

**SUBMITTAL TO THE BOARD OF SUPERVISORS  
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**



ITEM: 3.31  
(ID # 26530)

**MEETING DATE:**  
Tuesday, January 14, 2025

**FROM :** PROBATION

**SUBJECT:** PROBATION: Approve the Professional Service Agreement with Applied Survey Research, Inc. for Juvenile Justice Programs Evaluation and Planning services through June 30, 2027, with the option to renew for two additional one-year periods through June 30, 2029; All Districts. [Total cost: \$555,000; up to \$55,500 in additional compensation; 100% - JJCPA Funding

**RECOMMENDED MOTION:** That the Board of Supervisors:

1. Approve the Professional Service Agreement with Applied Survey Research, Inc. (ASR) for Juvenile Justice Programs (JJP) Evaluation and Planning services, effective upon signature of the parties through June 30, 2027 for a total aggregate amount not to exceed \$555,000, with the option to renew for two additional one-year periods through June 30, 2029, and authorize the Chair of the Board to sign the Agreement on behalf of the County; and
2. Authorize the Purchasing Agent, in accordance with Ordinance No. 459, based on availability of fiscal funding and as approved as to form by County Counsel to: a) sign amendments that exercise the options of the Agreement including modifications of the scope of services that stay within the intent of the Agreement, b) sign amendments to the compensation provisions that do not exceed the sum total of ten percent (10%) of the total cost of the contract, and c) issue purchase order(s) to ASR for services provided within the approved compensation amount consistent with the Agreement.

**ACTION:Policy**

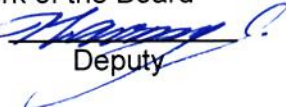
  
Christopher Wright, Chief Probation Officer 11/21/2024

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**MINUTES OF THE BOARD OF SUPERVISORS**

On motion of Supervisor Spiegel, seconded by Supervisor Gutierrez and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes: Medina, Spiegel, Washington, Perez and Gutierrez  
Nays: None  
Absent: None  
Date: January 14, 2025  
xc: Probation

Kimberly A. Rector  
Clerk of the Board  
By:   
Deputy

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| <b>FINANCIAL DATA</b>              | <b>Current Fiscal Year:</b> | <b>Next Fiscal Year:</b> | <b>Total Cost:</b>        | <b>Ongoing Cost</b> |
|------------------------------------|-----------------------------|--------------------------|---------------------------|---------------------|
| <b>COST</b>                        | \$145,500                   | \$121,500                | \$550,000                 | \$0                 |
| <b>NET COUNTY COST</b>             | \$0                         | \$0                      | \$0                       | \$0                 |
| <b>SOURCE OF FUNDS:</b> 100% JJCPA |                             |                          | <b>Budget Adjustment:</b> | No                  |
|                                    |                             |                          | <b>For Fiscal Year:</b>   | 24/25 – 28/29       |

**C.E.O. RECOMMENDATION:** Approve

**BACKGROUND:**

**Summary**

The Juvenile Justice Crime Prevention Act (JJCPA) was created by the Crime Prevention Act of 2000 (Assembly Bill No. AB 1913, Chapter 353, Statutes of 2000) to provide a stable funding source for local juvenile justice programs aimed at curbing crime and delinquency among at-risk youth.

Under partnership in compliance with the JJCPA, Riverside County currently has a Juvenile Justice Plan and JJCPA funded programs in place. The importance and objective of the Riverside County Juvenile Justice Plan is to ensure that Riverside County Probation Department continually seek effective, collaborative responses to juvenile crime and provide the best possible services to youth, families, and communities throughout the County.

The Riverside County Juvenile Justice Coordinating Council, this County Board of Supervisors, and the Board of State and Community Corrections (BSCC) have approved funded programs. Currently, these programs include the Youth Accountability Teams (YAT), Successful Short-Term Supervision (SSTS), Restorative Justice (Victim Mediation), Gang Awareness Mentorship & Education Program (GAME), as well as funding awarded to community-based organizations to provide services to at-risk youth.

On September 14, 2023, County Purchasing released bid solicitation RFP PRARC-092 for Juvenile Justice Programs (JJP) – Evaluation & Planning Services purposed to have the awarded vendor evaluate the effectiveness of the programs currently funded by the JJCPA grant and to update Riverside County's Juvenile Justice Plan for the next three (3) years. Key deliverables of this project are to prepare a comprehensive measurement report on findings associated with current programs and their effectiveness to reduce recidivism; identify any gaps in service; if programmatic changes need to occur; provide a sustainable self-evaluation system to programs to update the Riverside County Juvenile Justice Plan; and identify regional needs of target population throughout Riverside County. The department is requesting approval of the Services Agreement with Applied Survey Research, Inc. for JJP planning and evaluation services.

**Impact on Residents and Businesses**

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This agreement will provide the necessary services to meet the operational processes for the Probation Department.

**Additional Fiscal Information**

Funding for this agreement will be budgeted through the standard County budget process. Funds for this agreement have been allocated for FY 24/25 and will be allocated for the FY 25/26 budget. Budget adjustments will not be necessary.

The total Not-to-Exceed compensation to Applied Survey Research, Inc. is \$555,000 and the work plan is as follows:

**Work Plan and Milestone Payment Points FY 24/25 Cost:**

|   |              |
|---|--------------|
| Project Management:<br>(October 2024 thru June 2027)                          | \$35,000.00  |
| TASK I – Program Evaluation:<br>(Jan. 2025 thru June 2027)                    | \$175,000.00 |
| TASK II – Comprehensive Measurement:<br>Report (Jan. 2025 thru December 2025) | \$55,000.00  |
| TASK III – Evaluation Sustainability:<br>(Jan. 2025 thru June 2025)           | \$40,000.00  |
| TASK IV – Update the Juvenile Justice<br>Plan: (Jan. 2025 thru June 2025)     | \$175,000.00 |
| TASK V – YAT Program Compliance:<br>(October 2024 thru September 2025)        | \$75,000.00  |
| TOTAL COST  | \$555,000.00 |

**Due**

**dates for each deliverable are as follows:**

- Project Management: Entire duration of contract.
- Task I (Program Evaluation): January 2025 – June 2027 (to be completed annually, to include all newly contracted providers)
- Task II (Comprehensive Measurement Report): January 2025 – June 2025 with report out to the Riverside County JJCC Board in July 2025
- Task III (Evaluation Sustainability): January 2025 – June 2025 with report out to the Riverside County JJCC Board in July 2025
- Task IV (Update Juvenile Justice Plan): January 2025 – completed yearly December 2025 and 2026, with report out to the Riverside County JJCC Board in January 2025 and January 2026
- Task V (YAT Compliance): January 2025 – November 2025 – with two reports one in January 2025 and the other in October 2025 and report out to the Riverside County JJCC Board in January 2025 and November 2025.

**Contract History and Price Reasonableness**

The Purchasing Department on behalf of the Probation Department issued Request for Proposal (RFP) PRARC-092 for Juvenile Justice Programs (JJP) – Evaluation & Planning

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Services. The RFP notification was viewed by 85 vendors, with a total of 3 bidders submitting bid responses. The proposals inclusive of clarifications and best and final offers were carefully reviewed by an evaluation team consisting of personnel from the Probation Department and JJDCPC Member. Each bid response was evaluated based on the criteria set forth in the RFP: bidder proposal response, bidder qualifications/experience, bidder's proposed implementation plan, overall cost to the County, references with demonstrated success with similar work to the Scope of Services, bidder's financial status, clarifications/exceptions/deviations, and credentials/resumes/licenses/certifications.

The proposed best and final pricing from all responding bidders for the JJP Evaluation & Planning Services ranged from \$555,000 to \$1,013,111. Based on the overall summation, it is recommended that the contract be awarded to Applied Survey Research, Inc. as the most responsive, and responsible bidder for this project.

**ATTACHMENTS:**

Professional Service Agreement, Applied Survey Research, Inc.

|   |            |   |          |
|---|------------|---|----------|
| <br>_____<br>Melissa Curtis, Deputy Director of Purchasing and Fleet | 12/24/2024 | <br>_____<br>Rebecca S Cortez, Principal Management Analyst | 1/6/2025 |
|---|------------|---|----------|

|  |          |
|--|----------|
| <br>_____<br>Aaron Gettis, Chief of Deputy County Counsel | 1/6/2025 |
|--|----------|

**PROFESSIONAL SERVICE AGREEMENT**

for

**Juvenile Justice Programs – Evaluation & Planning**

between

**COUNTY OF RIVERSIDE**

and

**APPLIED SURVEY RESEARCH, INC.**



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This Professional Service Agreement ("Agreement") is made and entered into this \_\_\_\_ day of \_\_\_\_\_, 202\_\_, by and between Applied Survey Research, Inc., a non-profit corporation formed in the State of California, (herein referred to as "CONTRACTOR"), and the COUNTY OF RIVERSIDE, on behalf of its Probation Department, a political subdivision of the State of California, (herein referred to as "COUNTY"). The parties agree as follows:

**1. Description of Services**

**1.1** CONTRACTOR shall provide all services as outlined and specified in Exhibit A, Scope of Services, at the prices stated in Exhibit B, Payment Provisions, and Attachment I, HIPAA Business Associate Attachment to the Professional Service Agreement.

**1.2** CONTRACTOR represents that it has the skills, experience, and knowledge necessary to perform under this Agreement and the COUNTY relies upon this representation. CONTRACTOR shall perform to the satisfaction of the COUNTY and in conformance to and consistent with the highest standards of firms/professionals in the same discipline in the State of California.

**1.3** CONTRACTOR affirms that it is fully apprised of all of the work to be performed under this Agreement; and the CONTRACTOR agrees it can properly perform this work at the prices stated in Exhibit B. CONTRACTOR is not to perform services or provide products outside of the Agreement.

**1.4** Acceptance by the COUNTY of the CONTRACTOR's performance under this Agreement does not operate as a release of CONTRACTOR's responsibility for full compliance with the terms of this Agreement.

**2. Period of Performance**

**2.1** This Agreement shall be effective upon signature of this Agreement by both parties and continues in effect through June 30, 2027, with the option to extend for two additional one-year periods through written amendment, unless terminated earlier. CONTRACTOR shall commence performance upon signature of this Agreement by both parties and shall diligently and continuously perform thereafter. The Riverside County Board of Supervisors is the only authority that may obligate the County for a non-cancelable multi-year agreement.

**3. Compensation**

**3.1** The COUNTY shall pay the CONTRACTOR for services performed, products provided and expenses incurred in accordance with the terms of Exhibit B, Payment Provisions. Maximum payments by COUNTY to CONTRACTOR shall not exceed a total aggregate amount of Five Hundred Fifty-Five

Thousand Dollars (\$555,000.00) including all expenses (Ref Exhibit B for Work Plan and milestones with Payment Points). The COUNTY is not responsible for any fees or costs incurred above or beyond the contracted amount and shall have no obligation to purchase any specified amount of services or products. Unless otherwise specifically stated in Exhibit B, COUNTY shall not be responsible for payment of any of CONTRACTOR's expenses related to this Agreement.

