25,000.00
\$500,000.00, but less than \$1,000,000.00	5% of that bid, or \$40,000.00
\$1,000,000.00, but less than \$2,000,000.00	4% of that bid, or \$60,000.00
\$2,000,000.00, but less than \$4,000,000.00	3% of that bid, or \$80,000.00
\$4,000,000.00, but less than \$7,000,000.00	2% of that bid, or \$105,000.00
\$7,000,000.00, or more	1.5% of the lowest responsive and responsible bid with no dollar limit

INVITATION FOR BIDS (IFB) NO. 2024-008 THERMAL LIFT STATION PROJECT AT THERMAL APTS.

ATTACHMENT I

"Directions for Preparation of Performance and Payment Bond"

- 1. Individual sureties, partnerships, or corporations not in the surety business will not be acceptable.
- 2. The name of the Principal shall be shown exactly as it shall appear in the contract.
- 3. The penal sum shall be not less than that required by the Specifications.
- 4. If the Principals are partners, or joint ventures, each member shall execute the bond as an individual, with his place of residence shown.
- 5. If the Principal is a corporation, the bond shall be executed under its corporate seal. If the corporation has no corporate seal, the fact shall be stated, in which case a scroll or adhesive seal shall be affixed following the corporate name.
- 6. The official character and authority of the person(s) executing the bond for the principal, if a corporation, shall be certified by the secretary or assistant secretary thereof under the corporate seal, or there may be attached copies of so much of the records of the corporation as will evidence the official character and authority of the signing, duly certified by the secretary or assistant secretary, under the corporate seal, to be true copies.
- 7. The current power-of-attorney of the person signing for the surety company must be attached to the bond.
- 8. The date of the bond must <u>not</u> be prior to the date of the notice of award.
- 9. The following information must be placed on the bond by the surety company:
 - a. The rate of premium in dollars per thousand; and
 - b. The total dollar amount of premium charged.
- 10. The signature of a witness shall appear in the appropriate place, attesting to the signature of each party to the bond.
- 11. Type or print the name underneath each signature appearing on the bond.
- An executed copy of the bond must be attached to each copy of the contract (original counterpart) intended for signing.
 - a. Subsequent to the Notice of Intent to Award and within 10 days after the prescribed forms are presented for signature, the successful bidder shall execute and deliver to the Owner a contract in the form included in the specifications in such number of counterparts as the Owner may require. Separate contract forms, in lieu of those found in the Specifications, shall be used for the purpose.

INVITATION FOR BIDS (IFB) NO. 2024-008 THERMAL LIFT STATION PROJECT AT THERMAL APTS.

- b. On each such bond, the rate of premium shall be stated, together with the total amount of the premium charged. The current power-of-attorney for the person who signs for any surety company shall be attached to each bond.
- c. The failure of the successful bidder to execute such contract and to supply the required bonds within ten (10) days after the prescribed forms are presented for signature, or within such extended period as the Owner may designate, shall constitute a default, and the Owner may either award the contract to the next responsible bidder or readvertise for bids, and may charge against the bidder the difference between the amount of the bid and the amount for which a contract for the work is subsequently executed, irrespective of whether the amount thus due exceeds the amount of the bid guaranty.

Bonding assistance to small construction contractors is authorized by Section 911 of the Housing and Urban Development Act of 1970. The Act authorizes the Small Business Administration to provide a 90% guarantee on contracts of \$1,000,000 or below to any surety company which will provide bid, payment, or performance bonds to the small construction contractor. This "Bonding Assistance" will help the small construction contractor obtain bonding, but will not affect bonding rates. The Contractor will pay 100% of the bonding costs for the amount bid. No contract will be executed without the required bonding. The cost of the performance and payment bonds shall be included in the bid price.

These Directions are for the general guidance of the bidder/contractor and are not all-inclusive. It is the responsibility of the bidder/contractor to be familiar with all the bidding and contract requirements and the filling out of their documents.

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Exhibit "C"

Contractor's Form of Bid

(behind this page)

INVITATION FOR BIDS (IFB) NO. 2024-008 THERMAL LIFT STATION PROJECT AT THERMAL APTS.

ATTACHMENT A

BID PROPOSAL FORM

(This Form must be fully completed and placed under Tab No. 1 of the "hard copy" tabbed bid submittal.)

- A. Form of Bid: Each bidder shall submit his/her bid amount on this form only, which shall be completed, signed and returned to RCHC with the completed Bid Proposal.
- B. Base Bid Amount: The Form of Bid shall be completed and submitted by the bidder. The undersigned, having familiarized themselves with the local conditions affecting the cost of the work (including Invitation for Bid, this Form of Bid, the Form of Bid Bond, the Form of Performance Bond and Payment Bond (Labor and Materials Payment Bond), the General Conditions, the Scope of Work/Technical Specifications, and Addenda (if any thereto) and all other documents in the bid package, should base their prices accordingly. The bid amount shall be all-inclusive of all related costs that the Contractor will incur to provide the noted services, including, but not limited to: employee wages and benefits, clerical support, overhead, profit, labor, licensing, taxes, fees, insurance, materials, supplies, tools, equipment, shipping, permits, long distance telephone calls; document copying; and services for the **Project** in strict accordance therewith and for the bid amount specified below:

| | BASE BID | |
|-------|--|-------------------|
| Item# | Description | Bid/Fee
Amount |
| 1 | Construction of the Thermal Lift Station and Connection to the existing CVWD Sewer Line at the Thermal Apts. as Indicated in the contract documents. | \$
87,500. |
| | TOTAL BASE BID AMOUNT | \$ 87,500. |

| C. | Basis for Deterr | nining Lowest Bid: The lowest bid | shall be the lowest | total of all base bid amounts received |
|----|---|--|---------------------|---|
| D. | Bid Guarantee: $\frac{18,750}{100}$ form of $\frac{100}{100}$ | Security in the sum of Iten portion of Iten po | ercent (10%) of t | total base bid/fee amount listed above]: Dollars (\$ <u>\$ 750</u> ,), in the |
| E. | Addendum(s): (| if applicable) The Bidder acknowleds | ges he/she received | the following issued addenda: |
| | Addendum #1 | Date: | Addendum #3 | Date: |
| | Addendum #2 | Date: | Addendum #4 | Date: |
| F. | | | • | t, if he is selected as the Contractor, he will |

- within ten days, Saturdays, Sundays, and legal holidays excluded, after presentation thereof by RCHC, execute a contract in accordance with the terms of this Form of Bid furnish a Performance Bond and a Payment Bond (Labor and Materials Payment Bond), each of a surety company qualified to do business under the laws of California and satisfactory to the Authority and each in the sum of at least one hundred percent of the contract price, the premium for which are to be paid by the Contractor and are included in the contract price.
- G. Quantities: The undersigned understands that RCHC reserves the right to increase or decrease the amount of any class or portion of the work, or to omit any item of the work as may be deemed necessary or expedient by RCHC. RCHC does not guarantee any minimum or maximum amount of work as a result of any award ensuing from this IFB. RCHC shall retain one contractor only and shall retain the right to order from that contractor (successful bidder), on a task order basis, any amount of services RCHC requires.

INVITATION FOR BIDS (IFB) NO. 2024-008 THERMAL LIFT STATION PROJECT AT THERMAL APTS.

- H. Non-Collusive: The Bidder declares that he/she is the only person interested in this response and that this bid is made without connection or arrangement with any other person or RCHC employee, and that this bid is in every respect fair, in good faith, and without collusion or fraud.
- I. Time Limit: The undersigned hereby agrees to commence work under this contract on or after the date to be specified in the Notice to Proceed, and to fully complete the PROJECT within FORTY-FIVE (45) CALENDAR DAYS.
- J. Qualifications: The undersigned offers the following information as evidence of his qualifications to perform the work as bid upon, according to all the requirements of the plans and specifications.
 - 1. Have been in business under (present name) AIMS UNDUCATOUD since 41/1/983
 - 2. Have you been awarded any jobs but failed to complete? VNo Yes (please explain):
 - 3. List work completed/ongoing in the last two years:

| Projects for Riverside 6 | community Housing Corp. (if applicable | | | | | |
|--------------------------|--|--------------------------------|--|--|--|--|
| Project Description | Install somer Lateral | Contract Amount: #40,050; | | | | |
| Job was Davis I | Bacon or State Prevailing Wage — | Na | | | | |
| Contact Person | Miguel Nava | | | | | |
| Name, Address | 70400 Frank Sinatra Dr. Rancho Mirage | | | | | |
| Phone & Fax Nos. | 760 275 7442 | | | | | |
| Owner Name: | Howard Gargon | Completion Date: 7 June 2024 | | | | |
| | | | | | | |
| Project Description | Install Septie systems | Contract Amount: #28,000 | | | | |
| Job was Davis I | Bacon orState Prevailing Wage | | | | | |
| Contact Person | Roger Richards | | | | | |
| Name, Address | 78625 Ave. 41, Berm | uda Dunes | | | | |
| Phone & Fax Nos. | 760 250 8592 | | | | | |
| Owner Name: Roa | ier Richards | Completion Date: 12 June, 2024 | | | | |

Other Projects

| otile, i rejecte | | |
|---------------------|---------------------------------|--|
| Project Description | Install underground electric | Contract Amount: 12,500 |
| Job was Davis Ba | con or State Prevailing Wage or | Other |
| Contact Person | Nick Jeha | |
| Name, Address | 53320 Via Palacio. La | Quinto |
| Phone & Fax Nos. | 925.487.6266 | |
| Owner Name: Wiel | < Jeha | Completion Date: 23 May 2024 |
| | | PARTIE WILLIAM PARTIES AND ADDRESS OF THE PARTIE |
| Project Description | Install underground electric | Contract Amount: #132,500 |
| Job was Davis Ba | con or State Prevailing Wage or | Other |
| Contact Person | Norman Arellano | |
| Name, Address | 69780 Edon Hill Rd. Ca | thedral City |
| Phone & Fax Nos. | 951-255-1694 | |
| Owner Name: A | irrtex | Completion Date: 25 Jan, 2024 |
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第一章 | A TOTAL TOTA |

- 4. Banking Information: Bank Name: Chase Branch Location: Palm Desert Account Name: Alms Underground Const., Inc.
- L. Excise Tax Exemption: If under federal excise tax law any transaction hereunder constitutes a sale on which a federal excise tax is imposed, and the sale is exempt from such excise tax because it is a sale to a state or local government, then RCHC, upon request, will execute documents necessary to show: (1) that RCHC is a political subdivision for the purposes of such exemption; and (2) that the sale is for the exclusive use of RCHC. No excise

INVITATION FOR BIDS (IFB) NO. 2024-008 THERMAL LIFT STATION PROJECT AT THERMAL APTS.

tax for such materials shall be included in any price (including, without limitation, the Bid) submitted by Contractor for the Work or for Changes in the Work.

| M. | Labor: The undersignelements of labor em | | | labor that can work in harmony with all ot | her |
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representations indic | to the equal opports
oor; that he has (
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contract awards. (T |) he has not () of required compliance report The above representation nee | participated in a previous contract S. Executive Orders 10925, 11114, or 11246 filed all required compliance reports; and tas; signed by proposed subcontractors will d not be submitted in connection with contract | or
that
be |
| COMPL | ETED BY: (N | OTE: The penalty for | or making false statements in | bids/offers is prescribed in 18 U.S.C. 1001. | • |
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| Print | lame | | Title | Alms uncherground R. Email 9MAIL | Car |
| 1 | Jeglan R | alm | 17 May 2024 | 760-324-1911 | _ |
| Signati | | я | Date | Telephone Number | |
| Alm | b Undrgrov. | ND COUSTRU | CHUN 73880 81 | PASED, STE R-2 | |
| Compa | any Name | | Address (Street; City; State | e: Zip) PALM Desert, CA 9220 | 20 |
| 48 | (2180 | | 4/30/25 | C21 C42 | |
| CSLB | License Number | | Expiration Date | CSLB License Designation | |
| | <u>00040493</u>
Registration Number | | | | |
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| Signat | onglas R Man | 17 Jun 2024
Date | Douglask Alm
Printed Name | S Alms Company | |

INVITATION FOR BIDS (IFB) NO. 2024-008 THERMAL LIFT STATION PROJECT AT THERMAL APTS.

FORM OF NON-COLLUSIVE AFFIDAVIT

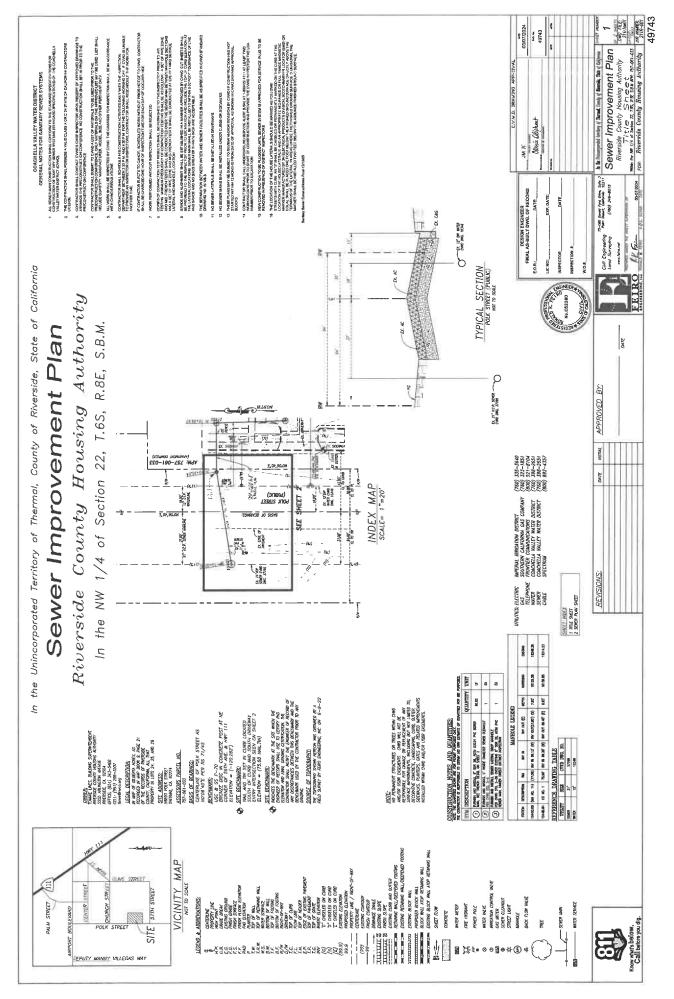
| Coll Co | | |
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| State of California | S. | |
| County of Riverside | | |
| being first sworn, deposes and says: | | |
| That he is President (a partner or officer of the | ne firm of, etc.) | |
| the party making the foregoing proposal or bid or sham; that said bidder has not colluded sought-by agreement or collusion, or communice of affiant or of any bidder, or to fix any that of any other bidder, or to secure any advany person interested in the proposed contractive. | , conspired, connived or
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vantage against Riverside | agreed directly or indirectly, with any person, to fix the bid dement of said bid price, or of Community Housing Corp. or |
| Bidder is: A Corporation | ☐
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| Signature of Bid Printed Name | Douglas R. | Alms |
| Subscribed and sworn to before me this | day of June | , 20 <u>7</u> 4 |
| Marian | - | |
| My commission expires 12/12/202 PLEASE SE NOTORIAL CERTIFICAL ATTACHE | Com
My Com | MARIA VALENZUELA ary Public - California Riverside County mmission # 2476274 m. Expires Dec 12, 2027 |

RIVERSIDE COMMUNITY HOUSING CORP. (RCHC)

Exhibit "D"