**3.2** No price increases will be permitted during the first year of this Agreement (If applicable). All price decreases (for example, if CONTRACTOR offers lower prices to another governmental entity) will automatically be extended to the COUNTY. The COUNTY requires written proof satisfactory to COUNTY of cost increases prior to any approved price adjustment. After the first year of the award, a minimum of 30-days advance notice in writing is required to be considered and approved by COUNTY. No retroactive price adjustments will be considered. Any price increases must be stated in a written amendment to this Agreement. The net dollar amount of profit will remain firm during the period of the Agreement. Annual increases shall not exceed the Consumer Price Index- All Consumers, All Items - Greater Los Angeles, Riverside and Orange County areas and be subject to satisfactory performance review by the COUNTY and approved (if needed) for budget funding by the Board of Supervisors.

**3.3** CONTRACTOR shall be paid only in accordance with an invoice submitted to COUNTY by CONTRACTOR within fifteen (15) days from the last day of each calendar month, and COUNTY shall pay the invoice within thirty (30) working days from the date of receipt of the invoice. Payment shall be made to CONTRACTOR only after services have been rendered or delivery of materials or products, and acceptance has been made by COUNTY. Prepare invoices in duplicate. For this Agreement, send the original and duplicate copies of invoices to:

Riverside County Probation Department

Attn: Accounts Payable

P.O. Box 833

Riverside, CA 92502-0833

Email address: [acctspayable@rivco.org](mailto:acctspayable@rivco.org)

- a) Each invoice shall contain a minimum of the following information: invoice number and date; remittance address; bill-to and ship-to addresses of ordering department/division; Agreement number **PRARC-95277-001-06/27**; quantities; item descriptions, unit prices, extensions, sales/use tax if applicable, and an invoice total.



- b) Invoices shall be rendered monthly in arrears.
- c) Reference Exhibit-B Payment Provisions with Workplan and Milestones with Payment Points.

**3.4** The COUNTY obligation for payment of this Agreement beyond the current fiscal year end is contingent upon and limited by the availability of COUNTY funding from which payment can be made, and invoices shall be rendered “monthly” in arrears. In the State of California, government agencies are not allowed to pay excess interest and late charges, per Government Code section 926.10. No legal liability on the part of the COUNTY shall arise for payment beyond June 30 of each calendar year unless funds are made available for such payment. In the event that such funds are not forthcoming for any reason, COUNTY shall immediately notify CONTRACTOR in writing; and this Agreement shall be deemed terminated, have no further force, and effect.

#### **4. Alteration or Changes to the Agreement**

**4.1** The Board of Supervisors and the COUNTY Purchasing Agent and/or designee are the only authorized COUNTY representatives who may at any time, by written order, alter this Agreement. If any such alteration causes an increase or decrease in the cost of, or the time required for the performance under this Agreement, an equitable adjustment shall be made in the Agreement price or delivery schedule, or both, and the Agreement shall be modified by written amendment accordingly.

**4.2** Any claim by the CONTRACTOR for additional payment related to this Agreement shall be made in writing by the CONTRACTOR within thirty (30) days of when the CONTRACTOR has or should have notice of any actual or claimed change in the work, which results in additional and unanticipated cost to the CONTRACTOR. If the COUNTY Purchasing Agent decides that the facts provide sufficient justification, she may authorize additional payment to the CONTRACTOR pursuant to the claim. Nothing in this section shall excuse the CONTRACTOR from proceeding with performance of the Agreement even if there has been a change.

#### **5. Termination**

**5.1.** COUNTY may terminate this Agreement without cause upon thirty (30) days written notice served upon the CONTRACTOR stating the extent and effective date of termination.

**5.2** COUNTY may, upon five (5) days written notice terminate this Agreement for CONTRACTOR's default, if CONTRACTOR refuses or fails to comply with the terms of this Agreement or fails to make progress that may endanger performance and does not immediately cure such failure. In the

event of such termination, the COUNTY may proceed with the work in any manner deemed proper by COUNTY.

**5.3** After receipt of the notice of termination, CONTRACTOR shall:

- (a) Stop all work under this Agreement on the date specified in the notice of termination; and
- (b) Transfer to COUNTY and deliver in the manner as directed by COUNTY any materials, reports or other products, which, if the Agreement had been completed or continued, would have been required to be furnished to COUNTY.

**5.4** After termination, COUNTY shall make payment only for CONTRACTOR's performance up to the date of termination in accordance with this Agreement.

**5.5** CONTRACTOR's rights under this Agreement shall terminate (except for fees accrued prior to the date of termination) upon dishonesty or a willful or material breach of this Agreement by CONTRACTOR; or in the event of CONTRACTOR's unwillingness or inability for any reason whatsoever to perform the terms of this Agreement. In such event, CONTRACTOR shall not be entitled to any further compensation under this Agreement.

**5.6** If the Agreement is federally or State funded, CONTRACTOR cannot be debarred from the System for Award Management (SAM). CONTRACTOR must notify the COUNTY immediately of a debarment. Reference: System for Award Management (SAM) at <https://www.sam.gov> for Central CONTRACTOR Registry (CCR), Federal Agency Registration (Fedreg), Online Representations and Certifications Application, and Excluded Parties List System (EPLS)). Excluded Parties Listing System (EPLS) (<http://www.epls.gov>) (Executive Order 12549, 7 CFR Part 3017, 45 CFR Part 76, and 44 CFR Part 17). The System for Award Management (SAM) is the Official U.S. Government system that consolidated the capabilities of CCR/FedReg, ORCA, and EPLS.

**5.7** The rights and remedies of COUNTY provided in this section shall not be exclusive and are in addition to any other rights and remedies provided by law or this Agreement.

**6. Ownership/Use of Contract Materials and Products**

The CONTRACTOR agrees that all materials, reports or products in any form, including electronic, created by CONTRACTOR for which CONTRACTOR has been compensated by COUNTY pursuant to this Agreement shall be the sole property of the COUNTY. The material, reports or products may be used by the COUNTY for any purpose that the COUNTY deems to be appropriate, including, but not limit to, duplication

and/or distribution within the COUNTY or to third parties. CONTRACTOR agrees not to release or circulate in whole or part such materials, reports, or products without prior written authorization of the COUNTY.

**7. Conduct of CONTRACTOR**

**7.1** The CONTRACTOR covenants that it presently has no interest, including, but not limited to, other projects or contracts, and shall not acquire any such interest, direct or indirect, which would conflict in any manner or degree with CONTRACTOR's performance under this Agreement. The CONTRACTOR further covenants that no person or subcontractor having any such interest shall be employed or retained by CONTRACTOR under this Agreement. The CONTRACTOR agrees to inform the COUNTY of all the CONTRACTOR's interests, if any, which are or may be perceived as incompatible with the COUNTY's interests.

**7.2** The CONTRACTOR shall not, under circumstances which could be interpreted as an attempt to influence the recipient in the conduct of his/her duties, accept any gratuity or special favor from individuals or firms with whom the CONTRACTOR is doing business or proposing to do business, in accomplishing the work under this Agreement.

**7.3** The CONTRACTOR or its employees shall not offer gifts, gratuity, favors, and entertainment directly or indirectly to COUNTY employees.

**8. Inspection of Service; Quality Control/Assurance**

**8.1** All performance (which includes services, workmanship, materials, supplies and equipment furnished or utilized in the performance of this Agreement) shall be subject to inspection and test by the COUNTY or other regulatory agencies at all times. The CONTRACTOR shall provide adequate cooperation to any inspector or other COUNTY representative to permit him/her to determine the CONTRACTOR's conformity with the terms of this Agreement. If any services performed or products provided by CONTRACTOR are not in conformance with the terms of this Agreement, the COUNTY shall have the right to require the CONTRACTOR to perform the services or provide the products in conformance with the terms of the Agreement at no additional cost to the COUNTY. When the services to be performed or the products to be provided are of such nature that the difference cannot be corrected; the COUNTY shall have the right to: (1) require the CONTRACTOR immediately to take all necessary steps to ensure future performance in conformity with the terms of the Agreement; and/or (2) reduce the Agreement price to reflect the reduced value of the services performed or products provided. The COUNTY may also terminate this Agreement for

default and charge to CONTRACTOR any costs incurred by the COUNTY because of the CONTRACTOR's failure to perform.

**8.2** CONTRACTOR shall establish adequate procedures for self-monitoring and quality control and assurance to ensure proper performance under this Agreement; and shall permit a COUNTY representative or other regulatory official to monitor, assess, or evaluate CONTRACTOR's performance under this Agreement at any time, upon reasonable notice to the CONTRACTOR.

**9. Independent CONTRACTOR/Employment Eligibility**

**9.1** The CONTRACTOR is, for purposes relating to this Agreement, an independent CONTRACTOR and shall not be deemed an employee of the COUNTY. It is expressly understood and agreed that the CONTRACTOR (including its employees, agents, and subcontractors) shall in no event be entitled to any benefits to which COUNTY employees are entitled, including but not limited to overtime, any retirement benefits, worker's compensation benefits, and injury leave or other leave benefits. There shall be no employer-employee relationship between the parties; and CONTRACTOR shall hold COUNTY harmless from any and all claims that may be made against COUNTY based upon any contention by a third party that an employer-employee relationship exists by reason of this Agreement. It is further understood and agreed by the parties that CONTRACTOR in the performance of this Agreement is subject to the control or direction of COUNTY merely as to the results to be accomplished and not as to the means and methods for accomplishing the results.

**9.2** CONTRACTOR warrants that it shall make its best effort to fully comply with all federal and state statutes and regulations regarding the employment of aliens and others and to ensure that employees performing work under this Agreement meet the citizenship or alien status requirement set forth in federal statutes and regulations. CONTRACTOR shall obtain from all employees performing work hereunder, all verification and other documentation of employment eligibility status required by federal or state statutes and regulations including, but not limited to, the Immigration Reform and Control Act of 1986, 8 U.S.C. §1324 et seq., as they currently exist and as they may be hereafter amended. CONTRACTOR shall retain all such documentation for all covered employees, for the period prescribed by the law.

**9.3** Ineligible Person shall be any individual or entity who: Is currently excluded, suspended, debarred or otherwise ineligible to participate in the federal health care programs; or has been convicted of a criminal offense related to the provision of health care items or services and has not been reinstated in the federal health care programs after a period of exclusion, suspension, debarment, or ineligibility.

**9.4** CONTRACTOR shall screen prospective Covered Individuals prior to hire or engagement. CONTRACTOR shall not hire or engage any Ineligible Person to provide services directly relative to this Agreement. CONTRACTOR shall screen all current Covered Individuals within sixty (60) days of execution of this Agreement to ensure that they have not become Ineligible Persons unless CONTRACTOR has performed such screening on same Covered Individuals under a separate agreement with COUNTY within the past six (6) months. Covered Individuals shall be required to disclose to CONTRACTOR immediately any debarment, exclusion or other event that makes the Covered Individual an Ineligible Person. CONTRACTOR shall notify COUNTY within five (5) business days after it becomes aware if a Covered Individual providing services directly relative to this Agreement becomes debarred, excluded or otherwise becomes an Ineligible Person.

**9.5** CONTRACTOR acknowledges that Ineligible Persons are precluded from providing federal and state funded health care services by contract with COUNTY in the event that they are currently sanctioned or excluded by a federal or state law enforcement regulatory or licensing agency. If CONTRACTOR becomes aware that a Covered Individual has become an Ineligible Person, CONTRACTOR shall remove such individual from responsibility for, or involvement with, COUNTY business operations related to this Agreement.

**9.6** CONTRACTOR shall notify COUNTY within five (5) business days if a Covered Individual or entity is currently excluded, suspended or debarred, or is identified as such after being sanction screened. Such individual or entity shall be promptly removed from participating in any activity associated with this Agreement.

**10. Subcontract for Work or Services**

No contract shall be made by the CONTRACTOR with any other party for furnishing any of the work or services under this Agreement without the prior written approval of the COUNTY; but this provision shall not require the approval of contracts of employment between the CONTRACTOR and personnel assigned under this Agreement, or for parties named in the proposal and agreed to under this Agreement.

**11. Disputes**

**11.1** The parties shall attempt to resolve any disputes amicably at the working level. If that is not successful, the dispute shall be referred to the senior management of the parties. Any dispute relating to this Agreement, which is not resolved by the parties, shall be decided by the COUNTY's Purchasing Department's Compliance Contract Officer who shall furnish the decision in writing. The decision of the COUNTY's

Compliance Contract Officer shall be final and conclusive unless determined by a court of competent jurisdiction to have been fraudulent, capricious, arbitrary, or so grossly erroneous to imply bad faith. The CONTRACTOR shall proceed diligently with the performance of this Agreement pending the resolution of a dispute.

**11.2** Prior to the filing of any legal action related to this Agreement, the parties shall be obligated to attend a mediation session in Riverside County before a neutral third party mediator. A second mediation session shall be required if the first session is not successful. The parties shall share the cost of the mediations.

**12. Licensing and Permits**

CONTRACTOR shall comply with all State or other licensing requirements, including but not limited to the provisions of Chapter 9 of Division 3 of the Business and Professions Code. All licensing requirements shall be met at the time proposals are submitted to the COUNTY. CONTRACTOR warrants that it has all necessary permits, approvals, certificates, waivers and exemptions necessary for performance of this Agreement as required by the laws and regulations of the United States, the State of California, the County of Riverside and all other governmental agencies with jurisdiction, and shall maintain these throughout the term of this Agreement.

**13. Use By Other Political Entities**

The CONTRACTOR agrees to extend the same pricing, terms, and conditions as stated in this Agreement to each and every political entity, special district, and related non-profit. It is understood that other entities shall make purchases in their own name, make direct payment, and be liable directly to the CONTRACTOR; and COUNTY shall in no way be responsible to CONTRACTOR for other entities' purchases.

**14. Non-Discrimination**

CONTRACTOR shall not be discriminate in the provision of services, allocation of benefits, accommodation in facilities, or employment of personnel on the basis of ethnic group identification, race, religious creed, color, national origin, ancestry, physical handicap, medical condition, marital status or sex in the performance of this Agreement; and, to the extent they shall be found to be applicable hereto, shall comply with the provisions of the California Fair Employment and Housing Act (Gov. Code 12900 et. seq), the Federal Civil Rights Act of 1964 (P.L. 88-352), the Americans with Disabilities Act of 1990 (42 U.S.C. S1210 et seq.) and all other applicable laws or regulations.

**15. Records and Documents**

CONTRACTOR shall make available, upon written request by any duly authorized Federal, State, or COUNTY agency, a copy of this Agreement and such books, documents and records as are necessary to certify the nature and extent of the CONTRACTOR's costs related to this Agreement. All such books, documents and records shall be maintained by CONTRACTOR for at least five years following termination of this Agreement and be available for audit by the COUNTY. CONTRACTOR shall provide to the COUNTY reports and information related to this Agreement as requested by COUNTY.

**16. Confidentiality**

**16.1** The CONTRACTOR shall not use for personal gain or make other improper use of privileged or confidential information which is acquired in connection with this Agreement. The term "privileged or confidential information" includes but is not limited to: unpublished or sensitive technological or scientific information; medical, personnel, or security records; anticipated material requirements or pricing/purchasing actions; COUNTY information or data which is not subject to public disclosure; COUNTY operational procedures; and knowledge of selection of CONTRACTORS, subcontractors' or suppliers in advance of official announcement.

**16.2** The CONTRACTOR shall protect from unauthorized disclosure names and other identifying information concerning persons receiving services pursuant to this Agreement, except for general statistical information not identifying any person. The CONTRACTOR shall not use such information for any purpose other than carrying out the CONTRACTOR's obligations under this Agreement. The CONTRACTOR shall promptly transmit to the COUNTY all third party requests for disclosure of such information. The CONTRACTOR shall not disclose, except as otherwise specifically permitted by this Agreement or authorized in advance in writing by the COUNTY, any such information to anyone other than the COUNTY. For purposes of this paragraph, identity shall include, but not be limited to, name, identifying number, symbol, or other identifying particulars assigned to the individual, such as finger or voice print or a photograph.

**16.3** The CONTRACTOR is subject to and shall operate in compliance with all relevant requirements contained in the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-191, enacted August 21, 1996, and the related laws and regulations promulgated subsequent thereto. Please refer to Attachment I of this Agreement.

**17. Administration/Contract Liaison**

The COUNTY Purchasing Agent, or designee, shall administer this Agreement on behalf of the COUNTY. The Purchasing Department is to serve as the liaison with CONTRACTOR in connection with this Agreement.

**18. Notices**

All correspondence and notices required or contemplated by this Agreement shall be delivered to the respective parties at the addresses set forth below and are deemed submitted two days after their deposit in the United States mail, postage prepaid:

**COUNTY OF RIVERSIDE**

Probation Department (Contract & Grants)  
P.O. Box 833  
Riverside, CA 92502-0833

**CONTRACTOR**

Applied Survey Research, Inc.  
P.O. Box 1927  
Watsonville, CA 95077

**19. Force Majeure**

If either party is unable to comply with any provision of this Agreement due to causes beyond its reasonable control, and which could not have been reasonably anticipated, such as acts of God, acts of war, civil disorders, or other similar acts, such party shall not be held liable for such failure to comply.

**20. EDD Reporting Requirements**

In order to comply with child support enforcement requirements of the State of California, the COUNTY may be required to submit a Report of Independent CONTRACTOR(s) form **DE 542** to the Employment Development Department. The CONTRACTOR agrees to furnish the required data and certifications to the COUNTY within 10 days of notification of award of Agreement when required by the EDD. This data will be transmitted to governmental agencies charged with the establishment and enforcement of child support orders. Failure of the CONTRACTOR to timely submit the data and/or certificates required may result in the contract being awarded to another CONTRACTOR. In the event a contract has been issued, failure of the CONTRACTOR to comply with all federal and state reporting requirements for child support enforcement or to comply with all lawfully served Wage and Earnings Assignments Orders and Notices of Assignment shall constitute a material breach of Agreement. If CONTRACTOR has any questions concerning this reporting requirement, please call (916) 657-0529. CONTRACTOR should also contact its local Employment Tax Customer Service Office listed in the telephone directory in the State Government section under "Employment Development Department" or access their Internet site at [www.edd.ca.gov](http://www.edd.ca.gov).



**21. Hold Harmless/Indemnification**

**21.1** CONTRACTOR shall indemnify and hold harmless the County of Riverside, its Agencies, Districts, Special Districts and Departments, their respective directors, officers, Board of Supervisors, elected and appointed officials, employees, agents and representatives (individually and collectively hereinafter referred to as Indemnitees) from any liability, action, claim or damage whatsoever, based or asserted upon any services of CONTRACTOR, its officers, employees, subcontractors, agents or representatives arising out of or in any way relating to this Agreement, including but not limited to property damage, bodily injury, or death or any other element of any kind or nature. CONTRACTOR shall defend the Indemnitees at its sole expense including all costs and fees (including, but not limited, to attorney fees, cost of investigation, defense and settlements or awards) in any claim or action based upon such acts, omissions or services.

**21.2** With respect to any action or claim subject to indemnification herein by CONTRACTOR, CONTRACTOR shall, at their sole cost, have the right to use counsel of their own choice and shall have the right to adjust, settle, or compromise any such action or claim without the prior consent of COUNTY; provided, however, that any such adjustment, settlement or compromise in no manner whatsoever limits or circumscribes CONTRACTOR indemnification to Indemnitees as set forth herein.

**21.3** CONTRACTOR'S obligation hereunder shall be satisfied when CONTRACTOR has provided to COUNTY the appropriate form of dismissal relieving COUNTY from any liability for the action or claim involved.

**21.4** The specified insurance limits required in this Agreement shall in no way limit or circumscribe CONTRACTOR'S obligations to indemnify and hold harmless the Indemnitees herein from third party claims.

**22. Insurance**

**22.1** Without limiting or diminishing the CONTRACTOR'S obligation to indemnify or hold the COUNTY harmless, CONTRACTOR shall procure and maintain or cause to be maintained, at its sole cost and expense, the following insurance coverage's during the term of this Agreement. As respects to the insurance section only, the COUNTY herein refers to the County of Riverside, its Agencies, Districts, Special Districts, and Departments, their respective directors, officers, Board of Supervisors, employees, elected or appointed officials, agents, or representatives as Additional Insureds.

**A. Workers' Compensation:**

If the CONTRACTOR has employees as defined by the State of California, the CONTRACTOR shall maintain statutory Workers' Compensation Insurance (Coverage A) as prescribed by the laws of the State of California. Policy shall include Employers' Liability (Coverage B) including Occupational Disease with limits not less than \$1,000,000 per person per accident. The policy shall be endorsed to waive subrogation in favor of The County of Riverside.

**B. Commercial General Liability:**

Commercial General Liability insurance coverage, including but not limited to, premises liability, unmodified contractual liability, products and completed operations liability, personal and advertising injury, and cross liability coverage, covering claims which may arise from or out of CONTRACTOR'S performance of its obligations hereunder. Policy shall name the COUNTY as Additional Insured. Policy's limit of liability shall not be less than \$2,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this agreement or be no less than two (2) times the occurrence limit.

**C. Vehicle Liability:**

If vehicles or mobile equipment is used in the performance of the obligations under this Agreement, then CONTRACTOR shall maintain liability insurance for all owned, non-owned, or hired vehicles so used in an amount not less than \$1,000,000 per occurrence combined single limit. If such insurance contains a general aggregate limit, it shall apply separately to this agreement or be no less than two (2) times the occurrence limit. Policy shall name the COUNTY as Additional Insureds.

**D. General Insurance Provisions - All lines:**

1) Any insurance carrier providing insurance coverage hereunder shall be admitted to the State of California and have an A M BEST rating of not less than A: VIII (A:8) unless such requirements are waived, in writing, by the County Risk Manager. If the County's Risk Manager waives a requirement for a particular insurer such waiver is only valid for that specific insurer and only for one policy term.

2) The CONTRACTOR must declare its insurance self-insured retention for each coverage required herein. If any such self-insured retention exceeds \$500,000 per occurrence each such retention shall have the prior written consent of the County Risk Manager before the commencement of operations under this Agreement. Upon notification of self-insured retention unacceptable to the COUNTY, and at the election of the County's Risk Manager, CONTRACTOR'S carriers shall either; 1) reduce or eliminate such self-insured

retention as respects this Agreement with the COUNTY, or 2) procure a bond which guarantees payment of losses and related investigations, claims administration, and defense costs and expenses.