Plans & Drawings by Fiero Engineering

(behind this page)



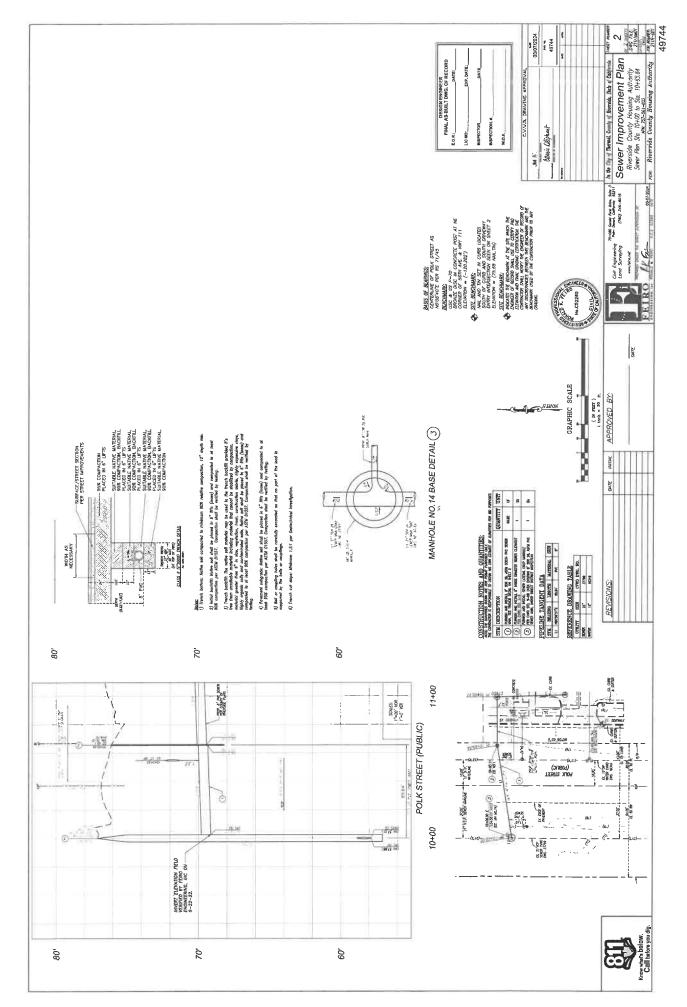


Exhibit "E"

Form **HUD-90210**

(behind this page)

Equal Employment Opportunity Certification

Excerpt From 41 CFR §60-1.4(b)

U.S. Department of Housing and Urban Development Office of Housing Federal Housing Commissioner Department of Veterans Affairs

OMB Control No. 2502-0029 (exp. 7/31/2009)

ne applicant hereby agrees that it will incorporate or cause to be incorporated into any contract for construction work, or modification thereof, as defined in the regulations of the Secretary of Labor at 41 CFR Chapter 60, which is paid for in whole or in part with funds obtained from the Federal Government or borrowed on the credit of the Federal Government pursuant to a grant, contract, loan insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the following equal opportunity clause:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin, such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
 - ') The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive considerations for employment without regard to race, color, religion, sex, or national origin.
- (3) The contractor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (4) The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.
- (5) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to its books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

- (6) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- (7) The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, That in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency the contractor may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work:

Provided, That if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and Federally-assisted construction contracts pursuant to the Executive order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed

| Firm Name and Address | Ву |
|---|--|
| Alms Underground Const., Ins
38703 Vista Dr. | Vorylan R |
| 38703 Vista Dr. | Titte |
| Corthedral City, Ca. 92234 | president |
| | —————————————————————————————————————— |

form HUD-92010 (3/2006) VA form 26-421 upon contractors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering ency may take any or all of the following actions: Cancel, erminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

Excerpt from HUD Regulations

200.410Definition of term "applicant".

- (a) In multifamily housing transactions where controls over the mortgagor are exercised by the Commissioner either through the ownership of corporate stock or under the provisions of a regulatory agreement, the term "applicant" as used in this subpart shall mean the mortgagor.
- (b) In transactions other than those specified in paragraph(a) of this section, the term "applicant" as used in this subpart shall mean the builder, dealer or contractor performing the construction, repair or rehabilitation work for the mortgagor or other borrower.

200.420Equal Opportunity Clause to be included in contracts and subcontracts.

- (a) The following equal opportunity clause shall be included in each contract and subcontract which is not exempt:
 - During the performance of this contract, the contractor agrees as follows:
 - (1) The contractor will not discriminate against any employee or applicant for employment because of race, creed, color, or national origin. The contractor will take affirmative action to ensured that applicants are employed, and that employees are treated during employment without regard to their race, creed, color, or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of the nondiscrimination clause.
 - (2)The contractor will in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard race, creed, color, or national origin.
 - (3) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided, advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notices in conspicuous places available to employees and applicants for employment.
 - (4) The contractor will comply with all provisions of Executive Order 10925 of March 6 1961, as amended, and of the regulations, and relevant orders of the President's Committee on Equal Employment Opportunity created thereby.

- (5) The contractor will furnish all information and reports required by Executive Order 10925 of March 6, 1961, as amended, and by the regulations, and orders of the said Committee, or pursuant thereto, and will permit access to his books, records, and accounts by HUD and the Committee for purposes of investigation to ascertain compliance with such regulations, and orders.
- (6) In the event of the contractor's non-compliance with the nondiscrimination clause of this contract or with any of the said regulations, or orders, this contract may be cancelled, terminated or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or Federally-assisted construction contracts in accordance with procedures authorized in Executive Order 10925 of March 6, 1961, as amende, and such other sanctions may be imposed and remedies invoke s provided in the said Executive Order or by regulations, or order of the President's Committee on Equal Employment Opportunity, or as otherwise provided by law.
- (7) The contractor will include the provisions of Paragraphs(1) through (7) in every subcontract or purchase order unless exempted by regulations, or orders of the President's Committee on Equal Employment Opportunity issued pursuant to Section 303 of Executive Order 10925 of March 6, 1961, as amended, so that such provisions will be binding upon each subcontractor or vender. The contractor will take such action with respect to any subcontract or purchase orders as HUD may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vender as a result of such direction by HUD, the contractor may request the United States to enter into such litigation to protect the interests of the United States.
- (b) Except in subcontracts for the performance of construction work at the site of construction, the clause is not required to be inserted in subcontracts below the second tier. Subcontracts may incorporate by referenced to the equal opportunity clause.

200.425Modification in and exemptions from the regulations in this subpart.

- (a) The following transactions and contracts are exempt from the regulations in this subpart:
 - (1) Loans, mortgages, contracts and subcontracts not exceeding \$10,000.
 - (2) Contract and subcontracts not exceeding \$100,000 for standard commercial supplies or raw material;
 - (3) Contracts and subcontracts under which work is to be or has been performed outside the United States and where no recruitment of workers within the United States in involved. To the extent that work pursuant to such contracts is done within the United States, the equal opportunity clause shall be applicable;
 - (4) Contracts for the sale of Government property where no appreciable amount of work is involved; and
 - (5) Contracts and subcontracts for an indefinite quantity which are not to extend for ore than one year if the purchaser determines that the amounts to be ordered under any such contract or subcontract are not reasonably expected to exceed \$100,000 in the case of contracts or subcontracts for standard commercial supplies and raw materials, or \$10,000 in the case of all other contracts and subcontracts.

Exhibit "F"

HUD General Conditions

(behind this page)

General Conditions for Non-Construction Contracts

Section II – (With Maintenance Work)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing Office of Labor Relations OMB Approval No. 2577-0157 (exp. 1/31/2017)

Public Reporting Burden for this collection of information is estimated to average 0.08 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600; and to the Office of Management and Budget, Paperwork Reduction Project (2577-0157), Washington, D.C. 20503. Do not send this completed form to either of these addressees.