3) CONTRACTOR shall cause CONTRACTOR'S insurance carrier(s) to furnish the County of Riverside with either 1) a properly executed original Certificate(s) of Insurance and certified original copies of Endorsements effecting coverage as required herein, and 2) if requested to do so orally or in writing by the County Risk Manager, provide original Certified copies of policies including all Endorsements and all attachments thereto, showing such insurance is in full force and effect. Further, said Certificate(s) and policies of insurance shall contain the covenant of the insurance carrier(s) that thirty (30) days written notice shall be given to the County of Riverside prior to any material modification, cancellation, expiration or reduction in coverage of such insurance. In the event of a material modification, cancellation, expiration, or reduction in coverage, this Agreement shall terminate forthwith, unless the County of Riverside receives, prior to such effective date, another properly executed original Certificate of Insurance and original copies of endorsements or certified original policies, including all endorsements and attachments thereto evidencing coverage's set forth herein and the insurance required herein is in full force and effect. CONTRACTOR shall not commence operations until the COUNTY has been furnished original Certificate (s) of Insurance and certified original copies of endorsements and if requested, certified original policies of insurance including all endorsements and any and all other attachments as required in this Section. An individual authorized by the insurance carrier shall sign the original endorsements for each policy and the Certificate of Insurance.

4) It is understood and agreed to by the parties hereto that the CONTRACTOR'S insurance shall be construed as primary insurance, and the COUNTY'S insurance and/or deductibles and/or self-insured retention's or self-insured programs shall not be construed as contributory.

5) If, during the term of this Agreement or any extension thereof, there is a material change in the scope of services; or, there is a material change in the equipment to be used in the performance of the scope of work; or, the term of this Agreement, including any extensions thereof, exceeds five (5) years; the COUNTY reserves the right to adjust the types of insurance and the monetary limits of liability required under this Agreement, if in the County Risk Manager's reasonable judgment, the amount or type of insurance carried by the CONTRACTOR has become inadequate.

6) CONTRACTOR shall pass down the insurance obligations contained herein to all tiers of subcontractors working under this Agreement.

7) The insurance requirements contained in this Agreement may be met with a program(s) of self-insurance acceptable to the COUNTY.

8) CONTRACTOR agrees to notify COUNTY of any claim by a third party or any incident or event that may give rise to a claim arising from the performance of this Agreement.

**22.2 Cyber Liability**

Contractor shall procure and maintain for the duration of the contract insurance against claims for injuries to person or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, or employees. Contractor shall procure and maintain for the duration the contract insurance claims arising out of their services and including, but not limited to loss, damage, theft or other misuse of data, infringement intellectual property, invasion of privacy and breach of data.

**22.3 Cyber Liability Insurance**, with limits not less than \$2,000,000 per occurrence or claim, \$2,000,000 aggregate. Coverage shall be sufficiently broad to respond to the duties and obligations as is undertaken by Contractor in this agreement and shall include, but not limited to, claims involving infringement of intellectual property, including but not limited to infringement of copyright, trademark, trade dress, invasion of privacy violations, information theft, damage to or destruction of electronic information, release of private information, alteration of electronic information, extortion and network security. The policy shall provide coverage for breach response costs as well as regulatory fines and penalties as well as credit monitoring expenses with limits sufficient to respond to these obligations.

If the Contractor maintains broader coverage and/or higher limits than the minimums shown above, the County requires and shall be entitled to the broader coverage and/or higher limits maintained by the Contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the County.

**23. General**

**23.1** CONTRACTOR shall not delegate or assign any interest in this Agreement, whether by operation of law or otherwise, without the prior written consent of COUNTY. Any attempt to delegate or assign any interest herein shall be deemed void and of no force or effect.

**23.2** Any waiver by COUNTY of any breach of any one or more of the terms of this Agreement shall not be construed to be a waiver of any subsequent or other breach of the same or of any other term of this Agreement. Failure on the part of COUNTY to require exact, full, and complete compliance with any

terms of this Agreement shall not be construed as in any manner changing the terms or preventing COUNTY from enforcement of the terms of this Agreement.

**23.3** In the event the CONTRACTOR receives payment under this Agreement, which is later disallowed by COUNTY for nonconformance with the terms of the Agreement, the CONTRACTOR shall promptly refund the disallowed amount to the COUNTY on request; or at its option the COUNTY may offset the amount disallowed from any payment due to the CONTRACTOR.

**23.4** CONTRACTOR shall not provide partial delivery or shipment of services or products unless specifically stated in the Agreement.

**23.5** CONTRACTOR shall not provide any services or products subject to any chattel mortgage or under a conditional sales contract or other agreement by which an interest is retained by a third party. The CONTRACTOR warrants that it has good title to all materials or products used by CONTRACTOR or provided to COUNTY pursuant to this Agreement, free from all liens, claims, or encumbrances.

**23.6** Nothing in this Agreement shall prohibit the COUNTY from acquiring the same type or equivalent equipment, products, materials or services from other sources, when deemed by the COUNTY to be in its best interest. The COUNTY reserves the right to purchase more or less than the quantities specified in this Agreement.

**23.7** The COUNTY agrees to cooperate with the CONTRACTOR in the CONTRACTOR's performance under this Agreement, including, if stated in the Agreement, providing the CONTRACTOR with reasonable facilities and timely access to COUNTY data, information, and personnel.

**23.8** CONTRACTOR shall comply with all applicable Federal, State and local laws and regulations. CONTRACTOR will comply with all applicable COUNTY policies and procedures. In the event that there is a conflict between the various laws or regulations that may apply, the CONTRACTOR shall comply with the more restrictive law or regulation.

**23.9** CONTRACTOR shall comply with all air pollution control, water pollution, safety and health ordinances, statutes, or regulations, which apply to performance under this Agreement.

**23.10** CONTRACTOR shall comply with all requirements of the Occupational Safety and Health Administration (OSHA) standards and codes as set forth by the U.S. Department of Labor and the State of California (Cal/OSHA).

**23.11** This Agreement shall be governed by the laws of the State of California. Any legal action related to the performance or interpretation of this Agreement shall be filed only in the Superior Court of the

State of California located in Riverside, California, and the parties waive any provision of law providing for a change of venue to another location. In the event any provision in this Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remaining provisions will nevertheless continue in full force without being impaired or invalidated in any way.

**23.12** This Agreement may be executed in any number of counterparts, each of which will be an original, but all of which together will constitute one instrument. Each party of the Agreement agrees to the use of electronic signatures, such as a digital signature that meet the requirements of the California Uniform Electronic Transactions Act (“CUETA”) Cal. Civ. Code §§ 1633.1 to 1633.17), for executing this Agreement. The parties further agree that the electronic signature(s) included herein are intended to authenticate this writing and to have the same force and effect as manual signatures. Electronic signature means an electronic sound, symbol, or process attached to or logically associated with an electronic record and executed or adopted by a person with the intent to sign the electronic record pursuant to the CUETA as amended from time to time. Digital signature means an electronic identifier, created by computer, intended by the party using it to have the same force and effect as the use of a manual signature, and shall be reasonably relied upon by the parties. For purposes of this section, a digital signature is a type of “electronic signature” as defined in subdivision (i) of Section 1633.2 of the Civil Code.

**23.12** This Agreement, including any attachments or exhibits, constitutes the entire Agreement of the parties with respect to its subject matter and supersedes all prior and contemporaneous representations, proposals, discussions and communications, whether oral or in writing. This Agreement may be changed or modified only by a written amendment signed by authorized representatives of both parties.

[Signatures on following page]

IN WITNESS WHEREOF, the parties hereto have caused their duly authorized representatives to execute this Agreement.

COUNTY OF RIVERSIDE, a political subdivision of the State of California

APPLIED SURVEY RESEARCH, INC., a non-profit corporation

By: *V. Manuel Perez*  
V. Manuel Perez, Chair  
Board of Supervisors

*Kim Carpenter*  
By: \_\_\_\_\_  
Kim Carpenter  
VP of Research and Evaluation

Dated: 1/14/2025

Dated: 01/02/2025

ATTEST:  
Clerk of the Board  
**KIMBERLY A. RECTOR**

By: *Kimberly A. Rector*  
Deputy

APPROVED AS TO FORM:  
Minh C. Tran  
County Counsel

*Lisa Sanchez*  
By: \_\_\_\_\_  
Lisa Sanchez  
Deputy County Counsel

Dated: 01/06/2025

JAN 14 2025 3.31

**EXHIBIT A  
SCOPE OF SERVICES**

**1. BACKGROUND**

The Riverside County Probation Department engages in continuous improvement, searching for and applying best practices, using measurable outcomes to evaluate programs, and making every effort to fulfill its mission and to make Riverside County a safe community for its citizens.

The Juvenile Justice Crime Prevention Act (JJCPA) was created by the Crime Prevention Act of 2000 [Assembly Bill No. AB 1913, Chapter 353, Statutes of 2000] to provide a stable funding source for local juvenile justice programs aimed at curbing crime and delinquency among at-risk youth.

Under partnership in compliance with the JJCPA, Riverside County currently has a Juvenile Justice Plan and JJCPA-funded programs in place.

The Riverside County Juvenile Justice Coordinating Council (JJCC), County Board of Supervisors, and the Board of State and Community Corrections (BSCC) have approved these funded programs. Currently, these programs include the Youth Accountability Teams (YAT), Successful Short-Term Supervision (SSTS), Restorative Justice (Victim Mediation), Gang Awareness Mentorship & Education Program (GAME), as well as funding awarded to community-based organizations (CBOs) to provide services to at-risk youth. Funded programs may be subject to change based on funding demands and resources.

**2. PROGRAM OBJECTIVE**

CONTRACTOR shall conduct a large-scale program evaluation on programs funded through the JJCPA. The COUNTY'S Probation Department is the lead agency for this project.

The purpose of this project is to evaluate the effectiveness of the programs funded by the JJCPA grant and to update Riverside County's Juvenile Justice Plan for the next three (3) years.

The importance and objective of the Riverside County Juvenile Justice Plan is to ensure that Riverside County continually seeks effective, collaborative responses to juvenile crime and provides the best possible services to youth, families, and communities throughout the County.

**3. CONTRACTOR RESPONSIBILITIES**

**3.1 Analysis and Recommendations for Strategic Plan:** CONTRACTOR will conduct analysis of a set of agreed upon factors and incorporate findings and recommendations into the Strategic Plan. Among the factors to be considered are:

**Task I: Program Evaluation**

CONTRACTOR will provide written evaluation of all JJCPA programs and services. Evaluate the overall effectiveness in reducing recidivism including a review of the evidence-based practices being utilized by the program, program strengths/weaknesses, identified gaps in services, suggestions to improve recidivism reduction outcomes and any necessary programmatic changes that need to occur. Evaluation to integrate the structural wellness of youth and families in the juvenile justice system and analysis of allocation of resources



among programs. Further, the use of multiple, high quality and established methodological approaches (i.e., focus groups, semi-structured interviews, and surveys), are recommended to obtain meaningful feedback and insight from youth and families most impacted by the justice system to inform system improvement strategies and investments.

CONTRACTOR shall conduct a review of evidence-based practices utilized by the programs; collect survey, focus group, and interview data from youth, families, and program staff to identify program strengths and weaknesses; pinpoint gaps in services and establish a standardized methodology that allow for the understanding of efforts across all programs funded and for each program independently.

CONTRACTOR shall work collaboratively with all of the funded CBOs to develop the Client Data Tracker to identify the key services each program provides, and to track the number of individual youth served, the services provided, the hours per service, and youth outcomes.

CONTRACTOR will deliver a high-quality comprehensive evaluation report that will include impactful data visualizations. The report will be accompanied with a short two-page summary for easy dissemination. CONTRACTOR will present findings at a Riverside County JJCC meeting annually. This comprehensive evaluation report is due each year in October and CONTRACTOR shall expect to report out to the Riverside County JJCC Board each year at the meeting scheduled in November.