Applicability. This form HUD-5370C has 2 Sections. These Sections must be inserted into non-construction contracts as described below:

- Non-construction contracts (without maintenance) greater than \$100,000 - use Section I;
- 2) Maintenance contracts (including nonroutine maintenance as defined at 24 CFR 968.105) greater than \$2,000 but not more than \$100,000 use Section II; and
- Maintenance contracts (including nonroutine maintenance), greater than \$100,000 – use Sections I and II.

Section II – Labor Standard Provisions for all Maintenance Contracts greater than \$2,000

1. Minimum Wages

- (a) All maintenance laborers and mechanics employed under this Contract in the operation of the project(s) shall be paid unconditionally and not less often than semi-monthly, and without subsequent deduction (except as otherwise provided by law or regulations), the full amount of wages due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Housing and Urban Development which is attached hereto and made a part hereof. Such laborers and mechanics shall be paid the appropriate wage rate on the wage determination for the classification of work actually performed, without regard to skill. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination, including any additional classifications and wage rates approved by HUD under subparagraph 1(b), shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.
- (b) (i) Any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the Contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate only when the following criteria have been met:
 - The work to be performed by the classification required is not performed by a classification in the wage determination;
 - (2) The classification is utilized in the area by the industry; and
 - (3) The proposed wage rate bears a reasonable relationship to the wage rates contained in the wage determination.
 - The wage rate determined pursuant to this paragraph shall be paid to all workers performing work

in the classification under this Contract from the first day on which work is performed in the classification.

2. Withholding of funds

The Contracting Officer, upon his/her own action or upon request of HUD, shall withhold or cause to be withheld from the Contractor under this Contract or any other contract subject to HUD-determined wage rates, with the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics employed by the Contractor or any subcontractor the full amount of wages required by this clause. In the event of failure to pay any laborer or mechanic employed under this Contract all or part of the wages required under this Contract, the Contracting Officer or HUD may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment or advance until such violations have ceased. The Public Housing Agency or HUD may, after written notice to the Contractor, disburse such amounts withheld for and on account of the Contractor or subcontractor to the respective employees to whom they are due.

3. Records

- (a) The Contractor and each subcontractor shall make and maintain for three (3) years from the completion of the work records containing the following for each laborer and mechanic:
 - (i) Name, address and Social Security Number;
 - (ii) Correct work classification or classifications;
 - (iii) Hourly rate or rates of monetary wages paid;
 - (iv) Rate or rates of any fringe benefits provided;
 - (v) Number of daily and weekly hours worked;
 - (vi) Gross wages earned;
 - (vii) Any deductions made; and
 - (viii) Actual wages paid.
- (b) The Contractor and each subcontractor shall make the records required under paragraph 3(a) available for inspection, copying, or transcription by authorized representatives of HUD or the HA and shall permit such representatives to interview employees during working hours on the job. If the Contractor or any subcontractor fails to make the required records available, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance or guarantee of funds.

4. Apprentices and Trainees

- (a) Apprentices and trainees will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in:
 - (i) A bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration (ETA), Office of

Apprenticeship Training, Employer and Labor Services (OATELS), or with a state apprenticeship agency recognized by OATELS, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by OATELS or a state apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice; A

trainee program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, ETA; or

(iii) A training/trainee program that has received prior approval by HUD.

- (b) Each apprentice or trainee must be paid at not less than the rate specified in the registered or approved program for the apprentice's/trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices and trainees shall be paid fringe benefits in accordance with the provisions of the registered or approved program. If the program does not specify fringe benefits, apprentices/trainees must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification.
- (c) The allowable ratio of apprentices or trainees to journeyman on the job site in any craft classification shall not be greater than the ratio permitted to the employer as to the entire work force under the approved program.
- (d) Any worker employed at an apprentice or trainee wage rate who is not registered in an approved program, and any apprentice or trainee performing work on the job site in excess of the ratio permitted under the approved program, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.
- (e) In the event OATELS, a state apprenticeship agency recognized by OATELS or ETA, or HUD, withdraws approval of an apprenticeship or trainee program, the employer will no longer be permitted to utilize apprentices/trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

5. Disputes concerning labor standards

- (a) Disputes arising out of the labor standards provisions contained in Section II of this form HUD-5370-C, other than those in Paragraph 6, shall be subject to the following procedures. Disputes within the meaning of this paragraph include disputes between the Contractor (or any of its subcontractors) and the HA, or HUD, or the employees or their representatives, concerning payment of prevailing wage rates or proper classification. The procedures in this section may be initiated upon HUD's own motion, upon referral of the HA, or upon request of the Contractor or subcontractor(s).
 - (i) A Contractor and/or subcontractor or other interested party desiring reconsideration of findings of violation by the HA or HUD relating to the payment of straight-time prevailing wages or classification of work shall request such reconsideration by letter postmarked within 30 calendar days of the date of notice of findings issued by the HA or HUD. The request shall set

reasons, including any affirmative defenses, with respect to the violations. The request shall be directed to the appropriate HA or HUD official in accordance with instructions contained in the notice of findings or, if the notice does not specify to whom a request should be made, to the Regional Labor Relations Officer (HUD). The HA or HUD official shall, within 60 days (unless (ii) otherwise indicated in the notice of findings) after receipt of a timely request for reconsideration, issue a written decision on the findings of violation. The written decision on reconsideration shall contain instructions that any appeal of the decision shall be addressed to the Regional Labor Relations Officer by letter postmarked within 30 calendar days after the date of the decision. In the event that the Regional Labor Relations Officer was the deciding official on reconsideration, the

forth those findings that are in dispute and the

with respect to the violations. The Regional Labor Relations Officer shall, within 60 days (unless otherwise indicated in the decision on reconsideration) after receipt of a timely appeal, issue a written decision on the findings. A decision of the Regional Labor Relations Officer may be appealed to the Director, Office of Labor Relations, by letter postmarked within 30 days of the Regional Labor Relations Officer's decision. Any appeal to the Director must set forth the aspects of the prior decision(s) that are in dispute and the reasons. The decision of the Director, Office of Labor Relations, shall be

appeal shall be directed to the Director, Office of

Labor Relations (HUD). Any appeal must set forth

the aspects of the decision that are in dispute and

the reasons, including any affirmative defenses,

final.

(b) Disputes arising out of the labor standards provisions of paragraph 6 shall not be subject to paragraph 5(a) of this form HUD-5370C. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor set forth in 29 CFR Parts 5, 6 and 7. Disputes within the meaning of this paragraph 5(b) include disputes between the Contractor (or any of its subcontractors) and the HA, HUD, the U.S. Department of Labor, or the employees or their representatives.

6. Contract Work Hours and Safety Standards Act

The provisions of this paragraph 6 are applicable only where the amount of the prime contract exceeds \$100,000. As used in this paragraph, the terms "laborers" and "mechanics" includes watchmen and guards.

- (a) Overtime requirements. No Contractor or subcontractor contracting for any part of the Contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.
- (b) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the provisions set forth in paragraph 6(a), the Contractor and any

subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to the District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the provisions set forth in paragraph (a) of this clause, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by provisions set forth in paragraph (a) of this clause.

(c) Withholding for unpaid wages and liquidated damages.
HUD or its designee shall upon its own action or upon written request of an authorized representative of the U.S. Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such Contract or any federal contract with the same prime Contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the provisions set forth in paragraph (b) of this clause.

7. Subcontracts

The Contractor or subcontractor shall insert in any subcontracts all the provisions contained in this Section II and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the provisions contained in these clauses.

8. Non-Federal Prevailing Wage Rates

Any prevailing wage rate (including basic hourly rate and any fringe benefits), determined under state law to be prevailing, with respect to any employee in any trade or position employed under the Contract, is inapplicable to the contract and shall not be enforced against the Contractor or any subcontractor, with respect to employees engaged under the contract whenever such non-Federal prevailing wage rate, exclusive of any fringe benefits, exceeds the applicable wage rate determined by the Secretary of HUD to be prevailing in the locality with respect to such trade or position.