#### **Task II: Development of a Comprehensive Measurement Report**

CONTRACTOR shall develop and provide County a comprehensive measurement report that includes, but is not limited to, the following:

1. Identification of program data collection points, processes, and methodology.
  - a. Work in collaboration with the Riverside County JJCC to establish pertinent data points for review and analysis across all providers.
2. Identification of program evaluation components and processes.
3. Identification of key areas addressed in the measurement report.
4. Collection of all relevant data for analysis, including recommendations regarding inter-agency data sharing.
5. Analysis of data to include failure rates and the association between implemented practices and any reduction in these rates.
6. Provide further analysis as to the demographics of each county in which each comparison is drawn (i.e., geographical size, general population, resources, and youth/families served). The goal is to provide a true comparison.
7. A comparison of BSCC measurement outcomes to other counties in the State of California that have similar programs.
8. Completion of a cost benefit analysis for each funded provider/program.
9. A project timeline.
10. An itemized budget.

This comprehensive measurement report is due in June 2025. CONTRACTOR shall expect to report out to the Riverside County JJCC Board in July 2025.

**Task III: Evaluation Sustainability**

CONTRACTOR shall prepare and provide County an evaluation sustainability report that includes, but is not limited to, the following:

1. Identify uniform outcome measures for existing programs and establish a uniform way to report monthly measures.
2. Identify the lead and lag measures for existing programs and the desired outcomes.
3. Provide the programs and the Riverside County JJCC with a sustainable self-evaluation system to include program evaluation tools and a formalized evaluation structure with associated processes.

This report is due in June 2025. CONTRACTOR shall expect to report out to the Riverside County JJCC Board in July 2025.

**Task IV: Update the Juvenile Justice Plan**

CONTRACTOR shall update the Riverside County Juvenile Justice Plan to include, but not be limited to, the following:

1. Ensure the effectiveness of existing programs and services.
2. Provide data analysis of juvenile justice referrals disaggregated by race, ethnicity, gender, and geography from an equity perspective. Use data to identify populations most impacted and solicit feedback from those most impacted within the system to inform the justice plan.
3. Pinpoint gaps or deficiencies in the existing continuum.
4. Identify formulas and tools used to identify gaps and measure effectiveness.
5. Identify additional evidence-based programs which could be utilized to augment the existing continuum.
6. Re-evaluate countywide and region-specific needs for service.
7. Propose any type of funding re-allocations for the yearly plans.
8. Provide the Riverside County JJCC with an updated Riverside County Juvenile Justice Plan projecting the next three (3) years. This plan should be comprehensive and include the workforce needed to implement the plan, recommended internal systemic and operational shifts needed, and identification of barriers that hinder outcomes.
9. Incorporate anticipated legislative changes into the plan, including recommendations for implementation for new mandates, including transitional aged youth (TAY).

This Riverside County Juvenile Justice Plan is due in December of each year (2025, 2026). CONTRACTOR shall expect to report out to the Riverside County JJCC Board in January of the following years: (2026, 2027).

**Task V: YAT Program Compliance**

CONTRACTOR shall analyze YAT program data pursuant to the U.S. District Court's Order Granting Plaintiffs' Motion for Preliminary Approval of Class Action Settlement Agreement (Referenced documents may be located online at <https://rivcoprobation.org/related-links> under "YAT" Class Action Lawsuit Settlement.

This portion of the work plan is the direct result of the Class Action Settlement Agreement in the U.S. District Court case no. EDCV 18-1399 JG B(JEMx).

COUNTY shall provide CONTRACTOR, on a quarterly basis, data collected for CONTRACTOR to analyze regarding youth who are placed into the Youth Accountability Team (YAT) program or any other non-court-ordered supervision program. CONTRACTOR's analysis shall disaggregate the collected data by race/ethnicity, gender, age at the time of alleged offense, and foster youth status. The categories for race and ethnicity shall be tracked according to the categories for race and ethnicity currently used by the Riverside County Probation Department in the Client Management database.

The CONTRACTOR shall, on a quarterly basis, track and analyze the following data points for each youth:

1. Individual Identifier;
2. Race/Ethnicity;
3. Gender;
4. Age at time of alleged offense;
5. Foster youth status;
6. Home language;
7. Address for internal collection, and zip code for external reporting;
8. Date of birth;
9. School attended during period of participation in the program;
10. Alleged offense that is basis of referral and date/time of alleged offense;
11. Date of initial meeting with youth and parent or guardian;
12. Method used to determine eligibility and level of intervention for the program, including:
  - a) Any and all assessment instruments used:
    - i. The name of the instrument;
    - ii. The youth's score (or evaluative metric) on instrument; and
    - iii. Whether the instrument was overridden; and if so, the reason for the override and the person authorizing the override.
13. Whether the youth completed the program;
14. If the youth did not complete the program,
  - (a). The reason for non-completion;
  - (b). The amount of time the youth was in the program; and
  - (c). The caseload number the youth was on when the youth did not complete the program.

The CONTRACTOR shall perform analysis of the data and provide results in a written report, on an annual basis, to the Riverside County JJCC in open session, and to the Riverside County Executive Officer, in a format that shall protect any confidential information about the youth or personnel included in the report.

The written report to the Riverside County JJCC shall present information on referrals, participation, and outcomes for the youth participating in the YAT Program or any other non-court-ordered supervision program. The written report shall include:

**1. Participant Analysis:**

- a) Number of youth(s) referred to the YAT Program or any other non-court-ordered supervision program (disaggregated by race/ethnicity, gender, zip code, and offense);
- b) Age of youth(s) at the time of the alleged offense (disaggregated by race/ethnicity, gender, zip code, and offense);
- c) Foster youth(s) status (disaggregated by age race/ethnicity, gender, zip code, and offense);
- d) Home language of youth(s) (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- e) Number of youth(s) ineligible, if any (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- f) Number of youth(s) who declined to participate (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- g) Number of youth(s) "counseled and closed" (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- h) Number of youth(s) put on a "Contract" (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- i) Number of youth(s) in the YAT Program or any other non-court-ordered supervision program at the beginning and end of the fiscal year (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- j) Average length of time in the program for youth(s) who complete the YAT Program or any other non-court-ordered supervision program each quarter, as of the first day of the quarter (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- k) Average length of time in the program for youth(s) who do not complete the YAT Program or any other non-court-ordered supervision program, (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- l) Number of youth(s) who successfully completed the YAT Program or any other non-court-ordered supervision program (disaggregated by age, race/ethnicity, gender, zip code, and offense);
- m) Number of youth(s) who did not complete the YAT Program or any other non-court-ordered supervision program (disaggregated by age, race/ethnicity, gender, zip code, and offense); and
- n) List of services provided to youth(s) in the YAT Program or any other non-court-ordered supervision program.

**2. Assessment Analysis:**

- a) Number of youth(s) assessed for participation in the YAT Program or any other non-court ordered supervision program (disaggregated by race/ethnicity, gender, zip code, and offense);
- b) How many youths(s) received each available score on the assessment instrument (disaggregated by race/ethnicity, gender, zip code, and offense); and
- c) Number of overrides (disaggregated by race/ethnicity, gender, zip code, and offense);
- d) Ten most common reasons for overrides (disaggregated by race/ethnicity, gender, zip code, and offense).

**3. Community Analysis:**

- a) Top ten zip codes of youth(s) utilizing the program; and
- b) Top ten referral offenses (disaggregated by race/ethnicity, gender, zip code, and offense).

CONTRACTOR shall be given a month to analyze and create the report once CONTRACTOR receives the data.

There will be two reports due for this portion of the work plan (Task V), one in January 2025 and the other in October 2025. CONTRACTOR shall be expected to report out to the Riverside County JJCC at the respective board meetings in January 2025 and November 2025.

**3.2 Deliverables:** The purpose of this project is to evaluate the effectiveness of the programs currently funded by the JJCPA grant and to update Riverside County's Juvenile Justice Plan for the next three (3) years. Key deliverables of this project will be to prepare a comprehensive measurement report on findings associated with current programs and their effectiveness to reduce recidivism; identify any gaps in service; if programmatic changes need to occur; provide a sustainable self-evaluation system to programs; to update the Riverside County Juvenile Justice Plan; and identify regional needs of target population throughout Riverside County.

**3.3 Diligent and Professional Manner:** CONTRACTOR and its employees must conduct all services, duties, and work in a diligent and professional manner. CONTRACTOR must require all of its employees who provide services under this Agreement to become familiar with and adhere to all applicable Probation Department and Riverside County policies and procedures.

**3.4 No Subcontracting Without Written County Consent:** CONTRACTOR may not subcontract services, not already in the CONTRACTOR's proposal, under this Agreement to any other person or entity without prior written consent of County. If County grants such consent, any subcontractor may be subject to Pre-Employment Background Investigations. Any compensation to CONTRACTOR may be proportionately reduced or prorated for any delay in services due to subcontractor's personnel failing or being delayed because of Background Investigation.

#### **4. COUNTY RESPONSIBILITIES**

**Policies:** County will make available to CONTRACTOR any relevant policies to enable CONTRACTOR'S performance under this Agreement, which include the following:

Board Policy B-23; available online at (<https://rivcocob.org/sites/g/files/aldnop311/files/2023-10/POLICY%20B-23.revised10.03.2023.pdf>). County will keep CONTRACTOR informed of any policy changes regarding this Agreement.

**EXHIBIT B  
PAYMENT PROVISIONS**

**TABLE 1.1**

| Work Plan and Milestones with Payment Point:                     |                          |       |                   |              |              |              |              |        |      |  |              |              |              |                   |
|--|--------------------------|-------|-------------------|--------------|--------------|--------------|--------------|--------|------|--|--------------|--------------|--------------|-------------------|
|  | Pay Point by Deliverable | FY    | Timeline          |              |              |              |              |        |      |  |              |              |              |                   |
|  |                          |       | July              | Aug          | Sept         | Oct          | Nov          | Dec    | Jan  | Feb  | Mar          | Apr          | May          | June              |
| Project Management (Jan 2025 – June 2027)                        | \$35,000                 | 24-25 |                   |              |              |              |              |        |      | PM   | PM           | PM           | PM           | PM                |
|  |                          | 25-26 | PM                | PM           | PM           | PM           | PM           | PM     | PM   | PM   | PM           | PM           | PM           | PM                |
|  |                          | 26-27 | PM                | PM           | PM           | PM           | PM           | PM     | PM   | PM   | PM           | PM           | PM           | PM                |
| Task I. Program Evaluation (Jan 2025 – June 2027)                | \$175,000                | 24-25 |                   |              |              |              |              |        |      | Prep / Eval plans  | Eval plans   | Collect Data | Collect Data | Collect Data      |
|  |                          | 25-26 | Collect Data      | Analyze Data | Analyze Data | Report       | JJCC         |        |      |  |              |              |              | Eval plans        |
|  |                          | 26-27 | Collect Data      | Analyze Data | Analyze Data | Report       | JJCC         |        |      |  |              |              |              |                   |
| Task II. Comprehensive Measurement Report (Jan 2025 – July 2025) | \$55,000                 | 24-25 |                   |              |              |              |              |        |      | Prep Collect Data  | Collect Data | Collect Data | Analyze Data | Analyze Data      |
|  |                          | 25-26 | JJCC              |              |              |              |              |        |      |  |              |              |              | Report            |
|  |                          | 26-27 |                   |              |              |              |              |        |      |  |              |              |              |                   |
| Task III. Evaluation Sustainability (Jan 2025 – July 2025)       | \$40,000                 | 24-25 |                   |              |              |              |              |        |      | Prep Collect Data  | Collect Data | Collect Data | Analyze Data | Analyze Data      |
|  |                          | 25-26 | JJCC              |              |              |              |              |        |      |  |              |              |              | Report            |
|  |                          | 26-27 |                   |              |              |              |              |        |      |  |              |              |              |                   |
| Task IV. Update the Juvenile Justice Plan (Jan 2025 – May 2026)  | \$175,000                | 24-25 |                   |              |              |              |              |        |      |  |              |              |              |                   |
|  |                          | 25-26 | Prep              | Collect Data | Collect Data | Analyze Data | Analyze Data | Report | JJCC | JJCC   |              |              |              |                   |
|  |                          | 26-27 | Prep              | Collect Data | Collect Data | Analyze Data | Analyze Data | Report | JJCC | JJCC   |              |              |              |                   |
| Task V. YAT Program Compliance (Jan 2025 – Nov 2025)             | \$75,000                 | 24-25 |                   |              |              |              |              |        |      | Prep; Collect (Q1-4); Analyze Data Report; Collect Data (Q1-2) | JJCC         |              |              | Collect Data (Q3) |
|  |                          | 25-26 | Collect Data (Q4) | Analyze Data | Analyze Data | Report       | JJCC         |        |      |  |              |              |              |                   |
|  |                          | 26-27 |                   |              |              |              |              |        |      |  |              |              |              |                   |