PAYMENT BOND

The American Institute of Architects, AIA Document No. A312 (December, 1984 Edition). Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

CONTRACTOR (Name and Address):

Alms Underground Construction 38703 Vista Dr Cathedral City CA 92234

OWNER (Name, Address & Representative Name): Riverside community housing Corporation 5555 Arlington Ave Riverside CA 92504

SURETY (Name and Principal Place of Business): Old Republic Surety Company 18500 W Corporate Dr Ste 170 Brookfield WI 53045

CONSTRUCTION CONTRACT Date: 07/10/2024

Amount: \$87,500.00

Description (Name and Location): Thermal II Apartments, 56690 Polk St, Thermal CA 92274

Sewer Connection

BOND

Date (Not earlier than Construction Contract Date): 07/10/2024

Amount: \$87,500.00

Modifications to this Bond:

None None

LEASE SEE SURETY

NOTORIAL

(Corporate Seal)

☐ See Page 2

Company: Old Republic Surety Company (Corporate Seal)

CONTRACTOR AS PRINCIPAL

Alms Underground Construction

Company:

Name and Title: Douglas R Alms, President

Signature:

Name and Title: Karen A. Linder Attorney-In-Fact

(Any additional signatures appear on page 2.)

(FOR INFORMATION ONLY-Name, Address and Telephone) AGENT or BROKER

MARIA VALENZUELA Notary Public - California Riverside County Commission # 2476274 My Comm. Expires Dec 12, 2027 OWNER'S REPRESENTATIVE (Architect, Engineer or other party):

- 1 The Contractor and the Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner to pay for labor, materials and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference.
- 2 With respect to the Owner, this obligation shall be null and void if the Contractor:
 - 2.1 Promptly makes payment, directly or indirectly, for all sums due Claimants, and
 - 2.2 Defends, indemnifies and holds harmless the Owner from claims, demands, liens or suits by any person or entity whose claim, demand, lien or suit is for the payment for labor, materials or equipment furnished for use in the performance of the Construction Contract, provided the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 12) of any claims; demands, liens or suits and tendered defense of such claims, demands, liens or suits to the Contractor and the Surety, and provided there is no Owner Default.
- 3 With respect to Claimants, this obligation shall be null and void if the Contractor promptly makes payment, directly or indirectly, for all sums due.
- 4 The Surety shall have no obligation to Claimants under this Bond until:

- 4.1 Claimants who are employed by or have a direct contract with the Contractor have given notice to the Surety (at the address described in Paragraph 12) and sent a copy, or notice thereof, to the Owner, stating that a claim is being made under this Bond and, with substantial accuracy, the amount of the claim.
- 4.2 Claimants who do not have a direct contract with the Contractor:
 - .1 Have furnished written notice to the Contractor and sent a copy, or notice thereof, to the Owner, within 90 days after having last performed labor or last furnished materials or equipment included in the claim stating, with substantial accuracy, the amount of the claim and the name of the party to whom the materials were furnished or supplied or for whom the labor was done or performed; and
 - .2 Have either received a rejection in whole or in part from the Contractor, or not received within 30 days of furnishing the above notice any communication from the Contractor by which the Contractor has indicated the claim will be paid directly or indirectly; and
 - .3 Not having been paid within the above 30 days, have sent a written notice to the Surety (at the address described in Paragraph 12) and sent a copy, or notice thereof, to the Owner, stating that a claim is being made under this Bond and enclosing a copy of the previous written notice furnished to the Contractor.

☐ Guardian or Conservator

| OALII OMIIIA AOMIOWEEDOMENI | CIVIE CODE 3 1103 |
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| A notary public or other officer completing this certificate to which this certificate is attached, and not the truthfulr | verifies only the identity of the individual who signed the document |
| | acceptance of the control of the con |
| State of California | } |
| County of Riverside | J |
| On 7/19/12/19 before me, _ | Marsa Valenwells Notem Public, Here Insert Name and Title of the Officer |
| Date 10 h// 2 f a | Here Insert Name and Title of the Officer Alm S |
| personally appeared | Name(s) of Signer(s) |
| | Nume(s) or signer(s) |
| to the within instrument and acknowledged to me | dence to be the person(s) whose name(s) is/are subscribed that he/she/they executed the same in his/her/their signature(s) on the instrument the person(s), or the entity d the instrument. |
| | I certify under PENALTY OF PERJURY under the |
| MARIA VALENZUELA Notary Public - California | laws of the State of California that the foregoing paragraph is true and correct. |
| Riverside County Commission # 2476274 My Comm. Expires Dec 12, 2027 | WITNESS my hand and official seal. |
| | Signature Marin Valennula |
| Place Notary Seal and/or Stamp Above | Signature of Notary Public |
| | PTIONAL |
| | an deter alteration of the document or his form to an unintended document. |
| Description of Attached Document | |
| Title or Type of Document: | ************************************** |
| Document Date: | Number of Pages: |
| Signer(s) Other Than Named Above: | |
| Capacity(ies) Claimed by Signer(s) | |
| Signer's Name: Corporate Officer – Title(s): | |
| ☐ Partner — ☐ Limited ☐ General | ☐ Partner — ☐ Limited ☐ General |
| ☐ Individual ☐ Attorney in Fact | ☐ Individual ☐ Attorney in Fact |

□ Trustee

□ Other: __

Signer is Representing:

Signer is Representing:

☐ Guardian or Conservator

□ Trustee

☐ Other: __

5 If a notice required by Paragraph 4 is given by the Owner to the Contractor or to the Surety, that is sufficient compliance.

6 When the Claimant has satisfied the conditions of Paragraph 4, the Surety shall promptly and at the Surety's expense take the following actions:

6.1 Send an answer to the Claimant, with a copy to the Owner, within 45 days after receipt of the claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed

6.2 Pay or arrange for payment of any undisputed amounts.

7 The Surety's total obligation shall not exceed the amount of this Bond, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.

8 Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any Construction Performance Bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and the Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work. 9 The Surety shall not be liable to the Owner, Claimants or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to, give notices on behalf of, or otherwise have obligations to Claimants under this Bond.

10 The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.

11 No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the location in which the work or part of the work is located or after the expiration of one year from the date (1) on which the Claimant gave the notice required by Subparagraph 4.1 or Clause 4.2.3, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this

MODIFICATIONS TO THIS BOND ARE AS FOLLOWS:

Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12 Notice to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the signature page. Actual receipt of notice by Surety, the Owner or the Contractor, however accomplished, shall be sufficient compliance as of the date received at the address shown on the signature page.

13 When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

14 Upon request by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor shall promptly furnish a copy of this Bond or shall permit a copy to be made.

15 DEFINITIONS

15.1 Claimant: An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials or equipment for use in the performance of the Contract. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials or equipment were furnished.

15.2 Construction Contract: The agreement between the Owner and the Contractor identified on the signature page, including all Contract Documents and changes thereto.

15.3 Owner Default: Failure of the Owner, which has neither been remedied nor waived, to pay the Contractor as required by the Construction Contract or to perform and complete or comply with the other terms thereof.