1. Table 1.1, included in this Exhibit B, Payment Provisions, provides the work plan and milestones with payment points.
2. Pursuant to Section 3 of this Agreement, maximum payments by County to CONTRACTOR shall not exceed a total aggregate amount of \$555,000.00, including all expenses, for a period of performance from the date this Agreement is signed by both parties through June 30, 2027.

**COMPENSATION SCHEDULE**

**Pay Points:** Payments will be made by submission of the deliverables to COUNTY as described in Exhibit A, and consistent with Table 1.1 (Work Plan and Milestones with Payment Points) above.

**Payment Schedule:** The following timelines are for billing and invoicing. CONTRACTOR shall bill County in accordance with Table 1.2 below.

**TABLE 1.2**

|   | Invoicing   | Year 1<br>(Jan 2025 - Jun 2025) | Year 2<br>(Jul 2025 - Jun 2026) | Year 3<br>(Jul 2026 - Jun 2027) | Total             |
|---|---|---------------------------------|---------------------------------|---------------------------------|-------------------|
| <b>Project Management</b>                       | <b>Monthly</b>  | \$ 7,000                        | \$ 14,000                       | \$ 14,000                       | \$ 35,000         |
| <b>Task 1: Project Evaluation</b>               | <b>Monthly</b>  | \$ 35,000                       | \$ 70,000                       | \$ 70,000                       | \$ 175,000        |
| <b>Task 2: Comprehensive Measurement Report</b> | <b>Annual</b><br>(50% upon project initiation; 50 % upon completion & acceptance of the report) | \$ 55,000                       | \$ -                            | \$ -                            | \$ 55,000         |
| <b>Task 3: Evaluation Sustainability Report</b> | <b>Annual</b><br>(50% upon project initiation; 50 % upon completion & acceptance of the report) | \$ 40,000                       | \$ -                            | \$ -                            | \$ 40,000         |
| <b>Task 4: Update Juvenile Justice Plan</b>     | <b>Annual</b><br>(50% upon project initiation; 50 % upon completion & acceptance of the report) | \$ -                            | \$ 87,500                       | \$ 87,500                       | \$ 175,000        |
| <b>Task 5: YAT Compliance</b>                   | <b>Annual</b><br>(50% upon project initiation; 50 % upon completion & acceptance of the report) | \$ 37,500                       | \$ 37,500                       | \$ -                            | \$ 75,000         |
|   |   | <b>\$ 174,500</b>               | <b>\$ 209,000</b>               | <b>\$ 171,500</b>               | <b>\$ 555,000</b> |

If services provided by CONTRACTOR are less than what is required under this Agreement, County may elect to terminate this Agreement or the compensation to CONTRACTOR may be proportionately reduced to reflect the actual services/deliverables received, in the sole discretion of County. Deliverables must be approved by County as being complete and fit for its purpose. County may request reasonable rework of the deliverable to ensure fitness for purpose.

## Attachment I

HIPAA Business Associate Agreement  
Addendum to Professional Service Agreement  
Between the County of Riverside and Applied Survey Research, Inc.

This HIPAA Business Associate Agreement (the "Addendum") supplements, and is made part of the Professional Service Agreement for Juvenile Justice Programs – Evaluation & Planning (the "Underlying Agreement") between the County of Riverside ("County") and Applied Survey Research, Inc. ("CONTRACTOR"), and shall be effective as of the date the Underlying Agreement is approved by both Parties (the "Effective Date").

### RECITALS

WHEREAS, County and CONTRACTOR entered into the Underlying Agreement pursuant to which the CONTRACTOR provides services to County, and in conjunction with the provision of such services certain protected health information ("PHI") and/or certain electronic protected health information ("ePHI") may be created by or made available to CONTRACTOR for the purposes of carrying out its obligations under the Underlying Agreement; and,

WHEREAS, the provisions of the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), Public Law 104-191 enacted August 21, 1996, and the Health Information Technology for Economic and Clinical Health Act ("HITECH") of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 enacted February 17, 2009, and the laws and regulations promulgated subsequent thereto, as may be amended from time to time, are applicable to the protection of any use or disclosure of PHI and/or ePHI pursuant to the Underlying Agreement; and,

WHEREAS, County is a covered entity, as defined in the Privacy Rule; and,

WHEREAS, to the extent County discloses PHI and/or ePHI to CONTRACTOR or CONTRACTOR creates, receives, maintains, transmits, or has access to PHI and/or ePHI of County, CONTRACTOR is a business associate, as defined in the Privacy Rule; and,

WHEREAS, pursuant to 42 USC §17931 and §17934, certain provisions of the Security Rule and Privacy Rule apply to a business associate of a covered entity in the same manner that they apply to the covered entity, the additional security and privacy requirements of HITECH are applicable to business associates and must be incorporated into the business associate agreement, and a business associate is liable for civil and criminal penalties for failure to comply with these security and/or privacy provisions; and,

WHEREAS, the parties mutually agree that any use or disclosure of PHI and/or ePHI must be in compliance with the Privacy Rule, Security Rule, HIPAA, HITECH and any other applicable law; and,

WHEREAS, the parties intend to enter into this Addendum to address the requirements and obligations set forth in the Privacy Rule, Security Rule, HITECH and HIPAA as they apply to CONTRACTOR as a business associate of County, including the establishment of permitted and required uses and disclosures of PHI and/or ePHI created or received by CONTRACTOR during the course of performing functions, services and activities on behalf of County, and appropriate limitations and conditions on such uses and disclosures;

NOW, THEREFORE, in consideration of the mutual promises and covenants contained herein, the parties agree as follows:

1. **Definitions.** Terms used, but not otherwise defined, in this Addendum shall have the same meaning as those terms in HITECH, HIPAA, Security Rule and/or Privacy Rule, as may be amended from time to time.
  - A. "Breach" when used in connection with PHI means the acquisition, access, use or disclosure of PHI in a manner not permitted under subpart E of the Privacy Rule which compromises the security or privacy of the PHI, and shall have the meaning given such term in 45 CFR §164.402.
    - (1) Except as provided below in Paragraph (2) of this definition, acquisition, access, use, or disclosure of PHI in a manner not permitted by subpart E of the Privacy Rule is presumed to be a breach unless CONTRACTOR demonstrates that there is a low probability that the PHI has been compromised based on a risk assessment of at least the following four factors:



- (a) The nature and extent of the PHI involved, including the types of identifiers and the likelihood of re-identification;
- (b) The unauthorized person who used the PHI or to whom the disclosure was made;
- (c) Whether the PHI was actually acquired or viewed; and
- (d) The extent to which the risk to the PHI has been mitigated.

(2) Breach excludes:

(a) Any unintentional acquisition, access or use of PHI by a workforce member or person acting under the authority of a covered entity or business associate, if such acquisition, access or use was made in good faith and within the scope of authority and does not result in further use or disclosure in a manner not permitted under subpart E of the Privacy Rule.

(b) Any inadvertent disclosure by a person who is authorized to access PHI at a covered entity or business associate to another person authorized to access PHI at the same covered entity, business associate, or organized health care arrangement in which County participates, and the information received as a result of such disclosure is not further used or disclosed in a manner not permitted by subpart E of the Privacy Rule.

(c) A disclosure of PHI where a covered entity or business associate has a good faith belief that an unauthorized person to whom the disclosure was made would not reasonably have been able to retain such information.

- B. "Business associate" has the meaning given such term in 45 CFR §164.501, including but not limited to a subcontractor that creates, receives, maintains, transmits or accesses PHI on behalf of the business associate.
- C. "Data aggregation" has the meaning given such term in 45 CFR §164.501.
- D. "Designated record set" as defined in 45 CFR §164.501 means a group of records maintained by or for a covered entity that may include: the medical records and billing records about individuals maintained by or for a covered health care provider; the enrollment, payment, claims adjudication, and case or medical management record systems maintained by or for a health plan; or, used, in whole or in part, by or for the covered entity to make decisions about individuals.
- E. "Electronic protected health information" ("ePHI") as defined in 45 CFR §160.103 means protected health information transmitted by or maintained in electronic media.
- F. "Electronic health record" means an electronic record of health-related information on an individual that is created, gathered, managed, and consulted by authorized health care clinicians and staff, and shall have the meaning given such term in 42 USC §17921(5).
- G. "Health care operations" has the meaning given such term in 45 CFR §164.501.
- H. "Individual" as defined in 45 CFR §160.103 means the person who is the subject of protected health information.
- I. "Person" as defined in 45 CFR §160.103 means a natural person, trust or estate, partnership, corporation, professional association or corporation, or other entity, public or private.
- J. "Privacy Rule" means the HIPAA regulations codified at 45 CFR Parts 160 and 164, Subparts A and E.
- K. "Protected health information" ("PHI") has the meaning given such term in 45 CFR §160.103, which includes ePHI.
- L. "Required by law" has the meaning given such term in 45 CFR §164.103.
- M. "Secretary" means the Secretary of the U.S. Department of Health and Human Services ("HHS").

- N. "Security incident" as defined in 45 CFR §164.304 means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with system operations in an information system.
- O. "Security Rule" means the HIPAA Regulations codified at 45 CFR Parts 160 and 164, Subparts A and C.
- P. "Subcontractor" as defined in 45 CFR §160.103 means a person to whom a business associate delegates a function, activity, or service, other than in the capacity of a member of the workforce of such business associate.
- Q. "Unsecured protected health information" and "unsecured PHI" as defined in 45 CFR §164.402 means PHI not rendered unusable, unreadable, or indecipherable to unauthorized persons through use of a technology or methodology specified by the Secretary in the guidance issued under 42 USC §17932(h)(2).

**2. Scope of Use and Disclosure by CONTRACTOR of County's PHI and/or ePHI.**

- A. Except as otherwise provided in this Addendum, CONTRACTOR may use, disclose, or access PHI and/or ePHI as necessary to perform any and all obligations of CONTRACTOR under the Underlying Agreement or to perform functions, activities or services for, or on behalf of, County as specified in this Addendum, if such use or disclosure does not violate HIPAA, HITECH, the Privacy Rule and/or Security Rule.
- B. Unless otherwise limited herein, in addition to any other uses and/or disclosures permitted or authorized by this Addendum or required by law, in accordance with 45 CFR §164.504(e)(2), CONTRACTOR may:
  - 1) Use PHI and/or ePHI if necessary for CONTRACTOR's proper management and administration and to carry out its legal responsibilities; and,
  - 2) Disclose PHI and/or ePHI for the purpose of CONTRACTOR's proper management and administration or to carry out its legal responsibilities, only if:
    - a) The disclosure is required by law; or,
    - b) CONTRACTOR obtains reasonable assurances, in writing, from the person to whom CONTRACTOR will disclose such PHI and/or ePHI that the person will:
      - i. Hold such PHI and/or ePHI in confidence and use or further disclose it only for the purpose for which CONTRACTOR disclosed it to the person, or as required by law; and,
      - ii. Notify County of any instances of which it becomes aware in which the confidentiality of the information has been breached; and,
  - 3) Use PHI to provide data aggregation services relating to the health care operations of County pursuant to the Underlying Agreement or as requested by County; and,
  - 4) De-identify all PHI and/or ePHI of County received by CONTRACTOR under this Addendum provided that the de-identification conforms to the requirements of the Privacy Rule and/or Security Rule and does not preclude timely payment and/or claims processing and receipt.
- C. Notwithstanding the foregoing, in any instance where applicable state and/or federal laws and/or regulations are more stringent in their requirements than the provisions of HIPAA, including, but not limited to, prohibiting disclosure of mental health and/or substance abuse records, the applicable state and/or federal laws and/or regulations shall control the disclosure of records.

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3. **Prohibited Uses and Disclosures.**

- A. CONTRACTOR may neither use, disclose, nor access PHI and/or ePHI in a manner not authorized by the Underlying Agreement or this Addendum without patient authorization or de-identification of the PHI and/or ePHI and as authorized in writing from County.
- B. CONTRACTOR may neither use, disclose, nor access PHI and/or ePHI it receives from County or from another business associate of County, except as permitted or required by this Addendum, or as required by law.
- C. CONTRACTOR agrees not to make any disclosure of PHI and/or ePHI that County would be prohibited from making.
- D. CONTRACTOR shall not use or disclose PHI for any purpose prohibited by the Privacy Rule, Security Rule, HIPAA and/or HITECH, including, but not limited to 42 USC §17935 and §17936. CONTRACTOR agrees:
  - 1) Not to use or disclose PHI for fundraising, unless pursuant to the Underlying Agreement and only if permitted by and in compliance with the requirements of 45 CFR §164.514(f) or 45 CFR §164.508;
  - 2) Not to use or disclose PHI for marketing, as defined in 45 CFR §164.501, unless pursuant to the Underlying Agreement and only if permitted by and in compliance with the requirements of 45 CFR §164.508(a)(3);
  - 3) Not to disclose PHI, except as otherwise required by law, to a health plan for purposes of carrying out payment or health care operations, if the individual has requested this restriction pursuant to 42 USC §17935(a) and 45 CFR §164.522, and has paid out of pocket in full for the health care item or service to which the PHI solely relates; and,
  - 4) Not to receive, directly or indirectly, remuneration in exchange for PHI, or engage in any act that would constitute a sale of PHI, as defined in 45 CFR §164.502(a)(5)(ii), unless permitted by the Underlying Agreement and in compliance with the requirements of a valid authorization under 45 CFR §164.508(a)(4). This prohibition shall not apply to payment by County to CONTRACTOR for services provided pursuant to the Underlying Agreement.

4. **Obligations of County.**

- A. County agrees to make its best efforts to notify CONTRACTOR promptly in writing of any restrictions on the use or disclosure of PHI and/or ePHI agreed to by County that may affect CONTRACTOR's ability to perform its obligations under the Underlying Agreement, or this Addendum.
- B. County agrees to make its best efforts to promptly notify CONTRACTOR in writing of any changes in, or revocation of, permission by any individual to use or disclose PHI and/or ePHI, if such changes or revocation may affect CONTRACTOR's ability to perform its obligations under the Underlying Agreement, or this Addendum.
- C. County agrees to make its best efforts to promptly notify CONTRACTOR in writing of any known limitation(s) in its notice of privacy practices to the extent that such limitation may affect CONTRACTOR's use or disclosure of PHI and/or ePHI.
- D. County agrees not to request CONTRACTOR to use or disclose PHI and/or ePHI in any manner that would not be permissible under HITECH, HIPAA, the Privacy Rule, and/or Security Rule.
- E. County agrees to obtain any authorizations necessary for the use or disclosure of PHI and/or ePHI, so that CONTRACTOR can perform its obligations under this Addendum and/or Underlying Agreement.

5. **Obligations of CONTRACTOR.** In connection with the use or disclosure of PHI and/or ePHI, CONTRACTOR agrees to:
- A. Use or disclose PHI only if such use or disclosure complies with each applicable requirement of 45 CFR §164.504(e). CONTRACTOR shall also comply with the additional privacy requirements that are applicable to covered entities in HITECH, as may be amended from time to time.
  - B. Not use or further disclose PHI and/or ePHI other than as permitted or required by this Addendum or as required by law. CONTRACTOR shall promptly notify County if CONTRACTOR is required by law to disclose PHI and/or ePHI.
  - C. Use appropriate safeguards and comply, where applicable, with the Security Rule with respect to ePHI, to prevent use or disclosure of PHI and/or ePHI other than as provided for by this Addendum.
  - D. Mitigate, to the extent practicable, any harmful effect that is known to CONTRACTOR of a use or disclosure of PHI and/or ePHI by CONTRACTOR in violation of this Addendum.
  - E. Report to County any use or disclosure of PHI and/or ePHI not provided for by this Addendum or otherwise in violation of HITECH, HIPAA, the Privacy Rule, and/or Security Rule of which CONTRACTOR becomes aware, including breaches of unsecured PHI as required by 45 CFR §164.410.
  - F. In accordance with 45 CFR §164.502(e)(1)(ii), require that any subcontractors that create, receive, maintain, transmit or access PHI on behalf of the CONTRACTOR agree through contract to the same restrictions and conditions that apply to CONTRACTOR with respect to such PHI and/or ePHI, including the restrictions and conditions pursuant to this Addendum.
  - G. Make available to County or the Secretary, in the time and manner designated by County or Secretary, CONTRACTOR's internal practices, books and records relating to the use, disclosure and privacy protection of PHI received from County, or created or received by CONTRACTOR on behalf of County, for purposes of determining, investigating or auditing CONTRACTOR's and/or County's compliance with the Privacy Rule.
  - H. Request, use or disclose only the minimum amount of PHI necessary to accomplish the intended purpose of the request, use or disclosure in accordance with 42 USC §17935(b) and 45 CFR §164.502(b)(1).
  - I. Comply with requirements of satisfactory assurances under 45 CFR §164.512 relating to notice or qualified protective order in response to a third party's subpoena, discovery request, or other lawful process for the disclosure of PHI, which CONTRACTOR shall promptly notify County upon CONTRACTOR's receipt of such request from a third party.
  - J. Not require an individual to provide patient authorization for use or disclosure of PHI as a condition for treatment, payment, enrollment in any health plan (including the health plan administered by County), or eligibility of benefits, unless otherwise excepted under 45 CFR §164.508(b)(4) and authorized in writing by County.
  - K. Use appropriate administrative, technical and physical safeguards to prevent inappropriate use, disclosure, or access of PHI and/or ePHI.
  - L. Obtain and maintain knowledge of applicable laws and regulations related to HIPAA and HITECH, as may be amended from time to time.
  - M. Comply with the requirements of the Privacy Rule that apply to the County to the extent CONTRACTOR is to carry out County's obligations under the Privacy Rule.
  - N. Take reasonable steps to cure or end any pattern of activity or practice of its subcontractor of which CONTRACTOR becomes aware that constitute a material breach or violation of the subcontractor's obligations under the business associate contract with CONTRACTOR, and if such steps are unsuccessful, CONTRACTOR agrees to terminate its contract with the subcontractor if feasible.

6. **Access to PHI, Amendment and Disclosure Accounting.** CONTRACTOR agrees to:
- A. **Access to PHI, including ePHI.** Provide access to PHI, including ePHI if maintained electronically, in a designated record set to County or an individual as directed by County, within five (5) days of request from County, to satisfy the requirements of 45 CFR §164.524.
  - B. **Amendment of PHI.** Make PHI available for amendment and incorporate amendments to PHI in a designated record set County directs or agrees to at the request of an individual, within fifteen (15) days of receiving a written request from County, in accordance with 45 CFR §164.526.
  - C. **Accounting of disclosures of PHI and electronic health record.** Assist County to fulfill its obligations to provide accounting of disclosures of PHI under 45 CFR §164.528 and, where applicable, electronic health records under 42 USC §17935(c) if CONTRACTOR uses or maintains electronic health records. CONTRACTOR shall:
    - 1) Document such disclosures of PHI and/or electronic health records, and information related to such disclosures, as would be required for County to respond to a request by an individual for an accounting of disclosures of PHI and/or electronic health record in accordance with 45 CFR §164.528.
    - 2) Within fifteen (15) days of receiving a written request from County, provide to County or any individual as directed by County information collected in accordance with this section to permit County to respond to a request by an individual for an accounting of disclosures of PHI and/or electronic health record.
    - 3) Make available for County information required by this Section 6.C for six (6) years preceding the individual's request for accounting of disclosures of PHI, and for three (3) years preceding the individual's request for accounting of disclosures of electronic health record.
7. **Security of ePHI.** In the event County discloses ePHI to CONTRACTOR or CONTRACTOR needs to create, receive, maintain, transmit or have access to County ePHI, in accordance with 42 USC §17931 and 45 CFR §164.314(a)(2)(i), and §164.306, CONTRACTOR shall:
- A. Comply with the applicable requirements of the Security Rule, and implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of ePHI that CONTRACTOR creates, receives, maintains, or transmits on behalf of County in accordance with 45 CFR §164.308, §164.310, and §164.312;
  - B. Comply with each of the requirements of 45 CFR §164.316 relating to the implementation of policies, procedures and documentation requirements with respect to ePHI;
  - C. Protect against any reasonably anticipated threats or hazards to the security or integrity of ePHI;
  - D. Protect against any reasonably anticipated uses or disclosures of ePHI that are not permitted or required under the Privacy Rule;
  - E. Ensure compliance with the Security Rule by CONTRACTOR's workforce;
  - F. In accordance with 45 CFR §164.308(b)(2), require that any subcontractors that create, receive, maintain, transmit, or access ePHI on behalf of CONTRACTOR agree through contract to the same restrictions and requirements contained in this Addendum and comply with the applicable requirements of the Security Rule;
  - G. Report to County any security incident of which CONTRACTOR becomes aware, including breaches of unsecured PHI as required by 45 CFR §164.410; and,
  - H. Comply with any additional security requirements that are applicable to covered entities in Title 42 (Public Health and Welfare) of the United States Code, as may be amended from time to time, including but not limited to HITECH.