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| (Space is provided below for additional signature | e of added nartice | other than those appearing on the cover page) |
| (open is provided book in its additional signature | o ci dadoa paraca | (Corporate Seal) |
| CONTRACTOR AS PRINCIPAL | | |
| Company: | (Corporate Seal) | SURETY Old Republic Surety Company |
| Alms Underground Construction | , | |
| | | |
| | | Share and the state of the stat |
| Signature: Dougloo D Almo | *************************************** | Signature: |
| Name and Title: <u>Douglas R Alms</u>
ddress: 38703 Vista Dr | | Name and Title: Karen A. Linder, Attorney-In-Fact |
| Cathedral City CA 92234 | | Address: 9840 Business Park Dr. Ste A |
| Callieural City CA 92234 | | Sacramento CA 95827 |



POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That OLD REPUBLIC SURETY COMPANY, a Wisconsin stock insurance corporation, does make, constitute and appoint:

Karen A. Linder, Cynthia A. McKinney, Sarah M. Marinelli, Aleka D. Bridges of Sacramento, CA

its true and lawful Attorney(s)-in-Fact, with full power and authority for and on behalf of the company as surety, to execute and deliver and affix the seal of the company thereto (if a seal is required), bonds, undertakings, recognizances or other written obligations in the nature thereof, (other than bail bonds, bank depository bonds, mortgage deficiency bonds, mortgage guaranty bonds, guarantees of installment paper and note guaranty bonds, self-insurance workers compensation bonds guaranteeing payment of benefits, or black lung bonds), as follows:

ALL WRITTEN INSTRUMENTS

and to bind OLD REPUBLIC SURETY COMPANY thereby, and all of the acts of said Attorneys-in-Fact, pursuant to these presents, are ratified and confirmed. This appointment is made under and by authority of the board of directors at a special meeting held on February 18, 1982.

This Power of Attorney is signed and sealed by facsimile under and by the authority of the following resolutions adopted by the board of directors of the OLD REPUBLIC SURETY COMPANY on February 18,1982.

RESOLVED that, the president, any vice-president or assistant vice president, in conjunction with the secretary or any assistant secretary, may appoint attorneys-in-fact or agents with authority as defined or limited in the instrument evidencing the appointment in each case, for and on behalf of the company to execute and deliver and affix the seal of the company to bonds, undertakings, recognizances, and suretyship obligations of all kinds; and said officers may remove any such attorney-in-fact or agent and revoke any Power of Attorney previously granted to such person.

RESOLVED FURTHER, that any bond, undertaking, recognizance, or suretyship obligation shall be valid and binding upon the Company

September

day of

- (i) when signed by the president, any vice president or assistant vice president, and attested and sealed (if a seal be required) by any secretary or assistant secretary; or
- (ii) when signed by the president, any vice president or assistant vice president, secretary or assistant secretary, and countersigned and sealed (if a seal be required) by a duly authorized attorney-in-fact or agent; or
- (iii) when duly executed and sealed (if a seal be required) by one or more attorneys-in-fact or agents pursuant to and within the limits of the authority evidenced by the Power of Attorney issued by the company to such person or persons.

RESOLVED FURTHER that the signature of any authorized officer and the seal of the company may be affixed by facsimile to any Power of Attorney or certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligations of the company; and such signature and seal when so used shall have the same force and effect as though manually affixed.

IN WITNESS WHEREOF, OLD REPUBLIC SURETY COMPANY has caused these presents to be signed by its proper officer, and its corporate seal to be

2022

| | dby or | | SURE SURE | OLD REPUBLIC SURETY COMPANY | |
|------------------------------|------------------------------|-----------------------|--|--|--|
| Kau | May Haffu | | CORPORATE COMPONITION OF THE PROPERTY OF THE P | Ma Mice President | Population by Institute of State of Sta |
| STATE OF WISCO | ONSIN, COUNTY OF WAL | IKESHA - SS | A Manifestal Inc. | | |
| On this | | September | , <u>2022</u> , personally came t | before me, Alan Pavlic | *************************************** |
| and | Karen J Haffner | | | iduals and officers of the OLD REPUBLIC SURETY COMP | |
| they are the said o | fficers of the corporation a | foresaid, and that th | e seal affixed to the above instrum | nd being by me duly swom, did severally depose and say
ment is the seal of the corporation, and that said corporate
e authority of the board of directors of said corporation. | /: that
e seal |
| | | | AUBLIO DE LA COMPANIA DEL COMPANIA DEL COMPANIA DE LA COMPANIA DE LA COMPANIA DEL | Kollyn R. Pearson | *************************************** |
| | | | | My Commission Expires: September 28, 2026 | |
| CERTIFICATE | | | | piration of notary's commission does not invalidate this ins | |
| I, the unders | igned, assistant secretary | of the OLD REPU | BLIC SURETY COMPANY, a Wisconked and furthermore, that the Re | consin corporation, CERTIFY that the foregoing and atta
Resolutions of the board of directors set forth in the Pow | ached |
| Attorney, are now | | THOS HOLDGON TOVE | orea, and lattremiore, that the ixe | esolutions of the board of directors set lotter in the row | AGI GI |
| 31 1259
ORSC 22262 (3-06) | SEAL ** | Signed and seale | d at the City of Brookfield, WI this $_$ | 16th day of July 202 | <u>!4</u> . |

affived this

CALIFORNIA ALL- PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

| State of California | } | | | |
|---|--|--|--|--|
| County of Sorvainen 10 | } | | | |
| On 07/16/2014 before me, _ | Trucha . Notary Kblic | | | |
| personally appeared <u>Gven A Linder</u> . who proved to me on the basis of satisfactory evidence to be the person(s) whose | | | | |
| name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by | | | | |
| his/her/their signature(s) on the instrume | ent the person(s), or the entity upon behalf of | | | |
| which the person(s) acted, executed the | e instrument. | | | |
| | under the laws of the State of California that | | | |
| the foregoing paragraph is true and corn | ест. | | | |
| WITNESS my hand and official seal. | J. TRUONG S COMM. # 2388951 S NOTARY PUBLIC © CALEORNIA S | | | |
| Alaba Dalla State of the Control of | SACRAMENTO COUNTY Comm. Exp. JAN. 28, 2026 | | | |
| Notary Public Signature (No | tary Public Seal) | | | |
| ADDITIONAL OPTIONAL INFORMATI DESCRIPTION OF THE ATTACHED DOCUMENT | INSTRUCTIONS FOR COMPLETING THIS FORM This form complies with current California statutes regarding notary wording and, if needed, should be completed and attached to the document. Acknowledgments | | | |
| Bryment Bend | from other states may be completed for documents being sent to that state so long as the wording does not require the California notary law. | | | |
| (Title or description of attached document) | State and County information must be the State and County where the document
signer(s) personally appeared before the notary public for acknowledgment. | | | |
| (Title or description of attached document continued) | Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed. The notary public must print his or her name as it appears within his or her | | | |
| Number of Pages \ Document Date 67/16/2024 | commission followed by a comma and then your title (notary public). Print the name(s) of document signer(s) who personally appear at the time of notarization. | | | |
| CAPACITY CLAIMED BY THE SIGNER Individual (e) | Indicate the correct singular or plural forms by crossing off incorrect forms (i.e.
he/she/they, is /are) or circling the correct forms. Failure to correctly indicate this | | | |
| Corporate Officer | information may lead to rejection of document recording. The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a | | | |
| (Title) □ Partner(s) | sufficient area permits, otherwise complete a different acknowledgment form. Signature of the notary public must match the signature on file with the office of the county clerk. | | | |
| ☐ Attorney-in-Fact
☐ Trustee(s) | Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document. | | | |
| ☐ Other | ♦ Indicate title or type of attached document, sumber of pages and date. | | | |

Indicate the capacity claimed by the signer. If the claimed capacity is a

corporate officer, indicate the tiste (i.e. CEO, CFO, Secretary).

· Securely attach this document to the signed document with a staple.

2015 Version www.NotaryClasses.com 800-873-9865



PERFORMANCE BOND

The American Institute of Architects. AIA Document No. A312 (December, 1984 Edition) Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

Riverside Community Housing Corporation

SURETY (Name and Principal Place of Business): Old Republic Surety Company

18500 W Corporate Dr Ste 170

OWNER (Name and Address):

5555 Arlington Ave Riverside CA 92504

Brookfield WI 53045

CONTRACTOR (Name and Address): Alms Underground Construction 38703 Vista Dr Cathedral City CA 92234 CONSTRUCTION CONTRACT

Date: 07/10/2024 Amount: \$87,500.00

Description (Name and Location): Sewer Connection

Thermal II Apartments, 56690 Polk St, Thermal CA 92274

BOND

Date (Not earlier than Construction Contract Date): 07/10/2024

Amount: \$87,500.00

Modifications to this Bond:

CONTRACTOR AS PRINCIPAL

Company:

Alms Underground Construction

Name and Title: Douglas R Alms

(Any additional signatures appear on page 2.)

(FOR INFORMATION AGENT or BROKER:

MARIA VALENZUELA Notary Public - California Riverside County Commission # 2476274 My Comm. Expires Dec 12, 2027

(Corporate Seal)

1 The Contractor and the Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

2 If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except to participate in conferences as provided in Subparagraph 3.1.

3 If there is no Owner Default/ the Surety's obligation under this Bond shall arise after:

3.1 The Owner has notified the Contractor and the Surety at its address described in Paragraph 10 below that the Owner is considering declaring a Contractor Default and has requested and attempted to arrange a conference with the Contractor and the Surety to be held not later than fifteen days after receipt of such notice to discuss methods of performing the Construction Contract. If the Owner, the Contractor and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default; and

3.2 The Owner has declared a Contractor Default and formally terminated the Contractor's right to complete the contract. Such Contractor Default shall not be declared earlier than twenty days after the Contractor and Surety have received notice as provided in Subparagraph 3.1; and

X None

☐ See Page 2

SURETY

Company:

(Corporate Seal)

Old Republic Surety Company

Name and Title: Karen A. Linder Attorney-In-Fact

OWNER'S REPRESENTATIVE (Architect, Engineer or other party):

3.3 The Owner has agreed to pay the Balance of the Contract Price to the Surety in accordance with the terms of the Construction Contract or to a contractor selected to perform the Construction Contract in accordance with the terms of the contract with the

4 When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

- 4.1 Arrange for the Contractor with consent of the Owner, to perform and complete the Construction Contract; or
- 4.2 Undertake to perform and complete the Construction Contract itself, through its agents or through independent contractors; or
- 4.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and the contractor selected with the Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 6 in excess of the Balance of the Contract Price incurred by the Owner resulting from the Contractor's default; or

| A notary public or other officer completing this certificate to which this certificate is attached, and not the truthful | e verifies only the identity of the individual who signed the document lness, accuracy, or validity of that document. |
|--|--|
| State of California County of Riverside | } |
| On $\frac{1101019}{Date}$ before me, personally appeared $\frac{0009105}{2}$ | Maria Valentuela Notary Public
Here Insert Name and Title of the Officer
Alm 3 |
| J | Name(s) of Signer(s) |
| to the within instrument and acknowledged to me | dence to be the person(s) whose name(s) is/are subscribed that he/she/they executed the same in his/her/their signature(s) on the instrument the person(s), or the entity ed the instrument. |
| MARIA VALENZUELA Notary Public - California | I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. |

Place Notary Seal and/or Stamp Above

Riverside County
Commission # 2476274

My Comm. Expires Dec 12, 2027

WITNESS my hand and official seal.

Cianatura of Notan Dublia

- OPTIONAL -Completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document. **Description of Attached Document** Title or Type of Document: _____ Number of Pages: _____ Document Date: ____ Signer(s) Other Than Named Above: _____ Capacity(ies) Claimed by Signer(s) Signer's Name: _____ Signer's Name: □ Corporate Officer – Title(s): _____ □ Corporate Officer – Title(s): _____ ☐ Partner — ☐ Limited ☐ General ☐ Partner — ☐ Limited ☐ General ☐ Individual ☐ Attorney in Fact ☐ Individual ☐ Attorney in Fact ☐ Guardian or Conservator
☐ Trustee ☐ Guardian or Conservator □ Trustee □ Other: _ □ Other: __ Signer is Representing: _____ Signer is Representing: ____

- **4.4** Waive its right to perform and complete, arrange for completion, Or obtain a new contractor and with reasonable promptness under the circumstances:
 - .1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, tender payment therefor to the Owner; or
 - .2 Deny liability in whole or in part and notify the Owner citing reasons therefor.
- 5 If the Surety does not proceed as provided in Paragraph 4 with reasonable promptness, the Surety shall be deemed to be in default on this Bond fifteen days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its Obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Subparagraph 4.4, and the Owner refuses the payment tendered or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.
- 6 After the Owner has terminated the Contractor's right to complete the Construction Contract, and if the Surety elects to act under Subparagraph 4.1, 4.2, or 4.3 above, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. To the limit of the amount of this Bond, but subject to commitment by the Owner of the Balance of the Contract Price to mitigation of costs and damages on the Construction Contract, the Surety is obligated without duplication for:
 - **6.1** The responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;
 - 6.2 Additional legal design professional and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 4; and
 - **6.3** Liquidated damages, or if no liquidated damages are specified in the Construction Contract. actual damages caused by delayed performance or non-performance of the Contractor.

7 The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall MODIFICATIONS TO THIS BOND ARE AS FOLLOWS:

AIA A310-A312 Performance bond ORSC 22119 5-110RSC 22119 (10-93)

Na

accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators or successors.

- 8 The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.
- **9** Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.
- 10 Notice to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the signature page.
- 11 When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

12 DEFINITIONS

- 12.1 Balance of the Contract Price The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made, including allowance to the Contractor of any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.
- **12.2** Construction Contract: The agreement between the Owner and the Contractor identified on the signature page, including all Contract Documents and changes thereto.
- 12.3 Contractor Default: Failure of the Contractor, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Construction Contract.
- 12.4 Owner Default: Failure of the Owner, which has neither been remedied nor waived, to pay the Contractor as required by the Construction Contract or to perform and complete or comply with the other terms thereof.

Page 2 of 2

| Space is provided below for additional signatures of added parties ONTRACTOR AS PRINCIPAL (Corporate Seal) ompany: Alms Underground Construction | s other than those appearing on the cover page.) SURETY (Corporate Seal) Company: Old Republic Surety Company |
|--|---|
| ddress: 38703 Vista Dr Cathedral City CA 92234 | Address: 18500 W Corporate Dr Ste 170 Brookfield, WI 53045 |
| gnature: Douglas R. Alms, President | Name and Title: Karen A. Linder Attorney-In-Fact |



POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That OLD REPUBLIC SURETY COMPANY, a Wisconsin stock insurance corporation, does make, constitute and appoint:

Karen A. Linder, Cynthia A. McKinney, Sarah M. Marinelli, Aleka D. Bridges of Sacramento, CA

its true and lawful Attorney(s)-in-Fact, with full power and authority for and on behalf of the company as surety, to execute and deliver and affix the seal of the company thereto (if a seal is required), bonds, undertakings, recognizances or other written obligations in the nature thereof, (other than bail bonds, bank depository bonds, mortgage deficiency bonds, mortgage guaranty bonds, guarantees of installment paper and note guaranty bonds, self-insurance workers compensation bonds guaranteeing payment of benefits, or black lung bonds), as follows:

ALL WRITTEN INSTRUMENTS

and to bind OLD REPUBLIC SURETY COMPANY thereby, and all of the acts of said Attorneys-in-Fact, pursuant to these presents, are ratified and confirmed. This appointment is made under and by authority of the board of directors at a special meeting held on February 18, 1982.

This Power of Attorney is signed and sealed by facsimile under and by the authority of the following resolutions adopted by the board of directors of the OLD REPUBLIC SURETY COMPANY on February 18,1982.

RESOLVED that, the president, any vice-president or assistant vice president, in conjunction with the secretary or any assistant secretary, may appoint attorneys-in-fact or agents with authority as defined or limited in the instrument evidencing the appointment in each case, for and on behalf of the company to execute and deliver and affix the seal of the company to bonds, undertakings, recognizances, and suretyship obligations of all kinds; and said officers may remove any such attorney-in-fact or agent and revoke any Power of Attorney previously granted to such person.