8. **Breach of Unsecured PHI.** In the case of breach of unsecured PHI, CONTRACTOR shall comply with the applicable provisions of 42 USC §17932 and 45 CFR Part 164, Subpart D, including but not limited to 45 CFR §164.410.
- A. **Discovery and notification.** Following the discovery of a breach of unsecured PHI, CONTRACTOR shall notify County in writing of such breach without unreasonable delay and in no case later than 60 calendar days after discovery of a breach, except as provided in 45 CFR §164.412.
- 1) **Breaches treated as discovered.** A breach is treated as discovered by CONTRACTOR as of the first day on which such breach is known to CONTRACTOR or, by exercising reasonable diligence, would have been known to CONTRACTOR, which includes any person, other than the person committing the breach, who is an employee, officer, or other agent of CONTRACTOR (determined in accordance with the federal common law of agency).
- 2) **Content of notification.** The written notification to County relating to breach of unsecured PHI shall include, to the extent possible, the following information if known (or can be reasonably obtained) by CONTRACTOR:
- a) The identification of each individual whose unsecured PHI has been, or is reasonably believed by CONTRACTOR to have been accessed, acquired, used or disclosed during the breach;
- b) A brief description of what happened, including the date of the breach and the date of the discovery of the breach, if known;
- c) A description of the types of unsecured PHI involved in the breach, such as whether full name, social security number, date of birth, home address, account number, diagnosis, disability code, or other types of information were involved;
- d) Any steps individuals should take to protect themselves from potential harm resulting from the breach;
- e) A brief description of what CONTRACTOR is doing to investigate the breach, to mitigate harm to individuals, and to protect against any further breaches; and,
- f) Contact procedures for individuals to ask questions or learn additional information, which shall include a toll-free telephone number, an e-mail address, web site, or postal address.
- B. **Cooperation.** With respect to any breach of unsecured PHI reported by CONTRACTOR, CONTRACTOR shall cooperate with County and shall provide County with any information requested by County to enable County to fulfill in a timely manner its own reporting and notification obligations, including but not limited to providing notice to individuals, prominent media outlets and the Secretary in accordance with 42 USC §17932 and 45 CFR §164.404, §164.406 and §164.408.
- C. **Breach log.** To the extent breach of unsecured PHI involves less than 500 individuals, CONTRACTOR shall maintain a log or other documentation of such breaches and provide such log or other documentation on an annual basis to County not later than fifteen (15) days after the end of each calendar year for submission to the Secretary.
- D. **Delay of notification authorized by law enforcement.** If CONTRACTOR delays notification of breach of unsecured PHI pursuant to a law enforcement official's statement that required notification, notice or posting would impede a criminal investigation or cause damage to national security, CONTRACTOR shall maintain documentation sufficient to demonstrate its compliance with the requirements of 45 CFR §164.412.
- E. **Payment of costs.** With respect to any breach of unsecured PHI caused solely by the CONTRACTOR's failure to comply with one or more of its obligations under this Addendum and/or the provisions of HITECH, HIPAA, the Privacy Rule or the Security Rule, CONTRACTOR agrees to pay any and all costs associated with providing all legally required notifications to individuals, media outlets, and the Secretary. This provision shall not be construed to limit or diminish CONTRACTOR's obligations to indemnify, defend and hold harmless County under Section 9 of this Addendum.

- F. **Documentation.** Pursuant to 45 CFR §164.414(b), in the event CONTRACTOR's use or disclosure of PHI and/or ePHI violates the Privacy Rule, CONTRACTOR shall maintain documentation sufficient to demonstrate that all notifications were made by CONTRACTOR as required by 45 CFR Part 164, Subpart D, or that such use or disclosure did not constitute a breach, including CONTRACTOR's completed risk assessment and investigation documentation.
- G. **Additional State Reporting Requirements.** The parties agree that this Section 8.G applies only if and/or when County, in its capacity as a licensed clinic, health facility, home health agency, or hospice, is required to report unlawful or unauthorized access, use, or disclosure of medical information under the more stringent requirements of California Health & Safety Code §1280.15. For purposes of this Section 8.G, "unauthorized" has the meaning given such term in California Health & Safety Code §1280.15(j)(2).
- 1) CONTRACTOR agrees to assist County to fulfill its reporting obligations to affected patients and to the California Department of Public Health ("CDPH") in a timely manner under the California Health & Safety Code §1280.15.
  - 2) CONTRACTOR agrees to report to County any unlawful or unauthorized access, use, or disclosure of patient's medical information without unreasonable delay and no later than two (2) business days after CONTRACTOR detects such incident. CONTRACTOR further agrees such report shall be made in writing, and shall include substantially the same types of information listed above in Section 8.A.2 (Content of Notification) as applicable to the unlawful or unauthorized access, use, or disclosure as defined above in this section, understanding and acknowledging that the term "breach" as used in Section 8.A.2 does not apply to California Health & Safety Code §1280.15.

9. **Hold Harmless/Indemnification.**

- A. CONTRACTOR agrees to indemnify and hold harmless County, all Agencies, Districts, Special Districts and Departments of County, their respective directors, officers, Board of Supervisors, elected and appointed officials, employees, agents and representatives from any liability whatsoever, based or asserted upon any services of CONTRACTOR, its officers, employees, subcontractors, agents or representatives arising out of or in any way relating to this Addendum, including but not limited to property damage, bodily injury, death, or any other element of any kind or nature whatsoever arising from the performance of CONTRACTOR, its officers, agents, employees, subcontractors, agents or representatives from this Addendum. CONTRACTOR shall defend, at its sole expense, all costs and fees, including but not limited to attorney fees, cost of investigation, defense and settlements or awards, of County, all Agencies, Districts, Special Districts and Departments of County, their respective directors, officers, Board of Supervisors, elected and appointed officials, employees, agents or representatives in any claim or action based upon such alleged acts or omissions.
- B. With respect to any action or claim subject to indemnification herein by CONTRACTOR, CONTRACTOR shall, at their sole cost, have the right to use counsel of their choice, subject to the approval of County, which shall not be unreasonably withheld, and shall have the right to adjust, settle, or compromise any such action or claim without the prior consent of County; provided, however, that any such adjustment, settlement or compromise in no manner whatsoever limits or circumscribes CONTRACTOR's indemnification to County as set forth herein. CONTRACTOR's obligation to defend, indemnify and hold harmless County shall be subject to County having given CONTRACTOR written notice within a reasonable period of time of the claim or of the commencement of the related action, as the case may be, and information and reasonable assistance, at CONTRACTOR's expense, for the defense or settlement thereof. CONTRACTOR's obligation hereunder shall be satisfied when CONTRACTOR has provided to County the appropriate form of dismissal relieving County from any liability for the action or claim involved.
- C. The specified insurance limits required in the Underlying Agreement of this Addendum shall in no way limit or circumscribe CONTRACTOR's obligations to indemnify and hold harmless County herein from third party claims arising from issues of this Addendum.
- D. In the event there is conflict between this clause and California Civil Code §2782, this clause shall be interpreted to comply with Civil Code §2782. Such interpretation shall not relieve the CONTRACTOR from indemnifying County to the fullest extent allowed by law.

- E. In the event there is a conflict between this indemnification clause and an indemnification clause contained in the Underlying Agreement of this Addendum, this indemnification shall only apply to the subject issues included within this Addendum.
10. **Term.** This Addendum shall commence upon the Effective Date and shall terminate when all PHI and/or ePHI provided by County to CONTRACTOR, or created or received by CONTRACTOR on behalf of County, is destroyed or returned to County, or, if it is infeasible to return or destroy PHI and/ePHI, protections are extended to such information, in accordance with section 11.B of this Addendum.
11. **Termination.**
- A. **Termination for Breach of Contract.** A breach of any provision of this Addendum by either party shall constitute a material breach of the Underlying Agreement and will provide grounds for terminating this Addendum and the Underlying Agreement with or without an opportunity to cure the breach, notwithstanding any provision in the Underlying Agreement to the contrary. Either party, upon written notice to the other party describing the breach, may take any of the following actions:
- 1) Terminate the Underlying Agreement and this Addendum, effective immediately, if the other party breaches a material provision of this Addendum.
  - 2) Provide the other party with an opportunity to cure the alleged material breach and in the event the other party fails to cure the breach to the satisfaction of the non-breaching party in a timely manner, the non-breaching party has the right to immediately terminate the Underlying Agreement and this Addendum.
  - 3) If termination of the Underlying Agreement is not feasible, the breaching party, upon the request of the non-breaching party, shall implement, at its own expense, a plan to cure the breach and report regularly on its compliance with such plan to the non-breaching party.
- B. **Effect of Termination.**
- 1) Upon termination of this Addendum, for any reason, CONTRACTOR shall return or, if agreed to in writing by County, destroy all PHI and/or ePHI received from County, or created or received by the CONTRACTOR on behalf of County, and, in the event of destruction, CONTRACTOR shall certify such destruction, in writing, to County. This provision shall apply to all PHI and/or ePHI which are in the possession of subcontractors or agents of CONTRACTOR. CONTRACTOR shall retain no copies of PHI and/or ePHI, except as provided below in paragraph (2) of this section.
  - 2) In the event that CONTRACTOR determines that returning or destroying the PHI and/or ePHI is not feasible, CONTRACTOR shall provide written notification to County of the conditions that make such return or destruction not feasible. Upon determination by CONTRACTOR that return or destruction of PHI and/or ePHI is not feasible, CONTRACTOR shall extend the protections of this Addendum to such PHI and/or ePHI and limit further uses and disclosures of such PHI and/or ePHI to those purposes which make the return or destruction not feasible, for so long as CONTRACTOR maintains such PHI and/or ePHI.
12. **General Provisions.**
- A. **Retention Period.** Whenever CONTRACTOR is required to document or maintain documentation pursuant to the terms of this Addendum, CONTRACTOR shall retain such documentation for 6 years from the date of its creation or as otherwise prescribed by law, whichever is later.
- B. **Amendment.** The parties agree to take such action as is necessary to amend this Addendum from time to time as is necessary for County to comply with HITECH, the Privacy Rule, Security Rule, and HIPAA generally.
- C. **Survival.** The obligations of CONTRACTOR under Sections 3, 5, 6, 7, 8, 9, 11.B and 12.A of this Addendum shall survive the termination or expiration of this Addendum.
- D. **Regulatory and Statutory References.** A reference in this Addendum to a section in HITECH, HIPAA, the Privacy Rule and/or Security Rule means the section(s) as in effect or as amended.
- E. **Conflicts.** The provisions of this Addendum shall prevail over any provisions in the Underlying Agreement that conflict or appear inconsistent with any provision in this Addendum.



**F. Interpretation of Addendum.**

- 1) This Addendum shall be construed to be part of the Underlying Agreement as one document. The purpose is to supplement the Underlying Agreement to include the requirements of the Privacy Rule, Security Rule, HIPAA and HITECH.
- 2) Any ambiguity between this Addendum and the Underlying Agreement shall be resolved to permit County to comply with the Privacy Rule, Security Rule, HIPAA and HITECH generally.

**G. Notices to County.** All notifications required to be given by CONTRACTOR to County pursuant to the terms of this Addendum shall be made in writing and delivered to the County both by fax and to both of the addresses listed below by either registered or certified mail return receipt requested or guaranteed overnight mail with tracing capability, or at such other address as County may hereafter designate. All notices to County provided by CONTRACTOR pursuant to this Section shall be deemed given or made when received by County.

County HIPAA Privacy Officer:      HIPAA Privacy Manager  
County HIPAA Privacy Officer Address:      26520 Cactus Avenue,  
Moreno Valley, CA 92555

County HIPAA Privacy Officer Phone Number: (951) 486-6471