RESOLVED FURTHER, that any bond, undertaking, recognizance, or suretyship obligation shall be valid and binding upon the Company

- (i) when signed by the president, any vice president or assistant vice president, and attested and sealed (if a seal be required) by any secretary or assistant secretary; or
- (ii) when signed by the president, any vice president or assistant vice president, secretary or assistant secretary, and countersigned and sealed (if a seal be required) by a duly authorized attorney-in-fact or agent; or
- (iii) when duly executed and sealed (if a seal be required) by one or more attorneys-in-fact or agents pursuant to and within the limits of the authority evidenced by the Power of Attorney issued by the company to such person or persons.

RESOLVED FURTHER that the signature of any authorized officer and the seal of the company may be affixed by facsimile to any Power of Attorney or certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligations of the company; and such signature and seal when so used shall have the same force and effect as though manually affixed.

| IN WITNESS affixed this | | | NY has caused these presents | s to be signed by its proper officer, and | |
|---|--|--|--|---|---|
| STATE OF WISCO | Assisant Secretary OF WAU | KESHA - SS | SEAL SEAL | OLD REPUBLIC SURET | Y COMPANY |
| mey are me said o | Karen J Haffner above instrument, and the officers of the corporation at | y each acknowledged to
oresaid, and that the se | , to me known to be the individ
he execution of the same, and
all affixed to the above instrum | pefore me, Alan Pav luais and officers of the OLD REPUBL d being by me duly swom, did severa lent is the seal of the corporation, and authority of the board of directors of sa | IC SURETY COMPANY by depose and say: that |
| CERTIFICATE I, the unders Power of Attorney Attorney, are now 31 1259 | y remains in full force and | has not been revoked | SURETY COMPANY, a Wisc | My Commission Expires: Septer irration of natury's commission does not consin corporation, CERTIFY that the esolutions of the board of directors so does not consider the desolution of the board of directors so does not consider the desolution of the board of directors so does not consider the desolution of the board of directors so does not consider the desolution of the board of directors and desolution of the desolution | foregoing and attached |
| ORSC 22262 (3-06) | Was and Statement Hill | | | Assis at Secreta | France - |

CALIFORNIA ALL- PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

| State of California | } |
|--|--|
| County of Sacramento | } |
| On 07/16/2024 before me, _ | Utruong Uctary Public- |
| name(s) is/are subscribed to the within i he/she/they executed the same in his/he | actory evidence to be the person(s) whose instrument and acknowledged to me that er/their authorized capacity(ies), and that by ent the person(s), or the entity upon behalf of instrument. |
| I certify under PENALTY OF PERJURY the foregoing paragraph is true and corr | under the laws of the State of California that rect. |
| WITNESS my hand and official seal. | J. TRUONG S COMM. # 2388951 S NOTARY PUBLIC * CALIFORNIA ? SACRAMENTO COUNTY Comm. Exp. JAN. 28, 2026 } |
| Notary Public Signature No | tary Public Seal) |
| ADDITIONAL OPTIONAL INFORMATION | INSTRUCTIONS FOR COMPLETING THIS FORM |
| DESCRIPTION OF THE ATTACHED DOCUMENT Performance Bond (Title or description of attached document) (Title or description of attached document continued) | This form complies with current California statutes regarding notary wording and if needed, should be completed and attached to the document. Acknowledgments from other states may be completed for documents being sent to that state so long as the wording does not require the California notary to violate California notary law. State and County information must be the State and County where the documen signer(s) personally appeared before the notary public for acknowledgment. Date of notarization must be the date that the signer(s) personally appeared which |
| Number of Pages Document Date C7/16/2019 | must also be the same date the acknowledgment is completed. The notary public must print his or her name as it appears within his or he commission followed by a commu and then your title (notary public). Print the name(s) of document signer(s) who personally appear at the time of notarization. |
| CAPACITY CLAIMED BY THE SIGNER Individual (s) Corporate Officer (Title) Partner(s) Attorney-in-Fact | Indicate the correct singular or plural forms by crossing off incorrect forms (i.e he/she/hey, is /are) or circling the correct forms. Failure to correctly indicate thi information may lead to rejection of document recording. The notary seal impression must be clear and photographically reproducible impression must not cover text or lines. If seal impression smadges, re-seal if sufficient area permits, otherwise complete a different acknowledgment form. Signature of the notary public must match the signature on file with the office of the county clerk. Additional information is not required but could help to ensure this |

acknowledgment is not misused or attached to a different document.

corporate officer, indicate the tisk (i.e. CEO, CFO, Secretary).

· Securely attach this document to the signed document with a staple.

Indicate title or type of attached document, number of pages and date. Indicate the capacity claimed by the signer. If the claimed capacity is a

2015 Version www.NotaryClasses.com 800-873-9865

Trustee(s)



NOTICE OF EXEMPTION

To: County Clerk 2724 Gateway Drive Riverside, CA 92507

Project Title: Sewer Lift Station Project for Thermal II Apartments

Project Location: 56690 Polk Street, Thermal, CA 92274

Description of Project: The Riverside Community Housing Corp. (RCHC) owns, operates, and maintains the RAD Multi-Family Housing Site known as the Thermal II Apartments. Built in 1978, the existing septic tanks are no longer adequate for the number of residents living here and require almost constant pumping. To remedy this, the apartment complex will now tie into the existing Coachella Valley Water District (CVWD) underground sewer system. The present and existing use of the Site will remain the same and of similar intensity. Any existing impacts related to noise, traffic, or utilities will remain similar to existing conditions.

Name of Public Agency Approving Project: Housing and Workforce Solutions - County of Riverside

Name of Person or Agency Carrying Out Project: Riverside Community Housing Corp.

Exempt Status: California Environmental Quality Act (CEQA) Guidelines Title14 California Code of Regulations, Section 15061(b)(3), General Rule or "Common Sense" exemption and Section 15301, Class 1 – Existing Facilities.

Reasons Why Project is Exempt: The project is exempt from the provisions of CEQA specifically by the State CEQA Guidelines as identified below. The project relates to the connection of the listed RAD housing site to existing underground sewer lines, which would involve no expansion of use beyond that previously existing and is therefore exempt under State CEQA Guidelines Section 15301 Class 1, Existing Facilities Exemption and Section 15061(b)(3), Common Sense, General Rule Exemption. The project will not cause any impacts to scenic resources, historic resources, or unique sensitive biological environments. Further, no unusual circumstances or potential cumulative impacts would occur that may reasonably create an environmental impact. The connection of the RAD housing residential units to an existing sewer line will not have an effect on the environment and no significant physical environmental impacts are anticipated to occur.

- Section 15301 Class 1 Existing Facilities Exemption. This exemption includes the operation, repair, maintenance, leasing, or minor alteration of existing public or private structures or facilities, provided the exemption only involves negligible or no expansion of the previous site's use. The project as proposed is the connection of this RAD housing site to already established sewer lines and would not result in any significant physical impacts related to air quality, traffic, noise, biological or historic resources, or any other potential physical environmental impacts. Therefore, the project meets the scope and intent of the Class 1 Exemption.
- Section 15061 General Rule or "Common Sense" Exemption. The State CEQA Guidelines provides this
 exemption based upon the general rule that CEQA only applies to projects with the potential to cause a significant
 effect on the environment. With certainty, there is no possibility that the proposed project may have a significant effect
 on the environment. The connection to an underground sewer line at an already existing multifamily housing unit will
 not have an effect on the environment. The use of CVWD sewer lines will not increase any potential environmental

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impacts. The use and operation of the site will be substantially the same as before and this project will not create any new environmental impacts to the surrounding area. Therefore, in no way would the project as proposed have the potential to cause a significant environmental impact and the project is exempt from further CEQA analysis.

Based upon the identified exemptions above, the Riverside Community Housing Corp. hereby concludes that no physical environmental impacts are anticipated to occur and the project as proposed is exempt under CEQA. No further environmental analysis is warranted.

Lead Agency Contact: Juan Garcia, Secretary

Telephone: (951) 955-8126

Signature:

Date: